

Preoperative Microbiomes and Intestinal Barrier Function Can Differentiate Prodromal Alzheimer's Disease From Normal Neurocognition in Elderly Patients Scheduled to Undergo Orthopedic Surgery

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Duan M, Liu F, Fu H, Lu S and Wang T (2021) Preoperative Microbiomes and Intestinal Barrier Function Can Differentiate Prodromal Alzheimer's Disease From Normal Neurocognition in Elderly Patients Scheduled to Undergo Orthopedic Surgery. Front. Cell. Infect. Microbiol. 11:592842. doi: 10.3389/fcimb.2021.592842 **Objective:** Emerging evidence links perturbations in the microbiome to neurodegeneration in amnestic mild cognitive impairment (aMCI) and Alzheimer's disease (AD) and to surgical stress. In this study, we attempted to identify preoperative differences intestinal microbiota (IM) and barrier function between pAD [prodromal AD: Subjective cognitive decline (SCD) and aMCI] patients and normal neurocognition (NC) patients. Additionally, the potential associations between IM and barrier function, inflammation, and the clinical characteristics of pAD were evaluated.

Design: Eighty elderly patients scheduled to undergo orthopedic surgery were consecutively enrolled and grouped as NC, SCD, and aMCI following neuropsychological assessment. IM was determined by 16S rRNA MiSeq sequencing, and PICRUSt was used to predict functional shifts in IM. Furthermore, we investigated the association between IM and plasma claudin-1, occludin, LPS, systemic inflammatory cytokines, neuropsychological assessment, and clinical characteristics.

Results: There was a lower Chao1 index in the SCD group (P = 0.004) and differences in beta diversity among the three groups (PCA: P = 0.026, PCoA: P = 0.004). The relative abundance of *Bacteroidetes* was higher in the SCD group (P = 0.016, P = 0.008), and *Firmicutes* were more enriched in the aMCI group than in the SCD group (P = 0.026). At the family level, the total abundance of Gram-negative bacteria was higher in the SCD group than in the aMCI group (P = 0.047), and the *Christensenellaceae* family was detected at lower levels in the SCD and aMCI groups than in the NC group (P = 0.039). At the genus level, the eleven short-chain fatty acid (SCFA)-producing bacteria exhibited differences among the three groups. PICRUSt analysis showed that the pathways involved in SCFA catabolism, biosynthesis, and adherent junctions were reduced in SCD patients, and lipid synthesis proteins were reduced in pAD patients. Meanwhile, elevated plasma LPS and

CRP were observed in SCD patients, and higher plasma occludin in aMCI patients. The IM was correlated with plasma claudin-1, LPS, inflammatory factors, neuropsychological assessment, and clinical characteristics.

Conclusion: The intestines of SCD and aMCI patients preoperatively exhibited IM dysbiosis and barrier dysfunction, and elevated plasma LPS and CRP were observed in SCD patients.

Keywords: elderly patients, orthopedic surgery, preoperative period, prodromal Alzheimer's disease, intestinal microbial dysbiosis, intestinal barrier dysfunction, systemic inflammation

INTRODUCTION

AD typically progresses in three stages: the preclinical stage, the mild cognitive impairment (MCI) stage, and the dementia stage (Sperling et al., 2011). Emerging evidence links perturbations in the microbiome to neurodegeneration and Alzheimer's disease (AD) (Tremlett et al., 2017; Vogt et al., 2017). In addition, intestinal microbial dysbiosis has been observed in patients with pAD, including those suffering from MCI and amnestic MCI (aMCI) due to AD (Li B. et al., 2019; Liu P. et al., 2019). Recently, it was observed that the composition and diversity of gut microbiota is altered in patients following surgery (Zhu et al., 2018). However, to the best of our knowledge, no reports have described the preoperative gut microbiota in SCD and aMCI patients prior to surgery.

Intestinal microbial dysbiosis in AD and pAD patients is characterized by an increase in proinflammatory bacteria and a decrease in anti-inflammatory bacteria (Cattaneo et al., 2016). Gram-negative bacteria, such as Escherichia and Shigella, are associated with brain amyloidosis in patients with neurocognitive impairment (Cattaneo et al., 2016). Gramnegative bacteria may induce intestinal barrier dysfunction (Wang et al., 2019), resulting in the translocation of Gramnegative bacteria-derived LPS either into the blood or directly into the brain. Bacterial LPS may induce systemic inflammation, neuroinflammation, and pathological processes that are associated with amyloidosis and impaired neurocognitive function (Brown, 2019). Furthermore, Bacteroides fragilis lipopolysaccharide, a GI tract microbiome-derived LPS, directly downregulates neuron-specific neurofilaments and preand postsynaptic proteins, thereby damaging neurons in vitro (Zhao et al., 2019). Decreased levels of Gram-negative bacteria may slow the progression of AD by inhibiting systemic inflammation, which may reduce the accumulation of amyloid beta aggregates and brain damage (Bonfili et al., 2017).

In addition, the levels of SCFA-producing bacteria were reduced in MCI and AD patients (Cattaneo et al., 2016; Tran et al., 2019). SCFAs are synthesized in the colon by SCFAproducing bacteria (Morrison and Preston, 2016) and function as a source of energy for intestinal cells (Clausen and Mortensen, 1995), which increases the expression of the tight junction proteins occludin and claudin-1 (Wang, 2012). Therefore, these bacteria can protect gut mucosal permeability and inhibit the translocation of LPS into the submucosae and blood (Wang, 2012). Decreases in the levels of SCFA-producing bacteria may exacerbate colonic epithelial permeability and LPS translocation into blood (Holota et al., 2019), thereby causing systemic inflammation, neuroinflammation, and neurodegeneration by entering the brain (Brown, 2019). Furthermore, SCFAs, particularly propionate and butyrate, can inhibit the inflammatory response both in vitro and in vivo (Nastasi et al., 2015). Decreased levels of SCFA-producing bacteria in MCI patients are associated with increases in the systemic proinflammatory cytokines IL-1β, NLRP3, and CXCL2 and brain amyloidosis (Nastasi et al., 2015). In contrast, butyrateproducing bacteria may restore the production of SCFAs in the gut, thereby preventing or ameliorating AD pathology (Nagpal et al., 2019). Furthermore, butyrate feeding can significantly improve memory function and AD markers in an AD mouse model (Govindarajan et al., 2011). The findings of these studies indicate that elevated levels of Gram-negative bacteria, decreased levels of SCFA-producing bacteria, intestinal barrier dysfunction, systemic inflammation, and neuroinflammation may all be correlated with cognitive impairment.

In this study, we investigated the preoperative differences in IM, intestinal barrier dysfunction, and low-grade inflammation between pAD patients and patients with normal cognition, and we investigated the association between the gut microbiota, as reflected by preoperative fecal samples, and intestinal linker proteins, plasma LPS, systemic inflammatory cytokines, neuropsychological assessment, and clinical characteristics.

MATERIALS AND METHODS

Clinical Design

The research was designed as a case-control study and was approved by the Ethics Committee of XuanWu Hospital at Capital Medical University. All patients provided signed informed consent before sample collection. We chose 65~79-year-old patients with at least 9 years of education for orthopedic surgery. Sample size was calculated based on our preliminary result of the plasma endotoxin measurements. To achieve 80% power at an α level of 0.05 (two-tailed), at least 30 subjects were needed in each group. At first, we recruited 90 patients, including 36 patients of NC, 29 patients of SCD, 25 patients of aMCI. And 3 patients of SCD and 7 patients of aMCI dropped out the study. Finally, 36 patients of NC, 26 patients of SCD, and 18 patients of

aMCI enrolled in this study. There were 22 patients with lumbar disc protrusion and 58 patients with knee arthritis in our study. Each participant underwent a complete medical history evaluation, neuropsychological assessment including the Montreal Cognitive Assessment-Basic (MoCA-B), fecal sample collection, and standard laboratory tests.

Clinical Data Collection

Demographic data were collected 1 day before surgery. Vital signs (blood pressure, heart rate, electrocardiography, and pulse oxygen saturation) and blood gas (ABL800 FLEX; Radiometer Medical, Denmark) were measured for all patients before surgery.

A comprehensive neuropsychological test battery that was designed to examine four cognitive domains was administered to each participant by the same neurologist at Xuanwu Hospital: 1) Memory: AVLT-H (Auditory Verbal Learning Test-Hua Shan), which was adapted from the California Verbal Learning Test, presenting 12 words over five trials, and the scores on immediate recall, short-delay free recall (5 min), long-delay free recall (20 min), and long-delay recognition were derived from Guo et al., (2007), while the score on the five words-long-delay free recall was derived from the Montreal Cognitive Assessment-Basic (MoCA-B) (Hua Shan Hospital, Guo QiHao) (Chen et al., 2016); 2) Executive function was examined by the Trail Making Test B (STT-B) (Zhao et al., 2013a) and the Clock-Drawing Test (CDT-30) (Guo et al., 2008); 3) Language was examined by a Semantic Verbal Fluency Test (category, animals and fruits) (Zhao et al., 2013b) and 4) Visuoconstructive skill was examined by the CDT-30. In addition, the MoCA-B was performed to assess the condition of global cognition. The MoCA-B assesses nine cognitive domains (executive function, language, orientation, calculation, conceptual thinking, memory, visuoperception, attention, and concentration) and is freely available for clinical use (www.mocatest.org, visit the Basic section). Clinical Dementia Rating Scale (CDR) (Morris, 1993) was used for evaluating the severity of dementia [Dementia was diagnosed according to the Diagnostic and Statistical Manual of Mental Disorders, fourth edition (DSM-IV) (Chen et al., 2016) criteria for dementia. And CDR = 1]. The Activity of Daily Living (ADL) (He et al., 1990) was administered for the evaluation of social functioning. The Hachinski Ischemic Index (HIS) (Iliff et al., 1975) was used to differentiate between degenerative and vascular etiologies.

The neuropsychological standard ADNI 2 (Alzheimer's disease neuroimaging initiative 2) was applied for the classification of aMCI, SCD, and NC (Baars et al., 2008; Jessen et al., 2014). NC was assigned when participants did not have SCD complaints and achieved a normal score [>1.5 standard deviations (SD) cutoff] in all four cognitive domains (memory, executive function, language, or visuoconstructive skill) and MoCA-B. Additionally, the CDR score was required to equal 0 in NC. The inclusion criteria of aMCI patients were as follows: (1) definite complaints of memory decline, preferably confirmed by an informant; (2) objective cognitive performances in single or multiple domains, including memory documented by neuropsychological test scores were below or equal to 1.5 SD

of age- and education-adjusted norms; (3) a Clinical Dementia Rating (CDR) score of 0.5; (4) preservation of independence in activities of daily living; and (5) insufficient to meet the criteria for dementia based on DSM-IV-R (Diagnostic and Statistical Manual of Mental Disorders, 4th edition, revised). For SCD, there were the following requirements: (1) answer of "yes" to the question "Do you have problems in memory?"; (2) performance on standardized neuropsychological tests within age-, gender-, and education-adjusted norms (>1.5 SD) and failure to meet the criteria for MCI; and (3) HIS score lower than 4.

Fecal Sample Collection and DNA Extraction

Fresh fecal samples (approximately 400 mg) were collected 1 day before surgery, suspended in Longseegen stool storage solution according to the manufacturer's protocol (Longsee Biomedical Corporation, Guangzhou, China) and stored at -80°C. Microbial DNA was extracted using the E.Z.N.A.[®] soil DNA Kit (Omega Bio-tek, Norcross, GA, USA) according to the manufacturer's protocols. The final DNA concentration and purity were determined by a Nanodrop 2000 UV-vis spectrophotometer (Thermo Scientific, Wilmington, USA), and DNA quality was checked by 1% agarose gel electrophoresis.

16S rRNA Gene Sequencing and Microbial Analysis

The V3-V4 hypervariable regions of the bacterial 16S ribosomal RNA (rRNA) gene were amplified with primers (338F and 806R) following the manufacturer's protocol. Amplified PCR products were analyzed using 2% agarose gel electrophoresis, purified using an AxyPrep DNA Gel Extraction Kit (Axygen Biosciences, Union City, CA, USA), eluted by Tris-HCl, detected by 2% agarose electrophoresis, and quantified by QuantiFluorTM ST (Promega, USA). The purified amplified PCR fragments were constructed as PE 2300 libraries according to the Illumina MiSeq platform (Illumina, San Diego, USA) standard operating procedure. The Illumina MiSeq PE300 platform was used for sequencing.

The OTU raw data were filtered and processed, and the processed data were spliced and filtered to obtain effective data. Next, OTU clustering/denoising and species classification analysis were performed based on effective data to form species abundance spectra of OTUs and other species classification grades. The taxonomy of each 16S rRNA gene sequence was analyzed by the RDP Classifier algorithm (http://rdp.cme.msu. edu/) against the Silva (SSU128) 16S rRNA database using a confidence threshold of 70%.

Enzyme-Linked Immunosorbent Assay

Blood samples were collected 1 day before surgery, and plasma was obtained by centrifugation at 2,000 × g for 15 min at 25°C and stored at -80°C. The plasma concentrations of CRP, interleukin-6 (IL-6), interleukin-10 (IL-10), interleukin-1 β (IL-1 β), and tumor necrosis factor alpha (TNF- α) were measured using an enzyme-linked immunosorbent assay (ELISA) kit (R&D SYSTEMS, USA). The concentrations of LPS, TJ protein claudin-1, and occludin were determined with an ELISA kit (HUAMEI, Wuhan, China).

Statistical Analysis

Statistical analysis was performed using the SPSS 21.0 statistics program (SPSS, IBM, USA). Measurement data for three groups were compared with single factor analysis of variance (consistent with normal distribution and variance) or non-parametric statistics (not conforming to normal distribution or variance). The enumeration data were compared using the chi-square test.

All reads were deposited and grouped into operational taxonomic units (OTUs) at a sequence identity of 97%, and the taxonomic affiliation of the OTUs was determined with quantitative insights into microbial ecology (QIIME, version 2.0) against the Greengenes database version 135. The following downstream data analyses were conducted in R software. Pan and core OTUs were used to describe the changes in total species and core species as the sample size increased. Bacterial diversity was determined by α-diversity (Chao 1, Shannon's index, InvSimpson index) and β -diversity (principal component analysis, PCA; principal coordinates analysis, PCoA). The Kruskal-Wallis test was performed to evaluate α-diversity among the different groups. ANOSIM was tested (analysis of similarities, a nonparametric test) for microbial community clustering (PCA, PCoA) using Bray-Curtis distance matrices. The linear discriminant analysis effect

size (LEfSe) method was utilized to characterize the taxa with statistical significance and biological relevance. Taxa above 0.1% relative abundance were chosen for analysis and clarification. Paired comparisons were analyzed *via* the Wilcoxon rank-sum test. Correlation analysis was performed using Spearman's rank tests. Based on Kyoto Encyclopedia of Genes and Genomes (KEGG) functional pathways, functional prediction of the intestinal microbiome was performed using the Phylogenetic Investigation of Communities by Reconstruction of Unobserved States test (PICRUSt), and functional differences among groups were assessed by Kruskal–Wallis test followed by Tukey-Kramer multiple comparisons. For all analyses, a value of P < 0.05 was considered to be significant.

RESULTS

Participants' Demographic and Clinical Characteristics

Participants' demographic and clinical characteristics are summarized in **Table 1**. Neuropsychological assessment items were listed among the three groups (**Table 2**).

Characteristic	NC (n = 36)	SCD (n = 26)	aMCI (n = 18)	P value
Age (years)	70.78 ± 4.41	70.92 ± 3.55	71.22 ± 3.98	0.931
BMI (Kg/m ²)	27.97 ± 3.10	26.94 ± 3.15	26.62 ± 3.94	0.288
Gender (male/female ratio)	10/26	7/19	2/16	0.893
Smoking (Yes/No ratio)	0/36	4/22	2/18	0.037*
Antibiotics	0	0	0	_
Food (Number)				0.291
HFHP	10	8	4	
HFLP	21	10	12	
LFHP	4	8	2	
LFLP	1	0	0	
ASA (Grade)				0.168
II	33	22	13	
III	3	4	5	
NYHA (Grade)				0.765
II	34	24	16	
III	2	2	2	
Hypertension (Number)	27	19	13	0.972
Diabetes (Number)	12	7	4	0.675
CHD (Number)	6	6	3	0.789
CVD (Number)	5	5	1	0.498
BP (mmHg)	104.2 ± 9.6	101.9 ± 10.8	102.3 ± 10.7	0.48
Rate (Times/min)	71.5 ± 8.9	69.4 ± 9.4	69.8 ± 12.6	0.54
SPO2 (%)	95.9 ± 1.9	92.3 ± 2.0	95.3 ± 1.9	0.252
WBC (10 ⁹ /L)	5.82 ± 1.33	6.22 ± 1.36	6.49 ± 2.47	0.735
NEUT (10 ⁹ /L)	3.39 ± 1.15	3.61 ± 0.80	3.55 ± 1.35	0.675
LYMPH (10 ⁹ /L)	1.88 ± 0.63	1.96 ± 0.68	2.34 ± 1.19	0.634
LYMPH (%)	33.1 ± 10.4	31.3 ± 7.4	35.3 ± 9.4	0.38
Hb (g/dl)	13.2 ± 1.6	13.6 ± 2.0	12.2 ± 2.0	0.752
Glu (mmol/L)	6.6 ± 1.4	6.4 ± 0.9	7.9 ± 2.6	0.081
Lac (mmol/L)	1.1 ± 0.5	1.3 ± 0.5	1.0 ± 0.3	0.026*

BMI, Body mass index; Antibiotics value 0 meant that none of patients have used antibiotics within 3 months; HFHP, High fiber and high protein diet; HFLP, High fiber and low protein diet; LFHP, Low fiber and high protein diet; LFLP, Low fiber and low protein diet; ASA, American Standard Association; NYHA, New York Heart Association; CHD, Coronary heart disease; CVD, Cerebrovascular disease; BP, Blood pressure; SPO2, Saturation of peripheral oxygen; WBC, White blood cell; NEUT, Neutrophil; LYMPH, Lymphocyte; Hb, Hemoglobin; Glu, Blood glucose; Lac, Lactic. Measurement data of three groups were compared using single factor analysis of variance (consistent with normal distribution and variance) or non-parametric statistics (not conforming to normal distribution or variance). The enumeration data was compared using chi-square test. * shows P < 0.05.

TABLE 2 | Differences in cognitive scale items between the three groups.

Item/Group	NC	SCD	aMCI	P Value
HAMD	0(0–10)	O(O-4)	0(0–20)	0.771
HAMA	O(O-10)	0(0–3)	0(0–34)	0.945
AVLT-H (S)	6.86 ± 1.588	5.27 ± 1.971	4.06 ± 1.798	<1e-04***
AVLT-H (L)	6.61 ± 1.500	4.81 ± 2.191	3.61 ± 1.720	<1e-04***
MoCA-B	25.25 ± 1.720	24.04 ± 2.490	18.50 ± 3.015	<1e-04***
BNT (unit)	3.97 ± 0.167	3.92 ± 0.272	3.39 ± 0.778	<1e-04***
VFT (unit)	17.00 ± 3.171	15.31 ± 3.696	14.00 ± 3.726	0.009**
STT-B (S)	171.97 ± 39.823	173.23 ± 45.921	220.06 ± 58.516	0.004***
CDT30	25.90 ± 2.792	25.65 ± 2.314	21.50 ± 3.974	<1e-04***
ADL	24.17 ± 7.225	24.62 ± 6.735	26.17 ± 9.218	0.374

HAMD, Hamilton's Depression Scale; HAMA, Hamilton's Anxiety Scale; AVLT-H (S), Auditory verbal learning test-Huashan version for short term; AVLT-H (L), Auditory verbal learning test-Huashan version for long term; MoCA-B, Montreal Cognitive Assessment-Basic; BNT, Bonston naming test; VFT, Verbal fluency test; STT-B, Shape trail test B; CDT30, Draw the clock test; ADL, Activity of Daily Living. BNT and VFT's units were single, STT-B's unit was second, and the other items' units were scores. Skewed distribution data, such as depression and anxiety score data, were expressed by median, maximum, and minimum values, and the rest of normal distribution data were expressed by mean plus or minus standard deviation. And we applied non-parametric rank sum test in depression and anxiety score data analysis, and one way ANOVA analysis in the other neuropsychological assessment items. ** shows P < 0.01, *** shows P < 0.005.

Alterations of Microbiomes in pAD Patients

In total, 4,496,945 sequencing reads were obtained in our sample, and the sequencing reads of each sample are shown in Supplementary Table 1. In addition, we described the sample base reads and the length of the sample sequence. The total number of base reads was 1,960,823,987, and the average length of the sample sequence was 436.2227439. Prior to the analysis, we annotated the valid OTU data by species taxonomy and counted the abundance information regarding annotated OTU results in each sample. We observed 1 domain, 1 kingdom, 33 phyla, 68 classes, 134 orders, 250 families, 588 genera, 1,092 species, and 1,932 OTUs in all samples. Pan analysis showed that with increasing sample number, the total OTU number of the NC group > SCD group > aMCI group (Supplementary Figure 1A). Core analysis indicated that with increasing sample number, the common OTU number of the NC group < SCD group < aMCI group (Supplementary Figure 1B).

There was a significantly lower degree of intraindividual diversity, as measured by the Chao1 diversity index (P = 0.004; **Figure 1A**), in SCD patients. However, the Shannon index and inverse Simpson index, indicating species diversity and evenness, did not differ significantly among the three groups (P = 0.627, 0.627; **Figures 1B, C**).

Principal component analysis (PCA) and principal coordinates analysis (PCoA) at the OTU level were applied in the species diversity analysis among the three groups. PCA and PCoA both exhibited beta diversity, as the species diversity was clearly different among the three groups (PCA and PCoA based on the Bray-Curtis distance algorithm, ANOSIM $R^2 = 0.038$, P = 0.026 and $R^2 = 0.042$, P = 0.004; Figures 1D, E).

Identification of Key Microbiomes for Differentiating pAD From NC

To determine the composition of the gut microbiota from the phylum to genus levels in the NC, SCD, and aMCI groups, the changes among these microbes were analyzed *via* LEfSe.

Bacteroidetes and *Firmicutes* were the most dominant phyla among the three groups. The relative abundance of *Bacteroidetes* was higher in the SCD group than in the other two groups (40.7 *vs.* 30.5 *vs.* 32.9%, P = 0.016 and 0.008; **Figures 2A, B**). However, *Firmicutes* were less abundant in the SCD group than in the aMCI group (45.7 *vs.* 55.1%, P = 0.026; **Figure 2B**). Furthermore, the *Firmicutes/Bacteroidetes* (F/B) ratio (F/B = 1.12) was lower in the SCD group than in the NC (F/B = 1.58) and aMCI (F/B = 1.81) groups (P = 0.017).

The Gram-negative bacteria that differed significantly at the family level among the three groups are listed in **Table 3**. Among these bacteria, the *Christensenellaceae* family belonging to *Firmicutes* was detected at lower levels in the SCD and aMCI groups than in the NC group (P = 0.039; **Table 3**). At the family level, the total abundance of proinflammatory Gram-negative bacteria ($54.00 \pm 16.31\%$) was higher in the SCD group than in the aMCI group ($43.41 \pm 15.36\%$, P = 0.047; **Table 3** and **Figure 2C**) but did not differ from that observed in the NC group ($47.63 \pm 18.25\%$). At the genus level, the Gram-negative bacterium *Coprobacter* was more abundant in the aMCI group than in the other two groups (P = 0.035; **Table 4**).

LEfSe analysis showed that anti-inflammatory SCFA-producing bacteria at the genus level could differentiate the SCD group from either the NC or aMCI group. The SCFA-producing bacteria that differed significantly among the three groups are listed in Table 4. The relative abundance levels of six genera of SCFAproducing bacteria (Fusicatenibacter, Ruminococcaceae_UCG-005, Christensenellaceae_R-7_group, Butyricicoccus, Erysipelotrichaceae_ UCG-003, and Ruminiclostridium_9) were lower in the SCD group than in the NC group (P < 0.05, Table 4 and Figure 2D). The relative abundance levels of four genera of SCFA-producing bacteria (Roseburia, Lachnospiraceae_NK4A136_group, Ruminococcaceae_ UCG-013, and Ruminiclostridium_9) were lower in the SCD group than in the aMCI group (P < 0.05, Table 4 and Figure 2E). However, the levels of Faecalibacterium of SCFA-producing bacteria were lower in the NC group than in the aMCI group (P = 0.019, Table 4 and Figure 2F).





TABLE 3 | Abundance of Gram-negative bacteria families between different cognitive groups.

Gram-negative bacteria family/Group/Abundance	NC	SCD	aMCI	Corrected P value
	Mean±Sd	Mean±Sd	Mean±Sd	
f_Enterobacteriaceae	3906.828 ± 5162.281	4329.840 ± 6802.138	4331.167 ± 5463.394	0.608
f_Bacteroidaceae	11606.621 ± 7432.423	12667.880 ± 7404.790	10461.889 ± 7060.471	0.547
f_Rikenellaceae	681.828 ± 883.525	312.280 ± 393.213	413.444 ± 413.672	0.094
f_Acidaminococcaceae	361.690 ± 659.419	428.440 ± 423.626	351.778 ± 526.154	0.281
f_Christensenellaceae	412.931 ± 509.903	67.680 ± 161.526	84.278 ± 248.961	0.039*
f_Prevotellaceae	4106.000 ± 7954.082	4552.600 ± 8914.859	1172.500 ± 2563.799	0.588
f_Veillonellaceae	1163.828 ± 1335.407	673.880 ± 857.377	838.167 ± 1362.374	0.503
f_Desulfovibrionaceae	71.793 ± 79.112	71.640 ± 81.995	40.611 ± 30.805	0.688
f_Fusobacteriaceae	28.586 ± 128.120	82.800 ± 371.677	76.889 ± 316.546	0.818
f_Alcaligenaceae	0.759 ± 1.976	0.120 ± 0.332	0.111 ± 0.471	0.055
f_porphyromonadaceae	3121.724 ± 4593.482	4071.040 ± 5112.378	1751.722 ± 1894.365	0.212
Total Gram-negative bacteria families	25462.586 ± 10339.181	27258.200 ± 9642.427	19522.556 ± 7200.040	0.047*

The unit of the mean was abundance; Sd meant standard deviation. The Gram-negative bacteria families different significantly among three groups were listed in the above table. We applied non-parametric rank sum test in the analysis of abundance of Gram-negative bacteria families between three groups. * shows P < 0.05.

Predictive Function Analysis of the Microbiome in the pAD Group and NC Group

KEGG functional orthologs were predicted by PICRUSt. As shown in **Supplementary Table 2**, there was extensive communication between the gut microbiota and the patients in this study, including cellular processes, environmental information processing, genetic information processing, metabolism, and organismal systems. Specifically, seven functional orthologs were observed to be lower in the SCD group, and nine were observed to be lower in the aMCI group, as determined using the Level 2 KEGG pathways, compared with

Microbial genera/Group/Relative abundance	NC	SCD	aMCI	Corrected P Value	
	Mean±Sd	Mean±Sd	Mean±Sd		
gRuminococcaceae_UCG-013	0.2378 ± 0.3955	0.193 ± 0.6476	0.2732 ± 0.2754	0.028*	
gFusicatenibacter	1.657 ± 2.064	0.7793 ± 0.913	2.733 ± 7.116	0.049*	
gRuminococcaceae_UCG-005	0.6401 ± 1.181	0.3737 ± 0.8784	0.2902 ± 0.5211	0.049*	
gRuminiclostridium_9	0.1691 ± 0.2093	0.0975 ± 0.127	0.296 ± 0.5229	0.013*	
gButyricicoccus	0.5126 ± 1.14	0.1799 ± 0.2334	0.2513 ± 0.3014	0.028*	
gCoprobacter	0.05631 ± 0.1127	0.05759 ± 0.2399	0.2138 ± 0.6669	0.035*	
gChristensenellaceae_R-7_group	0.5694 ± 1.106	0.3725 ± 1.037	0.2522 ± 0.5949	0.023*	
gErysipelotrichaceae_UCG-003	0.2056 ± 0.2826	0.09964 ± 0.1271	0.2397 ± 0.3314	0.039*	
gFaecalibacterium	9.043 ± 7.491	10.75 ± 9.598	14.34 ± 9.736	0.019*	
gLachnospiraceae_NK4A136_group	0.6759 ± 1.025	0.5161 ± 0.8467	1.857 ± 3.991	0.035*	
g_Roseburia	2 ± 3.403	1.357 ± 2.279	2.886 ± 3.561	0.044*	

g_indicated genus. The unit of the mean was relative abundance (%); Sd meant standard deviation. The intestinal microbiota genera different significantly among three groups were listed in the above table. We applied non-parametric rank sum test in the analysis of relative abundance of intestinal microbiota genera between three groups. * shows P < 0.05.

the NC group. The lower functional orthologs were replication and repair, amino acid metabolism, energy metabolism, lipid metabolism, metabolism of cofactors and vitamins, xenobiotic biodegradation and metabolism, and the immune system in the SCD group. Additionally, the aMCI group's lower orthologs were replication and repair, energy metabolism, glycan biosynthesis and metabolism, lipid metabolism, metabolism of cofactors and vitamins, xenobiotic biodegradation and metabolism, the immune system, and the nervous system. Compared to the SCD group, the aMCI group exhibited a reduction in glycan biosynthesis and metabolism (P = 0.045).

More progressive and specific functional orthologs were predicted using KEGG pathway level 3. For example, fatty acid catabolism and biosynthesis were less frequently seen in the SCD group (P = 0.017 and 0.022; **Figures 3A, B**). However, lipopolysaccharide biosynthesis and lipopolysaccharide biosynthesis proteins did not differ significantly among the three groups (P > 0.05, **Figures 3C, D**). There were also fewer pathways involved in adherent junctions in the SCD group than in the NC group (P = 0.035; **Figure 3E**). Lipid biosynthesis proteins were lower in the SCD and aMCI groups than in the NC group (P = 0.018 and 0.027; **Figure 3F**).

Correlation Analysis of Environmental Factors

The associations between clinical parameters and different genera of gut bacteria among the three groups are shown in the heat-map in **Figure 4**. The levels of *Lachnospiraceae_NK4A136_group* were negatively correlated with BMI (r = -0.22), while CVD was positively correlated with *Butyricicoccus* and *Fusicatenibacter* (r = 0.25 and 0.21). Plasma glucose concentration was negatively correlated with *Roseburia* (r = -0.24). In addition, CRP levels were negatively correlated with *Lachnospiraceae_NK4A136_group* and *Ruminococcaceae_UCG-013* (r = -0.24 and -0.20). The TNF- α levels were positively correlated with *Faecalibacterium* (r = 0.29) and negatively correlated with *Fusicatenibacter* (r = -0.28). The LPS concentration in blood was negatively correlated with *Ruminiclostridium_9* (r = -0.21), and the level of claudin-1 was positively correlated with *Ruminococcaceae_UCG-005* (r= 0.28).

Plasma Intestinal Linker Protein Levels and LPS and CRP Levels Were Elevated in pAD Patients

The average plasma occludin levels in the aMCI group were almost twofold higher than those in the NC group (P = 0.039; **Table 5** and **Figure 5A**). However, plasma claudin-1 levels did not differ significantly (P = 0.681; **Table 5**) among the three groups.

The plasma LPS levels in the SCD group were higher than those in the NC group (P = 0.034; **Table 5** and **Figure 5B**). The plasma LPS level in the aMCI group was higher than that in the NC group, but there was no significant difference between them (P = 0.200).

Plasma CRP levels were higher in the SCD group than in the NC group (P = 0.012; **Table 5** and **Figure 5C**). There was higher plasma CRP in the aMCI group than in the NC group, but the difference was not significant (P = 1.000). The serum IL-6, IL-10, IL-1 β , and TNF- α levels did not differ significantly among the three groups (P > 0.05; **Table 5**).

Correlations Between Gram-Negative Bacteria, SCFA-Producing Bacteria, and Tight Junction Proteins, Inflammation-Related Factors, and Neuropsychological Assessment of pAD Groups

The levels of total Gram-negative bacteria were negatively correlated with plasma IL-10 levels in the SCD group (Spearman correlation r = -0.442, P = 0.027; **Figure 6A**). The levels of total Gram-negative bacteria were negatively correlated with AVLT-H (S) and AVLT-H (L) in the SCD group (Spearman correlation r = -0.523, P = 0.007 and r = -0.590, P = 0.002; **Figures 6B, C**). In the aMCI group, the abundance of the *Christensenellaceae* family was positively correlated with VFT (Spearman correlation r = 0.710, P = 0.001; **Figure 6D**).

The levels of SCFA-producing bacteria were negatively correlated with those of claudin-1 in the SCD group (Spearman correlation r = -0.451, P = 0.024; Figure 7A). *Ruminoclostridium 9* was negatively correlated with claudin-1 in the SCD group (Spearman correlation r = -0.398, p = 0.049;



Figure 7B). Moreover, the levels of SCFA-producing bacteria were positively correlated with those of AVLT-H(L) in the SCD group (Spearman correlation r = 0.454, P = 0.023; **Figure 7C**). In addition, claudin-1 was negatively correlated with AVLT-H(S) in the SCD group (Spearman correlation r = -0.424, P = 0.034; **Figure 7D**). In the aMCI group, the levels of SCFA-producing bacteria were positively correlated with those of CDT30 (Spearman correlation r = 0.678, P = 0.004; **Figure 7E**). The levels of *Ruminococcaceae* 5 were positively correlated with VFT,

and *Ruminococcaceae 13* was positively correlated with MoCA and VFT in the aMCI group (Spearman correlation r = 0.784, P = 0.000 and r = 0.772/0.773, P = 0.000/0.001; **Figures 7F-H**).

DISCUSSION

The pAD patients, who were scheduled for elective orthopedic surgery, exhibited gut microbiota dysbiosis and lower predicted



abundance of each metabolic pathway at KEGG pathway level 3. The Y axis and the X axis represent the intestinal abundance of each metabolic pathway at KEGG pathway level 3 and groups respectively, and the error bar means the standard deviation. The columns with red, blue, and green colors represent the NC, SCD, and aMCI groups respectively. Wilcoxon rank sum tests showed that there were higher abundance of three metabolic pathways in NC group than those in SCD group ("P-value < 0.05, Wilcoxon rank sum test), including short-chain fatty acid biosynthesis (KEGG pathway id: ko00061; **(A)** and catabolism (KEGG pathway id: ko00571; **(B)** and adherent junction (KEGG pathway id: ko004520; **(E)**. There was insignificant difference between three cognitive groups in lipopolysaccharide biosynthesis proteins (KEGG pathway id: ko00540; **(C)** and lipopolysaccharide biosynthesis proteins (KEGG pathway id: ko00541; **(D)** (*P-value < 0.05, Wilcoxon rank sum test), including and upper lipopolysaccharide biosynthesis proteins (KEGG pathway id: ko00541; **(D)** (*P-value < 0.05, Wilcoxon rank sum test), were lower in SCD and aMCI group compared with NC group (P = 0.018 and 0.027; Wilcoxon rank sum test).

functionality and intestinal barrier dysfunction compared with the matched NC patients. In pAD patients, especially SCD patients, gut microbiota dysbiosis was observed, including a reduction in gut microbiota α -diversity, an increased level of Gram-negative bacteria, and a decreased level of SCFAproducing bacteria in the intestine. Moreover, intestinal barrier dysfunction, low-grade systemic inflammation, and clinical parameters were associated with gut microbiota dysbiosis in pAD patients.

The fecal microbial diversity, as estimated by the Chaol index, was lower in the SCD patients than in the NC patients in this study, which was in keeping with the findings of previous studies in both aMCI and AD patients (Vogt et al., 2017). The Chaol index indicated gut microbiota species richness (Hughes et al., 2001), which reflected the stability and resilience of the gut microbiome (Fassarella et al., 2020). A lower Chaol index results in a decreased level of functional redundancy and less effectiveness in using limiting resources, further resulting in unstable microbiota functions during perturbations and poor resilience (Fassarella et al., 2020). Although the exact effect of the reduced bacterial diversity in pAD has not been fully elucidated, it may predict these patients' susceptibility to intestinal microbial dysbiosis (Li B. et al., 2019; Liu P. et al., 2019) and might play important roles in AD progression (Vogt et al., 2017).

In this study, the F/B ratio of gut microbiota was higher among aMCI patients than among NC and SCD patients, which was in keeping with the high F/B ratio of AD patients observed in a previous study (Zhuang et al., 2018) and might predict the onset and worsening of cognitive decline symptoms in humans (Ticinesi et al., 2019). However, NC patients had a higher F/B ratio than SCD patients, which was in keeping with the findings obtained in a previous study, in which a cognitively normal elderly population exhibited a high F/B ratio (Manderino et al., 2017). Thus, the relationship between the F/B ratio and cognitive function should be further assessed.

Gram-negative bacteria of the gut microbiota are enriched in aMCI and AD patients compared with NC patients in the Chinese cohort (Li B. et al., 2019; Liu P. et al., 2019). In our study, the total abundance of Gram-negative bacteria at the family level was highest in SCD patients. Specifically, the family *Christensenellaceae*, emerging as an important player in human health (Waters and Ley, 2019), was enriched in NC patients. To compare the capability of Gram-negative bacteria to biosynthesize LPS among the three groups of patients, PICRUST analysis was performed. The analysis found no discrepancy in functional microbiota profiles of the LPS biosynthesis pathway among the three groups. These results inferred that the elevation of plasma LPS in the SCD group may be primarily attributable to



FIGURE 4 | Correlation analysis of clinical parameters and gut microbiota. The association between 17 clinical parameters and significant bacterial genera with altered abundances among the three groups is estimated using the heat map of Spearman's correlation analysis. Color intensity represents the magnitude of correlation. Red, positive correlations; Green, negative correlations. P-value < 0.05, **P-value < 0.01.

TABLE 5 Levels of serum cytokines among three groups.	
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Cvtokines/Group	NC	SCD	aMCI	P Value
	Mean ± Sd	Mean ± Sd	Mean ± Sd	
CRP (ng/ml)	27176.180 ± 109205.142	31194.959 ± 36097.585	36097.585 ± 32497.086	0.012*
IL-6 (pg/ml)	35.922 ± 129.500	39.523 ± 122.614	15.106 ± 27.0734	0.667
IL-10 (pg/ml)	16.831 ± 23.831	18.105 ± 33.906	24.661 ± 36.159	0.425
IL-1β (pg/ml)	11.375 ± 76.437	13.406 ± 75.059	0.009 ± 5.256	0.693
TNF-α (pg/ml)	18.171 ± 68.764	59.243 ± 144.528	17.596 ± 54.863	0.379
Occludin (pg/ml)	23.130 ± 35.685	40.968 ± 50.108	46.233 ± 51.765	0.039*
Claudin-1 (pg/ml)	13.452 ± 24.955	35.691 ± 69.372	13.705 ± 30.912	0.681
LPS (pg/ml)	31.255 ± 61.445	32.075 ± 33.256	40.655 ± 67.164	0.034*

CRP, C-reactive protein; IL, interleukin; TNF- α , tumor necrosis factor α ; LPS, lipopolysaccharide. All cytokines data were skewed distribution data and displayed as mean plus or minus standard deviation. We applied non-parametric rank sum test in cytokines data analysis between different cognitive groups. * shows P < 0.05.

intestinal barrier dysfunction, which has been associated with the reduction in the levels of SCFA-producing microbiota (Perez-Pardo et al., 2019).

Compared to NC patients, the levels of six butyrate-producing microbiota genera (Louis and Flint, 2017) were decreased in SCD patients, which was in keeping with the findings of a previous study (Zhuang et al., 2018). Microbiota-derived butyrate plays an essential role in maintaining the integrity of the gut barrier by providing

energy for the colonocytes, expressing tight junction proteins in colon epithelia and exhibiting anti-inflammatory effects (Koh et al., 2016; Zheng et al., 2017). The decrease in SCFA-producing bacteria might further contribute to the progression of AD (Ising et al., 2019) with the reduction of butyrate, intestinal barrier disruption, and the translocation of endotoxin into the circulatory system (Perez-Pardo et al., 2019). The above results were in line with a gradual decrease in the levels of SCFA-producing microbiota in the



FIGURE 5 | (A–C) Analysis of plasma factors levels between NC and pAD patients. The Y axis represents the concentration of plasma occludin, Log₁₀LPS, and Log₁₀CRP. The X axis represents the groups and the error bar means standard deviation. Wilcoxon rank sum test shows a significant difference in the plasma occludin levels between the aMCI group and the NC group (A) (*P-value < 0.05, Wilcoxon rank sum test). Wilcoxon rank sum test shows that the plasma Log₁₀LPS and Log₁₀CRP levels in the SCD group are significantly higher than those in the NC groups (B, C).



FIGURE 6 [**(A-D)** Spearman's correlation analysis between Gram-negative bacteria and inflammatory factors and neuropsychological assessment of PAD groups. **(A)** Spearman's correlation analysis outcome shows that the levels of total Gram-negative bacteria were negatively correlated with plasma IL-10 level in the SCD group (Spearman correlation r = -0.442, P = 0.027; Linear regression equation: Y = -0.001195*X + 49.42, P = 0.1235). **(B, C)** The levels of total Gram-negative bacteria were negatively correlated with AVLT-H (S) and AVLT-H (L) respectively in the SCD group (Spearman correlation r = -0.523, P = 0.007 and r = -0.590, P = 0.002; Linear regression equation: Y = -9.806e-005*X + 7.872 and Y = -0.0001264*X + 8.162, P = 0.0244 and 0.0079). **(D)** The abundance of the *Christensenellaceae* family was positively with VFT in the aMCI group (Spearman correlation r = 0.710, P = 0.001; Linear regression equation: Y = 0.006150*X + 13.75, P = 0.0811).

progression of AD (Zhuang et al., 2018). Among these decreased butyrate-producing microbiota genera in the SCD group compared to the NC group, *Fusicatenibacter* in family *Lachnospiraceae*, which increases butyrate production in stool samples (Weis et al., 2019), maintains the integrity of the gut barrier and downgrades inflammation (Koh et al., 2016; Zheng et al., 2017), was also decreased in patients with cirrhosis, PD, ulcerative colitis, and Crohn's disease (Takeshita et al., 2016; Jin et al., 2019; Weis et al., 2019; Qiu et al., 2020). The low abundance of *Ruminococcaceae_UCG-005* within the family *Ruminococcaceae* in SCD patients was in keeping with the findings of a report on patients with depression (Jiang et al., 2015). However, *Ruminococcaceae_UCG-005* was enriched in cerebral ischemic stroke patients (Li N. et al., 2019). The genus *Christensenellaceae_*



FIGURE 7 | (A–H) Spearman's correlation analysis between SCFA-producing bacteria and tight junction proteins and neuropsychological assessment of pAD groups. (A) Spearman's correlation analysis outcome shows that the concentration of plasma claudin-1 was negatively correlated with the relative abundance of the SCFA-producing bacteria in the SCD group (r = -0.451, P = 0.024; Linear regression equation: Y = -224.0*X + 224.5, P = 0.0229). (B) Spearman's correlation analysis outcome shows that the concentration of plasma claudin-1 was negatively correlated with the relative abundance of the SCFA-producing bacteria *Ruminoclostridium9* in the SCD group (r = -0.398, P = 0.049; Linear regression equation: Y = -0.5602*X + 57.64, P = 0.0962). (C) Spearman's correlation analysis between the relative abundance of SCFA-producing bacteria and AVLT-H (L) scores in the SCD group (r = 0.454, P = 0.023; Linear regression equation: Y = 3.847*X + 1.610, P = 0.2345). (D) Spearman's correlation analysis between the concentration of plasma claudin-1 and AVLT-H (S) scores in the SCD group (r = 0.424, P = 0.034; Linear regression equation: Y = -0.008021*X + 5.555, P = 0.1623). (E) Spearman's correlation analysis between the relative abundance of SCFA-producing bacteria and CDT30 in the aMCI group (r = 0.678, P = 0.004; Linear regression equation: Y = 12.68*X + 11.33, P = 0.1302). (F) Spearman's correlation analysis between the relative abundance of SCFA-producing bacteria *Ruminococcaceae13* and VFT scores in the SCFA-producing bacteria *Ruminococcaceae13* and MoCA-B scores in the aMCI group (r = 0.772, P = 0.000; Linear regression equation: Y = 0.01652*X + 17.76, P = 0.0368).
(H) Spearman's correlation analysis between the relative abundance of the SCFA-producing bacteria *Ruminococcaceae13* and VFT scores in the aMCI group (r = 0.773, P = 0.001; Linear regression equation: Y = 0.01652*X + 17.76, P = 0.0368).

R-7_group within family *Christensenellaceae*, was also observed less in the SCD patients, similar to the trend observed in the patients with hypertension compared to the control group (Calderón-Pérez et al., 2020). As a Gram-positive anaerobic *Clostridium* cluster IV bacterium, *Butyricicoccus* decreased in pAD patients compared to NC patients, which was in keeping with the change in gut microbiota observed in patients with Ulcerative Colitis and Crohn's disease (Eeckhaut et al., 2013). However, the increase in the genus *Butyricicoccus* was associated with cognitive impairment in Chinese patients with Parkinson's disease (Qian et al., 2018). Our study also showed that *Butyricicoccus* was positively associated with cerebrovascular disease, which was in keeping with the findings of another previous study (Li N. et al., 2019). A low abundance of Erysipelotrichaceae_UCG-003 was observed in SCD patients compared to NC patients in our study, which was correlated with a high-fat diet (Parmentier-Decrucq et al., 2009), colorectal cancer (Chen et al., 2012), irritable bowel disease (IBD), and Crohn's disease (Schaubeck et al., 2016), while a high abundance of Erysipelotrichaceae_UCG-003 was observed in healthy aging cohorts (Singh et al., 2019). In addition, we found that the abundance of Ruminiclostridium 9 within the family Hungateiclostridiaceae was lower in SCD patients than in aMCI and NC patients regardless of smoking, which was observed in healthy men who smoke and drink alcohol or in overweight and obese adults (Renbin et al., 2020; van Trijp et al., 2020). Recently, an animal study showed that Ruminiclostridium 9 and seven other genera of butyrate-producing bacteria can improve systemic inflammation and intestinal permeability in aged mice fed a highfat diet (Zhang et al., 2020). In our study, Ruminiclostridium_9 was decreased and negatively correlated with blood LPS and claudin-1 in the SCD group. These results suggest that Ruminiclostridium_9 is possibly involved in maintaining intestinal barrier function in the elderly.

Except for the genus Ruminiclostridium_9, there were three high-level genera of butyrate-producing bacteria in the aMCI group compared with the SCD group. With the production of considerable amounts of butyrate, Roseburia within the family Lachnospiraceae may be important for the control of inflammatory processes, especially in the gut, which decrease PD, irritable bowel syndrome, obesity, and type 2 diabetes (Tamanai-Shacoori et al., 2017). However, in our study, a high abundance of Roseburia was detected in aMCI patients, suggesting that the high abundance of Roseburia was related to severe cognitive impairment. The abundance of Lachnospiraceae_NK4A136_group within family Lachnospiraceae was observed to improve gut barrier function in aging rats (Li J. et al., 2019). Lachnospiraceae_NK4A136_group was enriched in aMCI patients in our study, which was similar to the animal study (Gao et al., 2019) and opposite to findings obtained in dementia patients (Stadlbauer et al., 2020). Ruminococcaceae_ UCG-013, belonging to the family Ruminococcaceae, was enriched in the aMCI group compared with the SCD group in our study and was negatively correlated with inflammation and T2DM (Wu et al., 2017; Wei et al., 2018). A high abundance of Faecalibacterium was detected in aMCI patients compared to NC patients, which was also reported in patients with chronic insomnia (Jiang et al., 2020). However, decreased Faecalibacterium was observed in several diseases (Jiang et al., 2015; Evans et al., 2017; Ferreira-Halder et al., 2017; Tamanai-Shacoori et al., 2017; Jiang et al., 2018), such as IBD, colorectal cancer, and bipolar disorder. Coprobacter, as a SCFA-producing bacteria, was more abundant in the aMCI group than in the other two groups in our study, which was similar to the alteration of gut microbiota observed in children with autism spectrum disorder (Liu S. et al., 2019). The above results indicated that the effect of some SCFA-producing microbiota on early cognitive decline has not been elucidated and warrants further study.

According to the PICRUSt analysis, amino acid metabolism, energy metabolism (fatty acid catabolism and biosynthesis), lipid metabolism (lipid biosynthesis proteins), metabolism of cofactors and vitamins, xenobiotic biodegradation and metabolism, immune system, and nervous system were lower in the pAD group than in the NC group. Microbiota-mediated signals can reach the brain via neural, endocrine, and immune communication pathways (Holzer and Farzi, 2014). The products of this metabolism are considered to be precursors of bacterial synthesis of short-chain fatty acids (SCFAs) (Wei et al., 2013), which play important roles in regulating neurological function (Haast and Kiliaan, 2015). Moreover, the reduction in metabolism of cofactors and vitamins, xenobiotic biodegradation, and metabolism could be related to the fact that pAD patients are characterized by hypometabolism (Cornejo-Pareja et al., 2020). Meanwhile, the fewer modules for the immune system observed in the pAD group suggested depletion of immune system modulators, which indicated that IM might regulate the activation of immune and neuroinflammation, further correlated with neurodegenerative diseases (Fung et al., 2017). Furthermore, there were fewer modules for adherent junctions in the SCD group than in the NC group. The abovementioned results indicated a possible treatment for pAD by regulating mediators in the microbe-gutbrain axis, such as SCFAs, lipids, and vitamins. However, functional analysis did not provide specific microbial information on functional changes, and further research is warranted.

In our study, plasma LPS levels were higher in SCD patients than in the other two groups. High and low plasma LPS levels can drive amyloid beta production and aggregation in the AD brain (Vutukuri et al., 2018). Moreover, the upregulation of plasma CRP in SCD patients is consistent with the findings of a previous study (Beydoun et al., 2018). A strong association between the elevation of plasma CRP and longitudinal cognitive decline has been detected, largely among older individuals (Beydoun et al., 2018). In correlation analysis, inflammatory factors, including CRP and TNF- α , were negatively correlated with Ruminococcaceae_UCG-013, Lachnospiraceae_NK4A136_group, and Fusicatenibacter, which indicated that lower levels of butyrate-producing bacteria are associated with elevated levels of LPS and proinflammatory factors (Zhao et al., 2017). Meanwhile, plasma claudin-1 was negatively correlated with the relative abundance of SCFAproducing microbiota. Plasma IL-10 was negatively correlated with the total abundance of Gram-negative proinflammatory bacteria at the family level in the SCD group, which was in keeping with the findings of a previous study (Li F. et al., 2019); (Li et al., 2020). There was higher plasma occludin in aMCI patients than in NC patients, and memory function was negatively related to plasma claudin-1 in SCD patients, possibly suggesting that loss of intestinal barrier integrity may be correlated with cognition.

We also observed associations between Gram-negative bacteria, SCFA-producing microbiota, and neurocognitive function. Decreases in executive, memory, and language functions discriminate pAD from normal aging (Valech et al., 2018). In this study, the total abundance of Gram-negative bacterial families was negatively correlated with memory in the SCD group. The abundance of the *Christensenellaceae* family was positively related to language function in the aMCI group. In addition, we observed that the total SCFA-producing microbiota was positively associated with episodic memory in the SCD group. Furthermore, total SCFA-producing flora and *Ruminococcaceae_UCG-005* and *Ruminococcaceae_UCG-013* were positively correlated with executive functioning, language function, and global cognition in the aMCI group. Neurocognitive impairment in aMCI patients is manifested by decreases in episodic memory, executive functioning, processing speed, visuospatial function, and global neurocognition (Kim et al., 2017). Determining the relationships among Gram-negative bacteria, SCFA-producing microbiota, and neurocognitive function may help to elucidate the origin and evolution of AD.

The results indicate that subjects with different levels of cognitive impairment have significant differences in microbiota composition and predicted functionality compared with agematched subjects without cognitive impairment. The decrease in short-chain fatty acid (SCFA)-producing bacteria in pAD is clear. Thus, depletion of SCFAs might be employed as a potential biomarker of the early stages of cognitive impairment, but this possibility requires focused investigation in future studies. This study should be viewed in light of the following limitations. First, we did not investigate fecal SCFAs. Therefore, we are uncertain whether the decreased levels of SCFA-producing microbiota result in reduced levels of fecal SCFAs, possibly causing injury to the intestinal barrier. Second, the small number of samples that we studied could have been affected by individual differences in gut microbiota. This limitation can be addressed by performing additional studies with more participants.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are publicly available. This data can be found in NCBI: PRJNA690972.

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by the ethics committee of Xuanwu Hospital of Capital

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Medical University, 2018-048. The patients/participants provided their written informed consent to participate in this study. Written informed consent was obtained from the individual(s) for the publication of any potentially identifiable images or data included in this article.

AUTHOR CONTRIBUTIONS

MD, FL, HF, and TW designed and supervised the project. TW and HF obtained funding. SL provided samples and performed clinical diagnosis and treatment. MD and FL collected samples, extracted data, and performed statistical analysis. MD and FL drafted the manuscript. TW and HF revised the manuscript for important content. All authors contributed to the article and approved the submitted version.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fcimb.2021. 592842/full#supplementary-material

Supplementary Figure 1 | (A, B) OTU analysis of three groups. The horizontal axis represents the number of samples observed, and the vertical axis represents the total/core species of all samples under a grouping category. Pan OTU is the sum of the OTU contained in all samples, which is used to observe the increase of the total number of OTU as the number of samples increases. Core OTU refers to the number of common OTU in all samples, which is used to observe the decrease of the number of common OTU as the number of samples increases. Red, blue, and green curves represent the NC, SCD, and aMCI groups' OTU analysis.

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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