



# Embryonal Neuromesodermal Progenitors for Caudal Central Nervous System and Tissue Development

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Neuromesodermal progenitors (NMPs) constitute a bipotent cell population that generates a wide variety of trunk cell and tissue types during embryonic development. Derivatives of NMPs include both mesodermal lineage cells such as muscles and vertebral bones, and neural lineage cells such as neural crests and central nervous system neurons. Such diverse lineage potential combined with a limited capacity for self-renewal, which persists during axial elongation, demonstrates that NMPs are a major source of trunk tissues. This review describes the identification and characterization of NMPs across multiple species. We also discuss key cellular and molecular steps for generating neural and mesodermal cells for building up the elongating trunk tissue.

**Key Words :** Neuromesodermal progenitors · Axial elongation · Spinal cord development · Neurulation · Neural tube.

## INTRODUCTION

The precursors of the central nervous system (CNS) that give rise to the brain and spinal cord are developmentally derived from the neural tube<sup>40</sup>. The neural tube is formed during an embryonic process called neurulation, which consists of two phases : primary neurulation that executes neural plate folding to form the brain and most of the spinal neural tube (up to the lumbar level) (Fig. 1A and C), and secondary neurulation, which contributes to neural tube elongation to meet the demand of the longitudinal elongation of embryos (Fig. 1B and C). The fate of the three germ layers is fixed during early gastrulation stages, for example the ectodermal layer generates the CNS and neural crest-derived tissues, and the mesodermal

layer gives rise to the notochord, skeleton, and trunk muscles. However, clonal analysis revealed different embryonic lineages that contribute to the anteroposterior neural tube axis<sup>50</sup>. In particular, neuroectodermal cells are the major population of the anterior neural tube corresponding to the brain and upper spinal cord (Fig. 1C), and undergo primary neurulation (Fig. 1A). On the other hand, the caudal spinal neural tube is primarily derived from neuromesodermal progenitors (NMPs) (Fig. 1C)<sup>44</sup>.

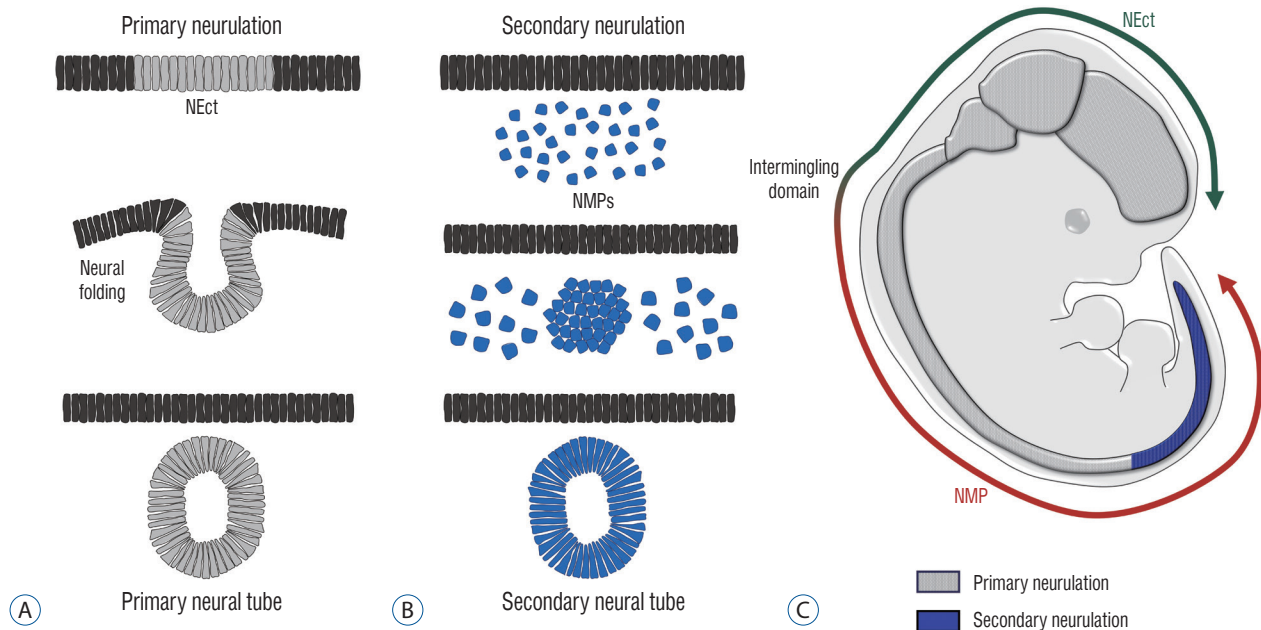
These NMPs progressively add new neural and mesodermal lineage cells to build the posterior tissues (CNS, skeleton, and muscles) of the body after gastrulation. In support of this notion, the posterior neural tube can form in the absence of an anterior neural tube<sup>6</sup>. We have recently illustrated the poten-

• Received : December 23, 2020 • Revised : January 8, 2021 • Accepted : January 28, 2021

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**Fig. 1.** The process and lineage of neurulation in vertebrate embryos. A and B : Comparison of primary and secondary neurulation. A : Transition in the morphology of neuroepithelium derived from the neuroectodermal cells during primary neurulation to establish the primary neural tube. The neuroepithelium initially undergoes neural folding that bends to adhere and fuse at both ends forming the primary neural tube. Mouse primary neurulation occurs between E8–E10<sup>47)</sup>. Chick primary neurulation occurs between HH4–HH14, whereas human primary neurulation takes place between Carnegie stages 8–12<sup>37)</sup>. B : Organization of cells at the onset (up) and completion (below) of secondary neurulation in vertebrates. The tail bud cells which are NMPs, differentiate into pre-neural cells that aggregate and integrate with the primary neural tube during axial elongation. Mouse secondary neurulation starts at E12 and ends at E14<sup>47)</sup>, whereas in chick and humans, it ends at HH35<sup>26)</sup> and Carnegie stage 18<sup>37)</sup>, respectively. Although the term secondary neural tube refers to the product of secondary neurulation, secondary neurulation occurs during axial elongation and it contributes to the elongation of the neural tube. Therefore, secondary neurulation is conceptually equal to the axial elongation. C : The central nervous system development model of neuroectodermal and neuromesodermal lineage contribution toward body neural axis formation and extension in mouse. The neuroectodermal cells contribute to the brain and brachial development. The mouse NMPs generate the tail in addition to the spinal cord. NEct : neuroectodermal cells, NMPs : neuromesodermal progenitors, HH : Hamburger and Hamilton.

tial of NMPs to generate the trunk tissue up to the brachial level<sup>44)</sup>. It is likely that neural cells derived from NMPs intermingle with neural cells of neuroectodermal origin at the brachial spinal cord level (Fig. 1C). However, co-lineage tracing of neuroectodermal cells as well as NMPs would first identify the level of CNS with junctional lineages, and second, illustrate whether there is a preference for neuroectodermal cells and NMPs toward subsequent neural progenies. Therefore, the nervous systems of the head and trunk are derived from distinct developmental origins. This recent identification of NMPs in vertebrates suggests that germ layer specification is not restricted to primary gastrulation, but continues up to the somitogenesis stages. The process of neural tube elongation is different with respect to morphogenesis and the end-product of developed tissues across species. Chick and human NMPs generate pre-neural cells that undergo cavitation to form a neural tube that eventually adheres to the primary neural

tube<sup>46,52)</sup>, which develops the spinal cord up to the lumbar level. The mouse pre-neural cells derived from NMPs appear to behave differently; they first polarize laterally and migrate toward the primary neural tube and integrate for elongation to proceed. This process gives rise to tail formation in mice<sup>43)</sup>. The recent identification of NMPs has fascinated developmental biologists, who apply multi-disciplinary approaches to investigate further. In this short review, we summarize the identification, lineage differentiation, and molecular niche of NMPs in the caudal part of the embryo.

## NMPS AND VERTEBRATE AXIAL ELONGATION

During development, NMPs arise from the caudal lateral epiblast and adjacent node-streak border within the primary streak. As development proceeds, they are detected in the

chordoneural hinge of the tail bud and continuously contribute to the neural and mesodermal tissues of the elongating tail<sup>1)</sup>. Therefore, NMPs are generally defined as a bipotent cell population that is capable of producing neural and mesodermal fate tissues. Comparative analysis suggests that the spatiotemporal distribution and function of NMPs differ across species. In amniote mouse and chick models, the NMPs expand during trunk development and gradually get depleted until the termination of the elongating tail<sup>4,44)</sup>. This aligns with an *in vitro* study showing mouse neural progenitors derived from depleting NMPs at E12 to have a higher tendency for spontaneous neuronal differentiation with low self-renewal potency<sup>43)</sup>. However, *Xenopus* and zebrafish amniote NMPs exhibit progressive depletion after primary neurulation.

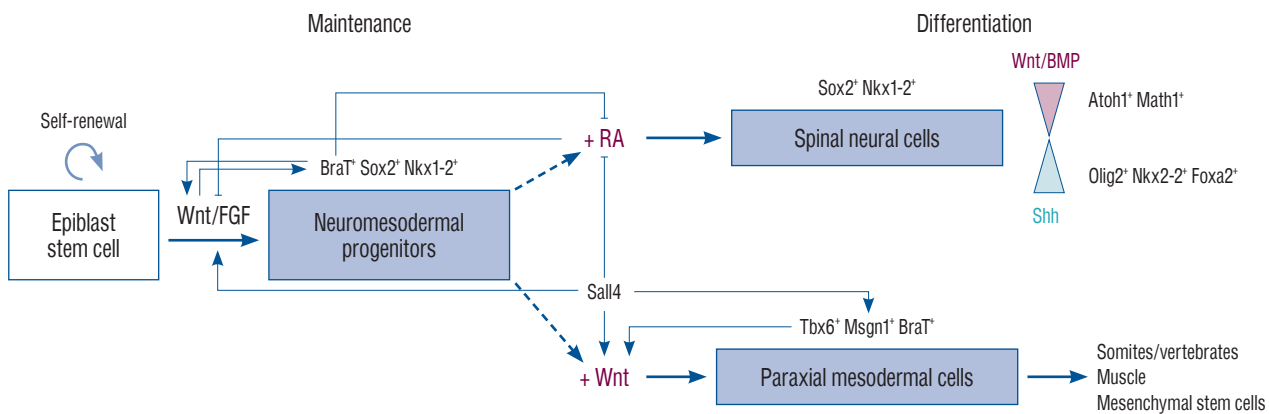
Experiments using lineage tracing and fluorescent labeling with dyes unveil interesting behavior of NMPs and descendant progenies. Fluorescence labeled E8 mouse NMPs in the caudal lateral epiblast revealed the preferential contribution of NMPs toward the E9 dorsal neural tube<sup>36)</sup>. We have also demonstrated that genetically labeled E10 mouse NMP cells preferentially contribute toward the dorsal E12 lumbar neural tube<sup>44)</sup>. Thus, these data suggest that either the dorsal and ventral neural tubes have different developmental timings, which may account for the preferential contribution of NMPs, or NMPs consist of distinct sub-populations with distinct differentiation preferences toward neural tube sub-domains. On the other hand, chick NMPs emerge at the Hamburger and Hamilton (HH) 8 posterior epiblast and persist until HH27<sup>34,39)</sup>. Between stages HH8 and HH17, NMPs produce both uni-fated mesodermal and neural precursors with self-renewal and subsequent lineage differentiation. Interestingly, unlike those of mouse, these uni-fated neural precursors have the potential to produce mesodermal cells in addition to neural cells at later stages (HH19 onward)<sup>23)</sup>. This finding in the chick model raises the argument that Sox2 and Brachyury T (BraT) are not general markers for NMPs, which foster the need to identify the unique molecular signature for NMPs. In parallel, comparative analysis of the spatiotemporal contribution of NMPs during mouse and chick axial elongation showed differences in localization of Sox2<sup>+</sup> and BraT<sup>+</sup> cell populations<sup>44)</sup>. While chick Sox2<sup>+</sup> and BraT<sup>+</sup> cells are restricted to the caudal epiblast, mouse Sox2<sup>+</sup> and BraT<sup>+</sup> cells are detected in a broad fashion along the elongating neural tube. In zebrafish, NMPs exhibit distinct behavior, and single cell track-

ing revealed two NMP populations; the initial population is located near the blastodermal cells close to the marginal zone during gastrulation, whereas the second NMP population in the tail bud is rather quiescent with a low proliferation fate during late somitogenesis<sup>3)</sup>. Unlike the NMPs in mice and chicks, tail-bud NMPs in zebrafish undergo delay in contributing to the neural and mesodermal compartments. A recent mouse study suggests different molecular differentiation and interpretation of the role of NMPs in axial elongation<sup>36)</sup>. Lineage tracing, fluorescent labeling, and conditional knockout experiments suggest that posterior BraT<sup>+</sup> cells are the origin of Sox2<sup>+</sup> and BraT<sup>+</sup> cell populations that are prone to differentiate toward neural lineage only<sup>36)</sup>. These vast differences among vertebrates raise the challenge of uncovering the precise cellular origin of NMPs toward spinal cord growth across species. Although it is widely accepted that chick, and not mouse axial elongation closely resembles human axial elongation<sup>9)</sup>, it is important to note which model closely mimics human spinal cord development for future disease modeling studies.

Lack of suitable molecular markers for NMPs has added to the ambiguity and complexity of the inter-species comparisons of data. Currently, the co-expression of early neural and mesodermal markers, Sox2 and BraT is widely used to identify the NMPs in the posterior tissues of human, mouse, and chick embryos as well as *in vitro*. Recently, however, Nkx1-2 was reported to mark the mouse NMPs throughout the body axis elongation<sup>41)</sup>. While NMPs co-express Sox2 and BraT markers, the expression level of these molecular markers appears to be associated with their lineage specification (Fig. 2). For instance, early mesodermal restricted progenitors co-express a Sox2<sup>low</sup>/BraT<sup>high</sup>/Nkx1-2<sup>low</sup> state, whereas early neural restricted progenitors express a Sox2<sup>high</sup>/BraT<sup>low</sup>/Nkx1-2<sup>high</sup> state. Although single-cell RNA-sequence analysis of NMPs and their immediate descendants revealed more complete molecular signatures<sup>15,24)</sup>, the ultimate single molecular marker to locate authentic NMP populations is still missing. Identification of valuable marker sets with new approaches, such as multiplex imaging<sup>27,28)</sup>, may soon be applied in this field to clarify these, and related issues.

## SPINAL NEURAL SELECTION AND DIFFERENTIATION FROM NMPs

The identification of NMPs in the developing embryos was



**Fig. 2.** Differentiation of neuromesodermal progenitors and their molecular niche during the formation of posterior tissues. Overview of the molecular niche demonstrating the relationship between signaling and genes during the differentiation of NMPs toward neural and mesodermal lineages. Both Wnt and FGF signals in the primitive streak promote the induction of NMPs. RA emanating from the newly formed somites inhibits Wnt/FGF signaling that maintains NMP self-renewal and fosters neural cell differentiation from NMPs. Newly formed neural cells in the neural tube respond to shh emanating from the notochord and Wnt/BMP emanating from the roof plate to differentiate toward motor neurons and sensory neurons/neural crest cells, respectively. Wnt activates BraT expression, which in turn promotes Wnt expression, establishing a positive feedback loop in NMPs. BraT itself can inhibit RA signaling. NMPs exposed to high Wnt differentiate toward paraxial mesodermal cells which maintain BraT expression but start to express Tbx6 and Msgn1. Tbx6 itself can activate Wnt signaling, creating a positive feedback loop in paraxial mesodermal cells. These cells later have the capacity to induce somites which become the vertebrates, or to generate muscle and mesenchymal stem cells. Sall4 acts upstream to induce mesodermal cells from NMPs via activation of Wnt and inhibition of RA signaling. Sall4 itself directly interacts with Wnt/FGF to maintain the NMP population. FGF : fibroblast growth factor, BraT : Brachyury T, RA : retinoic acid, NMPs : neuromesodermal progenitors.

surprisingly unexpected, and many experts in the field performed experiments in multiple model organisms. Many of these experiments, including tissue ablation<sup>36</sup>, cell transplantation<sup>17,49</sup>, cell labeling with vital dyes<sup>23</sup> and genetic lineage tracing<sup>14,44</sup> were conducted to highlight the spatial and temporal contributions of NMPs in vertebrates, especially to the neural posterior body. To generate the neural tissues, NMPs first enter the pre-neural tube state where cells downregulate the expression of BraT while maintaining high Sox2 expression and Nkx1-2 (Fig. 2). These cells are then patterned with a set of specific transcription factors to define the dorsal and ventral identities in response to patterning signals. For instance, Wnt and bone morphogenetic protein (BMP) signaling promotes the expression of Atoh1 and Math1 to define dorsal neural cells<sup>21,38</sup>, concurrent opposing Shh signaling induces distinct sets of transcription factors including Olig2 and later Nkx2.2 and Foxa2 to control the ventral identity of NMP-derived neural progenitor cells<sup>10</sup>.

Genetic studies have provided insights into the regulatory mechanisms of the maintenance and fate choice of NMPs. Despite the difference in vertebrate spinal axial elongation, the molecular mechanisms required for posterior extension seem to be conserved to some degree<sup>33</sup>. At the molecular level, Wnt/

$\beta$ -catenin signaling maintains the proliferation state of NMPs<sup>8</sup>. Furthermore, Cdx genes and fibroblast growth factor (FGF) signaling are shown to interact with Wnt/ $\beta$ -catenin signaling to maintain NMPs for promoting body elongation<sup>2,11</sup>. Interestingly, all *in vitro* protocols for inducing NMPs from embryonic stem cells (ESCs) involve exposure to a Wnt agonist over certain time periods. NMP-directed differentiation into the mesodermal fate is dependent on Wnt/ $\beta$ -catenin signaling, and interaction with BraT to induce Tbx6 expression in progenitor state<sup>22</sup>. Recently, *Sall4* (gene) dependent Wnt/ $\beta$ -catenin signaling was shown to promote mesodermal differentiation at the expense of neural differentiation<sup>48</sup>. The detailed molecular pathways to regulate the fate of NMPs are discussed below. In summary, Wnt signaling not only maintains the NMP state but also promotes the mesodermal fate upon interaction with the above genes. Retinoic acid (RA) signaling is another signaling pathway controlled by NMPs that is required for the body axis extension (Fig. 2). RA is secreted from somites to balance the differentiation of NMPs and control the axial elongation. Genetic studies in mice showed that embryos with mutant *Aldh1a2* have significant induction of mesodermal lineages at the expense of spinal cord lineages<sup>8</sup>. These embryos exhibit ectopic expression of *Fgf8* extending to

the developing trunk tissues, suggesting that RA acts as an antagonist to FGF signaling which is required for NMP expansion and proper axial elongation.

NMP differentiation toward neural fate is RA signaling dependent<sup>7)</sup>, where RA inhibits Wnt/ $\beta$ -catenin signaling during neural tube elongation to restrict the mesodermal fate of NMPs<sup>45)</sup> (Fig. 2). Sall4 encodes zinc finger transcription factors and is strongly expressed in the caudal part of the body. A recent genetic study showed that a mutant conditional Sall4 mouse knockout exhibited enlarged posterior neural tissue with significant depletion in NMPs<sup>48)</sup>. Sall4 mutant embryos display expanded expression of Nkx1-2, which is explicitly expressed in E8 pre-neural tube cells as well as a significant increase in motor neuron induction. It is essential to identify the molecular pathways that promote the rapid induction of motor neurons from human NMPs. This will facilitate preclinical studies such as drug screenings for spinal motor neuron degeneration. The generation and characterization of spinal neural cells via NMPs is an upcoming topic of interest for potential therapeutic applications. This was approached, but with limited outcome, when researchers illustrated the transcriptomic profile of neural selection and differentiation from human ESC-NMPs *in vitro*<sup>51)</sup>. Although it is normal to have increased expression of RA signaling related genes due to exogenous RA activation, the spontaneous induction of BMP and Shh pathway genes among neural cells was interesting. This suggests the potential of these cells to exogenously respond to exogenous BMP and Shh treatments to generate sensory and motor neurons, respectively. It also suggests the ability of neural cells with NMP origin to self-pattern, which can be useful for generating mini spinal cord tissue using organoid technology<sup>25)</sup>.

## SEGMENTATION AND MESODERM SPECIFICATION WITH NEUROMESODERMAL ORIGIN

The progressive elongation of posterior tissues is best visualized by observing somitogenesis, the process of somite pair formation from paraxial mesodermal segment differentiation<sup>20)</sup>. During the transition of NMPs into the mesodermal fate, they first migrate rostrally and downregulate Sox2 expression to differentiate into paraxial mesodermal cells that express BraT and Tbx6. Rostrally, these cells, under the con-

trol of Wnt that promotes further differentiation, in which Hes7 coordinates oscillatory gene expression patterns to sequentially form paired somites<sup>20)</sup>. The continuous supply of paraxial mesodermal cells from NMPs sustains the formation of somites and ensures the development of a proper length of the posterior tissues.

Although BraT is expressed in pre-somitic mesodermal cells only, a complete loss of BraT results in short tail, severely truncated embryos, and often, lethality<sup>18,32)</sup>. Tailless phenotype can be seen across species, including mouse, zebrafish, and dogs. There is accumulating evidence that BraT establishes a niche of signaling environment to facilitate mesodermal specification from cells lacking key mesodermal genes, and subsequently, proper somitogenesis<sup>30)</sup>. In zebrafish, mice, and humans, BraT directly activates the transcription of Wnt ligands to sustain Wnt/ $\beta$ -catenin signaling activation, which in turn has a positive autoregulatory loop for BraT expression<sup>12,35)</sup> (Fig. 2). In addition, BraT acts as an upstream inhibitor of *the cyp26a1* enzyme to degrade RA that inhibits mesodermal cell specification from NMPs<sup>31)</sup>. Together, this molecular environment promotes the differentiation of NMPs into paraxial mesodermal cells (Fig. 2). Defects in this niche across all vertebrates result in somite loss accompanied by a significant induction of Sox2<sup>+</sup> neural progenitor cells. The process of mesodermal specification usually occurs during gastrulation and later in the posterior tail bud, where BraT and Wnt continue to express and function to induce new paraxial mesodermal progenitors from NMPs. This embryonic process was later recapitulated *in vitro* using NMP cell culture<sup>16,17)</sup>. In summary, the interaction between BraT and Wnt to repress RA signaling is essential for inducing new mesodermal segments from NMPs, which are critical for segmentation, somitogenesis, and proper axial elongation of the posterior tissues.

Gene expression profile methods such as RNA-sequencing and microarrays are applied to uncover the molecular mechanism of mesodermal specification from NMPs<sup>51)</sup>. At the gene level, multiple genes were subsequently identified to be expressed in paraxial mesodermal cells and not in NMPs, including Tbx6<sup>42)</sup>, Msn1<sup>13)</sup>, and Sall4<sup>48)</sup>. Tbx6 regulates NMP fate decisions for its differentiation into the mesodermal fate. Tbx6 and Wnt signaling synergistically interact to induce paraxial mesodermal cells from the NMPs in the tail bud<sup>19)</sup>. The Tbx6 function restricts the neurogenic NMP pool to the chondroneural hinge region, as evidenced in the conditional knock-



out mouse of *Tbx6* from NMPs, which resulted in an increased number of ectopic neural tubes during the transition of trunk to tail development<sup>22</sup>. On the other hand, the transcription factor *Sall4* acts upstream to maintain Wnt-FGF interaction for the self-renewal regulatory system of NMPs (Fig. 2). Similar to *Tbx6*, *Sall4* conditional knockout from NMPs resulted in reduced paraxial mesodermal cells, and perturbed the molecular niche for sustaining paraxial mesodermal cell production and subsequent axial elongation arrest<sup>48</sup>. Transcriptomic analysis revealed that *Sall4* knockout affected several genes, including *Msgn1*, *Hes7*, and *Wnt5a*, all of which are required for nascent mesoderm differentiation<sup>5</sup>. *Msgn1* functions downstream of Wnt signaling and is necessary and sufficient for paraxial mesodermal differentiation from NMPs<sup>5</sup>. It activates key mesodermal progenitor markers, including *Tbx6*, *Pdgfra*, and *Gata4*. Forced expression of *Msgn1* has been shown to substantially reduce the contribution of NMPs toward neural progeny<sup>5</sup>. The reduced number of neural cells is accompanied by a correspondingly dramatic increase in paraxial mesodermal cells<sup>5</sup>. An *in vitro* study demonstrated that NMPs can be induced in *Msgn1* knockout ESCs but cannot efficiently differentiate into paraxial mesodermal cells<sup>15</sup>. Interestingly, a knockout of *Sall4* significantly downregulated *Msgn1* expression in the posterior tissue<sup>48</sup>. To summarize the mesodermal molecular network (Fig. 2), *Sall4* is upstream of Wnt/ $\beta$ -catenin signaling, which itself acts upstream to *Tbx6* and *Msgn1*. In other words, *Sall4* directly regulates *Tbx6* and *Msgn1* expression through Wnt/ $\beta$ -catenin signaling. Although the *Sall4* knockout showed a defect in Wnt/FGF interaction that is essential to maintain NMPs, *Sall4* CHIP-Seq experiment did not show a direct interaction with BraT and Sox2. Hence, it is unlikely that a balanced expression of *Sall4* is essential to maintain the self-renewal properties of NMPs; its upstream expression may be needed to trigger the switch of NMP fate toward the mesoderm. Therefore, network analysis is a preferable option for decoding the regulatory basis of axial NMP self-renewal and identifying a unique marker.

## CONCLUSION AND PERSPECTIVES

NMPs have come a long way from their original description as “axial stem cells”, to their central importance for developing the CNS. They are now considered the authentic cell pop-

ulation for generating the caudal-CNS, the spinal cord. While we have a good understanding of the role of NMPs in development, knowledge of their definitive marker, their exact level of contribution in the CNS, and of which domain in the CNS they interact with the neuroectodermal cell lineage, is still unknown. It is also unclear whether other cell types contribute to the NMP population in the caudal tail bud, or if they exhibit such a high capacity of self-renewal to meet the demand of axial elongation throughout development.

The discovery of NMPs has changed the fundamental belief that the three-germ layer formation terminates strictly during early development and ectoderm/mesoderm lineage segregation. In fact, in this stem cell engineering era, trans-differentiation and de-differentiation are experimentally inducible, and it is no wonder that developmentally multi-potent cells can be reprogrammed. As we have described here, there are two lineage routes for making the CNS, where neuroectodermal cells generate the rostral neural plate, but caudal neural cells are developmentally produced from NMPs. The recapitulation of the caudal developmental process appears to favor the generation of caudal neural structures such as the spinal cord. Likewise, recent studies investigating the development of human trunk parts have highlighted the importance of NMP induction *in vitro*, which led to the high-yield production of multiple spinal cell types, including spinal motoneurons<sup>17,25,29</sup>. This allows us to understand human caudal development based on human organoids<sup>25</sup>. Considering the fact that there are many developmental and pathological conditions requiring detailed disease modeling and regenerative therapy in the spinal cord, fundamental information about NMPs will be of great value in the diagnosis and therapy of these related clinical conditions.

## CONFLICTS OF INTEREST

No potential conflict of interest relevant to this article was reported.

## INFORMED CONSENT

This type of study does not require informed consent.

## AUTHOR CONTRIBUTIONS

**Conceptualization** : MRS, WS

**Visualization** : MRS, JHL

**Writing - original draft** : MRS, JHL, WS

**Writing - review & editing** : WS

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## • Acknowledgements

MRS is supported by the MRFF Leukodystrophy Flagship – Massimo’s Mission (EPCD000034). JHL and WS are supported by the Brain Research Program through the National Research Foundation (NRF), which was funded by the Korean Ministry of Science, ICT & Future Planning (NRF-2015M3C7A1028790, NRF-2017M3A9B3061308, and NRF-2017M3C7A1047654).

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