# 1 Neonatal systemic gene therapy restores cardiorespiratory function in a rat model of Pompe disease

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## 42 ABSTRACT

43 Absence of functional acid- $\alpha$ -glucosidase (GAA) leads to early-onset Pompe disease with cardiorespiratory and neuromuscular failure. A novel Pompe rat model ( $Gaa^{-}$ ) was used to test the hypothesis that neonatal 44 45 gene therapy with adeno-associated virus serotype 9 (AAV9) restores cardiorespiratory neuromuscular 46 function across the lifespan. Temporal vein administration of AAV9-DES-GAA or sham (saline) injection 47 was done on post-natal day 1; rats were studied at 6-12 months old. Whole-body plethysmography showed that reduced inspiratory tidal volumes in Gaa--- rats were corrected by AAV-GAA treatment. Matrix-48 49 assisted laser desorption/ionization mass spectrometry imaging (MALDI) revealed that AAV-GAA 50 treatment normalized diaphragm muscle glycogen as well as glycans. Neurophysiological recordings of 51 phrenic nerve output and immunohistochemical evaluation of the cervical spinal cord indicated a neurologic benefit of AAV-GAA treatment. In vivo magnetic resonance imaging demonstrated that impaired cardiac 52 53 volumes in Gaa<sup>-/-</sup> rats were corrected by AAV-GAA treatment. Biochemical assays showed that AAV 54 treatment increased GAA activity in the heart, diaphragm, quadriceps and spinal cord. We conclude that neonatal AAV9-DES-GAA therapy drives sustained, functional GAA expression and improved 55 cardiorespiratory function in the *Gaa*<sup>-/-</sup> rat model of Pompe disease. 56

### 58 INTRODUCTION

59 Pompe disease results from mutations in the gene encoding acid-α-glucosidase (GAA), an enzyme essential 60 for the degradation of lysosomal glycogen. A recent evaluation of >11M newborns screened for Pompe 61 disease indicates that prevalence is approximately 1 per 19,000 births.<sup>1</sup> Infantile-onset Pompe disease 62 (IOPD) patients lack functional GAA protein and experience cardiorespiratory failure if untreated.<sup>2</sup> 63 Deficiency in GAA results in widespread glycogen accumulation and disruption of cellular architecture and 64 function in cardiac, skeletal, and smooth muscle, as well as neurons, particularly motor neurons.<sup>3,4</sup>

65 The standard treatment for Pompe disease is enzyme replacement therapy (ERT), which requires biweekly intravenous infusion of recombinant human GAA protein. ERT has high medical costs, and patients with 66 67 little or no residual GAA expression often respond poorly. Furthermore, ERT does not effectively target the central nervous system (CNS) pathology<sup>5-8</sup>, a critical consideration given the growing evidence of CNS 68 involvement in Pompe disease <sup>5,9</sup>, including the potential contribution to respiratory failure.<sup>10-13</sup> 69 70 Consequently, ERT has limited success in preventive respiratory failure.<sup>4</sup> A clinical trial targeting the diaphragm muscle<sup>14,15</sup> as well as data from mouse models<sup>16-18</sup> indicate that gene therapy using adeno-71 72 associated virus (AAV) is a viable approach to treat Pompe disease. A particular advantage of AAV-GAA therapy is the ability to effectively target skeletal and cardiac muscle as well as the CNS.<sup>19</sup> 73

74 In this study we assessed the effectiveness of an AAV gene therapy to treat Pompe pathology in a new Gaa-75 null rat model of Pompe disease, which exhibits neuromuscular glycogen accumulation and 76 cardiorespiratory dysfunction. We tested the hypothesis that initiating gene therapy in neonates would lead 77 to permanent GAA expression in the CNS, cardiac, and skeletal muscles, ultimately improving 78 cardiorespiratory function. We utilized an AA serotype 9 (AAV9) vector, encoding human GAA (hGAA) 79 driven by a desmin (DES) promoter. AAV9 was selected as previous work has demonstrated its ability to effectively drive cardiac and neuronal gene expression.<sup>16,20</sup> A comprehensive battery of outcomes measures 80 81 included cardiac imaging, plethysmographic and neurophysiologic assessment of breathing, histology, and matrix-assisted laser desorption/ionization mass spectrometry imaging (MALDI-MSI<sup>21</sup>) of tissues. The 82

- 83 results demonstrate that neonatal AAV9-DES-GAA therapy successfully drives sustained, functional GAA
- 84 expression and prevents cardiorespiratory decline in the  $Gaa^{-/-}$  rat model of Pompe disease.

## 86 **RESULTS**

87 Breathing patterns (e.g., Figure 1A) and body weight were measured repeatedly from six to twelve months 88 of age. These studies showed that AAV-GAA treatment had a profound effect on body weight as the rats 89 aged (treatment, P<0.001; Figure 1B). Thus, weight was similar between Sprague-Dawley (S-D) and AAV-GAA treated Gaa<sup>-/-</sup> rats but was substantially reduced in the phosphate buffered saline treated Gaa<sup>-/-</sup> rats. 90 91 Whole body plethysmography measurements showed that inspiratory tidal volume (VT, ml/br) was greater 92 in the AAV-GAA treated as compared to the saline-treated  $Gaa^{-}$  rats (treatment, P<0.001, Figure 1C). A significant group effect was also observed for minute ventilation (VE, ml/min; P=0.003, Figure 1D). 93 Respiratory rate (breaths per minute) showed a strong trend to be different across groups, most notably 94 versus the saline-treated  $Gaa^{-}$  rats breathing at higher rates at 6- and 9-mo compared to the gene therapy 95 96 group (treatment, P=0.057, Figure 1E).

97 We also observed that metabolic rate, as estimated by CO<sub>2</sub> production measured in the plethysmography chamber (i.e., VCO<sub>2</sub>), tended to be enhanced in Gaa<sup>-/-</sup> rats that received AAV-GAA treatment (treatment, 98 99 P=0.072, Figure 1F). The ratio of minute ventilation to metabolic rate ( $\dot{V}E / \dot{V}CO_2$ ) was consistent with hypoventilation in saline-treated  $Gaa^{-/-}$  rats. Figure 1G shows that the  $\dot{V}E/\dot{V}CO_2$  was reduced in  $Gaa^{-/-}$  rats 100 compared to Gaa<sup>-/-</sup> rats following AAV-GAA treatment or Sprague-Dawley rats (treatment, P=0.072). 101 102 Breathing was also studied during an acute respiratory challenge achieved by brief exposure to a  $10\% O_2$ , 103 7%  $CO_2$  gas mixture. These data are shown in **Figure S1** and indicate that the ability to increase VT and 104  $\dot{V}E$  during a breathing challenge was restored by AAV-GAA treatment in Gaa<sup>-/-</sup> rats (treatment, P=0.003).

105 Continuing the focus on the respiratory system, the spatial metabolomic profile of the diaphragm muscle 106 was analyzed *ex vivo* at age 12 months using MALDI mass spectrometry imaging (MSI); example 107 diaphragm tissue images are shown in **Figure 2A**. First, MALDI-MSI revealed a major reduction in 108 diaphragm glycogen quantified by glycogen chain length abundance (cleaved with isoamylase, see 109 methods) in *Gaa<sup>-/-</sup>* rats that received the neonatal AAV-GAA treatment (**Figure 2B**). Since glycogen is 110 directly channeled to central carbon metabolism of bioenergetics, lipid biosynthesis, and complex

carbohydrate metabolism such as N-linked glycan<sup>22-25</sup>, we examined metabolome, lipidome, as well as 111 glycome using MALDI<sup>22-26</sup>. We performed hierarchical clustering based on similarity of individual 112 biological replicates. Excitingly, treatment of AAV-GAA in the Gaa<sup>-/-</sup> animals provided lifelong 113 114 normalization of the diaphragm glycome as shown by the unsupervised clustering analysis, i.e. inability to separate WT or  $Gaa^{-/-}$  treated with AAV animals by hierarchical clustering (Figure 2C). A similar 115 116 clustering heatmap analysis is performed for metabolomics datasets, and in agreement with glycome 117 analysis, metabolomics and lipidomics analyses also showed the strong impact of AAV-GAA therapy on 118 the diaphragm to return to WT metabolic profiles. (Figure 2D). Representative molecules are displayed to show strong rescue phenotype after AAV treatment in the Gaa<sup>-/-</sup> animals (Figure 2E-J). For example, 119 120 diaphragm levels of glucose, 3-phosphoglyceric acid (3PG), glycerophosphorylethanolamine, docosahexaenoic acid, and arachidonic acid were all normalized in the AAV-GAA treated Gaa-/- rats. 121

The diaphragm was histologically evaluated in a separate cohort of rats at six months of age; example photomicrographs are shown in **Figure 3A**. Saline-treated  $Gaa^{-/-}$  rats had a reduction in the size (crosssectional area, CSA) of Type I (treatment, P=0.002) and Type IIb/x diaphragm myofibers (treatment, P<0.001) as compared to Sprague-Dawley rats (**Figure 3B**). However, myofiber size was normalized in  $Gaa^{-/-}$  rats following the AAV-GAA treatment, with values comparable to that observed in Sprague-Dawley rats. We also observed an impact of AAV-GAA treatment on the overall number of diaphragm Type IIb/x fibers (treatment, P<0.001) as shown in **Figure 3C**.

Direct recordings from the phrenic nerve (e.g., **Figure 4A**) were performed in anesthetized rats at 5-6 months of age. Neurophysiology experiments were performed to evaluate if the neural drive to the diaphragm was impacted by AAV-GAA treatment in  $Gaa^{-/-}$  rats. Recordings were performed under controlled conditions in which arterial blood gases were monitored and standardized between groups. All baseline recordings were made with the end-tidal CO<sub>2</sub> at 4 mmHg above the threshold for evoking inspiratory bursting. We observed that in Pompe rats phrenic motor output was unstable if the CO<sub>2</sub> values were below this value. As shown in **Figure 4B**,  $Gaa^{-/-}$  rats treated with AAV-GAA had evidence of

increased neural drive to the diaphragm as reflected by the amplitude of the inspiratory efferent phrenic burst. This observation however did not reach statistical significance (treatment, P=0.060). Like the breathing data collected in awake rats, the respiratory rate (bursts per minute) was similar between  $Gaa^{-/-}$ saline- and AAV-GAA treated rats (treatment, P=0.806, **Figure 4C**). We also evaluated heart rate (beats per min) during these experiments and observed an elevated rate in AAV-GAA *vs.* saline treated  $Gaa^{-/-}$  rats

141 (treatment, P=0.036).

142 The suggestion of an impact on efferent phrenic neural output (Figure 4B) and the demonstration of larger 143 inspiratory VT after AAV-GAA treatment (Figure 1B) led us to histologically examine the spinal cord 144 (n=2 each group), focusing on the region of the phrenic motoneurons that innervate the diaphragm (e.g., 145 mid-cervical ventral horn). A glycogen antibody was used to visually assess the presence and localization of glycogen in the cervical spinal cord (Figure 5A). Neurons in the anterior horn of the spinal cord of the 146 Gaa<sup>-/-</sup> rat showed the prototypical histopathology that is well established in Pompe disease, including a 147 148 swollen soma and glycogen accumulation. Further, these neurons displayed large accumulation of glycogen 149 On qualitative examination, positive staining for neuronal glycogen was within the cell bodies. 150 considerably reduced in  $Gaa^{-}$  rats that were treated with AAV-GAA (Figure 5B). This was evidenced by 151 a reduction in glycogen positive puncta within the soma of motor neurons in the ventral horn of the spinal 152 cord. Tissue sections were also stained for astrocytes (GFAP) and microglia (Iba1). GFAP staining was 153 abundant in the grey matter regions of the anterior horn of the spinal cord. Qualitatively, a higher density of astrocytes was observed near motor neurons in the Gaa<sup>-/-</sup> rat. In the AAV-GAA treated rats, a reduction 154 in astrocytes was observed. No apparent differences in microglia were observed between the two groups. 155 156 Figure 5C-E provides higher magnification examples of neurons in the ventral horn of the mid-cervical 157 (C4) spinal cord.

Assays to evaluate GAA activity and overall glycogen content in the heart and diaphragm were completed
in a cohort of rats at age 6 months. As shown in Figure 6A, AAV-GAA treatment produced a substantial
increase in GAA activity, with a corresponding reduction of glycogen in both tissues. The GAA activity

assay was repeated at age 12 months, with demonstration of sustained increases in GAA activity in the
heart, diaphragm, quadriceps, and spinal cord (Figure 6B). Biodistribution studies confirmed AAV vector
genome detection in the central nervous system and diaphragm, but with highest levels expression in liver
and heart (Figure S2).

165 A comprehensive cardiac evaluation using MRI and ECG was performed in a cohort of rats at age 6 mo. 166 Representative short-axis cardiac MRI images are shown in Figure 7A. Ex vivo assessment of the heart 167 weight to body weight ratio (HW:BW) is shown in **Figure 7B**.  $Gaa^{-/-}$  rats exhibited cardiomegaly with a 168 52% increase in HW:BW vs. Sprague-Dawley rats. However, the HW:BW ratio was normalized in Gaa-/-169 rats treated with AAV-GAA (Figure 7B). The MRI data were used to calculate cardiac volumes, and 170 cardiac output was increased after AAV-GAA treatment (Figure 7C). Stroke volume (Figure 7D) and 171 ejection fraction (Figure 7E) were variable in untreated Pompe rats but became much more consistent after AAV-GAA treatment. End-systolic and end-diastolic volumes (Figure 7F) were normalized in Gaa<sup>-/-</sup> rats 172 173 following the AAV-GAA treatment, as was volume index (Figure 7G).

ECG was recorded using a 5-lead telemetry system; a summary of these measurements is shown in Figure
8. The R-R interval and R-wave amplitude were normalized in *Gaa*<sup>-/-</sup> rats that received the AAV-GAA
therapy. Glycogen levels in the cardiac conduction system is one of the most sensitive measures of
glycogenosis in IOPD.

178 Additional experiments provided an unbiased genome-wide screening of mRNA expression in the 179 diaphragm and heart in Gaa<sup>-/-</sup> rats at 6 months age. This work was done as part of the validation of the rat 180 model and did not include an AAV treated cohort. The results highlight the wide-ranging impact of GAA 181 deletion and included to provide insight regarding the pathways that are impacted in Pompe disease. The 182 GO ontology enrichment analysis is summarized in **Tables S1-S4**. Down-regulated genes in the  $Gaa^{-/-}$ 183 diaphragm indicate a marked decrease in the expression of genes involved in mitochondrial function, 184 including those associated with the tricarboxylic acid (TCA) cycle, fatty acid metabolism. The GO analysis for up-regulated genes in the Gaa<sup>-/-</sup> diaphragm indicated activation of endoplasmic reticulum (ER)-185

186 associated pathways, including protein processing and the response to ER stress. Genes related to the immune response were also strongly upregulated. GO analysis for down-regulated genes in the Gaa-/- heart 187 188 showed significant enrichment in ion transport pathways, specifically potassium ion transport and 189 transmembrane channel activities. Pathways associated with fatty acid metabolism and lipid modifications 190 were also down-regulated, consistent with alterations in lipid utilization and energy production. Analyses of up-regulated genes in the  $Gaa^{-/-}$  heart revealed enrichment in immune-related processes, particularly 191 192 innate immune response pathways, as well as extracellular matrix organization pathways. Additionally, the 193 activation of metabolic processes, including those related to organic compound response and insulin-like 194 growth factor signaling, points to metabolic stress and adaptations in the  $Gaa^{-/-}$  heart.

#### 196 **DISCUSSION**

197 Our findings demonstrate that neonatal systemic treatment with AAV9-GAA leads to sustained correction 198 of cardiorespiratory function in the Pompe rat model. Notably, the diaphragm muscle showed near-199 complete correction, as determined by spatial metabolomics and histological analysis. Whole-body 200 plethysmography and phrenic nerve recordings further indicated improvements in respiratory function. 201 Additionally, cardiac function was dramatically improved following gene therapy as shown by MRI and 202 electrophysiological assessments. Collectively, these results contribute to the growing body of evidence supporting the efficacy of gene therapy in treating the most severe form of early onset Pompe disease.<sup>19,27-</sup> 203 29 204

205 Cardiorespiratory failure in Pompe disease and the Gaa<sup>-/-</sup> rat model. Early-onset Pompe disease occurs 206 when functional GAA protein is absent or reduced, and this results in progressive hypertrophic 207 cardiomyopathy<sup>30</sup>. Respiratory insufficiency is a hallmark of early-onset Pompe disease<sup>4,31</sup> and can often 208 be one of the first symptoms observed<sup>30</sup>. Both cardiac and respiratory impairments contribute to 209 cardiorespiratory failure, which is the leading cause of mortality in the early-onset Pompe patients<sup>30</sup>.

210 The Gaa-null rat model described here closely mirrors many of the cardiorespiratory dysfunctions seen in 211 IOPD disease. Cardiac MRI and post-mortem assessments confirmed the presence of cardiomegaly, with reduced cardiac volumes and ECG abnormalities compared to the unaffected control Sprague-Dawley rat. 212 Whole-body plethysmography recordings in awake  $Gaa^{-/-}$  rats revealed altered breathing patterns including 213 214 reduced inspiratory tidal volume. There was also an indication of hypoventilation, which is consistent with the progressive respiratory failure seen in Pompe patients. <sup>32</sup> Additionally, the Gaa<sup>-/-</sup> rat displayed the 215 prototypical histopathological changes in spinal motoneurons<sup>5,8</sup> and the diaphragm<sup>33</sup>, as commonly 216 observed in Pompe disease. 217

The majority of preclinical gene therapy and/or histopathology studies of Pompe disease have utilized the *Gaa*-null mouse model created by Raben.<sup>34</sup> This model has been foundational for understanding disease mechanisms<sup>6-8</sup> and advancing gene therapy treatments<sup>16-18,35</sup>. There is one prior report of a *Gaa*-null Pompe

rat model, which similarly displayed glycogen accumulation, cardiomegaly, and reduced body weight.<sup>36</sup> In 221 that study, the Pompe rats showed early mortality, with death occurring by age 8 months of age, which is 222 earlier than in Pompe mouse models<sup>8</sup>. In the current report, Pompe rats also showed early mortality, with 223 224 50% of rats surviving to 10 months of age (Figure S3). Overall, the data reported here are consistent with previous findings<sup>36</sup>, further establishing the  $Gaa^{-}$  rat as a valuable model for studying the pathophysiology 225 226 and treatment of Pompe disease. Further, mRNA gene array data (e.g., Tables S1-4) provide a hypothesis-227 generating resource for exploring the molecular mechanisms underlying neuromuscular decline in the 228 absence of GAA activity. In the diaphragm, up-regulated genes were enriched in ER stress and immune 229 response pathways, while down-regulated genes indicate compromised mitochondrial function and 230 disrupted energy metabolism. In the heart, up-regulated genes suggest immune activation and extracellular 231 matrix remodeling, while down-regulated genes highlight dysregulation in ion transport and lipid 232 metabolism. These results collectively indicate distinct pathophysiological changes in muscle and cardiac 233 tissues in response to Pompe disease, potentially driving dysfunction in a tissue-specific manner.

*Early-life AAV therapy in Pompe disease.* An accumulation of data from animal models and initial clinical trials supports<sup>14,37</sup> the use of gene therapy approaches in Pompe disease. While further optimization of viral vectors and immune management<sup>19,28,29</sup> is required, momentum is growing for clinical gene therapy treatments in Pompe patients. With the expansion of newborn screening for Pompe disease in developed countries <sup>38-40</sup>, the possibility of initiating gene therapy treatments at very early stages of disease is becoming increasingly feasible, as demonstrated in the current study.

Here, we utilized early-life systemic AAV9-GAA treatment to achieve widespread GAA activity and correct cardiorespiratory function. Prior studies have shown that intramuscular AAV9-GAA administration can effectively correct pathology in targeted skeletal muscles.<sup>17,41</sup> Further, the retrograde movement of the viral vector following an intramuscular delivery can drive robust GAA expression in motor neurons<sup>17</sup>. Thus, intramuscular AAV delivery offers a powerful strategy for targeting gene expression across the entire motor unit (*i.e.*, both myofibers and motor neurons). This method is highly effective at targeting lingual motor

units in Pompe models.<sup>17,41</sup> However, to fully prevent cardiorespiratory decline, systemic gene therapy
 treatments capable of targeting the heart, skeletal muscles, and CNS will be required.<sup>19</sup>

248 The recent study by Munoz et al. treated 4-week-old Pompe rats with an AAV-GAA vector incorporating an optimized muscle-specific promoter and a transcriptional cis-regulatory element.<sup>36</sup> Following systemic 249 250 delivery via tail vein, muscle glycogen levels, mass, and strength were normalized when evaluated at 20 251 weeks of age (i.e., 4-mo post-treatment). Thus, this approach was highly effective in correcting both skeletal 252 and cardiac pathology. A clinical concern, however, is that correcting muscle function without addressing CNS pathology is likely to lead to the emergence of a neural phenotype.<sup>42</sup> Additionally, data from Pompe 253 patients <sup>10,11,13</sup> and animal models<sup>8,43</sup> indicate that the neural control of breathing progressively worsens 254 with aging, likely due to prominent pathology in respiratory motoneurons <sup>5,7</sup>. To address this issue, Keeler 255 256 et al. aimed to target both muscle and the CNS by treating Pompe mice with an AAV vector (AAVB1) that has high tropism for skeletal muscle and the CNS 18 Three-month-old Pompe (Gaa-/-) mice were 257 258 systemically treated with AAVB1 encoding GAA, which led to GAA expression in the heart and 259 diaphragm, resulting in sustained improvements in respiratory function.

260 Building on prior work, the current experiments make a few key advances. First, we demonstrate sustained 261 correction of cardiac size and ECG activity in Gaa-null rats. This was accompanied by correction of cardiac 262 function following AAV-GAA treatment, as shown by a comprehensive evaluation using MRI. Second, we used spatial metabolomic methods <sup>44</sup> to demonstrate the remarkable impact of AAV-GAA on the diaphragm 263 264 muscle. Specifically, diaphragm glycans were normalized post-gene therapy, which is fundamentally 265 important in a glycogen metabolic disorder such as Pompe. Finally, gene therapy-treated rats showed a 266 normalized breathing pattern across development (i.e. 6-12 mo.). Both inspiratory tidal volume and minute 267 ventilation were considerably increased in AAV-GAA-treated rats compared to saline treated Pompe rats. This improvement was further supported by neurophysiological recordings of the phrenic nerve and 268 269 histological evaluation of neuron morphology, suggesting a positive impact of GAA replacement on 270 respiratory neural control circuits.

Conclusion. We conclude that early-life treatment with an AAV9 vector driving GAA expression can lead 271 to sustained cardiorespiratory correction in a Pompe rat model. This adds to the body of work supporting 272 the potential of gene therapy for IOPD disease <sup>19,28,29</sup>. With the expansion of newborn screening for Pompe 273 274 disease, early detection and intervention become feasible, making early-life therapy a possibility<sup>1</sup>. However, challenges remain, particularly in managing immune responses <sup>19,28</sup>. In the current studies, AAV-275 276 GAA was delivered before rat immune system had fully matured, eliminating the need for 277 immunosuppression. Immune responses to the transgene product (i.e., GAA) will be of particular concern 278 for the cross-reactive immunologic material (CRIM)-negative early-onset Pompe patient. Nevertheless, 279 continued development of gene therapy strategies offers high potential for more stable and widespread 280 expression of GAA to address both systemic and CNS manifestations of the disease compared to current 281 approaches.

## 283 METHODS

Procedures were approved by the Institutional Animal Care and Use Committee at the University of Florida.
Rats were housed with littermates under temperature-controlled conditions with 12-hr light/dark cycles and
food and water *ad libitum*.

287 Gaa<sup>-/-</sup> rat model. Creation of the rat model is summarized in Figure S4. Zinc finger nucleases (ZFN) are DNA binding proteins that enable targeted genomic editing by producing double stranded DNA cuts. The 288 design and validation of ZFN reagents (Millipore Sigma, CompoZr® Custom ZFN) and procedures used to 289 290 create a Pompe rat model by targeting the acid alpha glucosidase (Gaa) transgene were performed as previously described.<sup>45-47</sup> The ZFN binding (shown in CAPS) and cutting (shown in lowercase) sequence 291 design is CACTGCCCTCCCAGCacatcACAGGCCTGGGTGAG. The ZFNs were delivered to Sprague 292 Dawley (SD) embryos which were then implanted in pseudo-pregnant SD females.<sup>48</sup> Initial screening of 293 294 ZFN-modified progenies identified a series of disruption to the Gaa coding sequence. Founder animals 295 were selected based on Sanger sequencing of PCR amplicons (ICBR core, University of Florida) that 296 identified a number of animals containing identical deleted sequences within the Gaa open reading frame 297 (designated Gaa<sup>-/-</sup>). Male Gaa<sup>-/-</sup> KO x Female SD rats were paired and subsequent offspring were bred to heterozygosity or homozygosity for establishment of the Pompe KO rat colony. Gaa-<sup>-/-</sup> rats were sequenced 298 299 to confirm Gaa deletion.

The present study did not directly test the impact of the AAV-GAA therapy on lifespan in the  $Gaa^{-/-}$  rat model. The study design necessitated tissue collection or physiological measurements at set endpoints. In **Figure S3** we present the survival curve obtained from our  $Gaa^{-/-}$  rat colony over the last several years. Compared to the well-established expected lifespan of the male Sprague-Dawley rat (*e.g.*, approximately 2 years<sup>49</sup>) the  $Gaa^{-/-}$  rat exhibits early mortality.

Study design. Male rats were used because respiratory decline has been reported to be more severe in males with Pompe disease<sup>50</sup>. Further, our prior report in  $Gaa^{-/-}$  mice<sup>8</sup> and initial data in rats (**Figure S5**) indicated that the respiratory phenotype in the  $Gaa^{-/-}$  rat model is more severe in males, which is consistent with

308 clinical reports that respiratory decline progresses faster in males<sup>50</sup>. Pompe rats were treated with AAV-309 GAA (see next section) or sham (saline of equal volume) on post-natal day 1. Separate cohorts were 310 evaluated for cardiac function using *in vivo* MRI (age 5-6 mo.) and respiratory function using whole body 311 plethysmography in awake rats (age 6-12 mo.) and neurophysiological recordings of the phrenic nerve 312 under anesthesia (age 5-6 mo.). Sample sizes are reported with the description of the methods for each 313 outcome measure. Tissues were harvested at 6-12 months for histological, molecular and MALDI 314 assessment.

AAV. Single stranded AAV9 vectors encoding the human GAA (hGAA) protein, driven by the desmin 315 promoter (AAV9-Des-hGAA) were used. The vector was packaged, purified, and titered at the University 316 317 of Florida Powell Gene Therapy Center Vector Core Laboratory. Vectors were purified by iodixanol gradient centrifugation and anion-exchange chromatography as previously described <sup>51</sup>. A single 318 319 intravenous injection of 5e13vg/kg AAV9-Desmin-hGAA was delivered into the temporal facial vein at 320 postnatal day 0 (P0). Briefly, rat pups were cryo-anesthetized for  $\sim 1$  minute. The vector was injected using 321 a 30G tuberculin syringe in a maximal volume of 20ul. Animals were immediately returned to their home 322 cage and monitored for recovery following the procedure.

Magnetic resonance imaging (MRI) and electrocardiography. For cardiac MRI, we studied n=6 SD, n=8 323 324 Pompe + saline, and n=9 Pompe + AAV-GAA. A 4.79T Bruker Avance spectrometer (Bruker BioSpin 325 Corporation, Billerica, MA) at the University of Florida AMRIS facility was used as previously described 326  $^{20}$ . Rats were anesthetized (1.5% isoflurane and 1L/min. oxygen) and positioned on a quadrature transmit-327 and-receive surface coil. Single short-axis slices were visualized along the left ventricle. Images were 328 acquired using IntraGate and processed using CAAS MRV 4.3 (Pie Medical Imaging, Maastricht, The 329 Netherlands) throughout the complete heartbeat cycle. Images at end systole and end diastole were analyzed to obtain systolic volume (SV), cardiac output (CO), ejection fraction (EF), end systolic volume (ESV), end 330 331 diastolic volume (ESV), end systole (ES) and end diastole (ED) mass.

Electrocardiography (ECG) recordings were performed as previously described<sup>20</sup> at 3 and 5.5-6 months of age in SD (n=5), Pompe+saline (n=7) and Pompe+AAV-GAA (n=9). Briefly, rats were anesthetized using 1.5% isoflurane and 1L/minute oxygen. Five electrode leads were placed in the tail, left lower leg, right scapular region, right forelimb, and left forelimb to acquire steady ECG tracings using AD Instrument Chart software. The Q amplitude, R amplitude, RR interval, and PR interval were averaged over a period of 3min. and reported as a single value for each rat.

338 Plethysmography. A flow-through whole body plethysmograph was used to measure overall ventilation in awake, freely moving animals as described previously<sup>52</sup>. A CO<sub>2</sub> sensor was at the outflow point enabled 339 340 measurement of metabolic CO<sub>2</sub> production ( $\dot{V}CO_2$ ) using Fick's principle <sup>53</sup>. The experimental protocol 341 consisted of a 45-minute baseline under normoxic air (21% O<sub>2</sub>, 79% N<sub>2</sub>), followed by 7-min hypoxic (10.5% 342  $O_2$  balance  $N_2$ ) period, followed by a 20-min post-hypoxia recording period under normoxic air, finally ending with a 7-minute maximal chemoreceptor challenge (10.5% O<sub>2</sub>, 7% CO<sub>2</sub> balance N<sub>2</sub>). Rectal 343 344 temperature was assessed at the end of all recording sessions. Tidal volume was calculated using the equations developed by Drorbaugh and Fenn 54. 345

mRNA gene array. An mRNA gene array evaluation was completed for the heart and diaphragm in group of age 5 mo. male  $Gaa^{-/-}$  (n=3) and Sprague-Dawley rats (n=3) with no prior treatment. This analysis was conducted to describe the new  $Gaa^{-/-}$  rat model and to provide a hypothesis generating data set for future work. We did not have a specific *a priori* hypothesis regarding the transcriptome data, which are summarized in **Tables S1-4.** The complete RNAseq datasets are available at <u>https://odc-sci.org/</u> under "David Fuller Laboratory".

Rats were injected intraperitoneally with Beuthanasia<sup>®</sup> (150 mg/kg) solution. Tissues were harvested, placed into RNA Later (Life Technologies, Carlsbad, CA, USA), and stored at -80°C. RNA extraction was performed using TRIzol and isolated total RNA was purified using a RNeasy Mini kit (Qiagen, Valencia, CA). The resulting quantity and purity of total RNA was tested through absorbance spectrophotometry at 230, 260 and 280 nm. RNA samples were sent to the Boston University Medical Center Microarray Core

357 Facility for analysis using the Affymetrix Rat Gene Array 2.0ST. Gene expression profiles were analyzed 358 using the Rat Transcriptome Assay 1.0 microarray platform. Twelve samples were included in the study, 359 with the following groups: heart (n=3) and diaphragm tissue (n=3) from Sprague-Dawley rats, and heart 360 (n=3) And diaphragm (n=3) from Pompe rats (n=3). Microarray data were processed using the Affymetrix 361 Expression Console to obtain log2-transformed gene-level expression values, followed by normalization using the Robust Multiarray Average (RMA) method. Data quality was assessed using Relative Log 362 363 Expression (RLE) and Area Under the Receiver Operating Characteristics Curve (AUC) values, which were 364 all above 0.8, indicating high-quality data.

Differential expression analysis was conducted using a linear modeling approach. Two linear models were used to assess the effects of disease status (Pompe vs. Sprague-Dawley) and tissue type (diaphragm vs. heart) on gene expression: 1) main effects model: expression ~ disease + tissue; 2) interaction model: expression ~ disease + tissue + disease:tissue. Student's two-sample t-tests were performed for each effect, and Benjamini-Hochberg False Discovery Rate (FDR) correction was applied to control for multiple hypothesis testing. Results were considered significant at an FDR-corrected p-value (q value) threshold of <0.05. Probesets with low overall expression were filtered out to reduce the likelihood of false positives.

Differentially expressed genes were subjected to Gene Ontology (GO) analysis using the DAVID Bioinformatics Resource. Functional enrichment was assessed to identify overrepresented biological processes, cellular components, and molecular functions associated with the altered gene expression patterns. Pathways were considered significantly enriched at a p-value threshold of <0.05 after multiple testing correction. All statistical analyses and visualizations were performed using the R environment for statistical computing (version 2.15.1), and differential expression analysis utilized the limma package (version 3.14.4). Gene ontology enrichment was conducted using DAVID (Sherman et al., 2022).

379 *Phrenic nerve recordings*. Details of the surgical methods have been described  $^{55}$ . Anesthesia was initially 380 induced with 3% isoflurane and then maintained with 3% isoflurane, 65% O<sub>2</sub>, 1% CO<sub>2</sub> mixture delivered 381 via a nose cone. After demonstration of loss of pedal withdrawal and corneal reflexes rats were

382 tracheotomized, vagotomized and ventilated (VentElite, model 55-7040; Harvard Apparatus Inc.) at 65-75 383 breaths/min and tidal volume of 7 mL/kg. Urethane anesthesia was induced via femoral vein infusion (1.7 g/kg, 6 mL/h) followed by a continuous infusion of 8.4% sodium bicarbonate and lactated Ringer's (2 384 385 mL/h). Pancuronium bromide was used to induce neuromuscular blockade (3 mg/kg, IV Sigma-Aldrich, St Louis). Arterial blood pressure and partial pressure of CO<sub>2</sub> (PaCO<sub>2</sub>), O<sub>2</sub> (PaO<sub>2</sub>), and pH (ABL 90 Flex, 386 387 Radiometer, Copenhagen, Denmark) were measured via a femoral catheter. The right phrenic nerve was 388 recorded using a glass suction electrode filled with saline. Signals were amplified (Model 1700, A-M 389 systems, Everett, WA), band-pass filtered (100 Hz–3kHz), digitized (16 bit, 25,000 samples/channel, Power 1401, CED), and integrated () with a time constant of 0.05 sec using Spike2 software (Cambridge 390 391 Electronic Design, UK). The CO<sub>2</sub> appreciate threshold for phrenic bursting was determined, baseline recordings were made for 15 min, and rats were exposed to acute hypoxia (11.5%  $O_2$ ) as described <sup>55</sup>. Spike2 software 392 393 was used to record data (version 10.01, Cambridge Electronic Design). Data were analyzed using a custom MATLAB code (MathWorks, R2019a)<sup>55</sup>. 394

Vector biodistribution. The biodistribution of the AAV9-Desmin-hGAA vector was analyzed using real time PCR detection as previously described <sup>20,35</sup>. Data are expressed as vector genome/diploid genome
 (VG/dp).

*Immunohistochemistry*. Animals (WT rats (n=3),  $Gaa^{-/-}$  rats (n=3), and  $Gaa^{-/-}$  rats (n=3) injected with AAV9-Desmin-hGAA) were deeply anesthetized, euthanized by exsanguination, and transcardially perfused with chilled 4% paraformaldehyde in 0.1 M phosphate buffered saline (PBS, pH=7.4). The spinal cord was resected from brainstem to lower lumbar sections, postfixed in 4% paraformaldehyde for 24 h, and transferred to 30% sucrose in 0.1 M PBS (pH 7.4) for 3 days at 4°C. Brainstem and spinal cords were subsequently embedded in cryomolds (VWR, Radnor, PA), sectioned at 20 µm and thaw mounted directly onto slides.

405 Methods for visualizing GAA expression have been described <sup>17</sup>.Tissues were incubated overnight in 406 primary antibody (1:2000 rabbit polyclonal GAA antibody, Covance, Emeryville, CA), washed with PBS,

407 incubated in a biotinylated anti-rabbit IgG secondary antibody (1:200 Vector Laboratories, Burlingame,
408 CA), and treated with DAB for visualization with bright field microscopy.

Another set of slides were processed with primary antibodies against IBA1 (1:500; Wako # 019-19741), GFAP (1:500; Encor #MCA-1B7), or NeuN (1:500; Encor #MCA-1B7). For immunohistochemistry, sections were blocked (10% serum, 60 min), blocked in primary antibodies overnight at 4°. After primary incubation and three serial washes with 1 × PBS, secondary antibodies were incubated for two hours at room temperature. Secondary antibodies were washed off with 1 × PBS and coverslips were mounted with Vectashield hardset mountant (Vector Laboratories). Tissue sections were imaged and stitched using a 10x and 20x objective on a Kevence microscope (BZ-X700, Kevence Corporation of America, Itasca, IL).

416 GAA and glycogen assays. The heart, diaphragm, quadriceps and spinal cord were analyzed for GAA activity as previously described <sup>41,56</sup>. Tissues were harvested, flash frozen in liquid nitrogen, and maintained 417 418 at -80°C until biochemical analyses were performed. Tissues were homogenized in water containing a 419 protease inhibitor cocktail and exposed to three freeze-thaw cycles. Homogenates were subsequently 420 centrifuged at 4°C, the supernatant was incubated for 1 hour at 37°C, and GAA activity as low as 421  $0.05\mu$ mol/l/h\*µg was assessed by measuring the cleavage of 4-methylumbelliferyl- $\alpha$ -D-glucopyranoside. 422 Measurement of glycogen content in cardiac and diaphragm was done using the Glycogen Assay Kit 423 (ab65620; Abcam, Cambridge, MA), following the manufacturer's instructions as in our prior publication<sup>56</sup>.

MALDI-MSI. To map metabolic alterations in the diaphragm, we performed 2D MALDI imaging<sup>44.57</sup> of the 424 425 metabolome, lipidome, and glycogen on serial diaphragm sections from WT, GAA, and GAA-AAV rats. 426 Diaphragm tissues were frozen immediately post-dissection and sectioned at 10 µm thickness. Each section 427 underwent MALDI mass spectrometry imaging (MALDI-MSI) with specific matrices tailored for 428 metabolite and lipid mapping. We applied the N-(1-Naphthyl) ethylenediamine dihydrochloride (NEDC) 429 matrix for initial metabolome and lipidome scans with a spatial resolution of 10 µm, utilizing a Bruker 430 timsTOF flex MALDI-TOF instrument equipped with a Smartbeam2 laser for high precision. For glycogen 431 and glycan imaging, tissue sections were treated with an enzymatic solution containing isoamylase and

432 PNGase F to release these biomolecules. An HTX-M5 sprayer station (HTX Technologies) was used to coat each slide with 0.2 mL of this enzyme solution, containing 3 units of isoamylase and 20 mg of PNGase 433 434 F per slide. The sprayer nozzle temperature was set to 45°C, with a spray velocity of 900 mm/min, ensuring 435 even application. Slides were then incubated at 37°C in a humidified chamber for 2 hours, followed by 436 desiccation to remove moisture before matrix application. For the matrix, we dissolved 0.04 g of  $\alpha$ -cyano-437 4-hydroxycinnamic acid (CHCA) in 5.7 mL of a 50% acetonitrile and 50% water solution, with an 438 additional 5.7 µL of trifluoroacetic acid to enhance ionization. This CHCA solution was applied using the 439 HTX-M5 sprayer, optimized for consistent coverage, thereby enabling high-resolution imaging of glycans 440 and glycogen. MALDI images were acquired using the Bruker timsTOF flex MALDI-TOF instrument. The 441 instrument was operated in reflectron mode for optimal resolution and high mass accuracy. Imaging data 442 were collected and processed using Bruker SCiLS software, which facilitated control over imaging 443 parameters and acquisition settings. The resulting images were exported for further analysis. The acquired 444 MALDI-MSI data were then processed through the Sami pipeline for accurate slice alignment, providing a 445 high-resolution view of metabolic distributions across the diaphragm. Metabolomics, lipidomics, and 446 glycomic data were curated in MetaboAnalyst for hierarchical clustering and heatmap analysis, allowing 447 visualization of metabolic patterns and identification of significant biochemical alterations among WT, 448 GAA, and GAA-AAV groups.

449 *Muscle Fiber Typing.* Immunohistochemical and imaging analysis was performed on diaphragm muscle 450 cross sections. After animals were euthanized, the diaphragm was immediately harvested and flash frozen 451 in liquid nitrogen. Sections were cut at 10um on a cryostat, mounted on slides, and air dried overnight at 452 room temperature. Sections were fixed in ice-cold acetone for 10 minutes and subsequently air dried. Tissue 453 was rehydrated for 5min in 1X PBS and incubated in Super Blocker (Pierce) for 40mins at room 454 temperature. Slides were incubated O/N at 4°C using the following primary antibodies: Laminin (rabbit at 455 1:300, Sigma #L9393), MHC Type I A.4.840 (mouse at 1:30, Developmental Studies, IgM), and MHC 456 Type IIa SC-71 (mouse at 1:25, Molecular Probes #A21121). The next day, slides were washed 2 x 5mins

457 in 1X PBS and incubated at room temperature and in the dark for 1h with the following secondary 458 antibodies: Alexa 405 anti-rabbit (1:250), Alexa 495 anti-mouse IgM (1:500), and Alexa 488 anti-mouse 459 IgG (1:500). Slides were washed 2 x 5min in 1X PBS, placed in 4% paraformaldehyde for 3mins, washed 460 2x5min in 1X PBS, and cover slipped with Dako fluorescence mounting medium without DAPI. The slides 461 were observed under a fluorescence microscope using the following filter settings: DAPI filter (blue) for 462 Laminin, Texas Red filter (red) for Type I, and GFP filter (green) for Type IIa. Both diaphragm muscle 463 cross sectional area and fiber type frequency were analyzed using Image J. 464 Statistics. Statistical tests were conducted using GraphPad Prism software. Statistical significance was set

- 465 at alpha level \*p<0.05, and values in figures are reported as mean  $\pm 1$  standard deviation.

### 467 FIGURES



468

Figure 1. AAV-GAA treatment normalizes body weight and breathing. Data obtained during baseline
room air breathing *i.e.*, "eupnea". A: Example of breathing patterns measured using whole body
plethysmography. B: Body weight is normalized after AAV treatment. C: Tidal volume (VT, ml/br) is
restored after AAV treatment. D: Minute ventilation (VE, ml/min) is restored after AAV treatment.
Respiratory rate (E), metabolic rate (as estimated via VCO<sub>2</sub>; F) and the ratio of VE to VCO<sub>2</sub> (G) all showed
a strong trend to be impacted by AAV treatment. Statistical test: 2-way RM ANOVA. The treatment effect
P-value is reported on each plot. \*, p<0.05 vs. Pompe + AAV-GAA. S-D: Sprague-Dawley</li>



Figure 2. Spatial metabolomic profile of the diaphragm muscle at age 12 months indicates 478 479 normalization of glycogen after AAV-GAA. A: Examples of diaphragm tissue evaluated using MALDI. 480 The heat map shows the gradient of glycogen in diaphragm (represented by chain length +7, 1175m/z). B: 481 Normalization of diaphragm glycogen after neonatal AAV-GAA treatment. C-D: Unsupervised clustering 482 heatmap analysis for the glycome ( $\mathbf{C}$ ) and metabolome/lipidome ( $\mathbf{D}$ ), the treatment group is indicated by the top row, and the relative expression of each molecule is indicated by the color on the heat map. Relative 483 484 abundance (RA) plots show glucose (E), 3-Phosphoglyceric acid (3PG) (F), arachidonic acid (G), glycerophosphorylethanolamine (GPE) (H), docosahexaenoic acid (I), and stearic acid (J). Statistical tests: 485 486 B: 2-way RM ANOVA; treatment effect P-value is reported on plot. E-J: 1-way ANOVA; treatment effect P-value is reported on each plot. \*, p<0.05 vs. Pompe+AAV-GAA; S-D: Sprague-Dawley. Color scheme 487 488 for treatment groups is the same on all panels.



489

Figure 3. Impact of AAV-GAA treatment on diaphragm myofibers. A: Example photomicrographs
from each group. B: Type I and IIb/x myofiber size was normalized in Pompe rats following the AAVGAA treatment, with values comparable to that observed in Sprague-Dawley (S-D) rats. C: AAV-GAA
treatment increased the overall number of diaphragm Type IIb/x fibers (treatment, P<0.001). Statistical</li>
test: 1-way ANOVA; P-value is reported on each plot. \*, p<0.05 vs. Pompe+AAV-GAA</li>



496

**Figure 4. Phrenic nerve recordings. A:** Examples of recordings of inspiratory bursting recorded in the phrenic nerve of anesthetized rats during baseline conditions. **B**: Inspiratory burst amplitude (v). Pompe rats treated with AAV-GAA showed a strong trend for increased burst amplitude (P=0.060). **C:** Respiratory rate (bursts per minute) was similar between saline and AAV-GAA treated rats (P=0.806). **D:** Heart rate (beats per min) was greater in AAV-GAA *vs.* saline treated rats (P=0.036). Statistical test: 2-way RM ANOVA. The treatment effect P-value is reported on each plot. S-D: Sprague-Dawley





Figure 5. Representative photomicrographs of spinal cord tissue. Mid-cervical (C4-5) spinal cord
sections were stained with NeuN (neurons) IV-58 (Glycogen), GFAP, and Iba1, and evaluated using
fluorescence microscopy. The images demonstrate the expected marked increase in neuronal glycogen in
Pompe+Saline rats, and a reduction in glycogen after AAV-GAA treatment. A: Low power images showing
glycogen staining in spinal grey matter. B-D: Higher power images showing staining for neurons (NeuN)
and glycogen (B), GFAP and glycogen (C), and Iba1 and glycogen (D). S-D: Sprague-Dawley



512

Figure 6. GAA activity and glycogen content. A: Assays done at age 6 months, neonatal AAV-GAA
treatment increased GAA activity and reduced glycogen in heart and diaphragm. B: Assays done at age 12
month; neonatal AAV-GAA treatment increased GAA activity in heart, diaphragm, quadriceps and spinal
cord. Statistical tests: A: 1-way ANOVA. \*, different than Pompe+AAV-GAA. B: t-test. S-D: SpragueDawley



Figure 7. Impact of AAV-GAA on the heart. Representative MRI images are shown in panel A. B: *Ex vivo* assessment of the heart weight to body weight ratio (HW:BW) shows a reduction in size after AAV-GAA. C: Cardiac output (CO) was increased after AAV-GAA treatment. D-E: Stroke volume (SV) and ejection fraction (EF) are variable in saline treated Pompe rats but are more consistent after AAV-GAA treatment. F: End-systolic and end-diastolic volumes are normalized following the AAV-GAA treatment.
G: Volume index is increased after AAV-GAA. \*, p<0.05 vs. other two groups; ^, p<0.05 vs. Pompe+AAV-GAA. S-D: Sprague-Dawley</li>

527





Figure 8. Impact of AAV-GAA on ECG. A: R-R interval, B: PR interval, C: P-wave amplitude, D: Rwave amplitude. The R-R interval and R-wave amplitude were normalized after AAV-GAA therapy.

532 Statistical test: 1-way ANOVA. The treatment effect P-value is reported on each plot. \*, p<0.05 vs. other

533 two groups; ^, p<0.05 vs. Pompe+AAV-GAA; #, p<0.05 vs. Sprague-Dawley (SD)

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