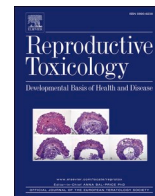




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## Effects of spike protein and toxin-like peptides found in COVID-19 patients on human 3D neuronal/glia model undergoing differentiation: Possible implications for SARS-CoV-2 impact on brain development

Francesca Pistollato<sup>a,\*</sup>,<sup>1</sup>, Mauro Petrillo<sup>b,1</sup>, Laure-Alix Clerbaux<sup>a</sup>, Gabriele Leoni<sup>a,c</sup>, Jessica Ponti<sup>a</sup>, Alessia Bogni<sup>a</sup>, Carlo Brogna<sup>d</sup>, Simone Cristoni<sup>e</sup>, Remo Sanges<sup>c</sup>, Emilio Mendoza-de Gyves<sup>a</sup>, Marco Fabbri<sup>a</sup>, Maddalena Querci<sup>a</sup>, Helena Soares<sup>f</sup>, Amalia Munoz<sup>a</sup>, Maurice Whelan<sup>a</sup>, Guy Van de Eede<sup>g</sup>

<sup>a</sup> European Commission, Joint Research Centre (JRC), Ispra, Italy

<sup>b</sup> Seidor Italy srl, European Commission, Joint Research Centre (JRC), Ispra, Italy

<sup>c</sup> International School for Advanced Studies (SISSA), Trieste, Italy

<sup>d</sup> Craniomed Group srl, Montemiletto, Italy

<sup>e</sup> ISB Ion Source & Biotechnologies Srl, Bresso, Italy

<sup>f</sup> Human Immunobiology and Pathogenesis Group, CEDOC, NOVA Medical School | Faculdade de Ciências Médicas, NOVA University of Lisbon, Lisbon, Portugal

<sup>g</sup> European Commission, Joint Research Centre (JRC), Geel, Belgium

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### ABSTRACT

The possible neurodevelopmental consequences of SARS-CoV-2 infection are presently unknown. In utero exposure to SARS-CoV-2 has been hypothesized to affect the developing brain, possibly disrupting neurodevelopment of children. Spike protein interactors, such as ACE2, have been found expressed in the fetal brain, and could play a role in potential SARS-CoV-2 fetal brain pathogenesis. Apart from the possible direct involvement of SARS-CoV-2 or its specific viral components in the occurrence of neurological and neurodevelopmental manifestations, we recently reported the presence of toxin-like peptides in plasma, urine and fecal samples specifically from COVID-19 patients. In this study, we investigated the possible neurotoxic effects elicited upon 72-hour exposure to human relevant levels of recombinant spike protein, toxin-like peptides found in COVID-19 patients, as well as a combination of both in 3D human iPSC-derived neural stem cells differentiated for either 2 weeks (short-term) or 8 weeks (long-term), 2 weeks in suspension + 6 weeks on MEA) towards neurons/glia. Whole transcriptome and qPCR analysis revealed that spike protein and toxin-like peptides at non-cytotoxic concentrations differentially perturb the expression of *SPHK1*, *ELN*, *GASK1B*, *HEY1*, *UTS2*, *ACE2* and some neuronal-, glia- and NSC-related genes critical during brain development. Additionally, exposure to spike protein caused a decrease of spontaneous electrical activity after two days in long-term differentiated cultures. The perturbations of these neurodevelopmental endpoints are discussed in the context of recent knowledge about the key events described in Adverse Outcome Pathways relevant to COVID-19, gathered in the context of the CIAO project (<https://www.ciao-covid.net/>).

### 1. Introduction

Coronavirus disease (COVID-19) resulting from severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection is still a public health issue. The effect of SARS-CoV-2 infection in pregnant women is of particular concern as studies suggest that they are at increased risk for

severe COVID-19 associated with adverse fetal outcomes [1].

It is well documented that infections during pregnancy can increase the risk for the offspring to develop neurodevelopmental disorders [2], and in utero exposure to SARS-CoV-2 has been hypothesized to affect the developing brain [3,4], possibly disrupting neurodevelopment [5,6]. However, the mechanisms underlying these poor outcomes are still

\* Correspondence to: European Commission JRC, Directorate F – Health, Consumers and Reference Materials, Via E. Fermi 2749, I-21027 Ispra, VA Italy.  
E-mail address: [francesca.pistollato@gmail.com](mailto:francesca.pistollato@gmail.com) (F. Pistollato).

<sup>1</sup> Equally contributing authors

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unknown, and may be due to the exacerbated pro-inflammatory environment of the pregnant mother, or to vertical transmission of SARS-CoV-2. SARS-CoV-2 has been suggested to cross the placenta and to infect syncytiotrophoblast of the placental barrier, as shown by molecular and immunohistochemical analyses and electron microscopy, with an antibody-dependent transcytosis mediated by FcRn (Neonatal Fc receptor) hypothesized as a potential mechanism underlying placental invasion [7,8].

Moreover, anti-SARS-CoV-2 IgM antibodies have been detected in the blood of new-borns delivered by caesarean from mothers found positive for SARS-CoV-2, which suggests SARS-CoV-2 in utero exposure, or impaired placenta barrier with placental immune responses due to maternal respiratory SARS-CoV-2 infection [9,10]. Since IgM antibodies do not cross the placenta except if disruption occurs and IgM represent the first immune response component against SARS-CoV-2, IgM antibodies in newborns may also indicate the possibility of recent exposure to SARS-CoV-2 of the fetus in the womb [11].

Additionally, transcytosis of opsonized or free viruses or viral particle transfer by infected blood cells could also cause placental infection and vertical transmission of the virus [12,13]. Case reports documented placental SARS-CoV-2 infection [14,15]. Although detection of RNA is considered not to be enough to conclude vertical transmission, a systematic review of the current literature aimed to evaluate the possibility of vertical transmission based on early RNA detection of SARS-CoV-2 after birth, and concluded that vertical transmission of the virus is very rare as observed in a minority of pregnant women tested positive for SARS-CoV-2 during the third trimester, with rates of infection similar to those of other pathogens causing congenital infections [16,17]. Other recent systematic review studies suggest either that vertical transmission of the virus is not strongly supported by clinical evidence, e.g., [18], or that vertical transmission may be possible, although the likelihood of its occurrence is generally low, e.g., [19,20].

In addition to the presence of viral particles, spike proteins (S1 and S2) have also been found immunolocalized in cytotrophoblast and syncytiotrophoblast cells of the placental villi, in one asymptomatic pregnant woman tested positive for SARS-CoV-2 [21]. In this study, viral RNA was detected in the amniotic fluid and S proteins were detected in the fetal membrane at 8–13 gestational weeks [21]. This study provides evidence of persistent placental infection by SARS-CoV-2, which could be causative of *hydrops fetalis* and intrauterine fetal demise during the first trimester of pregnancy [21]. In another study, placental vasculopathy (which could lead to fetal growth reduction and other complications) and the presence of SARS-CoV-2 across the placenta have been reported in a pauci-symptomatic pregnant woman [22], suggesting the possibility of viral vertical transmission during early pregnancy. Transplacental transmission of SARS-CoV-2 has been described also at later gestational weeks, e.g., in a 34-week pregnant woman, where the virus was found in the placenta as well as in several tissues of the fetus, who died as a consequence of severe placental thromboembolism [23]. Along the same line, transplacental viral transmission has been also detected in a woman at 35 weeks of gestation found positive for SARS-CoV-2, who showed neurological issues and delivered a baby presenting irritability, axial hypertonia, poor feeding and opisthotonos [24]. On the contrary, Garcia-Flores et al. showed that SARS-CoV-2 infection during pregnancy was associated with humoral and cellular immune responses in the maternal blood, as well as with altered cytokine profile in umbilical cord blood, although in the absence of placental infection [25].

Besides, the potential mechanisms of SARS-CoV-2 entry remain unclear in both placenta and fetal brain with variable findings reported [11,26,27]. In the placenta, the canonical ACE2 receptor was shown highly expressed during early gestation, then at negligible mRNA levels at full term, although term placentas from COVID-19 affected women showed increased ACE2 expression compared to healthy term placentas [17]. The study also showed that ACE2 protein is present in placenta despite low transcript levels. Noteworthy, by using an in vitro placental

model, it has been shown that SARS-CoV-2 can infect the human placenta, and that ACE2 expression levels are directly associated with the release of SARS-CoV-2 [28].

In the human fetal brain, spike protein interactors, i.e., ACE2, *TMPRSS2*, *FURIN* and the recently discovered *ZDHHC5*, *GOLGA7*, and *ATPIA1* have been found expressed. In particular, ACE2 and classical *TMPRSS2* co-factor have been found expressed, although at a low level (being undetectable in some brain regions). Besides, the alternative receptors and co-factors *FURIN*, *ZDHHC5*, *GOLGA7* and *ATPIA1* are expressed at a high level in the fetal brain, and could play a direct or indirect role in potential SARS-CoV-2 fetal brain pathogenesis, especially during the 2nd and 3rd trimesters of pregnancy [29].

It is still unclear whether human placenta is susceptible to SARS-CoV-2 infection under normal physiological conditions; however, under conditions of systemic inflammation and of impaired placental barrier, which may occur in pregnant women with severe COVID-19, placental pathology and the possibility of vertical transmission should be further carefully investigated.

In a previous study [30], we reported the presence of toxin-like peptides in plasma, urine and fecal samples specifically and exclusively from symptomatic COVID-19 patients. In particular, the sequences of these (oligo)peptides (70–115, depending on the analysed samples) map with the sequences of known neurotoxic substances, i.e., conotoxins, metalloproteinases, prothrombin activators, phospholipases A2, and coagulation factors, which can be found in animal venoms and that are characterized by a high specificity and affinity towards human receptors, ion channels, and transporters of the CNS, like the nicotinic acetylcholine receptor [30]. We speculated that these toxin-like peptides could be involved in the reported COVID-19 neurological clinical manifestations. In addition, it is presently unclear whether these toxin-like peptides can cross the placenta. While the origin of these peptides is presently unknown, several hypotheses can be formulated, e.g.: (i) these peptides may be coded by specific SARS-CoV-2 RNA regions [31]; (ii) SARS-CoV-2 may be able to replicate in bacteria in a ‘bacteriophage-like’ manner [32]; (iii) bacteria, may produce and secrete these peptides in reaction to the presence of the virus through not fully defined mechanisms, including the involvement of rRNA [33], or small bacterial non-coding RNAs [34]; or (iv) a combination of the aforementioned mechanisms [30]. Noteworthy, while animal toxins have been discussed as potential drug candidates for the treatment of human diseases, including neurodegenerative diseases, cardiovascular diseases, cancer, neuropathic pain, and autoimmune diseases [35,36], their possible detrimental effects on the developing brain have not been fully explored.

Several in vivo and in vitro models have been used to study SARS-CoV-2 mediated neurological and neuropathological modes of action. For instance, human cerebral organoids have been proven suitable to investigate SARS-CoV-2 infective mechanisms [37–41]. Human induced pluripotent stem cell (iPSC)-derived 3D models (e.g., neurospheres, brain spheres, organoids) can mimic key features of human fetal brain development [42–47], and therefore may be used to assess the neurodevelopmental effects of SARS-CoV-2 and its components. For instance, by using human brain organoids, it has been shown that SARS-CoV-2 can cause impairment of excitatory synaptogenesis, affecting astrocytes’ synaptogenic functions [48].

While the possible neurodevelopmental consequences of SARS-CoV-2 infection are still not fully understood, the Adverse Outcome Pathway (AOP) framework could help improve interpretation and application of scientific understanding of COVID-19 pathological mechanisms [49]. In particular, the investigation of the molecular and cellular mechanisms underlying SARS-CoV-2 effects could be linked to the key events (KEs) described in COVID-19-relevant AOPs, which have been recently developed or are still under development in the context of the so called ‘CIAO’ project [49–51]. The project aims at modelling the pathogenesis of COVID-19 by exploiting the AOP framework approach. A similar strategy has been adopted also in the context of developmental neurotoxicity (DNT) testing, where mechanistic understanding of the

molecular/cellular effects triggered by developmental neurotoxicants (e.g., deregulation of neural progenitor cell proliferation, neuronal and glial differentiation, migration, neurite outgrowth, synaptogenesis and neuronal network formation and function) could be anchored to KEs identified in the existing DNT relevant AOPs, as recently described [52–54]. Human 3D neuronal/glial models combined with emerging knowledge described in AOPs relevant for COVID-19 could enable mechanistic understanding of SARS-CoV-2 and viral components' effects.

In this study, we investigated the possible neurotoxic effects elicited by 72 h exposure to spike protein (recombinant S1 + S2), toxin-like peptides found in symptomatic COVID-19 patients, and a combination of both on short- and long-term differentiated cultures of human iPSC-derived neural stem cells (NSCs) differentiated towards a mixed culture of neurons/glia as 3D neurospheres, as previously described [55]. Whole transcriptome (by RNA-seq) was assessed after 72 h exposure in short-term differentiated cultures (2-week old neurospheres), impact on viability and selected gene expression by qPCR analysis were evaluated in both short- and long-term cultures, while effects on the generation of spontaneous electrical activity by microelectrode array (MEA) were analysed in long-term differentiated cultures (2-weeks + 6-weeks on MEA). The perturbations of neurodevelopmental endpoints described in this *in vitro* study are discussed in the context of recent knowledge about the molecular and cellular KEs described in AOPs relevant to COVID-19-associated brain disorders, gathered in the context of the CIAO project and under development in the online platform AOP-Wiki (<https://aopwiki.org/>).

## 2. Materials and methods

### 2.1. Differentiation of human induced pluripotent stem cell (hiPSC)-derived neural stem cells (NSCs) into 3D neurospheres

The IMR90 cell line, a female human fetal lung fibroblast line obtained from a clinically normal 16 week old fetus, was originally developed at Coriell [56] with karyotype of a normal diploid female (46, XX). IMR90 fibroblasts were directly reprogrammed at I-Stem (Evry, France <https://www.istem.eu/en/>) by retroviral transduction of OCT4 and SOX2 using pMIG vectors (Addgene). Frozen colony fragments of IMR90-hiPSCs were kindly provided by Prof. Marc Peschanski (I-Stem). HiPSC colonies were phenotypically characterized by analysis of colony/cell morphology, analysis of PSC-specific markers (by immunocytochemistry and flow cytometry), as well as gene expression analysis of pluripotency-related genes and alkaline phosphate activity, as detailed in [57]. Neural stem cells (NSCs) were derived from IMR90-hiPSCs [57, 58], and differentiated as 3D neurospheres towards a mixed culture of neurons and astrocytes, in the presence of neuronal differentiation (ND) medium (i.e., Neurobasal Medium, N2 Supplements, B-27 Supplements, Penicillin/Streptomycin (50 U/mL), L-Glutamine (2 mM), laminin (mouse protein, 1 µg/mL), BDNF (2.5 ng/mL) and GDNF (1 ng/mL), all from ThermoFisher Scientific), as previously described [55]. Briefly, to generate neurospheres, NSCs were passaged using trypsin/EDTA and plated onto ultra-low adherent 6-well plates, at a density of  $1 \times 10^6$ /mL (2 mL/well) in the presence of ND medium. Already after 24 h, neurospheres were visible, and reached an average diameter of 200–250 µm after 1 week. If present, large, necrotic spheres were manually discarded, and medium was refreshed twice/week. Neurospheres were differentiated for minimum 2 weeks (up to 6 weeks), and plates were kept at 37 °C, 5% CO<sub>2</sub> under constant gyratory shaking (86 rpm) on a plate shaker. Two-week old neurospheres were characterized by the presence of 31% β-III-tubulin<sup>+</sup> neurons, 31% GFAP<sup>+</sup> astrocytes, 18% CNPase<sup>+</sup> oligodendrocytes, while 41% β-III-tubulin<sup>+</sup>, 39% GFAP<sup>+</sup> and 11% CNPase<sup>+</sup> cells were found in 6-week old cultures, as shown by flow cytometry analysis described in [55]. The percentage of remaining nestin<sup>+</sup> NSCs was 48% and 8% in 2-week and 6-week old neurospheres, respectively [55].

Additionally, neurosphere cultures were characterized by the presence of a mixed culture of VGlut1<sup>+</sup> glutamatergic, GABRE<sup>+</sup> GABAergic and TH<sup>+</sup> dopaminergic neurons (see representative 40x confocal images of 4-week old neurospheres in [Supplementary Figure 1](#)). Electrical activity analysis by multi-well microelectrode array (MEA) showed that this culture is mainly responsive to the modulatory effects of CNQX, an AMPA receptor antagonist, indicating the presence of functional excitatory glutamatergic neurons, whilst Gabazine (a GABA<sub>A</sub> receptor antagonist) and Muscimol (a selective GABA<sub>A</sub> receptor agonist) did not cause significant changes of spontaneous electrical activity [55]. Further details about the protocol and the characterization of the model are provided in [55].

### 2.2. Exposure of 3D neurosphere to toxin-like peptides and recombinant spike protein

Two-week old neurospheres were manually transferred onto ultra-low adherence 24-well plates using a stereomicroscope (30 neurospheres/1 mL/well) and exposed for 72 h to recombinant 2019-nCoV S1 +S2 ECD protein with His tag (hereafter called 'Spike' or 'S') (Sigma-Merck, cat. SAB5700592, purity > 97% by SDS-PAGE) (stock concentration of 1000 µg/mL) at the concentrations of 0.3, 0.6, 1.3, 2.5, 5.0, 10.0 and 20.0 µg/mL, and a mix of toxin-like peptides (hereafter called 'Peptides' or 'P') derived from the supernatants of an *in vitro* faecal microbiota culture at 30 days (details on the used protocol are described in [30,32] and reported below), obtained from a fecal sample (stool) of a subject positive for SARS-CoV-2 at the concentrations of 0.009, 0.017, 0.034, 0.069, 0.137, 0.548 and 1.096 µg/mL. Transmission electron microscopy (TEM) analysis of fecal matter samples contaminated with toxin-like peptides was carried out to assess the presence of the virus ([Supplementary Figure 2](#)), as described below. The stock concentration of the Peptide solution was 140 µg/mL (tested using Bradford approach) in ~ 50 µL volume, which corresponded to 10X, 50X and 100X the average level of toxin-like peptides found in faecal, blood and urine samples, respectively, of COVID-19 patients described in [30]. The stock solution was thus diluted 10 times with sterile PBS 1X (without calcium and magnesium) and filtered with a 0.22 µm pore filter to prevent bacterial contamination of cell cultures. After 72 h exposure to Spike and Peptides, cell viability was analysed as described below (compounds were not refreshed during the 72 h). In parallel experiments, 3D neurospheres were exposed to Spike (10 µg/mL), Peptides (0.548 µg/mL) and a combination of both ('P + S'), and after 72 h neurospheres were collected for whole transcriptome analysis by RNA-seq (Bioclavis) as described below. To measure electrical activity, 2-week old 3D neurospheres were manually transferred using a stereomicroscope onto 24-well MEA plates and further differentiated for at least 6 additional weeks (total of 8–9 weeks in differentiation); then, cultures were exposed for 72 h to non-cytotoxic concentrations of Spike (5 and 10 µg/mL), Peptides (0.548 µg/mL) or a combination of both ('P + S'). Electrical activity was recorded every day up to 72 h as detailed below.

### 2.3. Peptides mixture preparation

The mixture of Peptides was obtained from bacterial samples, grown as detailed in [30]. Briefly, bacterial cells were grown in NutriSelect™ Plus nutrient broth (Merck). Following the protocol recommended by the supplier, the medium was prepared as follows: 25 g were dissolved in 1 L of double distilled water and dispensed into tubes, which were sterilized by 15 min autoclave at 121 °C. All steps were conducted at temperature lower than 8 °C, protected from direct light. Final composition of the medium was Peptone (15 g/L), Yeast extract (3.0 g/L), Sodium chloride (6.0 g/L), D(+)-Glucose (1.0 g/L), pH 7.5 at 25 °C. Tubes/flasks with growth broth and bacteria were placed in an orbital shaker at 37 °C, and the liquid culture was left to grow for 30 days, monitoring bacterial growth by optical densities (OD) analysis using a (spectro)photometer absorbance microplate reader. After 30 days, the



Peptides mixture was extracted and purified as follows: after a centrifugation at 13,000 g for 10 min, 700  $\mu$ L were collected from each mL of supernatant, and filtered with a 0.2  $\mu$ m filter. The obtained solution was checked by means of mass spectrometry (peptide proton rearrangement were considered during data acquisition and elaboration) to confirm the presence of toxin-like peptides. The total peptide and protein concentration were analysed using the Bradford approach. The identification of toxin-like peptides was obtained by using Liquid Chromatography-Surface Activated Chemical Ionization – Cloud Ion Mobility Mass Spectrometry (LC-SACI-CIMS), as described in [30]. The full set of manually reviewed venom proteins and toxins from UniprotKB database, mixed with a subset of non-venom proteins and toxins, was used as reference protein dataset in order to give statistical significance to the results. The identified toxin-like peptides include those reported in the list of representative toxin-like peptides mapped on 36 candidate protein sequences belonging to Chordata, Echinodermata and Mollusca, is detailed in Table 1 in [30], with information retrieved from UniprotKB and NCBI Taxonomy databases. This list is not expected to be exhaustive: in fact, several (oligo-) peptides (between 70 and 115, depending on the analysed sample [30]) matched with different animal venom proteins and toxins like conotoxins, phospholipases A2, and metalloproteinases (86% of assignments have a  $-\log(e) > 25$ ). Apart from these peptides mapped on toxins of animal origin, no signal with statistical significance and attributed to any known bacterial toxin was observed.

#### 2.4. Transmission electron microscopy (TEM) analysis of fecal matter samples contaminated with toxin-like peptides

Gut bacteria derived from healthy donors were infected with the supernatant derived from COVID-19 affected individuals, containing bacteria and SARS-CoV-2 particles. Biological sample was directly deposited in a 3  $\mu$ L drop on Formvar Carbon coated 200 mesh copper grids (Agar Scientific, USA), let to dry overnight in a desiccator, and the day after the sample was washed with ultrapure water and again let to dry overnight before analysis by JEOL JEM-2100 HR-transmission electron microscope at 120 kV (JEOL, Italy). TEM analysis conducted on unfiltered stock supernatants of Peptide samples demonstrated the presence of SARS-CoV-2 particles on the surface and inside gut bacteria (Supplementary Figure 2).

#### 2.5. Analysis of cell viability with CellTiter-Blue®

After 72 h exposure to different concentrations of Spike or Peptides, cell viability was measured by incubating 3D neurospheres with CellTiter-Blue® Reagent (final 1:6 dilution in cell culture medium) at 37 °C and 5% CO<sub>2</sub> for 4 h. After incubation, 100  $\mu$ L medium/reagent were transferred into new 96-well plates accounting also for wells containing blanc solution (ND medium with CellTiter Blue reagent), and fluorescence was measured at 530–560 nm-/590 nm (excitation/emission) in a multiwell fluorimetric reader (Tecan). After blanc subtraction, data were normalised to the mean of control cells (i.e., cells in ND medium).

#### 2.6. Immunocytochemistry of 3D neurospheres and confocal imaging

Three-week old neurospheres were fixed with 4% formaldehyde for 25 min, washed twice with PBS 1X (w/o calcium and magnesium) for 7 min, and stored in PBS 1X at 4 °C prior to staining. Neurospheres were incubated in PBS 1X containing 0.1% Triton-X-100 and 3.5% bovine serum albumin (BSA) (permeabilizing/blocking solution) for 30 min at room temperature under constant gyratory shaking. Neurospheres were incubated overnight (about 16 h) at 4 °C under constant gyratory shaking (50 rpm) with the following primary antibodies:  $\beta$ -III-tubulin (mouse, 1:500, Abcam Cat# ab41489, RRID:AB\_727049, and chicken, 1:300, Abcam Cat# ab41489, RRID:AB\_727049), glial fibrillary acidic protein (GFAP) (chicken, 1:500, Thermo Fisher Scientific Cat#

14–9892–82, RRID:AB\_10598206), ACE2 (rabbit, 1:250, Sigma-Merck, Cat# SAB3500978), VGlut1 (rabbit, 1:250, Abcam Cat# ab72311, RRID:AB\_1271456), GABRE (mouse 1:100, Thermo Fisher Scientific Cat# MA5–27696, RRID:AB\_2735197), and Tyrosine hydroxylase (TH) (rabbit, 1:200, Thermo Fisher Scientific Cat# PA5–85167, RRID:AB\_2792314), diluted in permeabilizing/blocking solution. The day after, neurospheres were washed twice with PBS 1X and further incubated for 1 h with Dy-Light -conjugated secondary antibodies (1:500, all from Abcam), and DAPI (1  $\mu$ g/mL, ThermoFisher) in blocking solution (3.5% BSA in PBS 1X) under constant gyratory shaking. Neurospheres were transferred onto CytoVista™ Tissue Imaging Chamber (0.75 mm deep) on glass slides and mounted with ProLong™ Glass Antifade mounting medium (ThermoFisher). Pictures at 40x were taken using a Leica confocal microscope (Stellaris), and 3D reconstruction was done using Leica Application Suite X (LAS X) software (Version 4.1.0), considering taking images with 27–30  $\mu$ m thickness and 0.8–1  $\mu$ m z step size. Three biological replicates were considered, and at least 5 neurospheres were imaged for each experimental replicate.

#### 2.7. Electrophysiological measurements using multi-well microelectrode array (MEA)

To assess the effects of Spike (5 and 10  $\mu$ g/mL), Peptides (0.548  $\mu$ g/mL) and a combination of both ('P + S') (vs control culture) on electrical activity, 2-week old neurospheres were manually transferred onto sterile 24-well microelectrode array (MEA) plates (24-well glass MEA plate (24W300/30 G-288) V.232) (30 neurospheres/500  $\mu$ L/well) coated with polyethylenimine (PEI)- and mouse laminin. Cell cultures were further differentiated for at least 6 additional weeks in the presence of ND medium. Spontaneous electrical activity was recorded (for 5 min) starting 1 min after exposure and then every day up to 72 h (compounds were not refreshed during the 72 h). Electrical activity was recorded using the Multi-well MEA-System (Multi Channel Systems MCS GmbH), considering a Sampling Rate of 20000 Hz, a Low-Pass Filter Cutoff Frequency of 3500 Hz, and a High-Pass Filter Cutoff Frequency of 1 Hz. Spike detection was based on an automatic threshold estimation considering the following parameters: 20 individual segments, baseline duration (duration of each segment) of 100 ms, a rising edge of 5 St. Dev. and a falling edge of – 5 St. Dev, timing (dead time) of 3000  $\mu$ s, cutouts pre trigger 1000  $\mu$ s and post trigger 2000  $\mu$ s, estimated for all wells. Unblinded data analyses of spike rate (number of spikes/sec) and burst count (considering a burst as a train of at least 4 spikes occurring within 50 ms, with maximum interval to start burst of 50 ms, maximum interval to end burst of 50 ms, minimum interval between bursts of 100 ms) were done using the "Multiwell-Analyzer" software, analysing the full recording and considering only active wells (i.e., wells characterized by at least 3 active channels, each active channel with minimum 10 spikes/min, and a minimum amplitude of 10  $\mu$ V) (manual instructions are available at [https://www.multichannelsystems.com/sites/multichannelsystems.com/files/documents/manuals/Multiwell-MEA-System\\_Manual.pdf](https://www.multichannelsystems.com/sites/multichannelsystems.com/files/documents/manuals/Multiwell-MEA-System_Manual.pdf)) [59]. The average of spikes number and bursts number of selected active electrodes within each well were normalized to their respective T0 (i.e., cells not yet exposed to compounds). Four independent biological replicates were done, with 3–4 internal replicates per condition.

#### 2.8. Quantitative PCR (qPCR) analysis of selected gene expression

Analysis of ACE2, MAP2 and GFAP gene expression by qPCR was performed in NSCs undergoing differentiation as 3D neurospheres (control culture) collecting samples for RNA isolation after 1, 2, 3, 4, 5 and 6 weeks of differentiation. Gene expression analysis of all other genes indicated in Table 1 was carried out in short-term and long-term differentiated cultures after 72 h exposure to Peptides 0.548  $\mu$ g/mL, Spike 10  $\mu$ g/mL, and P + S.

RNA was isolated using the RNAqueous®-Micro Kit (ThermoFisher)

**Table 1**  
Genes and probes ID used for qPCR analysis (all from Thermo-Fisher).

Gene name	Gene symbol	Assay ID
Angiotensin-Converting Enzyme 2	<i>ACE2</i>	Hs01085333_m1
Sphingosine kinase 1	<i>SPHK1</i>	Hs00184211_m1
Elastin	<i>ELN</i>	Hs00355783_m1
Golgi associated kinase 1B	<i>GASK1B</i> ( <i>FAM198B</i> )	Hs00930738_m1
Hes Related Family BHLH Transcription Factor With YRPW Motif 1	<i>HEY1</i>	Hs00232618_m1
Urotensin-II	<i>UTS2</i>	Hs00922170_m1
Microtubule Associated Protein 2	<i>MAP2</i>	Hs00258900_m1
Paired Box 6	<i>PAX6</i>	Hs01088112_m1
Nestin	<i>NES</i>	Hs04187831_g1
Nuclear Receptor Subfamily 4 Group A Member 2	<i>NR4A2</i>	Hs00428691_m1
Tyrosine Hydroxylase	<i>TH</i>	Hs00165941_m1
Growth Associated Protein 43	<i>GAP43</i>	Hs00967138_m1
Glutamate Ionotropic Receptor AMPA Type Subunit 1	<i>GRIA1</i>	Hs00181348_m1
Glutamate Ionotropic Receptor AMPA Type Subunit 2	<i>GRIA2</i>	Hs00181331_m1
Glutamate Ionotropic Receptor AMPA Type Subunit 3	<i>GRIA3</i>	Hs01557466_m1
Choline O-Acetyltransferase	<i>CHAT</i>	Hs00252848_m1
Solute Carrier Family 18 Member A3	<i>SLC18A3</i>	Hs00268179_s1
Solute Carrier Family 5 Member 7	<i>SLC5A7</i>	Hs00222367_m1
Gamma-Aminobutyric Acid Type A Receptor Subunit Alpha3	<i>GABRA3</i>	Hs00968132_m1
Gamma-Aminobutyric Acid Type A Receptor Subunit Beta3	<i>GABRB3</i>	Hs00241459_m1
Glial Fibrillary Acidic Protein	<i>GFAP</i>	Hs00909233_m1
Bone Morphogenetic Protein Receptor Type 2	<i>BMPR2</i>	Hs00176148_m1
Oligodendrocyte Transcription Factor 1	<i>OLIG1</i>	Hs00744293_s1
Myelin Basic Protein	<i>MBP</i>	Hs00921945_m1
Actin Beta	<i>ACTB</i>	Hs99999903_m1
Glyceraldehyde-3-phosphate dehydrogenase	<i>GAPDH</i>	Hs02758991_g1

according to manufacturer's instructions, and 500 ng of total RNA were reverse transcribed by using the High Capacity cDNA Reverse Transcription Kit (as directed, ThermoFisher). qPCR reactions were run in duplicate using TaqMan® Gene Expression Master Mix (ThermoFisher) and the TaqMan gene expression assays indicated in Table 1. Amplification efficiencies of primers/probes were directly verified by the manufacturer (Thermo-Fisher) and were in the range of 100% (+/−10%) when measured over a 6-log dilution range (additional information are available at [https://assets.thermofisher.com/TFS-Assets/LSG/Application-Notes/cms\\_040377.pdf](https://assets.thermofisher.com/TFS-Assets/LSG/Application-Notes/cms_040377.pdf)) [60].

Fluorescent emission was recorded in real-time using the ABI PRISM Sequence Detection System 7900HT (ThermoFisher). PCR amplification conditions consisted of 45 cycles with primers annealing at 60 °C. Relative RNA quantities were normalized to the reference genes GAPDH and ACTB, and undifferentiated NSCs (for *ACE2*, *MAP2* and *GFAP* expression in Fig. 1B-D) or Ctr (for all genes shown in Figs. 4 and 5) were used to normalize the data ( $\Delta\Delta C_t$  Method). Three independent biological replicates were performed.

## 2.9. Whole transcriptome analysis by RNA sequencing (RNA-seq)

Analysis of gene expression by RNA-seq was performed in 2-week old neurospheres exposed for 72 h to Spike (10 µg/mL), Peptides (0.548 µg/mL) and a combination of both ('P + S') (vs Control) as briefly described. Neurospheres were decanted in 1.5 mL tubes, washed once with PBS 1X, lysed in 1X TempO-Seq Enhanced Lysis Buffer, and stored at −80 °C prior to shipping. Samples (3 biological replicates) were supplied to BioClavis (BioClavis, Ltd, Glasgow UK) (samples were received on 26/04/2021) for TempO-Seq analyses. The resulting FASTQ files were aligned using the STAR algorithm to the Human Whole Transcriptome v2.0 panel by BioClavis. BioClavis' internal process

control data confirmed the success of the sequencing (Supplementary Table 1). Next, a data matrix of gene expression level (raw counts) with sample names as column headers and gene names as rows was generated by BioClavis using the *HTSEQ-count* software. Further quality metrics were generated with the R package *pcaExplorer* (version 2.16.0) [61]. Expression analyses were performed using the *DESeq2* (version 1.30.1) [62] and *EdgeR* (version 3.32.1) [63] R packages, relying on BioClavis' data matrix filtered by low expressed genes (i.e., sum of raw counts < 10, considering all samples). Differentially expressed genes with FDR corrected p value < 0.1 were considered statistically significant. P value equal to 0.1 was set a priori before the data was analysed; a cut-off at 0.05 was also verified for comparative purposes.

## 2.10. Statistical analysis

Statistical significance of viability, MEA and qPCR data was assessed by one-way ANOVA with Dunnett's Multiple Comparison Test, comparing different conditions vs undifferentiated (NSC) or unexposed cells (Control or T0). Whole transcriptomic data were analysed by Wald Test. GraphPad Prism 9 software was used to compile and analyse data, which represent the average of at least three biological replicates ± standard error mean (S.E.M.). Data normality was visually assessed by Q-Q plot and statistically analysed using Shapiro-Wilk test and Kolmogorov-Smirnov test in GraphPad Prism 9. For all graphs, an asterisk over a data point indicates a significant difference with the control group or as indicated (\* p < 0.05, \*\* p < 0.01, \*\*\* p < 0.001).

## 3. Results

### 3.1. Effects of spike protein and toxin-like peptides on cell viability and whole transcriptome analysis in short-term differentiated cultures

As expression of angiotensin-converting enzyme 2 (*ACE2*) receptor is critical to initiate viral entry into the cells through spike protein [64,65], we verified the expression of *ACE2* in hiPSC-derived NSCs undergoing differentiation towards a mixed culture of neuronal and glial cells as 3D neurospheres over 6 weeks (Fig. 1). Cultures underwent a progressive increase of *MAP2* and *GFAP* gene expression over time (Fig. 1A and B, adapted from [55]); *ACE2* appeared twice more expressed already after 1 week of differentiation (albeit not significant), and its expression progressively increased during differentiation (Fig. 1C).

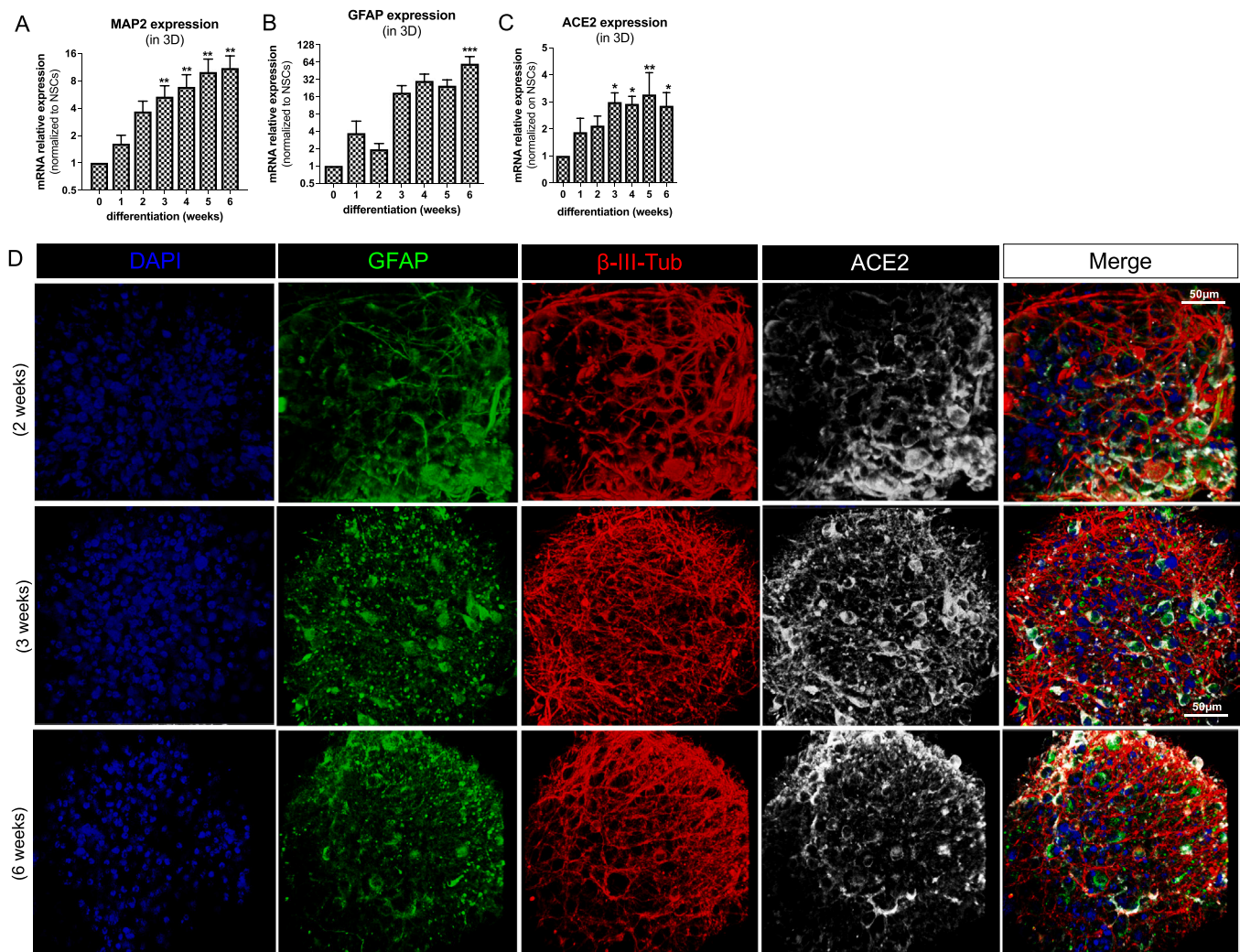
*ACE2* was found more expressed in astrocytes (positive for glial fibrillary acidic protein, GFAP, green), and at a lower level in neurons (stained with  $\beta$ -III-tubulin, red), as revealed by immunocytochemistry and confocal imaging analysis of 2-, 3- and 6-week old neurospheres (Fig. 1D).

Toxin-like peptides (Peptides) and spike protein (Spike) were first evaluated for their possible impact on cell viability in short-term differentiated (2-week old) cultures (Fig. 2A-C), at a stage of increasing *ACE2* expression. Two-week old neurospheres were exposed to different concentrations of Peptides (0.009, 0.017, 0.034, 0.069, 0.137, 0.548 and 1.096 µg/mL) and Spike (0.3, 0.6, 1.3, 2.5, 5.0, 10.0 and 20.0 µg/mL). Tested Spike levels are in line with spike proteins detected in the serum of some COVID-19 patients (between 2.5 and 17.5 µg/mL) [66], while tested levels of Peptides were lower than those found in blood of COVID-19 patients (on average 2–3 µg/mL) (Brogna and Cristoni, personal communication).

After 72 h, no impact on cell viability was observed in 3D neurospheres exposed to either different Peptides (Fig. 2B) or Spike (Fig. 2C), and no changes of neurosphere size could be observed (not shown).

We further assessed the effects of 72 h exposure to non-cytotoxic and physiologically relevant concentrations of Spike alone (10 µg/mL), Peptides alone (0.548 µg/mL) or a combination of both (vs Control) on overall transcriptome in 3D neurospheres pre-differentiated for 2 weeks (Fig. 2D-I). RNA-seq data showed that a small set of genes was significantly deregulated 72 h upon exposure to these compounds. In





**Fig. 1.** ACE2 expression in 3D neuronal/glia models undergoing differentiation. (A-C) Bar graphs showing MAP2, GFAP and ACE2 gene expression in 3D neurospheres differentiated for 0 (NSCs), 1, 2, 3, 4, 5 or 6 weeks; data were normalized to reference genes ACTB and GAPDH, and further normalized on NSCs (undifferentiated cells) (D) Representative fluorescent images (40x magnification) of 3D neurospheres differentiated for 2-, 3- and 6-weeks towards a mixed culture of neurons and glia; neurospheres were stained for GFAP (green),  $\beta$ -III-tubulin (red), ACE2 (white) and nuclei counterstained with DAPI (blue). (A and B graphs are adapted from [55]).

particular, by using *DESeq2* ( $p$  value = 0.1), Sphingosine kinase 1 (*SPHK1*) (Fig. 2D), Elastin (*ELN*) (Fig. 2E), and Golgi associated kinase (*GASK1B*) (Fig. 2F) were found upregulated by Spike and by the combination of Peptides+Spike (P + S). The use of *EdgeR*, confirmed the upregulation under the same conditions of *SPHK1* and *ELN*, as well as the Notch3 effector *HEY1* (Fig. 2G). By imposing a cut-off at 0.05, three genes, i.e., *SPHK1*, *ELN* and *GASK1B* showed to be differentially expressed, and with this cut-off no differences were found with respect to data reported in Fig. 2D-I.

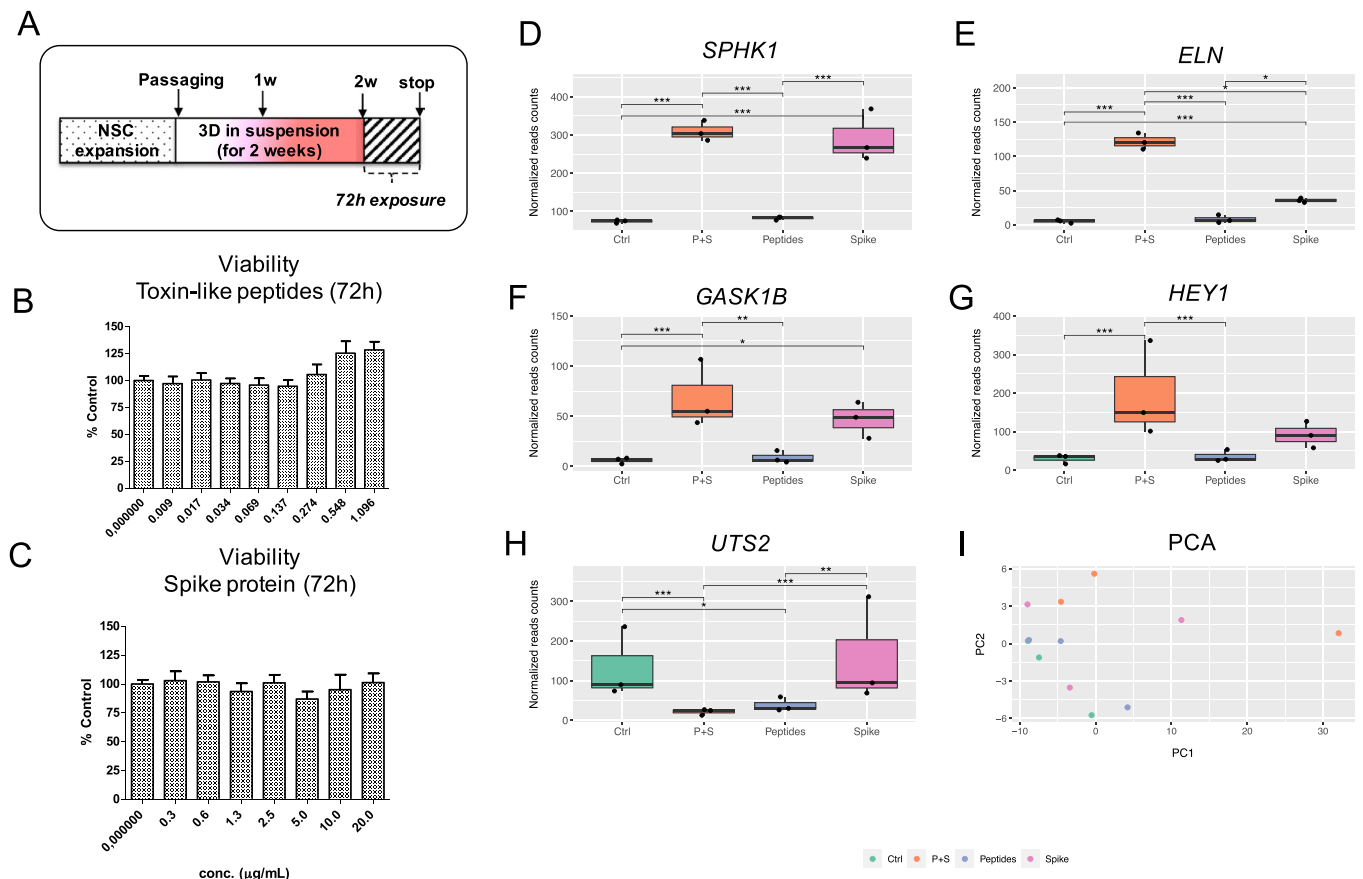
Notably, while *SPHK1*, *GASK1B* and *HEY1* upregulation was similar comparing samples exposed to Spike and P + S, the increase in *ELN* gene expression was significantly greater in cells exposed to P + S compared to Spike only ( $p < 0.05$ ). On the contrary, Urotensin-II (*UTS2*) gene was down-regulated by both Peptides and P + S in a similar manner (Fig. 2H). Samples were characterized by homogeneous reads counts per sample and low variability, as shown by principal component analysis (PCA) (Fig. 2I).

### 3.2. Effects of spike protein and toxin-like peptides on spontaneous electrical activity in long-term differentiated cultures

We further explored the effects of Spike and Peptides on the

generation of spontaneous electrical activity in long-term differentiated cultures by using the MEA technology. To this aim, neurospheres were pre-differentiated for 2 weeks in suspension, before being transferred onto MEA plates for recording of spontaneous electrical activity. Cultures were differentiated for at least 6 additional weeks on MEA before exposing them to Spike alone (5 and 10  $\mu\text{g}/\text{mL}$ ), Peptides alone (0.548  $\mu\text{g}/\text{mL}$ ) or a combination of both (P + S) vs Control cultures. Electrical activity was recorded 1 min after adding compounds (acute effects) and after 1-, 2- and 3-day exposure (Fig. 3A). Under these exposure conditions, no cytotoxic effects were observed, and about 34% increase of cell viability was recorded upon exposure to Spike (10  $\mu\text{g}/\text{mL}$ ) alone and in combination with Peptides (P + S) (Fig. 3B).

MEA data showed that Peptides induced a decrease of spontaneous electrical activity ( $\sim 30\%$  decrease of spike rate) after 2d, whilst Spike at the concentration of 5  $\mu\text{g}/\text{mL}$  had no significant impact on electrical activity (Fig. 3C, D, E). Spike protein at the higher tested concentration (10  $\mu\text{g}/\text{mL}$ ) caused a decrease of both spike rate (by  $\sim 57\%$ ) and overall number of bursts (by  $\sim 66\%$ ) after 2d (Fig. 3D, E). Combined exposure to both P + S-5  $\mu\text{g}/\text{mL}$  caused a significant decrease of both spike rate (by  $\sim 50\%$ ) and bursts (by  $\sim 63\%$ ) after 1d, and a similar decrease was observed after 2d exposure to P + S-10  $\mu\text{g}/\text{mL}$  (spike rate by  $\sim 43\%$  and bursts by  $\sim 61\%$ ) (Fig. 3D, E). The effects elicited by Spike-10 alone were



**Fig. 2.** Effects of spike protein and toxin-like peptides on viability and whole transcriptome in 2-week old 3D neuronal/glial models after 72 h exposure. (A) NSCs were expanded, passaged and differentiated for 2 weeks as 3D neurospheres in suspension before being exposed for 72 h to different concentrations of toxin-like peptides or spike protein. (B, C) Cell viability analyses of 3D neurospheres after 72 h exposure to different concentrations of toxin-like peptides or spike protein; values were normalised to control (Ctrl). (D–H) Differentially expressed genes with FDR corrected p value < 0.1; graphs show normalized reads counts for: Sphingosine kinase 1 (SPHK1) (D), Elastin (ELN) (E), Golgi associated kinase (GASK1B) (F), HEY1 (G), and Urotensin-II (UTS2) (H). (I) Principal component analysis (PCA). Data are representative of three biological replicates.

similar to those elicited by the combined exposure to P + S-10  $\mu\text{g}/\text{mL}$ ; on the other hand, the combined exposure to P + S-5  $\mu\text{g}/\text{mL}$  had a greater impact on spontaneous electrical activity formation than either Spike-5 alone or Peptides alone, which may suggest a potentiated effect.

### 3.3. Effects of spike protein and toxin-like peptides on *SPHK1*, *ELN*, *GASK1B*, *HEY1*, *UTS2* and *ACE2* expression in long-term differentiated cultures

Moreover, we assessed by qPCR analysis the expression of *SPHK1*, *ELN*, *GASK1B*, *HEY1* and *UTS2* in long-term differentiated cultures upon exposure to tested compounds (Fig. 4A–E). Similar to RNA-seq data on short-term differentiated cultures, P + S elicited a significant increase of *SPHK1*, *ELN*, *GASK1B* and *HEY1*; Spike was the main trigger of these effects, causing a significant increase of *GASK1B* and *HEY1* expression (Fig. 4A–D). The expression of *UTS2* was very modestly modulated under all conditions, showing a tendency towards a downregulation upon Peptides exposure, and a slight upregulation upon P + S exposure (Fig. 4E).

Notably, the expression of *ACE2* did not significantly change in short-term differentiated cultures (not shown). However, in long-term cultures, *ACE2* expression was found downregulated upon exposure to Peptides, while this downregulation was milder upon exposure to P + S; on the contrary, Spike protein caused a very modest but significant upregulation of *ACE2* (Fig. 4F).

### 3.4. Effects of spike protein and toxin-like peptides on selected neuronal-, glia- and NSC-related gene expression in short- and long-term differentiated cultures

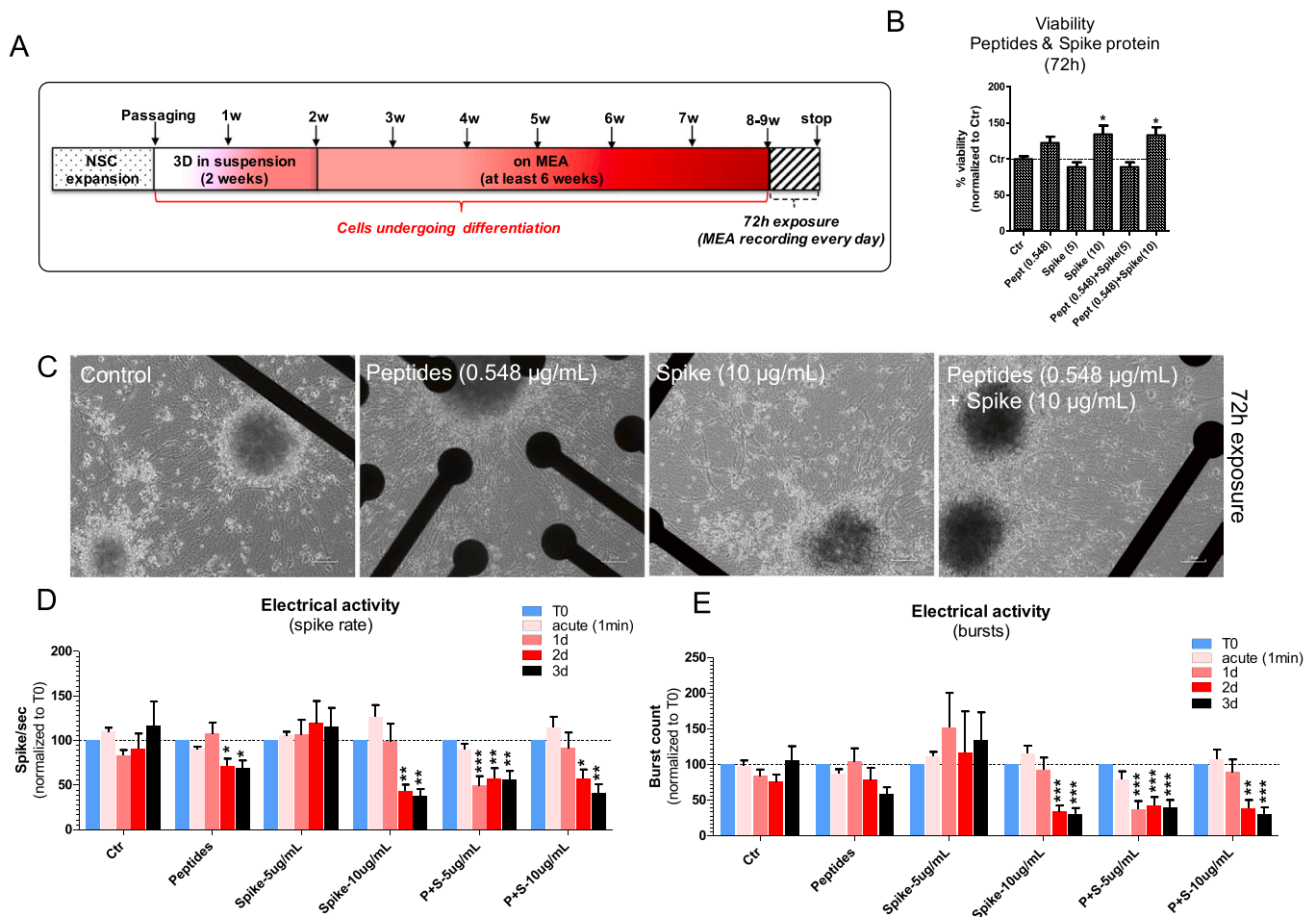
Finally, we analysed gene expression of a set of genes expressed by different neuronal subtypes and glial cells in both short- (2-weeks) and long-term differentiated cultures (2-weeks + 6-weeks on MEA) comparing the effects of Peptides alone (0.548  $\mu\text{g}/\text{mL}$ ), Spike alone (10  $\mu\text{g}/\text{mL}$ ), and P + S vs Ctrl cultures. These data showed that Spike caused a slight decrease of *GAP43* and *GRIA2* in 2-week old neurospheres, while a very modest decrease of *GRIA1* was seen after exposure to P + S in long-term differentiated cultures (Fig. 5A, B).

The GABAergic gene *GABRA3* was upregulated in both culture types after Peptide exposure (although not significantly in short-term differentiated culture), while *GABRB3* resulted slightly downregulated after Spike exposure in short-term differentiated culture (Fig. 5A, B).

The dopaminergic genes *NR4A2* and *TH* were found differentially regulated in short- vs long-term differentiated cultures, with *NR4A2* undergoing downregulation in short-term differentiated cultures and found upregulated in long-term differentiated cultures exposed to Peptides, and *TH* showing a tendency towards an increase in short-term differentiated culture, and a significant decrease in long-term differentiated cultures at all tested conditions (Fig. 5A, B).

Moreover, the cholinergic gene *SLC18A3* resulted downregulated upon exposure to Spike and P + S (in short-term differentiated), and Peptides and P + S (in long-term differentiated cultures), whilst *CHAT* and *SLC5A7* were found upregulated in short-term differentiated





**Fig. 3.** Effects of spike protein and toxin-like peptides on electrical activity in long-term differentiated 3D neuronal/glia models. (A) NSCs were expanded, passaged and differentiated for 2 weeks as 3D neurospheres in suspension before being transferred to 24-well MEA plates for at least 6 additional weeks (total of 8–9 weeks in differentiation). Cultures were exposed for 72 h to Spike alone (5 and 10  $\mu\text{g}/\text{mL}$ ), Peptides alone (0.548  $\mu\text{g}/\text{mL}$ ) or a combination of both, and electrical activity was recorded for 5 min before (T0), 1 min after adding compounds (acute), and after 1-, 2- and 3-day exposure. (B) Cell viability analyses of cultures after 72 h exposure as indicated in A (values were normalised to Ctr). (C) Representative phase contrast images of cell cultures on 24-well MEA plates exposed to compounds as described in A. (D, E) Bar graphs showing spike rate (i.e., number of spikes/sec) (D), and number of bursts (E) of cultures exposed to compounds as described in A (data were normalized to T0). For all analyses, mean  $\pm$  S.E.M. of 4 biological replicates.

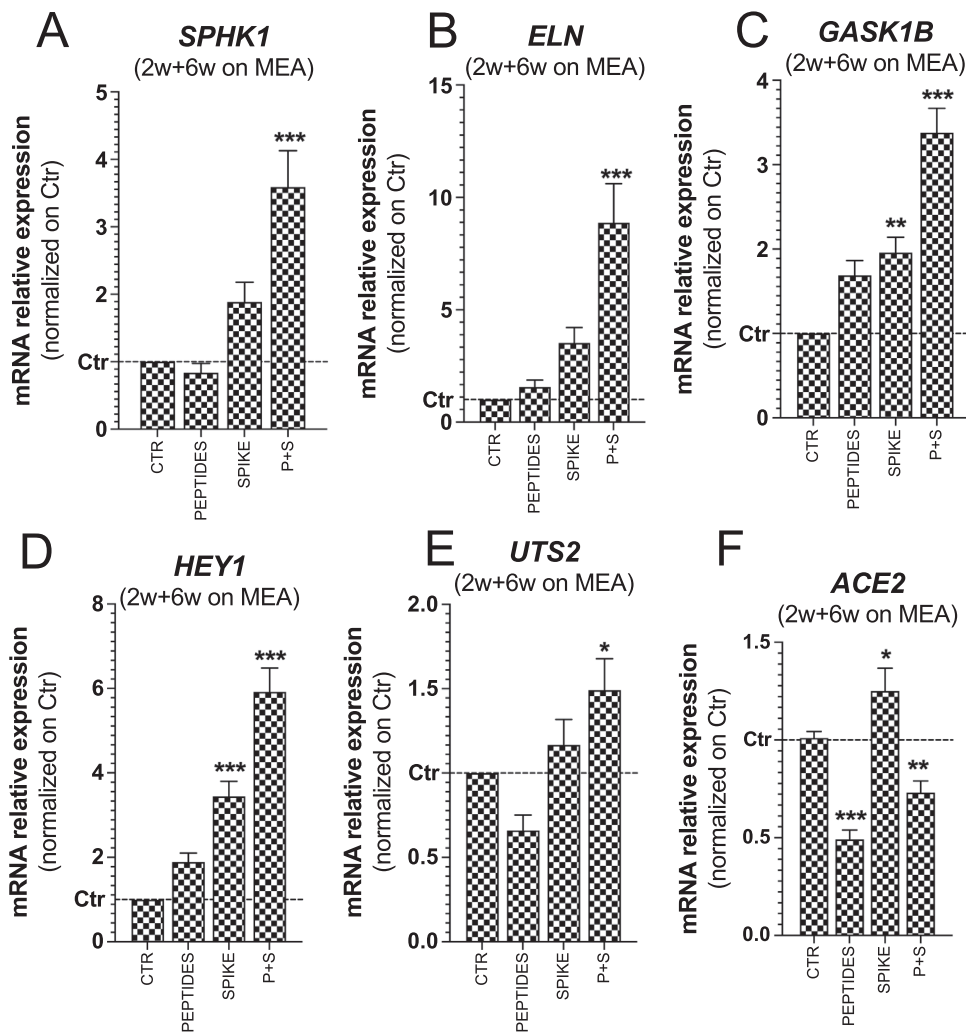
cultures upon exposure to P + S (Fig. 5A, B).

We also looked at the expression of some glia-related genes. While expression of *BMPP2* did not change at either conditions, *GFAP* expression showed a tendency toward an increase in short-term differentiated culture exposed to P + S (not significant), whilst long-term differentiated cultures underwent a significant increase of *GFAP* expression upon exposure to both Spike and P + S (Fig. 5C, D). Noteworthy, *MBP* expression increased by about 3-fold in short-term differentiated cultures exposed to Peptides and P + S, while its expression was modestly upregulated by Peptides in long-term differentiated cultures. The oligodendrocyte marker *OLIG1* was found significantly downregulated in short-term differentiated cells exposed to Spike and P + S, and resulted downregulated by Peptides and P + S in long-term differentiated cultures (Fig. 5C, D).

The increase in cell viability relative to control cultures observed in neurospheres exposed to Spike proteins (Fig. 3B) may reflect possible differences in cell proliferation. In line with this hypothesis, we assessed in both short- and long-term differentiated neurosphere cultures the expression of *NES* and *PAX6*, which are expressed in dividing NSCs [67, 68]. While the expression of both genes did not change in 2-week old neurospheres at any tested condition, *PAX6* was found significantly downregulated by both Spike and P + S in long-term cultures, whilst *NES* expression did not significantly change (Fig. 5E, F).

#### 4. Discussion

In a previous study [30], we reported the presence of toxin-like peptides in plasma, urine and fecal samples exclusively from COVID-19 patients. At present, the origin of these Peptides, whether they can cross the placenta, and what possible detrimental effects they may have on human perinatal development and the developing brain are unknown. In this study, we investigated the neurotoxic effects elicited upon 72 h exposure to Spike, Peptides mixture found in COVID-19 patients, and a combination of both (P + S) on human iPSC-derived NSCs differentiated towards a mixed culture of neurons/glia as 3D neurospheres at different differentiation stages. Expression of both glia and neuronal-related genes increased during differentiation in this 3D model, whose characterization is described in [55]. Notably, glia-related genes and proteins were observed as early as 2 weeks of differentiation [55], whilst other studies on hiPSC-derived neuronal and glial cell derivatives cultured as 3D organoids or neurospheres have shown that several weeks in differentiation were needed to obtain mature glia (e.g., [46, 69, 70]). Differences in temporal occurrence of gene expression and protein level changes may be linked to genetic, epigenetic and phenotypic differences in test systems, as well as differences in media formulation and experimental design. Notably, expression of *ACE2* was found upregulated during differentiation in a time dependent manner,



**Fig. 4.** Effects of spike protein and toxin-like peptides on *SPHK1*, *ELN*, *GASK1B*, *HEY1*, *UTS2* and *ACE2* expression in long-term differentiated cultures. (A-F) Bar graphs showing expression of *SPHK1*, *ELN*, *GASK1B*, *HEY1*, *UTS2* and *ACE2* in long-term differentiated cultures exposed for 72 h to toxin-like peptides alone (0.548  $\mu\text{g}/\text{mL}$ ), spike protein alone (10  $\mu\text{g}/\text{mL}$ ) and a combination of both (P + S) vs Control. Data were normalized to reference genes *ACTB* and *GAPDH*, and further normalized to Ctr (mean  $\pm$  S.E.M. of 3 biological replicates).

with *ACE2* protein observed mainly in astrocytes, which suggests that 3D neurospheres may plausibly be infected by SARS-CoV-2 virus via Spike-*ACE2* interaction. Our data show that Spike protein at 10  $\mu\text{g}/\text{mL}$  caused a decrease of spontaneous electrical activity after 2d exposure in long-term differentiated cultures, and when combined with Peptides a similar decrease was observed. Notably, while 5  $\mu\text{g}/\text{mL}$  Spike did not cause significant perturbations of electrical activity, when combined with Peptides, potentiated effects could be hypothesized, with a decrease of spike rate and bursts observed after 1d. Noteworthy, network connectivity perturbation observed in long-term differentiated cultures may be associated with the observed dysregulation of some critical neuronal-, glia- and NSC-related genes.

#### 4.1. Neurodevelopment-related genes found dysregulated upon exposure to Peptides and Spike protein

*GABRA3* gene expression (which resulted upregulated in both short- and long-term cultures upon exposure to Peptides) constitutes the dominant subunit in the forebrain tissue at birth [71]. *GABRA3* upregulation has been associated with testosterone-mediated impulsive behaviour in rats [72], and was observed upon exposure to the psychostimulant methamphetamine [73]. On the other hand, glutamatergic gene expression (*GAP43*, *GRIA1*, *GRIA2*, *GRIA3*) was minimally modulated in both culture types at either conditions.

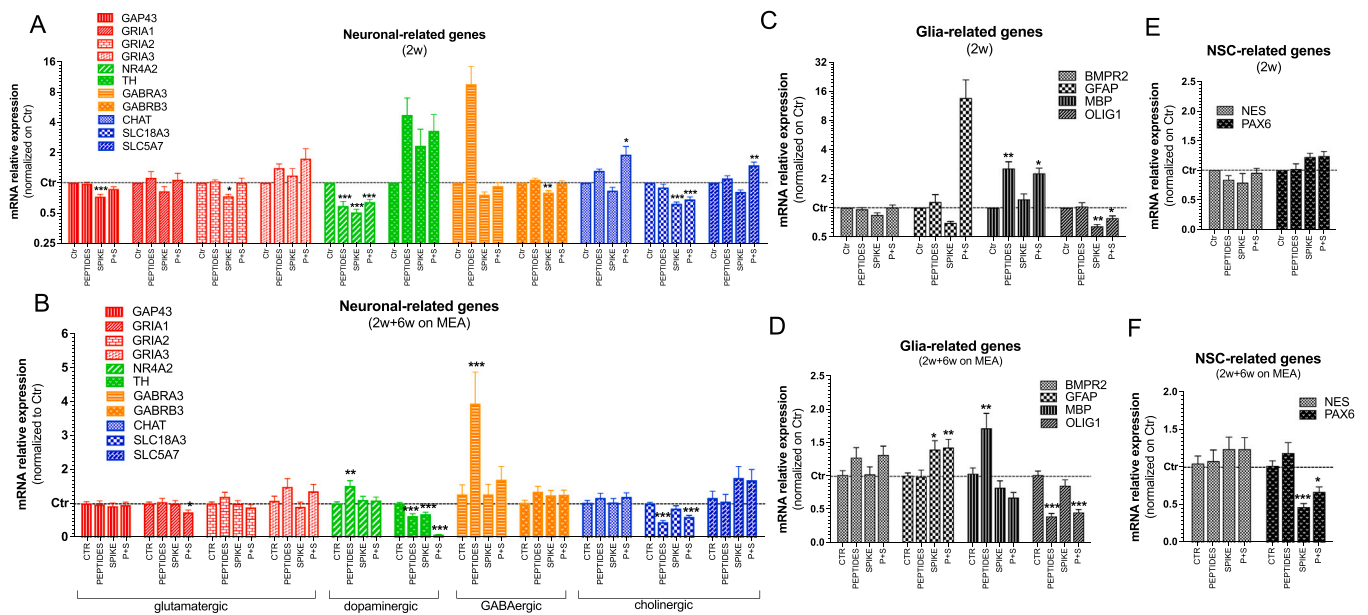
*TH* is known to catalyse the conversion of L-tyrosine to L-3,4-dihydroxyphenylalanine (L-DOPA), which is the initial and rate-limiting step

in the biosynthesis of dopamine, noradrenaline, and adrenaline. *TH* resulted strongly downregulated particularly in long-term cultures exposed to Peptides and Spike together. Noteworthy, *TH* downregulation has been observed in Parkinson's disease (PD) [74] and in early parkinsonism [75].

Also downregulation of *NR4A2* (*Nurr1*, a nuclear receptor essential for the differentiation, survival and maintenance of midbrain dopaminergic neurons), which was observed in short-term differentiated cultures upon exposure to all tested compounds, is associated with PD as observed in both PD patients and animal models [76], as well as neuroinflammation and neuronal cell death [77].

*SLC18A3* (which was found downregulated mainly by Spike in short-term cultures, and Peptides in long-term cultures) encodes the Vesicular acetylcholine transporter (*VACHT*), which transports acetylcholine into secretory vesicles for release into the extracellular space [78]. A deficit of *VACHT*, induced by *SLC18A3* variants, are associated with the pre-synaptic congenital myasthenic syndrome, which is functionally characterized by electrodecrement on low-frequency repetitive stimulation and a prolonged period of postactivation exhaustion, as shown by electrophysiology studies conducted on congenital myasthenic syndrome affected patients [79].

The observed *OLIG1* downregulation induced by Spike in short-term differentiated cultures and by Peptides in long-term differentiated cultures, as well as the upregulation of *MBP* mainly elicited by Peptides in both short- and long-term differentiated cultures, suggest a dysregulation of oligodendrocyte development and maturation. Indeed, *OLIG1*



**Fig. 5.** qPCR analyses of neuronal, glia and NSC-related genes in short and long-term differentiated cultures exposed for 72 h to peptides, spike protein and P + S. (A–F) Bar graphs showing expression of neuronal subtypes specific genes (A, B), glia-related genes (C, D), and NSC-related genes (E, F) in 2-week old neurosphere cultures (A, C, E) and 2-week old neurospheres further differentiated on MEA for 6 additional weeks (B, D, F). Both culture types were exposed at the end of differentiation period to toxin-like Peptides alone (0.548  $\mu\text{g}/\text{mL}$ ), Spike protein alone (10  $\mu\text{g}/\text{mL}$ ) and both compounds (P + S) vs Control for 72 h. Data were normalized to reference genes ACTB and GAPDH, and further normalized to Ctr (unexposed cells). For all analyses, mean  $\pm$  S.E.M. of 3 biological replicates.

controls oligodendrocyte precursor differentiation into myelin-forming oligodendrocytes during development, and together with SOX10, activates MBP transcription [80].

Additionally, the tendency towards an upregulation of *GFAP* expression induced by P + S (not significant) in short-term cultures and the upregulation mainly induced by Spike in long-term cultures, could be associated with astrogliosis and microglial activation. In line with this, transgenic mice overexpressing wild type GFAP that develop encephalopathy showed upregulation of genes involved in glutathione metabolism, peroxide detoxification, and iron homeostasis at 3 months of age [81], as well as increased activation of cytokine, cytokine receptor genes, and complement components. With ageing, these transcripts resulted further elevated, with additional induction of macrophage-specific markers, indicating activation of microglia [81–83].

Additionally, *PAX6* (which was found downregulated by Spike in long-term cultures), is an important transcription factor that controls NSC proliferation, multipotency, neurogenesis and cortical development [68], and its mutation or deletion has been shown to cause major brain defects and several neurodevelopmental disorders in the developing embryo [84]. Exposure to Spike protein may therefore have an impact on NSC self-renewal/proliferation.

Altogether these data suggest that Peptides and Spike protein in short- and long-term differentiated cultures, differentially affect genes involved in NSC self-renewal/proliferation, neuronal and glial differentiation, which may ultimately be associated with the observed perturbation of electrical activity.

#### 4.2. Possible effects associated with *SPHK1*, *ELN*, *GASK1B*, *HEY1*, *UTS2* and *ACE2* dysregulation

Whole transcriptome analysis in short-term differentiated cultures revealed the upregulation of *SPHK1*, *ELN*, *GASK1B*, and *HEY1* upon exposure to Spike or P + S, as well as the downregulation of *UTS2* upon exposure to Peptides and P + S. qPCR analysis of these five genes showed that these genes were deregulated by tested compounds in a similar manner also in long-term differentiated cultures.

Upregulation of *SPHK1* expression may be linked to induction of a pro-survival, pro-inflammatory mechanism in short-term and long-term cultures upon exposure to Spike and P + S. *SPHK1* is known to control cell survival, migration and inflammation [85,86], and its deregulation has been observed in various inflammatory and immune related-diseases, such as hypertension, atherosclerosis, Alzheimer's disease, inflammatory bowel disease, rheumatoid arthritis, and asthma [87–89]. It is also known to regulate microglial phagocytosis [88], and to modulate inflammation during cerebral ischemia/reperfusion injury [90,91]. Moreover, *SPHK1/S1PR2* signaling axis is closely associated with the course of Temporal Lobe Epilepsy [92]. The sphingolipid rheostat has been shown to play a role in viral replication, immune response modulation, and the maintenance of blood vessel integrity [93, 94].

The gene *ELN* (found upregulated especially by P + S in short- and long-term cultures) codes for elastin, which, together with elastases, play an important role in the aging of the arterial wall, skin and other connective tissues [95,96], and elastin-derived peptides have been shown to potentiate atherosclerotic plaque formation [97]. *ELN* is also responsible for the vascular and connective tissue features of Williams syndrome, a relatively rare microdeletion disorder, characterized by cardiovascular disease, distinctive craniofacial appearance, intellectual disability and hypersociability [98]. Notably, *ELN* was found to play a neuroprotective role in response to preterm ischemia-hypoxia brain damage in a rat model [99]. To our knowledge, no studies have to date investigated the possible role of *ELN* in relation to SARS-CoV-2 associated brain (neurodevelopmental) sequelae.

Another gene found upregulated upon exposure to Spike and P + S in short- and long-term differentiated cultures is *GASK1B* (also known as *FAM198A* or *C3orf41*), which is expressed in nerve and epithelium during development [100], and is an integral active component of the Golgi apparatus, known to play a fundamental role in SARS-CoV-2 virion assembly [101]. Additionally, *GASK1B* is one of the most important caveolae-associated proteins, whose secretion plays an important role in the caveolae biogenesis pathway [102]. Notably, caveolae are involved in numerous membrane functions, including membrane trafficking and lipid metabolism, cell motility, and viral infection [103,104]. Contrary



to other coronaviridae, which use caveolae for internalization, SARS-CoV-2 seems to preferentially undergo clathrin-mediated endocytosis; however, the role of caveolae in SARS-CoV-2 remains disputed [105], with different plausible modes of entry depending also on the cell type [106].

*HEY1* (found upregulated mainly upon exposure to P + S in short-term cultures) is a major Notch3 effector controlling NSC stemness in the vertebrate adult brain [107]. Overexpression of *HEY1* has been shown to promote astrocyte differentiation and to inhibit neuronal differentiation in murine neural progenitor cells [108]. *HEY1* resulted upregulated in long-term cultures exposed to Spike and more prominently P + S; together with *PAX6* down-regulation observed under the same conditions, this suggests dysregulation of neuronal/glial differentiation processes in our test system mainly occurring as a consequence of Spike exposure.

Urotensin-II (*UTS2*) was found downregulated by Peptides and P + S in short-term cultures; in long-term cultures a tendency towards *UTS2* downregulation was observed upon Peptides exposure, resulting very slightly upregulated upon P + S exposure. *UTS2* is an 11-aminoacid neuropeptide that interacts with the urotensin receptor (UT), a specific G-protein coupled receptor [109]. *UTS2* has both vasoconstrictor and vasodilatory actions, modulates cell proliferation, pro-fibrosis, neuroendocrine activity, controls insulin resistance, and has carcinogenic and inflammatory effects, playing a role in the onset and development of inflammatory diseases [110]. The ‘*UTS2*–UT’ system is widely distributed in cardiovascular tissue, the nervous system (especially cardiovascular control centres), the kidney, and the respiratory tract, and its downregulation has been linked to numerous pathophysiological conditions [109]. Interestingly, *UTS2* has been found as an *ACE2* and *TMPRSS2* correlated gene by KEGG pathways analysis in several brain regions, including hypothalamus, insula, amygdala, myelencephalon, and parabrachial nuclei of pons, as shown by human brain gene-expression analyses and immunohistochemistry [111]. Additionally, *UTS2* receptors have been found expressed in presynaptic cholinergic terminals in a subset of motor neuronal and non-motor neuronal perikarya, as well as in non-cholinergic nerve terminals, as observed in the ventral horn of the adult mouse cervical spinal cord [112]. In our study, downregulation of *UTS2* was mainly triggered by Peptides, which could be linked to Peptides’ affinity towards nicotinic acetylcholine receptor [30].

In both short- and long-term cultures, apart from the decrease of *UTS2* (triggered by Peptides), upregulation of *SPHK1*, *ELN*, *GASK1B* and *HEY1* were mainly triggered by Spike, and these effects were slightly greater in cell cultures exposed to both Spike and Peptides (P + S), suggesting a possible exacerbating role of Peptides.

Noteworthy, expression of *ACE2* resulted downregulated in long-term differentiated cultures exposed to Peptides. *ACE2* expression starts to increase already after 1–2 weeks of differentiation in our neuronal/glial model; along the same line, *ACE2* expression has been shown in neurons and glial cells in the brain (in particular in the brain stem and cardiovascular regulatory areas) [113], and may play a key role in the neural invasion of SARS-CoV-2 [114]. Downregulation of *ACE2* has been shown to occur in response to SARS-CoV-2 cellular invasion [115]. Notably, *ACE2* downregulation plays an important role in COVID-19 severity, with an imbalance of the renin-angiotensin system and consequential increase in the levels of substrates (e.g., angiotensin II, apelin-13, dynorphin-13), and a decrease of products (e.g., angiotensin (1–7), angiotensin (1–9), apelin-12, and dynorphin-12) in the human body. Substrates accumulation can cause inflammation, angiogenesis, thrombosis, neuronal and tissue damage; on the other hand, *ACE2* products’ depletion can reduce the anti-inflammatory, anti-thrombotic and anti-angiogenic responses [116]. In particular, angiotensin (1–7) has a neuroprotective role, and its decrease may be associated with oxidative stress and neuronal cell death [117]. Moreover, *ACE2* downregulation has been associated with glutamate-induced excitotoxicity in primary mouse cortical neurons [118].

#### 4.3. Possible link between genes found dysregulated and key events described in neuro-related AOPs

The observed transcriptional and functional developmental neurotoxic effects described in this study are in line with mechanistic knowledge described in COVID-19 relevant AOPs under development in the context of the CIAO project [119–121] and in other neuro-related AOPs. Potential penetration of SARS-CoV-2 and of contaminating toxin-like peptides present in maternal blood through placenta and blood-brain barriers may trigger neuroinflammation in the developing fetus, as suggested by increase in *GFAP* expression and *SPHK1*, along with the decrease of *NR4A2* and *UTS2*. Neuroinflammation (described in KE188) may lead to alteration of differentiation (partially described in KE1560), which could be linked to dysregulation of critical neuronal-, glia- and NSC-related gene expression, as suggested by downregulation of *PAX6*, *TH*, *NR4A2*, *SLC18A3*, *OLIG1* and *UTS2*, and the upregulation of *GABRA3*, *MBP*, *GASK1B* and *HEY1*. This may have detrimental effects on the modulation of neuronal as well as oligodendroglia differentiation, which may lead to alteration of neuronal network functions (KE386) as measured by the decrease of spontaneous electrical activity. Moreover, alteration of neuronal functionality may cause neurodegeneration (KE352), which may be characterized also by dysregulation of critical genes, such as *NR4A2*, *TH*, *UTS2*, *ELN*, and *ACE2* as observed in our study. Noteworthy, both neuroinflammation and neurodegeneration may cause BBB disruption (KE1874). Ultimately, this sequence of KEs may lead to neurodevelopmental adverse outcomes, such as a decrease of learning and memory in children (KE341), which could be potentially monitored only in the next years in children born from infected mothers. Altogether, the emerging evidence prompts to implement AOPs with additional KEs and AOs relevant to the developing brain/fetus as this mechanistic knowledge may help clarify the mechanisms underlying possible SARS-CoV-2 impact on the developing brain.

The crucial question is still the possibility for SARS-CoV-2 to penetrate the developing fetus. Two studies have described placental viral invasion shown by immunohistochemistry and electron microscopy analyses [7,8]. On the contrary, other studies have reported negative results for the presence of the virus in the neonates and placenta of pregnant women affected by SARS-CoV-2 [17]. Additionally, other studies reported about the presence of SARS-CoV-2 in one infant and one fetus affected from COVID-19 [10,21].

In general, recent systematic reviews suggest that the likelihood of vertical transmission of SARS-CoV-2, which is only known for late third trimester infections, may be low [16, 18–20, 122]. However, the cytokine storm and hyperinflammation observed in pregnant women severely affected by COVID-19 [17, 25, 123] may cause perturbation of placenta integrity; prolonged fever, hypoxia, hypertension and medication side effects may exacerbate this phenomenon (Fig. 6). It is presently unclear whether vertical transmission might also vary with different SARS-CoV-2 variants, which remains an open question for the future.

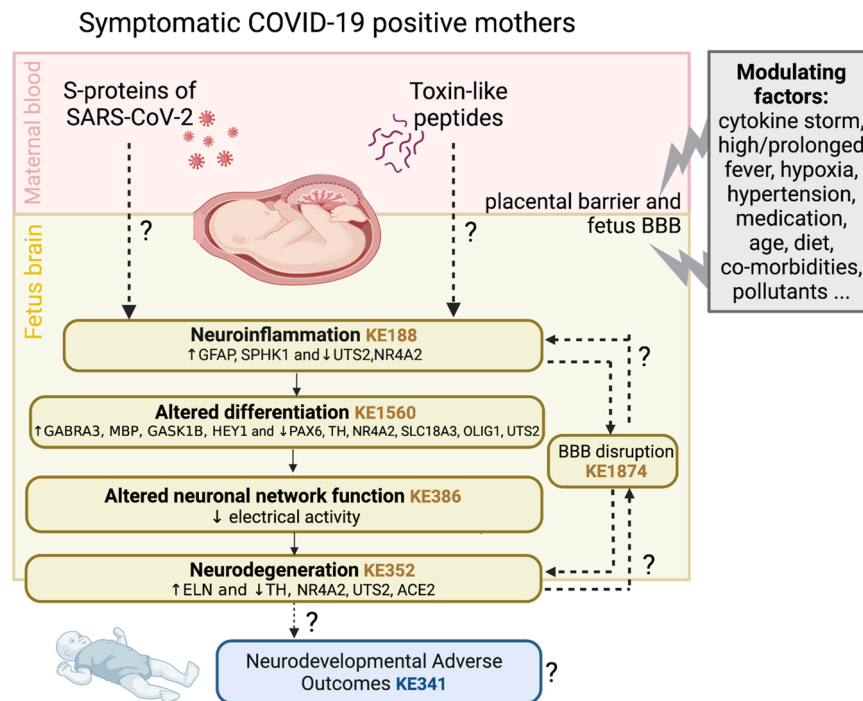
In the context of the CIAO project, several modulating factors, such as age, diet, gut microbiota, pre-existing comorbidities, environmental pollutants, etc., were investigated as factors modulating COVID-19 symptomatology [50]. Toxin-like peptides may represent additional exacerbating/detrimental modulatory factors possibly influencing SARS-CoV-2 impact on brain development.

#### 4.4. Effects induced by SARS-CoV-2 Spike protein

In this study, we could not test the direct effects of SARS-CoV-2 viral particles for biosafety related reasons, thus limited our investigation to the effects of Spike protein, a critical component of viral structure.

Spike protein alone has been shown in one study to induce several damages associated with COVID-19, including damage to the lungs and arteries, inflammation of endothelial cells lining the pulmonary artery walls, together with impairment of mitochondrial function, decrease of





**Fig. 6.** Summary of the effects possibly triggered by SARS-CoV-2 Spike protein and toxin-like Peptides, along with Key Events (KEs) describing these mechanisms, and additional modulating factors. Under each KE, the endpoints (genes and electrical activity) that have been found deregulated in this study are reported. Dashed lines and question marks indicate still unknown (not yet verified) processes (image created with BioRender.com).

ACE2 expression and eNOS activity, and increase of glycolysis as observed in vitro (on endothelial cells treated for 24 h with 4  $\mu\text{g}/\text{mL}$  Spike) and in vivo (upon intratracheal administration of a pseudovirus expressing Spike protein to Syrian hamsters) [124]. Spike proteins (S1 and active trimer, 15 and 30 nM) have been found to induce mitochondrial damage in brain endothelial cells [125], and spike protein epitopes have been shown to interact with human toll-like receptor 8 (TLR 8), brain targeted Vascular Cell adhesion Molecules (VCAM1) proteins, Zonula Occludens (ZO), and some glia specific proteins (i.e., NDRG2 and Apo- S100B), which can lead to neuroinflammation [126]. Moreover, 10 nM SARS-CoV-2 viral spike proteins have been shown to alter BBB functions and induce pro-inflammatory response after 24 h in primary human brain microvascular endothelial cells (hBMVECs) cultured in 2D and 3D [127]. Radioiodinated spike S1 (12.5 ng per 300, 000 c.p.m. BSA) has been found to cross the BBB and enter the parenchymal brain space in intravenously injected mice [128].

The concentrations of Spike protein tested in this study (5 and 10  $\mu\text{g}/\text{mL}$ ), are similar to what has been observed in the plasma of some COVID-19 patients (2.5–17.5  $\mu\text{g}/\text{mL}$ ) [66]. To the best of our knowledge, there are no published studies reporting the levels of spike protein in pregnant women affected by COVID-19. With regards to levels of spike protein found upon vaccination with mRNA-vaccines, very few studies are available. Ogata et al., by using a Quanterix assay, found that spike protein levels in the blood of people vaccinated with mRNA-1273 was < 50  $\text{pg}/\text{mL}$  after vaccination [129], i.e., several orders of magnitude lower than the levels of spike tested in our study. On the other hand, Cognetti and Miller, by using a Disposable Photonics platform, found that 1–3 days after injection of mRNA vaccine BNT162b2, spike levels underwent an average shift of 15 pm, with a maximum concentration of 14.6  $\mu\text{g}/\text{mL}$ , returning to a baseline level in less than a month [130]. The discrepancy between the two studies is high and not yet validated. Besides and again, the important missing data is the concentration of Spike proteins in cord blood or placenta.

Results reported in the present study are preliminary and would require further investigations, such as to assess the molecular and

cellular effects induced by repeated dose exposure to Spike protein and Peptides, as well as the kinetics of spike protein entry into the cells. However, emerging evidence prompts the importance to further investigate and monitor the presently unknown long-term effects elicited by SARS-CoV-2 viral components on brain development. Use of S proteins, non-replicating SARS-CoV2 pseudoviruses and SARS-CoV-2 viruses might be informative as well to discriminate the impact of S protein binding on the receptor compared to viral entry and the infectious process.

Additionally, in this study we only assessed the expression of ACE2. Future analyses should aim to investigate the expression of other spike protein interactors (i.e., *FURIN*, *ZDHHC5*, *GOLGA7* and *ATP1A1*) that are expressed at a high level in the fetal brain [29], in order to understand their role as alternative brain entry factors for SARS-CoV-2.

## 5. Conclusions

The functional and transcriptional perturbations described in the present study could contribute understanding some of the mechanisms underlying the neurodevelopmental manifestations that could be possibly associated with severe COVID-19. Our approach shows that the use of human in vitro models is crucial to gather insights about spike protein and SARS-CoV-2 effects and the role played by contaminating toxin-like peptides that have been exclusively found in biological samples of COVID-19 patients. Integrating emerging knowledge in AOPs could help improve interpretation of scientific understanding of COVID-19 pathological mechanisms in the fetal brain, and clarify the possible effects of SARS-CoV-2 viral components on the developing fetus.

## Declaration of Competing Interest

The authors have no conflict of interests to declare.

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## Appendix A. Supporting information

Supplementary data associated with this article can be found in the online version at [doi:10.1016/j.reprotox.2022.04.011](https://doi.org/10.1016/j.reprotox.2022.04.011).

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