


Original Article

Severe acute respiratory coronavirus virus 2 (SARS-CoV-2) seroconversion and occupational exposure of employees at a Swiss university hospital: A large longitudinal cohort study

Romain Martischang MD¹ , Anne Iten MD¹, Isabelle Arm MSc², Mohamed Abbas MD, MS¹, Benjamin Meyer PhD³, Sabine Yerly MSc^{2,4}, Isabella Eckerle MD^{2,4,5}, Jacques Pralong MD^{6,7}, Julien Sauser MSc¹, Jean-Claude Suard MSc⁶, Laurent Kaiser MD^{2,4,5}, Didier Pittet MD, MS, CBE¹ and Stephan Harbarth MD, MS¹

¹Infection Control Program and WHO Collaborating Centre on Patient Safety, University of Geneva Hospitals and Faculty of Medicine, Geneva, Switzerland, ²Division of Laboratory Medicine, Department of Diagnostics, University of Geneva Hospitals and Faculty of Medicine, Geneva, Switzerland, ³Center for Vaccinology, Department of Pathology and Immunology, University of Geneva, Geneva, Switzerland, ⁴Division of Infectious Diseases, Department of Medicine, University of Geneva Hospitals, Geneva, Switzerland, ⁵Geneva Center for Emerging Viral Diseases, University of Geneva Hospitals, Geneva, Switzerland, ⁶Occupational Health Service, University of Geneva Hospitals, Geneva, Switzerland and ⁷Pulmonary Division, University of Geneva Hospitals, Geneva, Switzerland

Abstract

Background: The dynamics of coronavirus disease 2019 (COVID-19) seroconversion of hospital employees are understudied. We measured the proportion of seroconverted employees and evaluated risk factors for seroconversion during the first pandemic wave.

Methods: In this prospective cohort study, we recruited Geneva University Hospitals employees and sampled them 3 times, every 3 weeks from March 30 to June 12, 2020. We measured the proportion of seroconverted employees and determined prevalence ratios of risk factors for seroconversion using multivariate mixed-effects Poisson regression models.

Results: Overall, 3,421 participants (29% of all employees) were included, with 92% follow-up. The proportion of seroconverted employees increased from 4.4% (95% confidence interval [CI], 3.7%–5.1%) at baseline to 8.5% [(95% CI, 7.6%–9.5%) at the last visit. The proportions of seroconverted employees working in COVID-19 geriatrics and rehabilitation (G&R) wards (32.3%) and non-COVID-19 G&R wards (12.3%) were higher compared to office workers (4.9%) at the last visit. Only nursing assistants had a significantly higher risk of seroconversion compared to office workers (11.7% vs 4.9%; $P = .006$). Significant risk factors for seroconversion included the use of public transportation (adjusted prevalence ratio, 1.59; 95% CI, 1.25–2.03), known community exposure to severe acute respiratory coronavirus virus 2 (2.80; 95% CI, 2.22–3.54), working in a ward with a nosocomial COVID outbreak (2.93; 95% CI, 2.27–3.79), and working in a COVID-19 G&R ward (3.47; 95% CI, 2.45–4.91) or a non-COVID-19 G&R ward (1.96; 95% CI, 1.46–2.63). We observed an association between reported use of respirators and lower risk of seroconversion (0.73; 95% CI, 0.55–0.96).

Conclusion: Additional preventive measures should be implemented to protect employees in G&R wards. Randomized trials on the protective effect of respirators are urgently needed.

(Received 14 December 2020; accepted 8 March 2021)

Hospital employees, and healthcare workers (HCWs) in particular, are at increased risk for exposure to severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2).¹ They may present with pauci- or asymptomatic coronavirus disease 2019 (COVID-19).² Detecting acute infections (using RT-PCR) is thus subject to many limitations; infections may be unrecognized or not medically attended, and the true infection rate may be largely

underestimated. For these reasons, serological studies assessing the presence of specific IgG against SARS-CoV-2 in this population are needed to reflect adequately the infection rate over time, as well as to estimate the risk from occupational exposures.

Several IgG-based serologic point-prevalence studies have suggested higher SARS-CoV-2 seropositivity among HCWs up to 31.6%,^{3–6} compared to 5.0%–10.8% in the community.^{7,8} This higher prevalence may be driven by community acquisition, close contact with other HCWs, or transmission from infected patients. Few large-scale longitudinal cohort studies of hospital employees have been conducted across multiple professional categories assessing nosocomial and community risk factors for SARS-CoV-2 seroconversion while accounting for nosocomial COVID-19 outbreaks and time-varying exposures. Early in the first pandemic wave in Europe, we conducted a hospital-wide study to determine trends

Author for correspondence: Romain Martischang, E-mail: romain.martischang@hcuge.ch

Cite this article: Martischang R, et al. (2021). Severe acute respiratory coronavirus virus 2 (SARS-CoV-2) seroconversion and occupational exposure of employees at a Swiss university hospital: A large longitudinal cohort study. *Infection Control & Hospital Epidemiology*, <https://doi.org/10.1017/ice.2021.117>

and independent risk factors for SARS-CoV-2 seroconversion among hospital employees with different occupational exposures during a 3-month exposure period.

Methods

Study design and participants

We conducted a longitudinal seroprevalence cohort study from March 30, 2020, to June 12, 2020, on a convenience sample of all volunteering employees working at Geneva University Hospitals (HUG), regardless of their prior symptoms or documentation of SARS-CoV-2 infection. Starting from date of enrollment, 2 follow-up visits were scheduled every 3 weeks from the baseline visit. Recruitment and follow-up are further described in Appendix 1 (online). Employees unable to provide consent or for whom serum samples were not collected were excluded. We stratified hospital sectors with high exposure to COVID patients (adult intensive care units [ICUs], anesthesiology, COVID testing center, COVID-19 cohorting wards and emergency ward, intermediate exposure (non-COVID-19 medical wards, non-COVID-19 geriatric and rehabilitation wards, surgery, pediatrics, gynecology, obstetrics, radio-oncology, and hemato-oncology wards), and low exposure (office workers in administrative sector) (Supplementary Fig. 1 online). The primary outcome was the proportion of seroconverted employees, defined as the cumulative proportion of employees seropositive for SARS-CoV-2 using a 2-step diagnostic strategy based on a combination of 2 serologic assays (described in the following section). In the presence of an emerging virus, the first detection of SARS-CoV-2-positive employees in the 20 days prior to the beginning of the study, and an immunologically naive population, we assumed that employees positive at baseline had recently seroconverted (as further discussed in Appendix 2 online). Secondary outcomes included the proportion of asymptomatic seroconverted employees and prevalence ratios of risk factors for SARS-CoV-2 seroconversion. This study was performed in accordance with the STROBE statement for cohort studies (Appendix 1 online).⁹

Study setting and local COVID-19 epidemiology

HUG is the largest tertiary-care center in Switzerland, with >2,000 beds and 11,945 employees.^{10,11} After the first COVID-19 patient was hospitalized in Geneva on February 27, 2020, the daily number of hospitalized COVID-19 patients peaked on March 31, 2020. Based on our screening program recommended for HUG employees (Appendix 3 online), 697 of 3,790 tested employees (18.4%) had PCR-confirmed SARS-CoV-2 infection by July 1, 2020. Multiple interventions were implemented at the community and hospital levels to control the spread of SARS-CoV-2 (Appendix 4 and Supplementary Figs. 1 and 2 online).

Sampling and data collection

As described in Appendix 1 (online), study nurses visited wards and office areas to recruit volunteering employees. The recruitment period occurred from March 30, 2020, to April 17, 2020, with 2 follow-up periods from April 17 to May 11 and from May 11 to June 12. Each participant was asked to attend every 3 weeks. To ensure a high follow-up rate, participants received e-mails and telephone calls as reminders. At each visit, a serum sample was drawn, and health-related data were collected using a self-completed questionnaire (Supplementary Table 1 and Appendix online) under the supervision of medical students. In addition to demographic data, hospital sector, and profession, data from the 20 days

prior to each visit were collected and included symptom-related data, nosocomial exposures (aerosol-generating procedures, close contact of <1 m with a COVID-19 patient), community exposures (close contact with SARS-CoV-2-positive persons within or outside the household, number of household members, use of public versus private transportation), preventive measures (use of surgical mask versus N95 respirators, knowledge of SARS-CoV-2-related institutional infection prevention and control [IPC] recommendations,¹² and self-reported adherence to IPC recommendations). Categorization and definitions of these variables are detailed in Appendix 5 (online). We assumed, according to our hospital setting, that all office workers worked in the administrative sector. Other professional activities or undetermined sectors mainly included employees with no available information or with mixed assignments (eg, working in the whole institution). Time-varying exposures included hospital sectors; aerosol-generating procedures; and nosocomial contact with positive employees, patients, or community contacts. Data were entered into a REDCap database. Whenever available, results from RT-PCR tests performed during routine screening were matched to participants in the electronic database (Appendix 3 online).

Serological testing of anti-SARS-CoV-2 IgG antibodies

In serological investigations, we applied a 2-tiered diagnostic strategy (Appendix 6 online) using a first S1 protein-based IgG enzyme-linked immunosorbent assay (ELISA; EUROIMMUN AG, Lübeck, Germany, no. EI 2606-9601 G). Following the 2-tiered strategy, all samples with undetermined and positive results were retested with an ElectroChemiluminescence ImmunoAssay (ECLIA) Elecsys Anti-SARS-CoV-2 Ig (Roche Diagnostics, Germany) according to the manufacturer's instructions. First-step equivocal and positive results were considered positive only if confirmed by the ECLIA test; all others were considered negative.

Sample size calculation

As of April 23, 2020, 3.82% of employees (205 of 5,366) working in hospital sectors with low, intermediate, and high exposure were positive by PCR for SARS-CoV-2 at rates of 3.5% in the low-exposure group, 4.5% in the intermediate-exposure group, and 15.5% in the high-exposure group. The comparisons of interest concerned hospital sectors with low versus high exposure and intermediate-versus high-risk areas. The maximum sample size of the 2 comparisons was retained. With 80% power and a 2.5% false-positive rate accounting for Bonferroni correction, 2-sided power calculations (using the "pwr" package) yielded a sample size of 131 participants by group, or 393 in total. Anticipating a conservative 30% dropout rate, 188 participants were required in each group, or 564 in total.

Statistical analysis

Main analyses. The overall proportion of seroconverted employees during the whole study period in the 3 categories of COVID-19 exposure (high, intermediate, and low) were compared using χ^2 tests with Bonferroni correction. We performed univariate and multivariate mixed-effect Poisson regression to estimate prevalence ratios of risk factors for SARS-CoV-2 seroconversion over all participants and visits.¹³⁻¹⁵ Random effects on individuals and on weeks of follow-up were included to account for the multi-level nature of the data. These consisted of repeated measurements within subject and multiple subjects within weeks of follow-up, thus accounting for time-varying infection risks. Candidates for

Table 1. Characteristics of SARS-CoV-2 Seroconverted and Nonseroconverted Employees

Variable		Seroconverted (n=271), No. (%) ^a	Nonseroconverted (n'=3,150), No. (%) ^a
Demographics			
Age, mean (SD)		41.2 (11.5)	42.8 (11.4)
Sex	Male	56 (21)	696 (22)
	Female	215 (79)	2,439 (77)
Subcohort based on enrollment week	First week of enrollment (30.03–04.04)	77 (28)	872 (28)
	Second week of enrollment (06.04–10.04)	88 (32)	1,010 (32)
	Third week of enrollment (13.04–17.04)	106 (39)	1,268 (40)
Community exposure			
Transportation	Private (include biking)	183 (68)	2,272 (72)
	Public	39 (14)	342 (11)
	Public and private	34 (13)	363 (12)
No. of household members (med, IQR)		2 (2)	2 (2)
No. of children 0–10 years, median (IQR)		0 (0)	0 (1)
No. of children 11–20 years, median (IQR)		0 (1)	0 (1)
Close contact in the community with a person positive for SARS-CoV-2 within the prior 20 days	Baseline	63 (23)	270 (9)
	1st follow-up	35 (13)	140 (5)
	2nd follow-up	21 (8)	124 (4)
Close contact with another SARS-CoV-2 positive employee within the prior 20 days	Baseline	135 (50)	1,337 (42)
	1st follow-up	109 (42)	866 (29)
	2nd follow-up	65 (24)	495 (17)
Occupational exposure			
Low exposure	Administrative sector ^b	18 (7)	357 (11)
Moderate exposure	Geriatrics and rehabilitation (non-COVID-19) ward	74 (27)	535 (17)
	Surgery ^c	2 (1)	168 (5)
	Pediatrics, gynecology, obstetrics ^c	20 (7)	424 (13)
	Hemato-oncology, radio-oncology ^c	7 (3)	184 (6)
	Internal medicine (non COVID) ward ^c	9 (3)	196 (6)
High exposure	Internal medicine (COVID-19) ward	38 (14)	399 (13)
	Geriatrics and rehabilitation (COVID-19) ward	51 (19)	136 (4)
	COVID testing centre ^d	1 (0)	63 (2)
	Intensive care units & anesthesiology ^d	9 (3)	242 (8)
	Emergencies ^d	22 (8)	207 (7)
Undetermined exposure	Undetermined sectors	20 (7)	239 (8)
Professional category			
	Nurses	104 (38)	1,182 (38)
	Physicians	49 (18)	528 (17)
	Nursing assistants	63 (23)	510 (16)
	Allied health professionals	16 (6)	176 (6)
	Office workers ^b	18 (7)	357 (11)
	Hospital cleaners ^e	13 (5)	133 (4)
	Mid-wives ^e	1 (0)	78 (2)
	Other professional activities ^e	7 (3)	183 (6)

(Continued)

Table 1. (Continued)

Variable		Seroconverted (n=271), No. (%) ^a	Nonseroconverted (n'=3,150), No. (%) ^a
Close contact with a patient positive for SARS-CoV-2 (<1 m) within the prior 20 days	Baseline	196 (72)	1,768 (56)
	1st follow-up	169 (65)	1,465 (49)
	2nd follow-up	112 (41)	1,028 (35)
Report of a nosocomial COVID-outbreak in the concerned ward		50 (18)	136 (4)
Aerosol-generating procedures within the prior 20 days	Baseline	72 (27)	789 (25)
	1st follow-up	76 (29)	676 (22)
	2nd follow-up	58 (21)	549 (19)
IPC measures			
Knowledge of IPC recommendations		268 (99)	3'057 (97)
In case of contact with COVID-19-positive patients	Use of a respirator (FFP2/N95)	36 (13)	593 (19)
	Use of a surgical mask	196 (72)	1'788 (57)
Self-perceived adherence to IPC recommendations (0–10) (mean, SD) concerning SARS-CoV-2		9.0 (1.1)	8.8 (1.2)

^aUnless otherwise indicated.

^bSame population considered interchangeably.

^{c,d,e} Categories were merged in the regression model.

the multivariate model were selected using stepwise regression, Lasso penalized regression, Bayesian model averaging (BMA) methods, and clinical relevance (Appendix 7 online). To account further for the time-varying exposure relative to the first wave of the pandemic, the full cohort was categorized into subcohorts based on their enrollment week (S1, S2, or S3) (Supplementary Figs. 3 and 4 online) and were entered as fixed effects in the multivariate model. To allow model convergence, certain hospital sectors and professional subcategories were merged. Any possible collinearity and confounding effects were examined.

Missing data. Using the cumulative nature of the main outcome, missing serological results were inferred (Appendix 8 online). Remaining missing serological data were handled using mixed-effects models under the assumption of missing at random (Appendix 8 online).

Secondary analyses. An additional analysis examined self-reported symptoms in the previous 20 days among seroconverted employees. The amplitude of a potential selection bias was assessed by comparing (1) PCR-based SARS-CoV-2 prevalence between study participants and nonparticipants and (2) basic demographic information between study participants and the overall population of HUG employees (Appendix 9 online). All analyses were conducted using R studio version 4.0 software (R Foundation for Statistical Computing, Vienna, Austria) including the “lme4” package.

Ethical considerations

This study was approved by the Ethics Committee of the Canton of Geneva (no. 2020-01330). Each study participant provided written informed consent.

Results

Among 11,945 hospital employees, we recruited 3,436 employees (29%), of whom 3,421 were included in the final analysis, with an overall follow-up rate of 92% (Supplementary Figs. 3 and 4 online). At the baseline visit, 3,402 employees were tested. At the first follow-up visit, 3,119 employees were tested. At the second follow-up visit, 3,154 employees were tested. After using inference for missing events, 3,419 cases were included in the baseline group; 3,275

cases were included in the first follow-up group; and 3,173 cases were included in the second follow-up group. In total, 15 recruited persons were not sampled: 8 with incomplete consent, 3 withdrawals, and 4 without information (Supplementary Fig. 5 online). Most participants worked in geriatric and rehabilitation (G&R) wards, followed by COVID-19 cohorting wards and pediatric, gynecologic, and obstetric wards (Table 1). Regarding profession, nurses comprised the largest group of participants, followed by physicians and nursing assistants. We observed no impact of data inference for missing events (Appendix 8 online).

Proportion of seroconverted employees with SARS-CoV-2 IgG

Confirmatory tests were performed on 983 samples with equivocal screening tests and 871 samples with positive screening tests. Among first-step equivocal and positive samples, respectively, 76 (7.7%) and 546 (62.7%) were confirmed positive by the second test. IgG seropositivity among hospital employees increased from 4.4% (95% CI, 3.7%–5.1%) at recruitment to 7.3% (95% CI, 6.4%–8.2%) at the first follow-up visit and to 8.5% (95% CI, 7.6%–9.5%) at the second follow-up visit (Fig. 1). Results from the first-step test are detailed in Supplementary Table 2 (online). Over all time points, the cumulative proportion of seroconverted employees was 7.9% (271 of 3,421; 95% CI, 7.0–8.8) (Supplementary Tables 3 and 4 online). Among the 3 subcohorts, the baseline proportion of seroconverted employees varied from 2.0% (19 of 949) the first week to 6.0% (83 of 1,373) the third week of recruitment (Supplementary Fig. 6 online).

Over all time points, sectors with high exposure had significantly more seroconverted employees than sectors with low exposure (10.0% vs 4.9%; $P = .005$) and intermediate exposure (6.8%; $P = .005$). At the last visit, large differences emerged among sub-sectors; the highest proportions were observed in COVID-19 wards (32.3%; 95% CI, 24.5–40.2) and in non-COVID G&R wards (12.3%; 95% CI, 9.5–15.2). At the final visit, we observed the lowest rates of seroconversion among office workers (4.9%; 95% CI, 2.7–7.2), in ICU and anesthesiology workers (3.9%; 95% CI, 1.2–6.6), in hemato-oncology workers (3.3%; 95% CI, 0.7–5.8), in workers in the COVID-19 testing center (2.1%; 95% CI, 0.0–6.2), and in surgery workers (1.8%; 95% CI, 0.0–3.8) (Fig. 2 and Supplementary

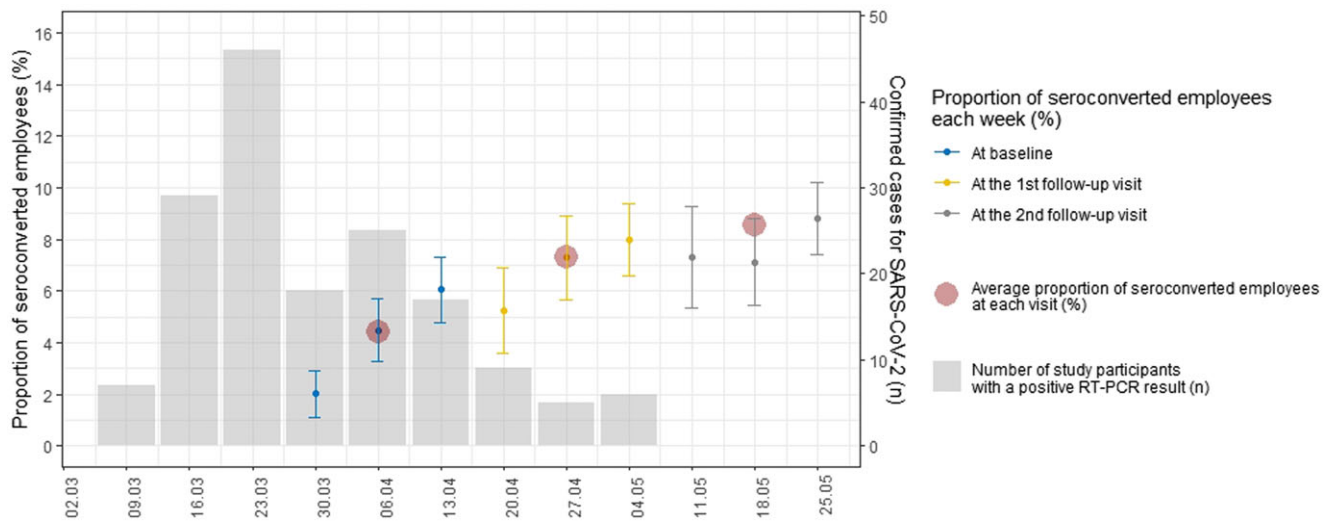


Fig. 1. Evolution of the proportion of SARS-CoV-2 seroconverted employees during follow-up visits, with counts of positive SARS-CoV-2 PCRs among all study participants.

Table 5A online). Slightly increased proportions of seroconverted employees were observed when considering only employees working in the same hospital sector during the entire study period who were present at all 3 visits ($n = 2,380$) (Supplementary Fig. 7 online). At the last visit, we observed a higher proportion of seroconverted employees in COVID-19 cohorting wards compared to noncohorting wards (15.1% [95% CI, 12.0–18.2] vs 10.0% [95% CI, 7.8–12.1]; $P = .008$). We observed a higher proportion of seroconverted employees in wards with a confirmed COVID-19 outbreak than in wards without outbreaks (28.1% [95% CI, 21.3–35.0] vs 6.9% [95% CI, 6.0–7.8]; $P < .001$) (Supplementary Figs. 8 and 9 online).

Over all time points and among all professional categories compared to office workers, only nursing assistants had a statistically significant higher risk of seroconversion (11.7% vs 4.9%; $P = .006$). At the last follow-up visit, the highest proportions of seroconversion occurred among nursing assistants (11.7%; 95% CI, 8.9%–14.5%), nurses (8.1%; 95% CI, 6.5%–9.5%), physicians (8.9%; 95% CI, 6.4%–11.3%), and allied health professionals (8.1%; 95% CI, 4.2%–12.0%) (Fig. 2 and Supplementary Table 5B online). Among 271 seroconverted employees, 227 (84%) had reported symptoms prior to seroconversion. At baseline, 151 were positive, including 126 (83%) who had reported symptoms in the previous 20 days.

Risk factors for SARS-CoV-2 seroconversion among employees

Risk factors retrieved by univariate analysis are shown in Supplementary Table 6 (online). By multivariate analysis, significant predictors for seroconversion (Table 2) included use of public transportation and close community contact with a known COVID-19 case. Compared to sectors with intermediate exposure to COVID-19 patients, working in undetermined sectors (eg, mixed assignments or undefined assignments), G&R COVID-19 wards, and non-COVID-19 G&R wards were also associated with increased risk of seroconversion. We observed other independent associations for wards reporting a COVID-19 outbreak and among participants with self-reported higher adherence to SARS-CoV-2-related IPC recommendations. Compared to physicians, other professional activities (eg, midwives, hospital cleaners, and technicians) had a lower risk of seroconversion. Reported use of respirators

(compared to surgical masks) also lowered the risk of seroconversion. Exposure to aerosol-generating procedures was not associated with an increased risk of seroconversion (Table 2).

Assessment of selection bias

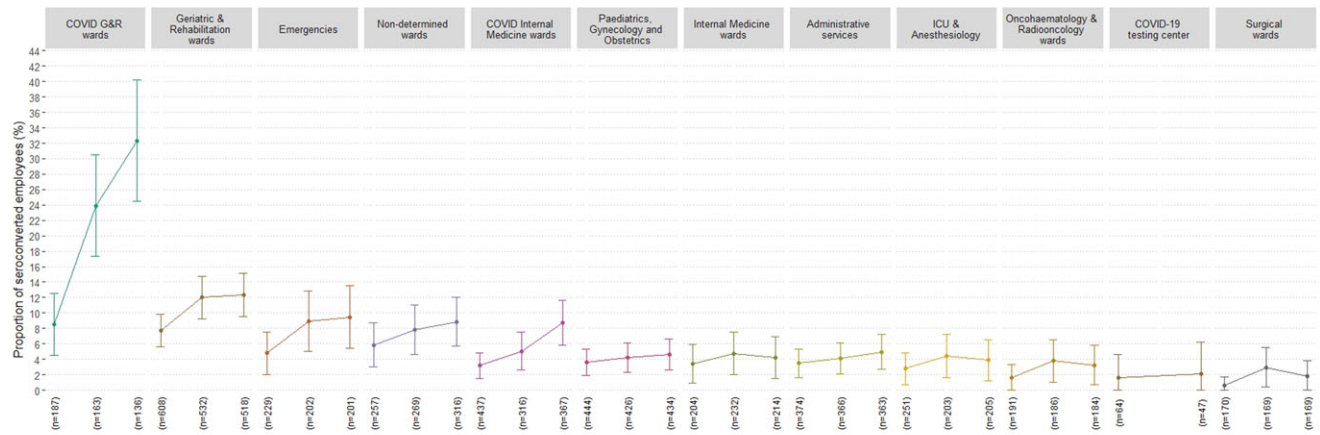
The study population shared basic demographic characteristics with the overall population of hospital employees (Appendix 9 online), though nursing assistants and nurses were slightly overrepresented in our study population: 17% versus 8% of nursing assistants and 38% vs 31% of nurses. Furthermore, study participants who underwent PCR-based testing had a positivity rate of 14.7%, whereas the proportion of positive PCR results among tested nonparticipants was 19.9% (535 of 2,690).

Discussion

The following principal findings summarize the results of this hospital-wide cohort study: (1) The proportion of seroconversion was relatively low among employees of this large Swiss University Hospital during the early phase of the pandemic. (2) The G&R wards had significantly higher seroconversion rates compared to other sectors traditionally considered to be high risk. (3) Only a small proportion of seroconversions were asymptomatic. (4) Exposure to community risk factors increased the risk of seroconversion. And the use of respirators compared to surgical masks seemed to be protective against seroconversion. (5)

The proportion of seroconverted employees observed in our study is similar to the 9.3% in a Spanish cross-sectional survey among 578 HCWs and to another Italian study that reported a seroprevalence of 7.4% among 202 HCWs, both in early April 2020.^{3,4} In New York, seroprevalence among 40,329 employees from April through June in a hospital network was observed to be 13.7%.⁵ A higher seroprevalence of 31.6% was observed among 2,004 British hospital employees in May–June 2020.⁶ Differences across these studies can be explained by heterogeneity across populations, study design, sampling schemes, and serological tests used.¹⁶ The baseline estimate of asymptotically infected employees observed in our study (17%) was similar to those in other reports (15.5%–20.2%).¹⁷

(a) Proportion of seroconverted employees for SARS-CoV-2 among different subsectors



(b) Proportion of seroconverted employees for SARS-CoV-2 among different professional activities

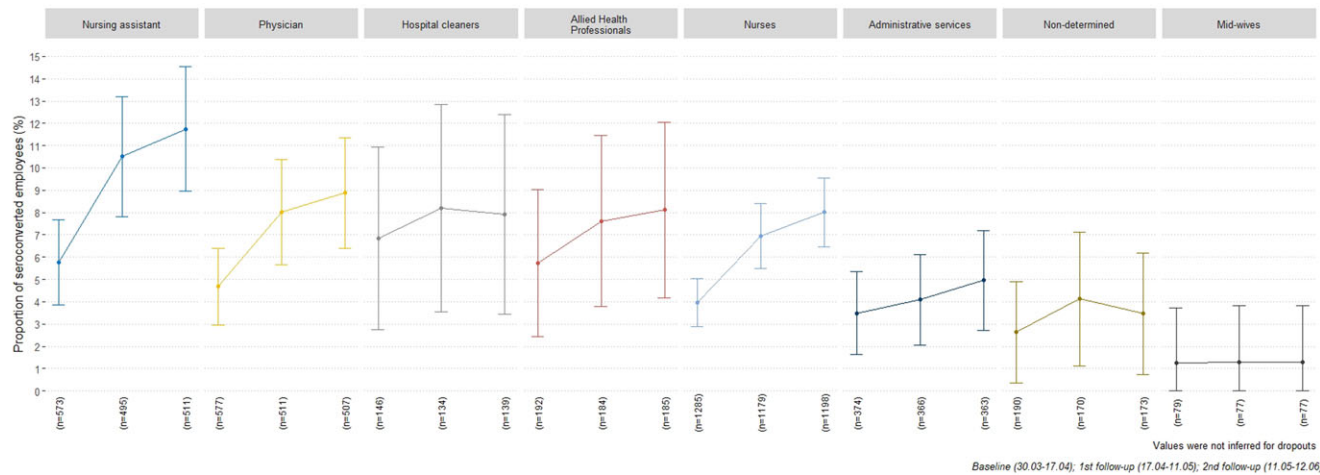


Fig. 2. Evolution of the proportion of SARS-CoV-2 seroconverted employees among follow-up visits, stratified by professional activities and subsectors. Despite the cumulative nature of this outcome, certain observations might decrease among sectors and follow-up visits because of missing results, or seroconverted employees changing ward affiliations between visits.

Employees working in what are conventionally considered to be high-risk sectors (e.g. ICU) had similar proportions of seroconversion compared to office workers (<5%). Potential explanations include case-mix differences and possibly a higher adherence to IPC measures in these sectors. In addition, risk perceptions may not always align with true high-risk situations, especially in intubated patients with protected airway circuits. By contrast, prevalence ratios were particularly high for employees working in wards reporting nosocomial COVID-19 outbreaks or COVID-19 cohort wards in geriatrics. The high risk in nondedicated G&R wards might be explained by the mixing of COVID-19-dedicated and nondedicated wards in the same institution, which probably facilitated cross infections as well as the occurrence of several large-scale nosocomial clusters involving 185 HCWs, with spill-over effects. Alternative determinants include limited staff resources, high staff turnover, insufficient infection prevention training, and frailty of patients requiring close nursing care.^{18,19}

We observed that use of public transportation and community contact with persons with COVID-19 were predictors of seroconversion. Public transportation was also a significant exposure among Brazilian hospital employees.²⁰ Notably, wearing masks in public transportation in Geneva only became mandatory after July 1, 2020. Community acquisition by HCWs was already

demonstrated in other reports that observed either epidemiological links with community contacts,²¹ genetic relatedness between hospital and community clusters,²² or high genetic diversity suggestive of community transmission.²³

We observed several interesting associations concerning infection control measures, toward increased risk of seroconversion for reported use of surgical masks compared to N95 respirators and employees with higher self-reported adherence to IPC recommendations. However, this latter association may have been artificially increased by social desirability bias and thus does not represent true clinical adherence, as has been previously reported for other IPC measures such as hand hygiene.²⁴ Finally, the reported use of respirators (FFP2/N95 masks) showed a protective effect with lower seropositivity. This observation might reflect either true protection or residual confounding (eg, higher general adherence to IPC recommendations), which needs to be elucidated in well-designed clinical trials.

Our study has several strengths, including the large sample size and high follow-up rate, which allowed a robust estimate of the seroconversion dynamics among different professional categories and across hospital sectors. Furthermore, the analysis accounted for various confounders, including community and time-varying exposures, and we used a 2-tiered strategy to correct for testing biases.

Table 2. Adjusted Prevalence Ratios of Risk Factors for SARS-CoV-2 Seroconversion From the Multivariate Mixed-Effect Poisson Regression Model Among All Visits of Participating Hospital Employees

Variable		Prevalence Ratio	95% Confidence Interval	P Value
Demographics				
Age (1-year increments)		0.99	[0.99–1.00]	.15
Sex	Female	Reference		
	Male	0.99	[0.8–1.23]	.94
Subcohort based on enrollment week	First week of enrollment (30.03–04.04)	Reference		
	Second week of enrollment (06.04–10.04)	1.31	[0.90–1.90]	.16
	Third week of enrollment (13.04–17.04)	1.37	[0.96–1.97]	.09
Community exposure				
Transportation	Private (include biking)	Reference		
	Public and private	1.14	[0.88–1.48]	.33
	Public	1.59	[1.25–2.03]	<.001
Close contact in the community with a person positive for SARS-CoV-2 within the prior 20 days		2.80	[2.22–3.54]	<.001
Close contact with another SARS-CoV-2 positive employee within the prior 20 days		0.92	[0.75–1.13]	.43
Occupational exposure				
Professional category				
Physicians		Reference		
Nurses		0.89	[0.69–1.14]	.35
Nursing assistants		0.85	[0.63–1.14]	.27
Allied health professionals		0.81	[0.54–1.21]	.30
Office workers in administrative sector ^a		1.04	[0.69–1.57]	.83
Other professional activities		0.53	[0.36–0.78]	.001
Workplace				
Hospital sector	Hemato-oncology, radio-oncology, pediatrics, gynecology, obstetrics, surgery, internal medicine (non-COVID-19) ward	Reference		
	Geriatric and rehabilitation (non-COVID-19) ward	1.96	[1.46–2.63]	<.001
	Geriatric and rehabilitation (COVID-19) ward	3.47	[2.45–4.91]	<.001
	Internal medicine (COVID-19) ward	1.27	[0.88–1.81]	.20
	COVID-19 testing center, emergencies, anesthesiology, intensive care units	1.33	[0.95–1.87]	.09
	Undetermined sectors	2.03	[1.45–2.84]	<.001
Report of a nosocomial COVID-19 outbreak in the concerned ward		2.93	[2.27–3.79]	<.001
IPC measures				
Self-perceived adherence to IPC recommendations (0–10) concerning SARS-CoV-2		1.08	[1.00–1.17]	.046
Mask use	Respirator (FFP2/N95)	0.73	[0.55–0.96]	.02
	Surgical mask	1.21	[0.98–1.49]	.08
Other occupational exposures				
Aerosol-generating procedures within the prior 20 days		1.11	[0.89–1.38]	.35
Close contact with a patient positive for SARS-CoV-2 (<1m) within the prior 20 days		1.21	[0.97–1.51]	.08

Note. IPC, infection prevention and control.

^aOffice workers and administrative sectors are used interchangeably. Because of collinearity, the variable administrative sector has been dropped from this model.

This study also had some limitations. First, using a convenience sample with 3,421 participants among 11,945 employees and recruiting only certain hospital sectors at specific working hours might have

introduced a selection bias. Lower PCR-based prevalence among participants suggests that PCR-positive employees had fewer incentives or time to participate, which might have slightly underestimated the

true seroconversion rate. Misclassification bias is always possible with serological testing, due to frequent cross reactivity among coronaviruses. However, adequate performance was observed by the chosen assays that were thoroughly validated by our laboratory. Recall bias might have been present regarding past exposure history of HCWs. We decreased this risk by only collecting exposure histories for the 20 days prior to each visit. Residual confounding might persist because not all possible confounders were included in the questionnaire regarding additional community or nosocomial exposures (eg, cafeteria visits). Office workers might also have had occasional contacts with patients in the context of their administrative duties. However, we hypothesized that these contacts were nonsignificant. Indeed, compared to other countries, few office workers work in clinical areas at HUG. Finally, the 3 periods occurred in different epidemiological contexts with multiple time-varying confounders (eg, nonpharmaceutical interventions implemented in community). Comparisons between visits must be made with caution, though adjustments for correlation within individuals and within weeks were made in the statistical models.

In conclusion, likelihood of SARS-CoV-2 seroconversion among employees was influenced by both community and health-care-related exposures. Increased risk of seroconversion was particularly observed in units reporting a nosocomial outbreak, and in G&R wards, highlighting additional efforts needed to protect HCWs working in elderly care wards. The role of routine respirator use, as opposed to surgical face masks, requires further validation.

Acknowledgments. The authors acknowledge the invaluable support from Dre Laure Vieux, Prof Idris Guessous, Dre Silvia Stringhini, PhD Andrew Azman, Dr Lauriane Lenggenhager, Rachel Brung, Didier Robert-Tissot, Marie-Sophie Desaunay, Anne Thierstein, Catherine Vasseur, Jean-Luc Loretz, Giancarla Vendetti, Elodie De Jesus, Valérie Dard, Garance Gutknecht, Laetitia Didierlaurent, Camille Tissier, Marianne Rousseau, Murielle Ares, Marie Peyron, Audrey Grosmaire, Anita Froissard, Julien Fonck, Fabienne Favre, Carla Leon, Coralien Di Santo, Chloé Deforel, Nikita Grieder, Sami Uslu, Johann Pignat, Aurélie Piletta, Van-Dai Nguyen, Hagar El Bentiri, Chayma Bettaieb, Yaelle Aeschmann, Akcasoy Fatma, Guza Lorela, and all employees from the virological laboratory who greatly achieved a gigantic amount of work in very little time.

Financial support. This study was supported by the Geneva University Hospitals and Faculty of Medicine, an unrestricted grant from Fondation Pictet (Geneva, Switzerland), and the Swiss National Science Foundation (grant no. 31CA30_196197). R.M. was partially supported by the Swiss National Science Foundation (grant no. 407240_177454). The funders were not involved in the design, conduct, analysis, interpretation, or reporting of the study. The corresponding author had full access to the data and had final responsibility for the decision to submit for publication.

Conflicts of interest. The authors report no potential conflicts of interest related to this article.

Supplementary material. To view supplementary material for this article, please visit <https://doi.org/10.1017/ice.2021.117>

References

- Grant R, Malik MR, Elkholy A, Van Kerkhove MD. A review of asymptomatic and subclinical Middle East respiratory syndrome coronavirus infections. *Epidemiol Rev* 2019;41:69–81.
- Jung C-Y, Park H, Kim DW, *et al*. Clinical characteristics of asymptomatic patients with COVID-19: a nationwide cohort study in South Korea. *Int J Infect Dis* 2020;99:266–268.
- Garcia-Basteiro AL, Moncunill G, Tortajada M, *et al*. Seroprevalence of antibodies against SARS-CoV-2 among healthcare workers in a large Spanish reference hospital. *Nat Commun* 2020;11:3500.
- Sotgiu G, Barassi A, Miozzo M, *et al*. SARS-CoV-2-specific serological pattern in healthcare workers of an Italian COVID-19 forefront hospital. *BMC Pulm Med* 2020;20:203.
- Moscola J, Sembajwe G, Jarrett M, *et al*. Prevalence of SARS-CoV-2 antibodies in health care personnel in the New York City Area. *JAMA* 2020;324:893–895.
- Grant JJ, Wilmore SMS, McCann NS, *et al*. Seroprevalence of SARS-CoV-2 antibodies in healthcare workers at a London NHS Trust. *Infect Control Hosp Epidemiol* 2020;42:212–214.
- Pollán M, Pérez-Gómez B, Pastor-Barriuso R, *et al*. Prevalence of SARS-CoV-2 in Spain (ENE-COVID): a nationwide, population-based seroepidemiological study. *Lancet* 2020;396:535–544.
- Stringhini S, Wisniak A, Piumatti G, *et al*. Seroprevalence of anti-SARS-CoV-2 IgG antibodies in Geneva, Switzerland (SEROCoV-POP): a population-based study. *Lancet* 2020;396:313–319.
- Elm E von, Altman DG, Egger M, *et al*. Strengthening the reporting of observational studies in epidemiology (STROBE) statement: guidelines for reporting observational studies. *BMJ* 2007;335:806–808.
- Human resources: key figures at HUG in Geneva. Geneva University Hospitals website. <https://www.hug.ch/en/chiffres-cles-2017/human-resources>. Published 2017. Accessed September 1, 2020.
- Facts, figures, and dates. Geneva University Hospitals website. <https://www.hug.ch/en/facts-figures-and-dates>. Published 2018. Accessed Sept 1, 2020.
- Geneva University Hospitals. VigiGerme website. <https://vigigerme.hug.ch/>. Published August 12, 2020. Accessed March 2, 2021.
- Zou G. A modified Poisson regression approach to prospective studies with binary data. *Am J Epidemiol* 2004;159:702–706.
- Zou G, Donner A. Extension of the modified Poisson regression model to prospective studies with correlated binary data. *Stat Methods Med Res* 2013;22:661–670.
- Sinclair JC, Bracken MB. Clinically useful measures of effect in binary analyses of randomized trials. *J Clin Epidemiol* 1994;47:881–889.
- Arora RK, Joseph A, Wyk JV, *et al*. SeroTracker: a global SARS-CoV-2 seroprevalence dashboard. *Lancet Infect Dis* 2020;21:e75–e76. doi: [10.1016/S1473-3099\(20\)30631-9](https://doi.org/10.1016/S1473-3099(20)30631-9).
- Mizumoto K, Kagaya K, Zarebski A, *et al*. Estimating the asymptomatic proportion of coronavirus disease 2019 (COVID-19) cases on board the Diamond Princess cruise ship, Yokohama, Japan, 2020. *Eurosurveillance* 2020;25(10):2000180.
- Mendes A, Serratrice C, Herrmann FR, *et al*. Predictors of in-hospital mortality in older patients with COVID-19: the COVIDAge study. *J Am Med Dir Assoc* 2020;21:1546–1554.
- Pagani L, Thomas Y, Huttner B, *et al*. Transmission and effect of multiple clusters of seasonal influenza in a Swiss geriatric hospital. *J Am Geriatr Soc* 2015;63:739–744.
- Costa SF, Giavina-Bianchi P, Buss L, *et al*. SARS-CoV-2 seroprevalence and risk factors among oligo/asymptomatic healthcare workers (HCWs): estimating the impact of community transmission. *Clin Infect Dis* 2020;13:ciaa1845. doi: [10.1093/cid/ciaa1845](https://doi.org/10.1093/cid/ciaa1845).
- Safdar N, Moreno GK, Braun KM, *et al*. Using virus sequencing to determine source of SARS-CoV-2 transmission for healthcare worker. *Emerg Infect Dis* 2020;26:2489–2491.
- Sikkema RS, Pas SD, Nieuwenhuijse DF, *et al*. COVID-19 in health-care workers in three hospitals in the south of the Netherlands: a cross-sectional study. *Lancet Infect Dis* 2020;20:1273–80.
- Olmos C, Campaña G, Monreal V, *et al*. SARS-CoV-2 infection in asymptomatic healthcare workers at a clinic in Chile. *PLoS One* 2021;16:e0245913.
- Kelcikova S, Mazuchova L, Bielenka L, Filova L. Flawed self-assessment in hand hygiene: a major contributor to infections in clinical practice? *J Clin Nurs* 2019;28:2265–2275.