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Estimation of Basin-scale turbulence distribution in the North Pacific Ocean using CTD-attached thermistor measurements

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A recently developed technique for microstructure measurement based on a fast-response thermistor mounted on a conductivity-temperature-depth equipment was used on eight cruises to obtain 438 profiles. Thus, the spatial distribution of turbulent dissipation rates across the North Pacific sea floor was illustrated, and was found out to be related to results obtained using tide-induced energy dissipation and density stratification. The observed turbulence distribution was then compared with the dissipation rate based on a high-resolution numerical ocean model with tidal forcing, and discrepancies and similarities between the observed and modelled distributions were described. The turbulence intensity from observation showed that the numerical model was overestimated, and could be refined by comparing it with the observed basin-scale dissipation rate. This new method makes turbulence observations much easier and wider, significantly improving our knowledge regarding ocean mixing.

Ocean turbulence with a vertical diffusivity of $O(10^{-4} \text{ m}^2 \text{ s}^{-1})$ is¹ required to maintain the vertical water-mass distribution in the deep North Pacific. Therefore, quantifying the vertical diffusivity is important to evaluate the meridional overturning circulation and water-mass distribution. A recent modelling study² reproduced an observed $\Delta^{14}\text{C}$ distribution in the deep Pacific using a spatially variable vertical diffusivity distribution, which was constructed on the basis of high-resolution models³ for internal gravity waves generated by tides. However, model-based vertical diffusivity has not yet been sufficiently validated via direct microstructure observations owing to their scarcity.

Basin-scale turbulence distributions have been demonstrated^{4,5} using an indirect method that is based on the fine-scale parameterizations^{6–10} of the interior ocean, far from turbulence generation sites (surface and bottom boundary layers, areas close to rough topographies and coastal boundaries, and strong current regions) using fine-scale $O(10\text{--}100 \text{ m})$ density and velocity observations along World Ocean Circulation Experiment-hydrographic sections. Additionally, global horizontal distribution and seasonal variability have been demonstrated using fine-scale density measurements with profiling floats^{11,12}, and energy dissipation rate estimations from fine- and micro-scale observations have also been compiled¹³. These studies have revealed that turbulent energy dissipation rates increase over rough bottom topographies, and their spatial variability is related to internal wave energy fields.

While studies based on fine-scale parameterizations have revealed considerable information on turbulence in the deep ocean, the applications of parameterizations are limited to regions where the Garrett–Munk (GM) wave field¹⁴ is undistorted. Although studies in which distortion was taken into consideration have also been conducted¹⁰, direct microstructure measurement is still needed to precisely estimate turbulence intensity. However, direct microstructure measurements have been performed only in specific regions, such as ridges and straits, as was the case in the Brazil basin¹⁵, Hawaiian Ridge¹⁶, Izu-Ogasawara Ridge¹⁷, and Kuril Strait^{18,19}. Therefore, basin-scale observations using direct microstructure measurements are necessary.

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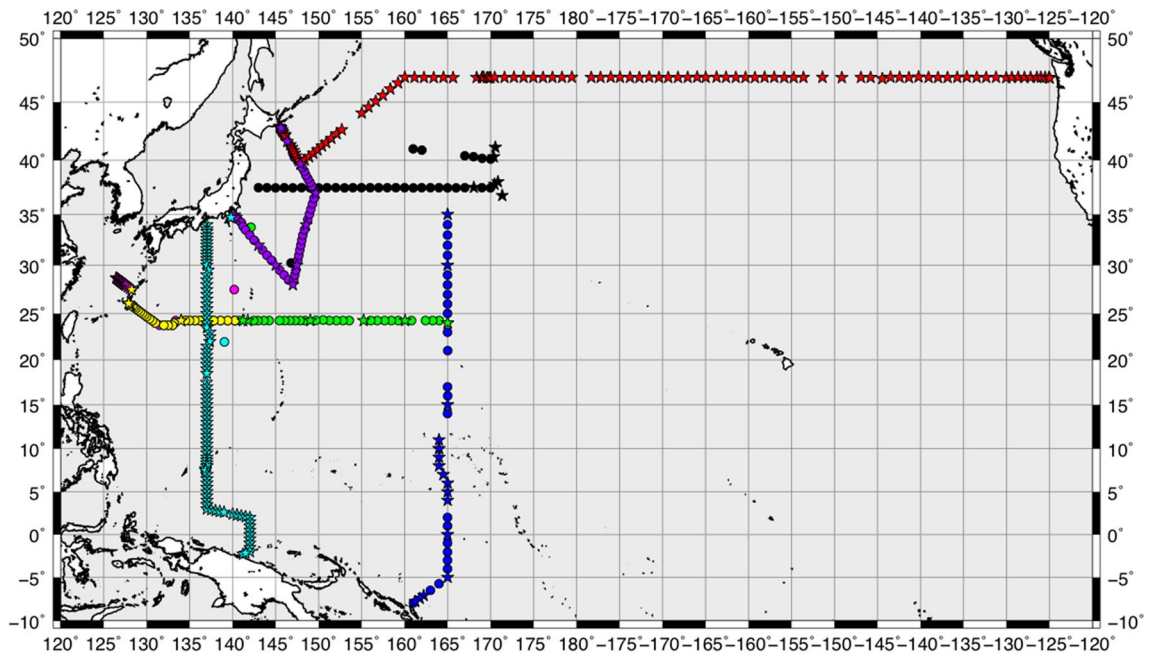


Figure 1. Station locations for 438 CTD-attached fast-response thermistor measurements. The stars denote the full-depth stations, with casts all the way down to the sea floor, and the circles represent the other casts, which extended down to a depth of 2000 m. Stations corresponding to KS16-06 with magenta colour are under KS16-08 (green) and KS16-09 (yellow), and are masked. See Table S1 for cruise codes.

In this study, direct microstructure measurements are demonstrated along eight large-scale hydrographic sections in the North Pacific via the application of the new platform for microstructure observations, which uses conductivity-temperature-depth (CTD) attached thermistors. This system^{20–22} can be used to efficiently evaluate the turbulent energy dissipation rate (ϵ) in the range of 10^{-11} – 10^{-8} W kg^{-1} with data quality controls considering the effects of the movement of the CTD-frame as well as data adjustment on the attenuation of the temperature gradient spectra. The observation-based turbulence intensity can then be compared with the tide-induced baroclinic energy and the density stratification as well as the energy dissipation rate based on the fine-scale parameterization. Furthermore, it can be quantitatively compared with the dissipation obtained based on the ocean general circulation model (OGCM) so as to clarify the discrepancy between the model and the observations.

Results

Turbulence distribution in the North Pacific. Two basin-scale, vertical cross-sections of ϵ from fast-response thermistor measurements along 137°E and 47°N (Fig. 1 for station locations) are shown in Fig. 2a,b, respectively, with the vertical profiles of ϵ averaged in each section (Fig. 2c). The overall features of ϵ were as follows: (1) vertically, ϵ was found to be generally large at depths from the surface to the pycnocline. (2) The 137°E -mean ϵ at each depth was three times that in 47°N (Fig. 2a–c). (3) Relatively large ϵ values were observed over rough bottom topographies, such as the Ngulu Atoll at 8°N 137°E , the Emperor Seamount at 47°N 170°E , and the slope edge at 47°N 50°W . Quantitatively, ϵ averaged from a depth of 500 m to the bottom (Fig. 2d–e) corresponded to the topographic roughness represented by the variance of the bottom depth (Fig. 2f–g). These features obtained using the present CTD-attached method are similar to those reported in previous studies^{4,5,11–13}.

Further analysis of feature (1) showed that the observed ϵ correlated well with the strength of stratification (Fig. 3a), i.e. the squared buoyancy frequency, N^2 (s^{-2}) ($=g\alpha(\partial T/\partial z + \gamma) - g\beta ds/\partial z$, where g represents the gravitational acceleration (m s^{-2}), T represents temperature ($^\circ\text{C}$), s represents salinity (psu), α represents the coefficient of thermal expansion ($^\circ\text{C}^{-1}$), β represents the coefficient of saline contraction (psu^{-1}), and γ represents the adiabatic lapse scale ($^\circ\text{C m}^{-1}$), with the high correlation coefficient, $r=0.74$ (see Table S2 in the “Supplementary Information” for statistical data).

For features (2) and (3), the horizontal distribution of the depth-integrated observed dissipation, $\int \rho \epsilon dz$, depended on the energy distribution of the internal waves driven by tidal forcing (Fig. 3b). Depth-integrated observed energy dissipation, $\int \rho \epsilon dz$, from the full-depth casts was found to be correlated ($r=0.58$) with the model-based horizontal energy distribution of the internal wave generation $E_c(x,y)$ (see “Methods”). It was also found to be correlated ($r=0.66$) with the horizontal distribution of the model-based energy dissipation, $E_d(x,y)$, estimated using tide-forced internal waves. These relationships indicate that the depth-integrated observed dissipation, $\int \rho \epsilon dz$, is regulated by the energy distribution of the tide-induced internal waves. The relationship between the observed ϵ and topographic roughness was explained by the tide-generating internal wave energy distribution. The higher internal wave activity along 137°E seemed to induce a relatively higher ϵ averaged over the sections than along 47°N (Fig. 2c).

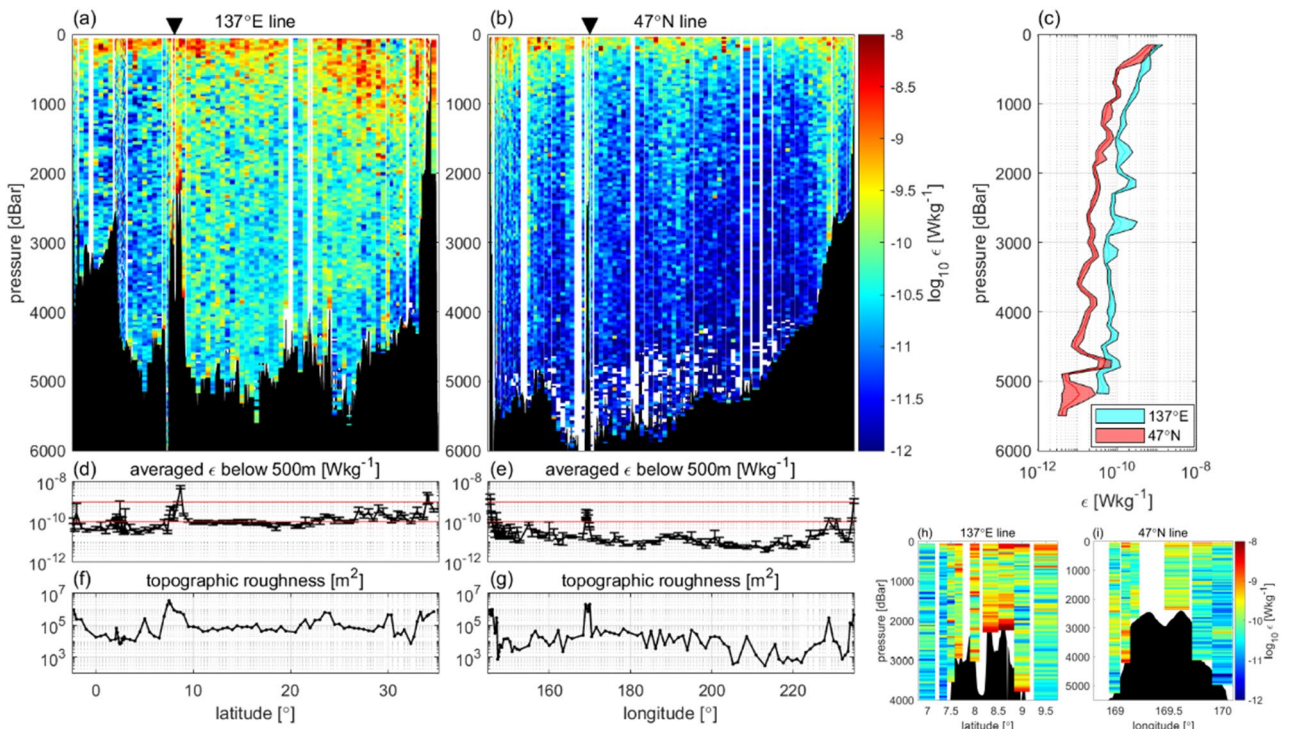


Figure 2. Vertical cross-sections of turbulent energy dissipation rate, ϵ . Along (a) 137°E (RF16-06) and (b) 47°N (MR-14-04) with triangular marks corresponding to areas close to the Ngulu Atoll and the Emperor Seamount. In (a) and (b), ϵ is averaged over 50 m after eliminating the data at $W_{sd} > 0.2$ $W-0.06$ and W_{min} . (c) Vertical profile of horizontally averaged ϵ for each section. (d,e) Vertically averaged ϵ below 500 m to exclude surface dissipation, where the red lines denote values at $\epsilon = 10^{-10}$ and 10^{-9} W kg^{-1} . (f,g) Topographic roughness at each station, defined as the variance of bathymetric height (m^2) obtained from ship depth soundings²³, calculated in 60 km square regions. (h,i) Enlarged views of the Ngulu Atoll and the Emperor Seamount.

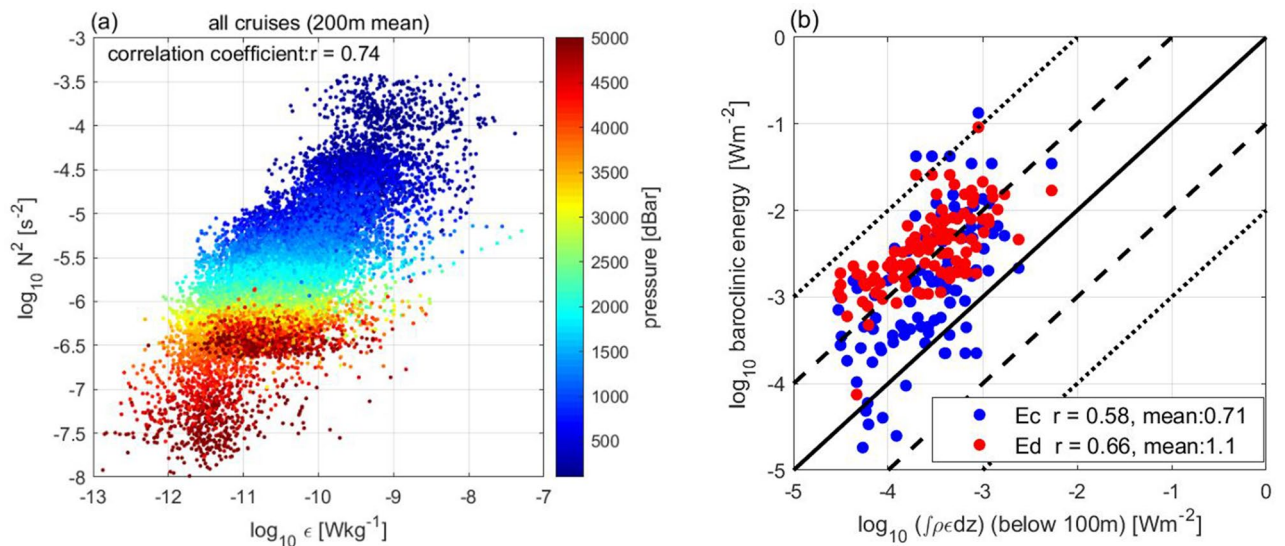


Figure 3. Relationship between ϵ and N^2 and baroclinic tide energy. (a) Relationship between the observed energy dissipation, ϵ , and the squared buoyancy frequency, N^2 . Colours denote pressure. (b) Relationship between depth-integrated observed energy dissipation, $\int \rho \epsilon dz$, and model-based depth-integrated baroclinic energy conversion rate, Ec (blue dots), representing the generation of internal waves by tidal forcing, and the relationship between $\int \rho \epsilon dz$ and depth-integrated tide-induced energy dissipation, Ed (red dots), both correspond to MR-14-04 and RF16-06. X-axis: depth-integrated $\rho \epsilon$ from a 100-m depth to the bottom, excluding the surface 100-m layer to avoid the influence of wind. Y-axis: Ec and Ed are estimated in the model² based on the 3-D tide-driven model³. Ec and Ed simulated with the resolution (1/15°) model are multiplied by 1.5 as in the model². “r” in the legend represents the correlation coefficient in logarithmic scale and “mean” is the average of $\log(Y/X)$.

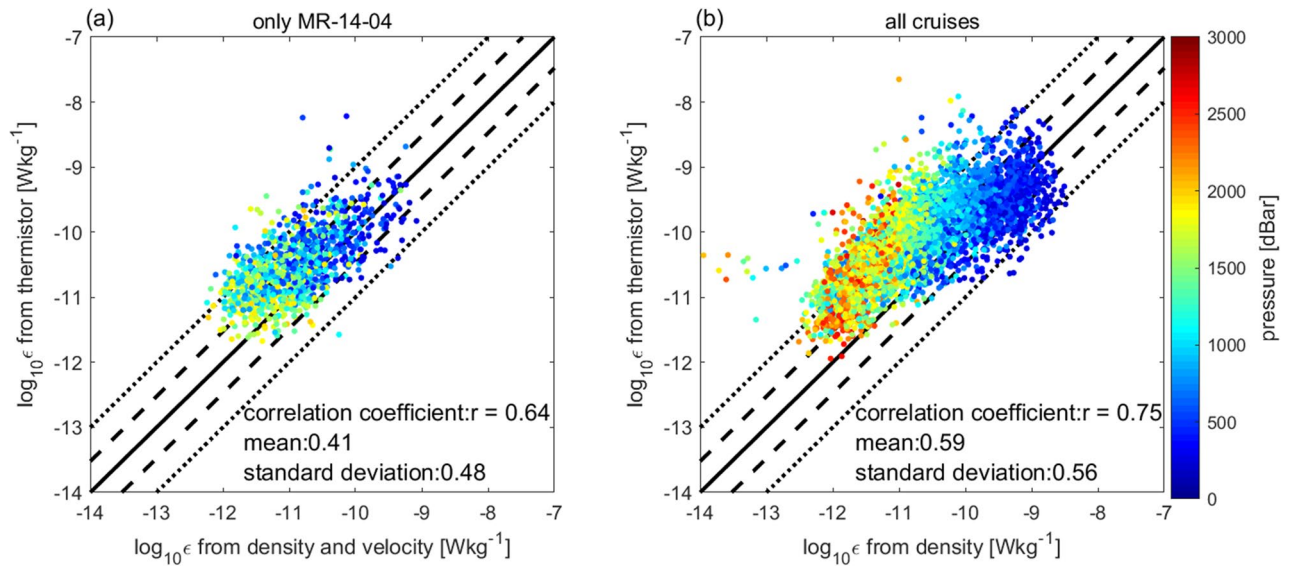


Figure 4. Comparison of ϵ with fine-scale parameterizations. (a) ϵ based on fine-scale velocity and density using Lowered Acoustic Doppler Current Profilers (LADCP) and CTD¹⁰. (b) ϵ based on only fine-scale density⁸. Data are averaged in 320-m segments. The surface data that included the upper 100-m layer are not used. In (a), data with pressure > 2000 dbar are excluded because the accuracy of the measurement of LADCP is low in the deep ocean. In (b), data with $N^2 < 10^{-6} \text{ s}^{-2}$ are excluded since the estimation of the vertical gradient of density is difficult in the low stratified regions. “mean” and “standard deviation” in the legend represent the average and the standard deviation of $\log(Y/X)$, respectively.

Close to the sea floor, ϵ values were not high. However, bottom-intensified mixing has been demonstrated in previous studies²⁴. One possible reason for the low ϵ values could be the lack of data corresponding to the area close to the sea floor. Since the frame falls slowly within 100 m above the sea floor, most data were eliminated by the data rejection criterion of $W_{sd} > 0.2W - 0.06$ (see “Methods”); thus, the data became sparse. These findings can be attributed to the absence of the bottom-intensified dissipation structure, which was modelled as maximum dissipation at the bottom and decayed exponentially with height²⁵. Further analysis of the data quality and the improvement of the analysis method are required to quantify the turbulence, 100 m above the sea floor. Another possible reason is that roughness is not sufficient to generate strong turbulence in many stations along 137°E and 47°N, except in areas with very rough topography, such as the Ngulu Atoll and the Emperor Seamount (Fig. 2h,i).

Comparison with fine-scale parameterizations. Most data were obtained in the interior ocean, where fine-scale parameterizations^{6–10} are applicable. Consistency between the present method and the previous fine-scale parameterization method can support the validity of the new CTD-attached thermistor method. Along the 47°N section, where velocity data are available, we calculated ϵ_{FINE} using a new method¹⁰ based on fine-scale velocity and density profiles, while considering the spectral distortion depending on the ratio of fine-scale kinetic to potential energy (R_w). The calculated ϵ_{FINE} values were found to be correlated with the ϵ values obtained using the CTD-attached method ($r = 0.64$), and the average of $\log(\epsilon/\epsilon_{\text{FINE}})$ was within a factor of three (Fig. 4). For the data corresponding to other sections, even the method involving the use of only density data⁸ resulted in high correlation ($r = 0.75$), without the abnormal overestimation of ϵ .

Comparison with ϵ -field used in ocean circulation model. The observed ϵ distribution was compared with ϵ_{TideNF} (see “Methods”) used in the ocean circulation model², which reproduced the $\Delta^{14}\text{C}$ distribution in the deep Pacific (TideNF model). The vertical ϵ_{TideNF} distribution close to the tide generation sites, ϵ_{NEAR} , was assumed to exponentially decay above the sea floor with a decaying scale, h ($= 500 \text{ m}$), and the vertically integrated dissipation at each location was set to the local dissipation rate, q ($= 1/3$), of the baroclinic energy conversion rate $Ec(x,y)$ from the barotropic tides. Parameters h and q were based on previous observational and numerical studies^{24,25} and $Ec(x,y)$ ³. The vertical ϵ_{TideNF} distribution far away from the tide generation sites, ϵ_{FAR} , was assumed to be vertically uniform² for simplicity. The background ϵ_{TideNF} distribution, ϵ_{BACK} , was assumed to have the vertically uniform diffusivity², K_{BACK} ($= 10^{-5} \text{ m}^2 \text{ s}^{-1}$). Please refer to the “Methods” section for the details on ϵ_{TideNF} .

Notably, ϵ_{TideNF} was much higher (over ten times) than the observed ϵ (Fig. 5), while the correlation coefficient between them for depths below 500 m based on data from eight cruises was $r = 0.55$, suggesting that spatial variability has some similarity with the observations. The relatively high ϵ_{NEAR} over the rough topographies (such as the Ngulu Atoll at 8°N 137°E, the Emperor Seamount at 47°N 170°E, and the slope edge at 47°N 50°W) were estimated in the model; it was observed that they contributed to the representation of the observational features of the spatial changes in ϵ (Figs. 2a,b and 5a,e). Considering that the constant background diffusivity was used in the model, it was observed that $\epsilon_{\text{BACK}} (= K_p N^2 \Gamma^{-1} = 10^{-5} N^2 \times 0.2^{-1})$ depends on the strength of stratification,

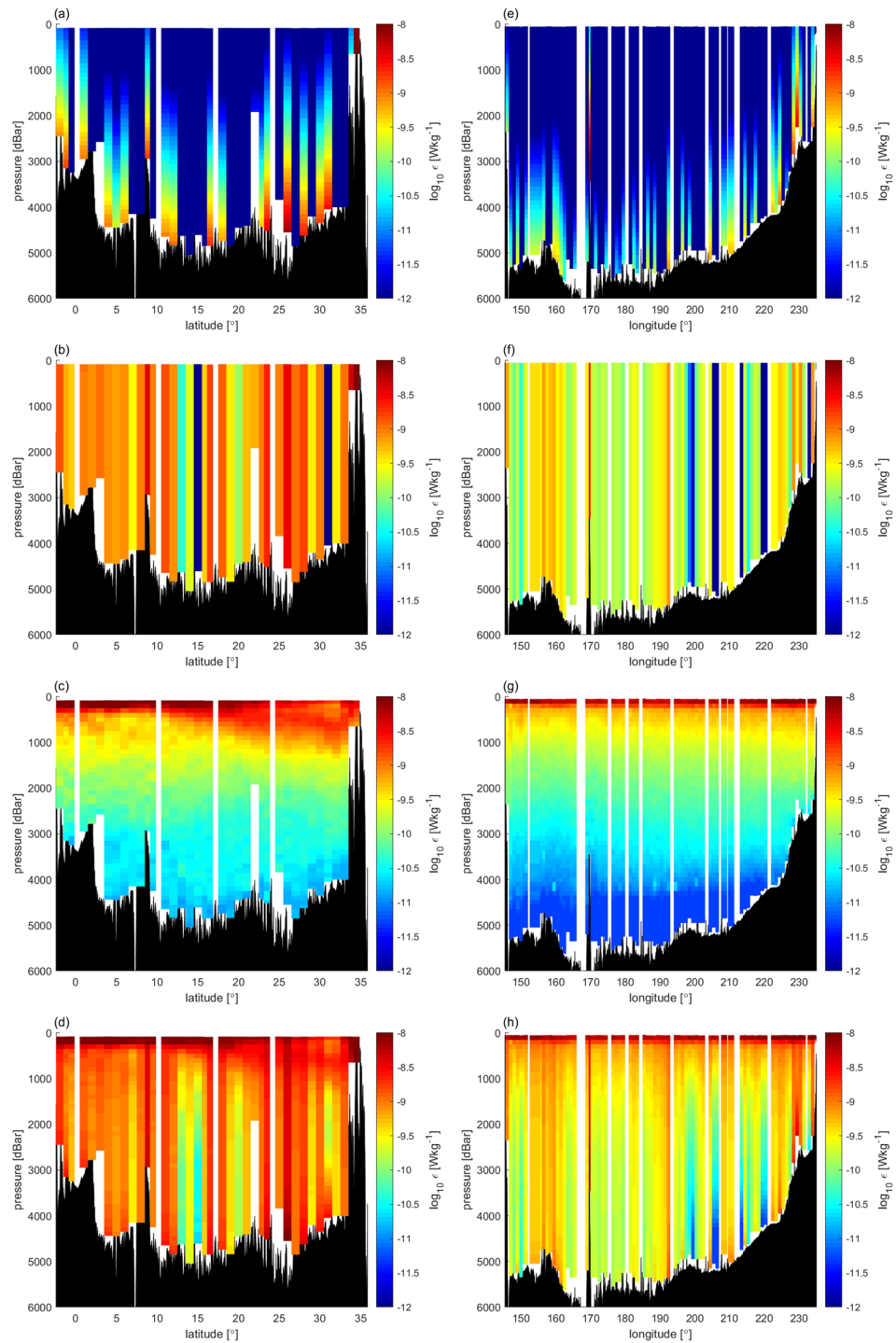


Figure 5. ϵ_{TideNF} along 137°E (left) and 47°N (right). **(a,e)** Near-field, ϵ_{NEAR} , **(b,f)** Far-field, ϵ_{FAR} , **(c,g)** Background, ϵ_{BACK} , **(d,h)** $\epsilon_{\text{TideNF}} = \epsilon_{\text{NEAR}} + \epsilon_{\text{FAR}} + \epsilon_{\text{BACK}}$. The squared buoyancy frequency is observation-based, and the mixing efficiency, Γ , is 0.2.

N^2 . Thus, ϵ_{BACK} contributed to the representation of the dependency of stratification (Figs. 2a,b and 5c,g), which was also detected in ϵ (the correlation coefficient between $\log(\epsilon)$ and $\log(N^2)$ was 0.74; Fig. 3a). Further, ϵ_{FAR} , which was assumed to be vertically uniform in the model², showed the greatest deviation from the observed ϵ , and had an order of magnitude that was larger than that of the observed ϵ , even far from the rough bottom topography (Figs. 2a,b and 5b,f).

In the model², while the near-field diffusivity was set following the parameterization²⁵ and considering the observations²⁴, the background and far-field diffusivity were not based on direct observations, but were set so

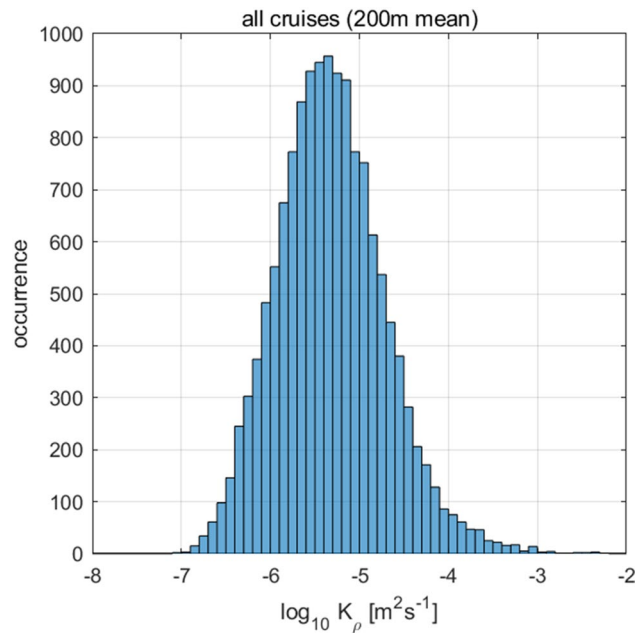


Figure 6. Occurrence of diapycnal diffusivity K_ρ ($= 0.2\epsilon/N^2$). The vertical axis denotes the data quantity. Data averaged at 200 m after data screening are displayed.

that the predicted tracer field follows the observations. Thus, we introduced another parameterization to modify ϵ_{TideNF} referred to as ϵ_{MOD} . The vertical structure, $F_{\text{FAR}}(z)$, of ϵ_{FAR} (Eq. 2 in “Methods”) was modified to be proportional to the squared buoyancy frequency, N^2 , as $F_{\text{FAR}}(z) = (N^2/\overline{N^2})H^{-1}$, where the overbar denotes the vertical mean and H represents the sea floor depth²⁶. This form is consistent with the observational fact that ϵ is correlated with N^2 (Fig. 3a). Background diffusivity was then changed to $K_{\text{BACK}} = 10^{-7} \text{ m}^2 \text{ s}^{-1}$ given that the minimum value of the observations was $10^{-7} \text{ m}^2 \text{ s}^{-1}$ (Fig. 6). After revision, ϵ_{MOD} became closer to the observed ϵ within a factor of three and with a relatively high correlation coefficient (Fig. 7; $r = 0.50$ between Fig. 8a,c), whereas large discrepancies were observed between ϵ_{TideNF} and the observed ϵ (Fig. 8a,b). In the revised ϵ_{MOD} , ϵ_{FAR} was found to be the main contributor to the major part of the ocean, except at depths close to the sea floor, where ϵ_{NEAR} showed dominance, with ϵ_{BACK} being very small (Fig. 7b,f). This modification implies that the baroclinic energy generated at the bottom propagates more widely with the longer damping time scale compared to the TideNF model.

Discussion

There were uncertainties in both the observation- and model-based estimates. One reason for these uncertainties was the variable response time of the FP07 thermistors. In this study, to capture micro-scale turbulence, all temperature gradient spectra were corrected and multiplied by the double-pole low-pass filter function $[1 + (2\pi f\tau)^2]^{-2}$ with the time constant, $\tau = 3$ ms, to compensate for the attenuation of the frequency spectra owing to the insufficient response speed. The time constants of the individual FP07 sensors could be varied between 1.9 and 5.6 ms for the double-pole functions at 1/4 attenuation (“Methods”). Another uncertainty arose in the estimation of ϵ in the weak turbulence range of $\epsilon < 10^{-10} \text{ W kg}^{-1}$. This is because such weak turbulence could not be evaluated based on micro-scale shear observations, which were used for the validation of the FP07 observations²⁰. Thus, ϵ in this range varied between 0 and $10^{-10} \text{ W kg}^{-1}$.

The uncertainties associated with the response time and weak turbulence range were evaluated by comparing the geometric means of the ratios of ϵ (Table 1), which could be varied by changing τ and/or ϵ in the range $\epsilon < 10^{-10} \text{ W kg}^{-1}$. When τ was changed, and keeping $\epsilon < 10^{-10} \text{ W kg}^{-1}$, i.e. ϵ_{BACK} (the middle column), the ratio varied from 0.398 to 1.49, and the uncertainty factor was approximately four. In contrast, when the background ϵ was changed and τ was kept constant ($\tau = 1.9$), and the uncertainty factor was approximately eight (the ratio varied between 0.398 and 3.13). Even though all the differences among these cases were within a factor of ten these results support the importance of time constant estimates for each probe as well as precise measurements of weak turbulence below $10^{-10} \text{ W kg}^{-1}$ in deep ocean.

It was also necessary to consider the temporal variability in turbulence intensity. The observation at each station in this regard was performed only once. Actually, temporal variability with semi-diurnal and diurnal tidal cycles exists. For example, in strong turbulence regions such as the Kuril Strait, the temporal variability was larger than two orders of magnitude, and was related to the tidal cycle¹⁸. It was expected that the distribution of ϵ in our observations will likely vary if the observations were made at the same stations. Thus, to obtain representative ϵ values in such strong turbulence regions, further observations should be performed to estimate the temporal average.

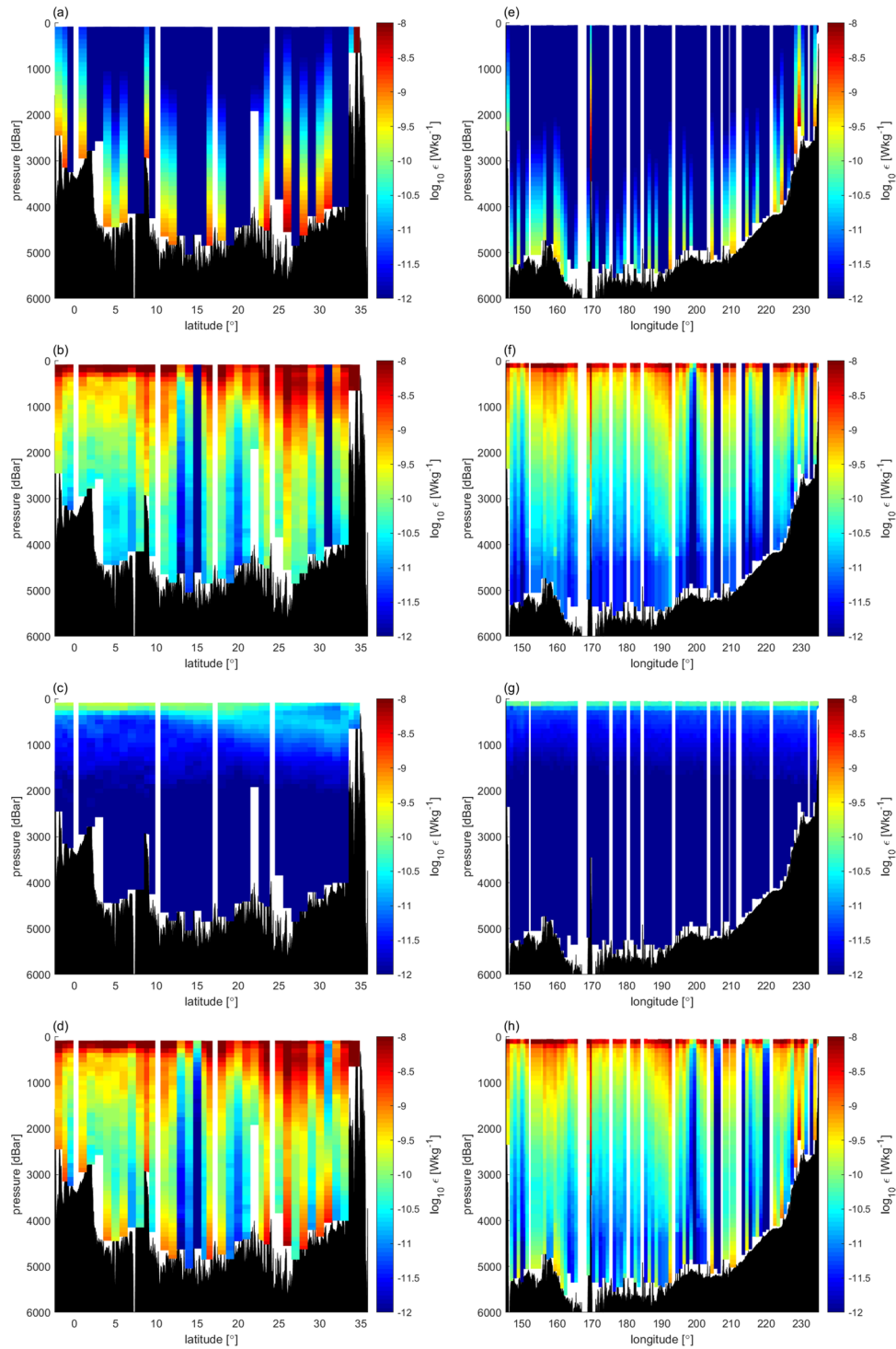


Figure 7. Modified ϵ_{MOD} distribution adjusted to the observed ϵ . **(a,e)** Near-field ϵ_{NEAR} , **(b,f)** Far-field ϵ_{FAR} , **(c,g)** Background ϵ_{BACK} , **(d,h)** $\epsilon_{\text{MOD}} = \epsilon_{\text{NEAR}} + \epsilon_{\text{FAR}} + \epsilon_{\text{BACK}}$.

The local dissipation ratio, q ($= 1/3$), of the generated internal tide energy, $Ec(x,y)$, and decay scale, h ($= 500$ m), in near-field ϵ_{NEAR} have been proposed in previous numerical and observational studies^{24,25}. The decay scale, h , may not be constant, but might depend on the amplitude of tidal flow and the horizontal wavenumber of the bottom topography²⁷. The data corresponding to this study were insufficient to determine h because a large portion of the CTD casts could only descend to a depth of approximately 2000 m, failing to reach the sea floor. Furthermore, the dissipation rate ϵ , from most of the full-depth casts decreased toward the bottom and did not exhibit the exponential decay structure from the bottom. The bottom-enhanced structure was observed only in the Ngulu Atoll at 8°N 137°E and the Emperor Seamount at 47°N 170°E, both of which are strong internal tide generation sites with high baroclinic energy conversion from barotropic tides. To consider the spatial differences

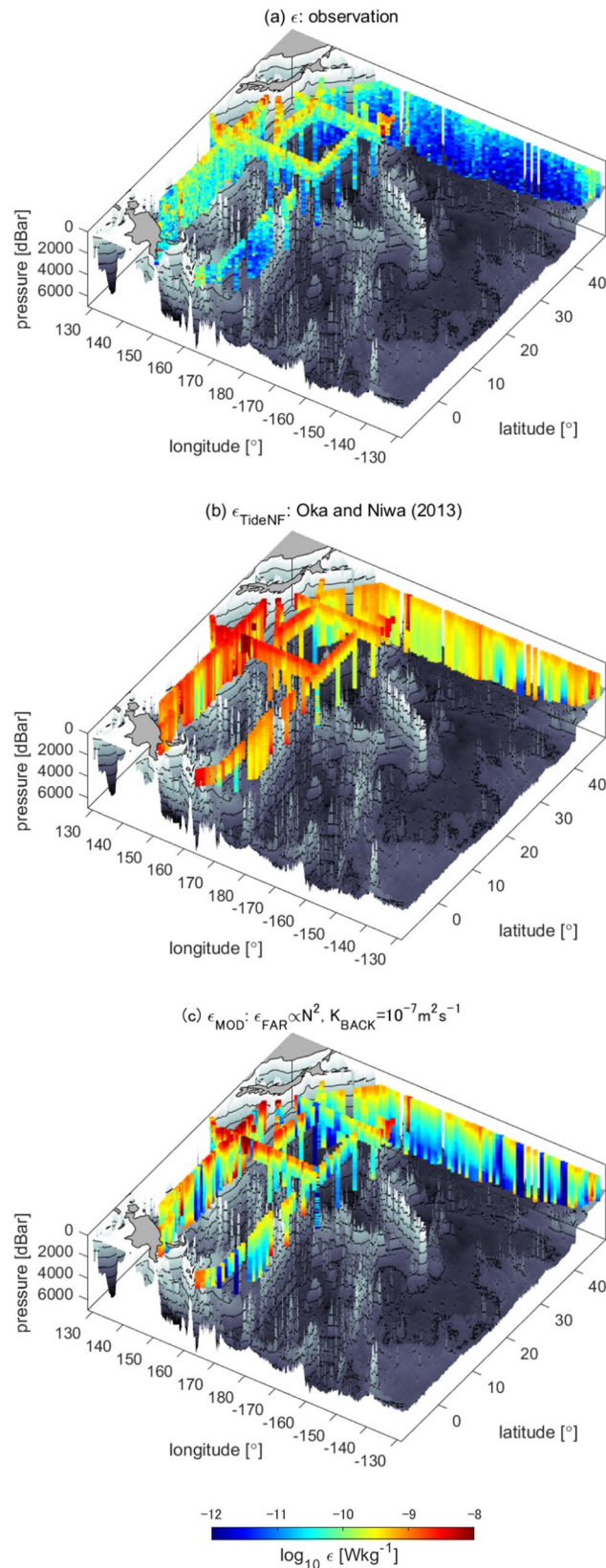


Figure 8. Comparison of 3-D ϵ distributions. Comparison of ϵ (a) Based on the CTD-attached thermistor observations, (b) based on the OGCM², which reproduced the radio-carbon isotope ratio in the Pacific, and (c) based on the modified model, within which the far-field ϵ is proportional to the squared buoyancy frequency, N^2 , and the background value of ϵ is based on the observed minimum diapycnal diffusivity, $K_p = 10^{-7} \text{m}^2 \text{s}^{-1}$, to make the model distribution closer to the observations.

	$\varepsilon < 10^{-10} \text{ W kg}^{-1}$ is unchanged (original)	$\varepsilon < 10^{-10} \text{ W kg}^{-1}$ is $\varepsilon_{\text{BACK}}$ (minimum)	$\varepsilon < 10^{-10} \text{ W kg}^{-1}$ is 10^{-10} (maximum)
$\tau = 1.9$ (ms)	0.742 (0.738–0.745)	0.398 (0.392–0.404)	3.13 (3.06–3.19)
$\tau = 3.0$ (ms)	1	0.572 (0.563–0.582)	3.70 (3.63–3.77)
$\tau = 5.6$ (ms)	2.23 (2.21–2.25)	1.49 (1.46–1.53)	6.18 (6.10–6.26)

Table 1. Uncertainties in ε based on observations. The geometric means of the ratios between the modified ε (changing τ and ε in $\varepsilon < 10^{-10} \text{ W kg}^{-1}$) and the original ε (the case of the middle row, the left column), with 95% confidence intervals of the bootstrap method are in the parentheses. The 200 m-mean data set of the ε values corresponding to all observation points of the eight cruises were used. The three values correspond to the uncertainty of the individual thermistors whose time constant based on spectrum double-pole correction ranges between 1.9 and 5.6 ms. Another source of uncertainty is the weak turbulence region, $\varepsilon < 10^{-10} \text{ W kg}^{-1}$, where ε is set to the background value (the middle columns), $\varepsilon_{\text{BACK}}$, or fixed at 10^{-10} (the right column). $\varepsilon_{\text{BACK}}$ is based on the observed minimum diapycnal diffusivity, i.e. $\varepsilon_{\text{BACK}} = K_p \cdot N^2 \cdot \Gamma^{-1} = 10^{-7} \cdot N^2 \cdot 0.2^{-1}$.

in h , it was necessary to accumulate bottom-reaching ε data. Additionally, in this study, parameter q was also constant; possibly dependent on the latitude because diurnal internal waves cannot propagate at high latitudes with a possibility of local dissipation intensifying^{28,29}. To consider the latitudinal dependence of q , meridional observations at the sea floor covering high latitudes are required.

For far-field dissipation, ε_{FAR} , the vertical structure proportional to N^2 in ε_{MOD} was more suitable for the reproduction of the observational distribution than the simple vertically uniform ε_{FAR} in $\varepsilon_{\text{TideNF}}$. This dependency on N^2 is consistent with the theory⁶, where $\varepsilon \propto N^2 E^2$ (E represents the fine-scale energy density) in the GM internal wave field¹⁴. Additionally, the theory⁶ served as the basis for the existing fine-scale parameterizations^{7–10}. However, further research is required to explain how this N^2 -dependence is established from tide-induced internal wave fields.

It is worth noting that the model-based energy dissipation $Ed(x,y)$, and baroclinic energy conversion $Ec(x,y)$, were much greater than the depth-integrated observed $\int \rho \varepsilon dz$ regardless of the high correlation coefficients. Ec (Ed) was 5 (13) times greater than $\int_{\text{bottom}}^{100 \text{ m}} \rho \varepsilon dz$ (Fig. 3b). This magnitude of difference has also been reported between directly measured ε and model-based forcing and dissipation estimates¹³, suggesting that dissipation takes place in locations other than the observed sites, or close to the bottom or the surface, where the present observations did not fully cover. However, this will be a subject of future studies owing to the uncertainties in both the observations and models.

The background diffusion obtained in model² and those obtained in other modelling studies^{29,30} $K_{\text{BACK}} = 10^{-5} \text{ m}^2 \text{ s}^{-1}$, were two orders of magnitude larger than the minimum value obtained in this study, and the total background energy dissipation accounted for more than 20% of the total dissipation in the upper 1000 m. K_{BACK} was reduced to the observed minimum in this study. K_{BACK} could increase if the dissipation by the near-inertial internal waves forced by winds³¹ or internal lee waves due to current-topography interactions³² were included in $\varepsilon_{\text{BACK}}$. Although the dissipation related to N^2 was included in a component of the tide-generated dissipation ε_{FAR} , which constituted a major part of the internal tide-induced energy dissipation in this study, it might have been appropriate to include it in $\varepsilon_{\text{BACK}}$ if the wind and internal lee waves have a large contribution to the dissipation related to N^2 .

In this study, direct microstructure observations were conducted in the deep North Pacific using fast-response thermistors attached to CTD frames. After careful data quality control, through which data resulting from the movement of the frames was eliminated, the basin-scale distribution of turbulence energy dissipation rate ε , was revealed. High ε values were observed over the rough topography close to seamounts and ridges, where reportedly, internal tides are generated. The ε values from the micro-temperature were found to be comparable with that of the previous fine-scale parameterization estimation in the interior ocean within a factor of three. Additionally, they also showed correlation with the internal tide energy dissipation and the squared buoyancy frequency, N^2 . However, these ε values were 1/10 times smaller than those obtained using the previous OGCM, which reproduced the deep Pacific water-masses fields. To adjust $\varepsilon_{\text{TideNF}}$ to the observed ε , we proposed another parameterization. By adjusting the vertical structure that is far from the internal tide generation sites (far-field) to be proportional to N^2 , and by reducing the background constant diapycnal diffusivity to $10^{-7} \text{ m}^2 \text{ s}^{-1}$, the difference was modified to be within a factor of three. This indicates that widespread observations using the CTD-attached thermistors with higher spatial and temporal resolutions can contribute to a more realistic representation of diapycnal diffusivity distribution in OGCMs in the near future.

Methods

Observational data. A total of 438 vertical profiles of micro-temperature data were obtained during the cruises of the R/V Keifu Maru, R/V Ryofu Maru, R/V Mirai, and R/V Hakuho Maru vessels in the North Pacific (see Table S1 in the “Supplementary Information”). Full-depth observation of the sea floor was performed at all the stations along the 47°N and 137°E sections. In the other sections, measurements were performed at several stations down to a depth of 2000 m, with some being full-depth observations. For each location, a one-time measurement was performed. The station locations are shown in Fig. 1.

The micro-temperature profilers, Micro Rider 6000 and AFP07, both manufactured by Rockland Scientific Inc. (Canada), were installed on CTD frames of the cruises. Micro Rider 6000 was installed in the MR-14-04 cruise and AFP07 was installed in the remaining cruises. To avoid measurement of artificial turbulence caused

by the frames, the two fast-response thermistors (Fastip Probe model 07; henceforth FP07) were attached close to the bottom of the frames (Fig. 1 of the study²¹). Probes whose spectra fit the universal spectrum better was used in this analysis.

Estimation of the energy dissipation rate, ε . Turbulent energy dissipation rates (ε) were estimated using the relationship, $\varepsilon = (2\pi)^4 k_B^4 \nu \kappa^2$, where ν represents the kinematic viscosity ($\text{m}^2 \text{s}^{-1}$), κ represents the molecular thermal diffusivity ($\text{m}^2 \text{s}^{-1}$), and k_B represents the Batchelor wavenumber of the temperature spectrum (cpm). We estimated k_B by fitting the universal spectrum to the observed temperature gradient spectrum^{33,34}. The data processing was the same as in a previous study²¹, based on the maximum likelihood estimation method³⁵. Each spectrum was determined from a profile segment within 1 s and corrected using the double-pole low-pass filter function³⁶. Considering that each thermistor was not calibrated, the time constant, which represents the effect of smoothing the microstructures due to relatively slow sensor response, was fixed at 3 ms²⁰.

Time constant dependence of the sensor fall rates has been demonstrated in some previous studies^{36,37}. The faster the sensor fall rate, the smaller the time constant becomes; thus, the required correction is also smaller. However, making corrections considering this characteristic could result in underestimation in areas with strong turbulence²¹. When the sensor falls with a higher speed, higher frequencies are necessary to determine the Kraichnan spectrum. These higher frequencies could be significantly attenuated, making it difficult to attain full correction, regardless of the use of double- or single-pole functions. Consequently, the smaller correction associated with the higher speed results in underestimation. Accordingly, the dependence of the time constant on the sensor speed was not considered in this study.

To estimate k_B , we only used the spectrum in the lower frequency domain avoiding using the high frequencies dominated by electrical noise, which was determined by comparing the noise spectrum obtained with dummy probes in our laboratory. The form of the fitted universal spectrum was the one³⁴ with the fixed universal constant, $q_K = 5.26$ ^{38,39}. After the fitting, automatic rejection criteria⁴⁰ based on the shape of the observed spectrum were applied on each spectrum to eliminate poorly fitted data.

Data screening. Given that the CTD-attached fast-response thermistor is a new platform for the measurement of turbulence, a specific method for quality control was necessary. At times, not-free-fall measurements generate artificial turbulence due to the CTD-frame or probes themselves, causing the overestimation of ε as discussed in a previous study²¹. Such overestimation is frequently observed under the small descending rate [W (ms^{-1})] and/or the large standard deviation of W [W_{sd} (ms^{-1})], when data from the R/V Hakuho Maru and R/V Shinsei Maru are used. By eliminating the data satisfying $W_{sd} > 0.2W - 0.06$, the CTD-attached thermistor could estimate the energy and thermal dissipation rate in a manner comparable to that of a free-fall thermistor²¹. However, conditions generating artificial turbulence depend on the mode of operation, which might be different from one research vessel to another²². Data from the three other ships, the R/V Ryofu Maru, R/V Keifu Maru, and R/V Mirai, in addition to those from the R/V Hakuho Maru were used in this study. Therefore, it was expected that the turbulence data quality would be maintained. Here, we updated the condition for the elimination of the outliers by re-examining the data from the R/V Ryofu Maru (Supplementary Fig. S1).

Before data screening (Fig. S1b), relatively large ε values ($> 10^{-8} \text{ W kg}^{-1}$) were observed in the entire depth range at latitudes 2–3°N, 13°N, 17–19°N, and 24–30°N, corresponding to the large W_{sd} (Fig. S1a), which could be caused by ship rolling or pitching due to large surface waves owing to rough sea states. These overestimated ε values were efficiently removed by the rejection criteria, $W_{sd} > 0.2W - 0.06$ ²¹ (Fig. S1c). However, large ε patches remained at the intermediate-to-deep levels as well as in the casts with large W_{sd} .

When the vertical profiles of the ε values based on the CTD-attached method as well as the fall rate, W , and W_{sd} were carefully examined, the spikes of the ε values were eliminated based on the rejection criteria^{21,40} (the red dots in Fig. S2). However, in areas with small W and W_{sd} values, unnaturally large ε values, above $10^{-8} \text{ (W kg}^{-1}\text{)}$ remained. Reportedly, decelerating W can cause artificial turbulence with a higher downward momentum, and such turbulence is considered to keep up with the sensors at around the local minimum of the fall rate W_{min} (also in Fig. 7 in the paper²¹). Overestimated data at W_{min} were not necessarily removed by the rejection criterion $W_{sd} > 0.2W - 0.06$ because W_{sd} can also be very small in some cases. By eliminating data at W_{min} in addition to that in the range $W_{sd} > 0.2W - 0.06$, almost all the spurious ε values were removed (Fig. 2d). These combined rejection criteria of $W_{sd} > 0.2W - 0.06$ and W_{min} were used in this study.

Dependence of ε on individual thermistors. Temperature spectra obtained from thermistors are attenuated at high frequencies; thus, they need to be corrected. The form of the correction functions were single- or double-pole low-pass filters^{36,41} with the time constant, τ , which is different for individual probes of the same type due to the differences in glass coatings⁴². Since ε varies as τ changes, it was necessary to consider the range of τ within which the probe was not calibrated. In this subsection, the uncertainty of τ was found to be between 1.9 and 5.6 ms in the double-pole low-pass filter function based on the following analysis. The ε from seven FP07 thermistors (ε_T) were compared with the ε values measured simultaneously using shear probes (ε_S). The free-fall vertical microstructure profiler (VMP2000) manufactured by Rockland Scientific Inc. was employed in the four cruises (Table S3). Data processing was based on the results of a previous study²⁰.

It is worth noting that ε_T is consistent with ε_S within a factor of three for all the thermistors examined in this study. Although the dependence of the ε_T estimate on individual thermistors is evident as shown in Fig. S3, some thermistors (serial number (S/N) 886, 1024, and 1025) showed that ε_T is larger than ε_S in the entire range of $10^{-10} < \varepsilon_S < 10^{-7} \text{ W kg}^{-1}$, whereas other thermistors (S/N 271 and 415) showed smaller values, even after all the temperature gradient spectra were corrected using the same single-pole⁴¹ (SP: $[1 + (2\pi f\tau)^2]$) and double-pole³⁶ (DP: $[1 + (2\pi f\tau)^2]^2$) correction functions with τ equal to 7 and 3 ms, respectively (Fig. S3a,b). This scatter

was likely caused by the time constant, τ , owing to differences in the glass coatings⁴². The degree of the scatter depends on turbulence intensity, ε_s , and the difference between the probes was a factor of three at a relatively strong turbulence intensity, ε_s , of approximately $10^{-7.5} \text{ W kg}^{-1}$, while it was within a factor of two at a relatively weak turbulence intensity, ε_s , of approximately $10^{-9.5} \text{ W kg}^{-1}$. The dependence of the scatter on the turbulence intensity, ε_s , could be attributed to the shift in the spectra to a higher frequency range, where attenuation is more considerable.

We estimated the time constant, τ , for individual thermistors by modifying ε_T to ε_s (Fig. S3c,d). The optimal τ range was between 3.0 and 10.2 ms for the SP and 1.9 and 5.6 ms for the DP functions. These values represent the ranges of the τ uncertainty of the thermistors with unknown time constants and are consistent with the nominal value of the time constant, 7 ± 3 ms for the SP function. Therefore, it was expected that the errors derived from the uncertainty of the time constants would be at least 3.0–10.2 (ms) (SP) or 1.9–5.6 (ms) (DP). The uncertainties of ε from the CTD-attached thermistors are discussed based on this result.

ε in the ocean general circulation model. The turbulent energy dissipation data used in the OGCM, which were referred to as TideNF², were compared with the observational data obtained in this study. The model turbulent energy dissipation originally consisted of two types of horizontally 2-D (depth-integrated) data. First, is the energy conversion rate from barotropic to baroclinic (internal) tides ($Ec(x,y)$), representing the local generation of internal tides, and second, is the local energy dissipation of the internal waves, ($Ed(x,y)$). Both datasets were obtained from a 3-D high-resolution ($1/15^\circ$) model forced by tides³ and used after being multiplied by 1.5, given that the global baroclinic conversion rate at the limit of zero grid spacing was approximately 1.5 times larger than the grid spacing of $1/15^\circ$. A 3-D distribution of energy dissipation rates $\varepsilon_{\text{TideNF}}$ was constructed using three components: (1) the near-field component, $\varepsilon_{\text{NEAR}}$, which represents the local dissipation close to the generation site of the tide-generated internal waves over the rough topography, (2) the far-field component, ε_{FAR} , which represents the dissipation of propagating internal waves away from the generation site, and (3) the background component, $\varepsilon_{\text{BACK}}$, which represents the dissipation other than (1) and (2):

$$\varepsilon_{\text{TideNF}} = \varepsilon_{\text{NEAR}} + \varepsilon_{\text{FAR}} + \varepsilon_{\text{BACK}}. \quad (1)$$

The dissipations of the three components were expressed as:

$$\begin{aligned} \varepsilon_{\text{NEAR}} &= E_{\text{NEAR}}(x,y)F_{\text{NEAR}}(z)/\rho, \\ \varepsilon_{\text{FAR}} &= E_{\text{FAR}}(x,y)F_{\text{FAR}}(z)/\rho, \text{ and} \\ \varepsilon_{\text{BACK}} &= K_{\text{BACK}}N^2/\Gamma, \end{aligned} \quad (2)$$

where ρ represents the sea water density (kg m^{-3}), N represents the buoyancy frequency (s^{-1}), K_{BACK} represents the background diapycnal diffusivity, and Γ represents the mixing efficiency. $K_{\text{BACK}} = 10^{-5} \text{ m}^2 \text{ s}^{-1}$ and $\Gamma = 0.2$ in the TideNF model². In this study, N was computed using the observed density data as corresponding to each CTD cast.

$F(z)$ represents the vertical structures of the near- and far-field components as in a previous study²:

$$\begin{aligned} F_{\text{NEAR}}(z) &= \frac{e^{-(H-z)/h}}{h(1 - e^{-H/h})}, \text{ and} \\ F_{\text{FAR}}(z) &= 1/H, \end{aligned} \quad (3)$$

where z is the depth (m), H is the bottom depth (m), and h is the decay scale from the bottom (m) of the near-field dissipation. This exponential decay from the bottom topography of the near-field dissipation was based on a previous modelling²⁵ and observational study²⁴. The far-field component was not based on the observations, and for simplicity, it was assumed to be vertically uniform².

The horizontally variables, E_{NEAR} and E_{FAR} represent the depth-integrated energy dissipation in the near- and far-field, respectively. They were derived as follows:

$$\begin{aligned} E_{\text{NEAR}}(x,y) &= qEc(x,y) \quad \text{if } qEc \leq Ed, \\ E_{\text{NEAR}}(x,y) &= Ed(x,y) \quad \text{if } qEc > Ed, \text{ and} \\ E_{\text{FAR}}(x,y) &= Ed(x,y) - E_{\text{NEAR}}(x,y), \end{aligned} \quad (4)$$

where Ec represents the energy conversion rate from the barotropic tide to the baroclinic internal tide, Ed represents the depth-integrated energy dissipation in each water column (the sum of E_{NEAR} and E_{FAR}), and q represents the ratio of local dissipation to the generated baroclinic energy (it was set to the constant value, $q = 0.33$)^{2,24}. Ec and Ed were calculated numerically³ using 3-D Navier–Stokes equations under hydrostatic and Boussinesq approximations as follows:

$$\begin{aligned} Ec(x,y) &= \int_0^H g\rho'w_s \, dz, \text{ and} \\ Ed(x,y) &= Ec(x,y) - \int_0^H \left[\frac{\partial}{\partial x} (\overline{p'u'}) + \frac{\partial}{\partial y} (\overline{p'v'}) \right] dz, \end{aligned} \quad (5)$$

where g represents acceleration due to gravity, ρ' represents the deviation of sea water density from the basic field associated with the baroclinic tide motions, w_s represents the vertical velocity resulting from the interaction between the barotropic tidal flow and the bottom topography, and the overbar denotes the time average. u' , v' , and p' represent the eastward and northward velocities and the pressure perturbations associated with baroclinic tidal motions, respectively.

In this study, the distribution of the energy dissipation rate was examined given that diapycnal diffusivity depends on buoyancy frequency, mixing efficiency, and energy dissipation rate, and these three factors can be different in the model and in the observations. Examples of the spatial distribution of $\varepsilon_{\text{TideNF}}$ using the observed buoyancy frequency field are shown in Fig. 5. $\varepsilon_{\text{NEAR}}$ was large close to the rough bottom topography, which is characterised by a large baroclinic energy. Farther from the bottom, $\varepsilon_{\text{TideNF}}$ was dominated by ε_{FAR} and $\varepsilon_{\text{BACK}}$. Additionally, ε_{FAR} was assumed to be vertically uniform, and it depends only on the horizontally variable dissipation of remotely generated internal waves. $\varepsilon_{\text{BACK}}$ was large in the upper ocean because it is proportional to N^2 . Accordingly, $\varepsilon_{\text{BACK}}$ accounted for more than 20% of all the dissipation rates in the upper 1000-m level.

Data availability

The datasets generated during this study are available in the following repository: https://ocg.aori.u-tokyo.ac.jp/omix/GOTO_et_al_SREP2020/. MATLAB was used in generating all the figures, except for Fig. 1.

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Author contributions

Y.G. analysed the data and wrote the manuscript. I.Y. led the project and supervised the research. S.K. and T.N. conducted the observations. M.N. initiated the CTD-attached FP07 analysis.

Competing interests

The authors declare no competing interests.

Additional information

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