Characteristics of patients with diabetes who accept referrals for care management services

SAGE Open Medicine Volume 4: 1–9 © The Author(s) 2016 Reprints and permissions: sagepub.co.uk/journalsPermissions.nav DOI: 10.1177/2050312115626431 smo.sagepub.com



Bree Holtz¹, Ann M Annis², Wendy Morrish³, Jennifer Davis Burns² and Sarah L Krein^{2,4}

Abstract

Introduction: Patients with chronic conditions can improve their health through participation in self-care programs. However, awareness of and enrollment in these programs are generally low.

Objective: We sought to identify factors influencing patients' receptiveness to a referral for programs and services supporting chronic disease management.

Methods: We analyzed data from 541 high-risk diabetic patients who completed an assessment between 2010 and 2013 from a computer-based, nurse-led Navigator referral program within a large primary care clinic. We compared patients who accepted a referral to those who declined.

Results: A total of 318 patients (75%) accepted 583 referrals, of which 52% were for self-care programs. Patients who accepted a referral had more primary care visits in the previous year, were more likely to be enrolled in another program, expressed more interest in using the phone and family or friends for support, and were more likely to report recent pain than those who declined a referral.

Discussion: Understanding what factors influence patients' decisions to consider and participate in self-care programs has important implications for program design and development of strategies to connect patients to programs. This work informs outreach efforts to identify and engage patients who are likely to benefit from self-care activities.

Keywords

Nursing, diabetes/endocrinology, primary care

Date received: 10 September 2015; accepted: 17 December 2015

Introduction

Chronic disease places an enormous burden on both the individuals affected and the health care system as a whole. Over 130 million Americans have been diagnosed with at least one chronic disease,^{1–3} and this number is increasing, including within the Veteran population.^{4,5} While the prevalence of chronic conditions increases, the supply of primary care providers (PCPs) and registered nurses (RNs) is dwindling, creating a gap in available health care.² One way the medical community is addressing this problem is through the patientcentered medical home (PCMH) model. The PCMH redesigns primary care into an efficient and comprehensive model of care.⁶ It promotes programs and care activities that are patient driven and inclusive of patients and families and is accessible, continuous, coordinated, and team based^{7–9} with the primary care RN serving as the care coordinator. Additionally, PCMH incorporates health information

Corresponding author:

Ann M Annis, VA Center for Clinical Management Research, VA Ann Arbor Healthcare System, 2800 Plymouth Rd, NCRC, Building 16, 3rd floor, Ann Arbor, MI 48109, USA. Email: ann.annis@va.gov

Creative Commons Non Commercial CC-BY-NC: This article is distributed under the terms of the Creative Commons Attribution-NonCommercial 3.0 License (http://www.creativecommons.org/licenses/by-nc/3.0/) which permits non-commercial use, reproduction and distribution of the work without further permission provided the original work is attributed as specified on the SAGE and Open Access pages (https://us.sagepub.com/en-us/nam/open-access-at-sage).

¹Departments of Advertising and Public Relations and Media and Information, Michigan State University, East Lansing, MI, USA ²VA Center for Clinical Management Research, VA Ann Arbor Healthcare System, Ann Arbor, MI, USA ³Department of Ambulatory Care, VA Ann Arbor Healthcare System,

Ann Arbor, MI, USA ⁴Department of Internal Medicine, University of Michigan, Ann Arbor, MI, USA

technologies and patient registries for improved coordination of care.9

In 2010, the Veterans Health Administration (VHA) began to implement the PCMH model, called Patient Aligned Care Teams (PACT), at all VHA primary care facilities nationwide.¹⁰ In conjunction with that effort, Veterans Affairs Ann Arbor Healthcare System (VAAAHS) was selected as one of five national PACT Demonstration Laboratories charged with facilitating and evaluating implementation of the PACT model.¹¹ As part of this work, we developed and piloted a novel, computer-based, RN-delivered program called the Navigator, which was designed to connect patients to self-management programs, by offering program referrals based on patients' needs and preferences.¹²

The VAAAHS has a myriad of self-care programs available to patients with chronic disease. Generally, these programs are delivered by health care professionals who educate patients and families and provide them with the skills necessary to effectively manage their conditions. Evaluations of certain VHA-based programs have demonstrated some effectiveness in terms of improved patient-provider communication,13 weight loss,14 reduced hospital admissions,15,16 and improved self-care and clinical outcomes.¹⁷ Past research has demonstrated that patients who are actively engaged in selfmanagement activities experience improved health outcomes, better care, and a higher quality of life.18-24 However, patient enrollment in chronic disease management programs is low nationally,²⁵ due to multiple factors, including patients' and even their providers' lack of awareness of available programs or eligibility requirements.26-28

The Navigator was created as an RN-led platform to address barriers to program participation by identifying patients' preferences and health care needs, by promoting interactions between patients and primary care nurses, and by increasing patient and provider awareness of available programs. This was accomplished through the use of a structured assessment, conducted over the phone, and an algorithm that identified programs that may fit with patients' needs and preferences.¹² Importantly, the Navigator was aligned with PACT goals that emphasize team-based care, utilizing RNs in the management and coordination of patient care.

Aims

Although studies have examined how recruitment methods and patient characteristics can influence patient engagement and retention in self-management programs,²⁹ less is known about the factors affecting patients' receptiveness to referrals for care management programs and services. To our knowledge, previous research has not studied patient-centered referrals, which are derived by matching patients to self-management programs. Understanding characteristics that may predict patients' acceptance of a referral can help guide outreach efforts to engage patients in programs. Thus, we conducted a retrospective descriptive assessment of data collected as part of the Navigator program to identify factors associated with patients' receptivity to referrals for care management programs and services.

Methods

Navigator program

A detailed account of the development, features, and assessment tools of the Navigator is previously published.¹² Briefly, the goal of the Navigator tool is to match patients with chronic diseases or conditions to self-care programs and to provide the patients with referrals to those programs. A primary care-based RN uses the tool to proactively call patients on the phone and guide them through an initial assessment covering 17 domains, including social support, cognitive status, depression, pain, self-efficacy, and technology use.¹² The Navigator tool utilizes the assessment information to identify programs that match the patient's needs and care preferences. Guided by further discussion with the patient, the RN provides more information about available programs and offers a referral to those programs of interest to the patient. The patient can accept or decline the referral(s); however, patients were limited to two programs at a time to avoid too many patient contacts.

Through their conversations with patients, nurses using the Navigator often identified additional patient needs beyond self-management support programs. Thus, nurses also referred patients to other outpatient clinical resources, such as services provided by a nurse case manager, social worker, or clinical pharmacy specialist. These referrals, when accepted, were also recorded in the Navigator system. In this article, we included referrals to self-management programs and to clinical services, both of which represent different types of care management activities.

Upon acceptance of a referral, the Navigator nurse submitted the appropriate information to the relevant program or clinical staff, who later contacted the patient to engage them in care. A follow-up Navigator phone call with the patient ensured that contact with these resources had been made and addressed any additional needs at that time.

Sample

The Navigator was first pilot tested with patients with diabetes. Thus, our sample consisted of Veteran patients with highrisk diabetes who received the majority of their primary care from the main VAAAHS campus or an affiliated communitybased satellite site. The VAAAHS provides care to more than 60,000 Veterans and delivers more than 500,000 outpatient visits annually. Using a population-based registry, we identified patients with a recorded International Classification of Diseases, Ninth Revision (ICD-9) diagnosis of diabetes and at least two primary care visits to one of the VAAAHS sites within the previous year. High-risk diabetes criteria included at least one of the following: a history of amputation; last A1c >9 or last A1c >8 and age \leq 55 years; last recorded systolic blood pressure >160 and/or last recorded diastolic blood pressure >100; presence of diabetic ulcer; and/or insulin usage. Patients who met the defined high-risk criteria were considered eligible for the Navigator program and were entered into the Navigator tool for assessment by the RN.

Data collection and measures

We obtained patient information available from the Navigator as well as patient self-reported information collected by the RN during the initial Navigator assessment. These data included patient demographics (gender, age as of date of assessment, and living arrangement), the high-risk diabetes criteria mentioned above, and the number of primary care visits for each patient within the past year. Additionally, the Navigator assessment included several Likert items that asked patients to rate or score their interest and preferences for care delivery and perceptions of quality of life, health status, depression symptoms, confidence in self-care, and pain. The Navigator assessment tool was specifically developed for program purposes to identify important patient factors that are relevant to the VHA self-care programs that are offered. The assessment questions were previously tested, and a description of the development of the assessment tool is provided elsewhere.¹² In general, the assessment items were adopted from established survey instruments, including the Short Form-12 (SF-12[®]) Health Survey,³⁰ the Vulnerable Elders Survey (VES-13),³¹ the Patient Health Questionnare-2 (PHQ-2),³² the Brief Pain Inventory (BPI),³³ and the Chronic Disease Self-Efficacy scales developed by Lorig et al.³⁴

Our primary outcome was whether the patient accepted or declined referral at the time of the initial assessment. Information on the number and type of referrals made by the RN was collected and stratified into two groups according to whether the applicable program was one that focused specifically on developing and/or increasing patient selfmanagement strategies versus one that provided clinical services to the patient. Referral information was only documented for patients who indicated they were interested in receiving the referral. Thus, information for referrals that were offered but declined by patients was missing for most patients. This prevented analysis of the likelihood of accepting referrals as potentially influenced by the type of referral (i.e. clinical service versus self-care program). Therefore, patients were classified as accepting a referral when one or more referrals were documented as accepted by the Navigator nurse, while patients who declined all referrals were classified as declining referrals. Many of these programs do not routinely collect enrollment data in a standardized format (i.e. not in the electronic medical record system), and thus, we were unable to determine participation rates for the various programs. As such, our intention here was to explore patients' receptiveness to an offer of services as an initial step in the care management continuum.

Data analysis

Due to low frequencies for some response categories for several of the assessment questions, we collapsed their Likerttype scales into fewer categories for analysis in order to provide meaningful groups. For example, the five response levels for quality of life and general health were grouped into three values of excellent or very good, good, and fair or poor. The two highest response values of "more than half the days" and "nearly every day" were combined into one category for two questions related to feeling depressed and having little interest in doing things. On further examination of the data, we found that the three questions pertaining to patients' selfefficacy in managing health were highly skewed, with the majority of patients reporting the highest confidence level (10 on a 1–10 scale) for each. Thus, binary categories indicating very high (9-10) and less than high (1-8) were created. The 0–10 response levels for the four questions relating to pain were grouped into none to very little pain (0-1), small amount (2-4), moderate amount (5-7), and very much (8-10). However, a large proportion of patients reported no pain for two of these questions (pain in the previous week and previous 4 weeks). Thus, we compared those with no pain to those reporting some pain for these two questions.

For our analysis, we grouped patients as having accepted or declined a program referral. Chi-square tests were used to assess whether the characteristics of patients differed between those who accepted and those who declined. Due to the non-normal distributions of age at assessment and the number of primary care visits in the previous year, we compared differences in these variables between the accepted and the declined groups via Wilcoxon rank-sum tests.

In multivariate analysis, we performed logistic regression which modeled referral acceptance as the outcome. Model development consisted of an iterative process to select variables, informed by results from the bivariate analyses, as well as conceptual clarity. For example, although the level of pain during the past week and the level of pain over the past 4 weeks were both significantly associated with referral acceptance, they were highly correlated (Spearman correlation = 0.83, p < 0.0001). Thus, only the level of pain over the past 4 weeks was included in the models. Variables with a 0-10 or 1-10 scale were treated as continuous variables. The odds ratios comparing those who accepted referrals versus those who declined are reported. All statistical tests were performed with a significance level of p<0 0.05. All analyses were conducted using SAS version 9.3 (SAS Institute Inc., Cary, NC).

The Navigator program was developed for clinic operations and implemented as usual care. Program and administrative data were analyzed for quality improvement purposes. All VHA authors of this article attest that the activities that

Characteristic	Accepted referral (n = 318)	Declined referral (n = 107)	p value
Gender, %			
Male	96.5	97.2	
Female	3.5	2.8	0.74ª
Age, mean (SD)	65 (9.4)	67 (11.4)	0.14 ^b
Living arrangement, %			
Lives alone	30.6	31.7	
Lives with spouse or other adult(s)	69.4	68.3	0.83ª
Number of primary care visits in last year, mean (SD)	5.1 (2.9)	4.4 (2.6)	0.02 ^b
Primary care site, %			
Site 1: medical center based	89.9	84.0	
Site 2: community outpatient based	10.1	16.0	0.16ª
High-risk conditions, % ^c			
Amputation	4.4	3.7	0.77 ^d
High HbA1c	30.2	29.9	0.96 ^d
High blood pressure	27.0	40.2	0.01 ^d
Diabetic ulcer	30.5	29.0	0.77 ^d
Insulin usage	70.4	57.9	0.02 ^d

Table I. Characteristics among patients who completed a Navigator assessment, December 2010–January 2013.

HbAIc: hemoglobin AIc; SD: standard deviation.

Percents represent column percentage for each characteristic.

^ap value for Pearson's chi-square test of differences in the proportions within each characteristic among patients who accepted a referral versus those who declined a referral.

^bp value for Wilcoxon test for differences in rank sums of values among patients who accepted a referral versus those who declined a referral. ^cCategory does not sum to 100% since patients can have multiple conditions.

^dp value for Pearson's chi-square test of differences in the proportions of those with a condition compared to those without the condition among patients who accepted a referral versus those who declined a referral.

resulted in producing this article were not conducted as part of a research study, but as part of a non-research evaluation under the authority of VHA operations. Thus, institutional review board approval was not required.

Results

We identified all available high-risk patients who completed a Navigator assessment with an RN between December 2010 and January 2013 (n=541). Documentation of an acceptance or declination of a referral was available for 425 patients (79%). Patients with a missing referral status were more likely to be affiliated with the satellite site than the medical center-based primary care site as compared to those with a referral status (46% versus 11%, p<0.001). However, we suspected this difference was likely due to new nurses still being trained in using the Navigator. In further examination, there were no significant differences between those with a missing referral status (n=116) and those with a documented referral status with respect to gender, age, living arrangement, number of primary care visits in previous year, and proportions identified with the high-risk conditions of diabetic ulcer, amputation, high blood pressure, and insulin usage. However, those with a missing referral status were more likely to have been identified with a high A1c than those with a referral status (44% versus 30%, p=0.005). We further examined other variables of interest and found no further differences between the two groups. We excluded from analyses those with missing documentation of a referral acceptance or declination.

The majority of patients were male, older, lived with a spouse or other adult(s), and received most of their care at the main medical center-based primary care site (Table 1). These characteristics did not differ significantly between the two groups. However, a patient accepting a referral had more primary care visits in the previous year than those declining a referral (mean 5.1 versus 4.4, p=0.02). Additionally, those who accepted a referral were less likely to have been identified with high blood pressure (27% versus 40%, p=0.01) and more likely to have been identified as using insulin (70% versus 58%, p=0.01) than those who declined.

In total, the 318 patients (75%) who accepted a Navigator referral received 583 referrals to programs offered by the VAAAHS. Fifty-two percent of the referrals were to self-care programs, including MyHealtheVet (an online personal health record, n=82), home telehealth (n=71), MOVE and TeleMOVE (VHA weight loss programs, n=50 and n=41, respectively), CarePartners (a VAAAHS program involving informal caregivers, n=34), diabetes education (n=23), and tobacco cessation classes (n=4). The clinical service referrals (47%) included a variety of services such as RN case management (n=104), social work (n=87), PCP (n=31,

Characteristic	Accepted referral (n=318), %	Declined referral (n = 107), %	p valueª
Current enrollment in another clinical program	18.0	5.7	0.002
Received help from family or friends with health-related tasks during last 3 months	31.5	26.2	0.30
Interested in coming into (the VHA site) for any programs	43.7	29.3	0.009
Comfortable using the phone	88.3	75.5	0.001
Interested in a program that uses phone either to record health status or to get support with maintaining health	47.8	17.9	<0.001
Interested in hearing about some programs which could help family members or friends support him or her in managing health	26.1	3.8	<0.001
Has a computer that he or she uses regularly	59.2	41.0	0.001
Has access to the Internet	79.9	73.2	0.34
Interested in any new programs that would use computer for helping him or her to be healthy	64.9	50.0	0.07

Table 2. Percentage of patients with select characteristics among those who completed a Navigator assessment, by referral status.

Percents represent the percentage of the total with and without the characteristic.

^ap value for Pearson's chi-square test of differences in the proportions of those with the characteristic compared to those without the characteristic among patients who accepted a referral versus those who declined a referral.

made when there was a new or exacerbated medical issue), clinical pharmacist (n=13), geriatrics (n=12), home-based primary care (n=9), and others (n=20). Among 318 patients who accepted a Navigator referral, 33% received referral(s) only to self-care programs, 29% received referral(s) only for clinical services, and 38% received referrals to both self-care programs and clinical services. Because the type of referral offered is typically not documented for patients who decline referral, we were unable to determine whether the type of referral was associated with patients' willingness to accept referral(s).

Patients who accepted a referral were more likely to be currently enrolled in another program as compared to those who declined a referral (18% versus 6%, p < 0.01; Table 2). In fact, among the 63 patients who were enrolled in other programs, 90% accepted a referral. Additionally, patients who accepted a referral were significantly more likely to report being interested in traveling to the VHA site for programs (44% versus 29%), comfortable using the phone (88% versus 76%), interested in phone-based programs (48% versus 18%), interested in programs using family or friend support (26% versus 4%), and having a computer that he or she uses regularly (59% versus 41%) compared to those who declined.

There were no significant differences between the two groups in self-reported quality of life, general health, and feelings of depression (Table 3). However, those who accepted a referral tended to report having little interest or pleasure doing things in the previous 2 weeks more often than those who declined a referral (p=0.05). Although patients in both groups reported very high levels of self-efficacy in managing their health, a significantly larger proportion of those who declined a referral rated their confidence higher than those who accepted a referral in two out of the three confidence questions.

Significantly more patients who accepted a referral reported the presence of pain during the past week (83% versus 63%, p < 0.001) and over the past 4 weeks (85% versus 66%, p < 0.001) as compared to those who declined (Table 4). There were no differences between the groups with respect to pain interfering with enjoyment of life or general activity.

In multivariate analyses, the level of pain over the past 4 weeks, current enrollment in another clinical program, interest in programs utilizing phone and family, and quality of life during the past week were all significantly associated with referral acceptance (Table 5). For example, for each one-unit increase in pain level, the odds of accepting a referral increase by 7.8%. Patients who were currently enrolled in a clinical program and patients who reported interest in programs using phone or family for support were three to four times more likely to accept a referral than those without these characteristics. Conversely, reporting an excellent or very good quality of life was associated with decreased odds of accepting a referral.

Discussion

The Navigator tool was developed to help match patients' preferences for care to available self-management programs as a part of PACT implementation. This project sought to better understand what patient characteristics may predict acceptance of a program referral using the Navigator tool. This is the first step in understanding the types of patients who are more willing to be engaged in their health care. We identified several key patient characteristics associated with acceptance of referrals in this population. In general, patients accepting referrals had more primary care visits in the previous year, were more likely to be enrolled in another program, expressed more interest in various types of care programs,

Assessment question	Accepted referral (n=318), %	Declined referral (n = 107), %	p valueª
How would you rate your quality of life during the past week?			
Excellent or very good	36.0	48.6	
Good	39.1	31.8	
Fair or poor	24.9	19.6	0.07
In general, compared to other people of your age, would you say your health is			
Excellent or very good	27.4	31.8	
Good	35.0	33.6	
Fair or poor	37.5	34.6	0.68
Over the past 2 weeks how often have you been bothered by h	aving little interest or pleasure in o	doing things?	
Not at all	63.7	75.7	
Several days	19.6	10.3	
More than half or nearly every day	16.7	14.0	0.05
Over the past 2 weeks how often have you been bothered by fe	eeling down, depressed, or hopele	ss?	
Not at all	61.7	72.9	
Several days	24.1	17.8	
More than half or nearly every day	14.2	9.4	0.11
How confident are you that you can do all the things necessary to manage your health on a regular basis? (I = not at all to I0 = completely)			
Highly confident (9–10)	57.8	69.8	
Less than highly confident (1–8)	42.2	30.2	0.03
How confident are you that you can judge when the changes in at all to $10 = completely$)	your health mean that you should	contact your doctor or nu	urse? (I = not
Highly confident (9–10)	70.8	80.2	
Less than highly confident (1–8)	29.2	19.8	0.06
How confident are you that you can do the different tasks and a doctor frequently? $(1 = not at all to 10 = completely)$	activities needed to manage your h	nealth so you don't need to	see your
Highly confident (9–10)	62.5	74.5	
Less than highly confident (1–8)	37.5	25.5	0.02

Table 3. Patient perceptions of quality of life, health, and self-efficacy among those who completed a Navigator assessment, by referral status.

Percents represent column percentage for each characteristic.

^ap value for Pearson's chi-square test of differences in the proportions within each characteristic among patients who accepted a referral versus those who declined a referral.

were slightly less confident in managing health, and were more likely to report pain as compared to those who declined a referral. In consideration of all these factors, previous program enrollment and interest in programs were most strongly associated with referral acceptance. This suggests that patients' preferences and previous participation in care are just as important as measures of their health status in determining their willingness to seek self-care assistance.

We found that just over half of the referrals (52%) were to self-management programs. In fact, many of the patients contacted were in need of something other than self-management support, for example, a referral to social work, RN case management, their PCP, or to the clinical pharmacist for a prescription refill or medication reconciliation. Anecdotally, the Navigator RNs reported that regardless of the referral destination, the Navigator calls seemed to help patients get on track with their health management and enabled participation in self-management programs. It also helped RNs to recognize their important role in care coordination within the PACT model of care.

Our results also demonstrated that the presence of pain was associated with higher likelihood of accepting a referral. In a previous study, researchers identified pain as a barrier to participation in self-management activities for patients with chronic disease.²⁶ The juxtaposition of pain as a potential activator for seeking care while simultaneously impeding self-care efforts has important implications not only for engaging these patients in self-care activities but also in keeping them engaged for the long term. It also stresses the importance of addressing health issues, such as pain, that may not be directly related to chronic disease management, yet may influence patients' interest in and the ability to participate in chronic disease self-care programs.

Three-quarters of patients for whom we had a referral status accepted a referral, possibly indicating a high interest and willingness of patients to seek and obtain care outside of the usual

Assessment question	Accepted referral (n=318), %	Declined referral (n = 107), %	p value ^a	
On average, during the past week, what	at number best describes your pain? (0=	no pain to 10=worst pain)		
No pain (0)	17.1	37.4		
Pain (1–10)	82.9	62.6	<0.001	
What number best describes your pair	n at its worst over the past 4 weeks? (0=	no pain to 10=worst pain)		
No pain (0)	15.0	34.3		
Pain (1–10)	85.0	65.7	<0.001	
What number best describes how, dur	ing the past week, pain has interfered w	ith your enjoyment of life? (0=does no	t to	
10 = completely)				
None to very little $(0-1)$	9.2	17.4		
Small amount (2–4)	30.3	24.6		
Moderate amount (5–7)	37.6	39.1		
Very much (8–10)	23.0	18.8	0.22	
What number best describes how, due	ing the past week, pain has interfered w	ith your general activity? (0=does not 1	co 10 = completely)	
None to very little (0–1)	11.9	11.8		
Small amount (2–4)	28.7	26.5		
Moderate amount (5–7)	38.7	38.2		
Very much (8–10)	20.7	23.5	0.96	

Table 4. Patient perceptions of pain among those who completed a Navigator assessment, by referral status.

Percents represent column percentage for each characteristic.

^ap value for Pearson's chi-square test of differences in the proportions within each characteristic among patients who accepted a referral versus those who declined a referral.

medical care they receive from providers. Moreover, the high acceptance rate may also indicate the success of the Navigator in linking patients to programs via referrals that may not have otherwise been received by patients. Past research has shown providers' referral practices to self-management programs to be low²⁷ and that some health care providers act as the gatekeeper to supplemental programs, such as telehealth.^{35,36} Providers' willingness to refer patients to programs is affected by multiple factors, including fear of fragmenting care or increasing workload, concerns about the amount of time necessary to motivate patients, and uncertainty as to which patients would benefit most.²⁸ Utilizing a primary care RN, an algorithm identifying high-risk patients, strong reliance on RN's clinical judgment, and an assessment that matches patient preferences to available programs, the Navigator may help address barriers to provider referrals. Further strategies may be needed, however, for patients who appear to be less connected to health resources and may, to some degree, be more in need of care provided by these types of programs.

We recognize limitations to our project findings. First, our results are from a large, academically affiliated VA health care system, therefore may not be generalizable to smaller, non-academically affiliated VAs or non-VA medical facilities or practices. Also, the population only included those patients with diabetes who were considered high risk using criteria developed by the PACT Demonstration Laboratory, thus the findings may vary for patients with other chronic conditions. This work should be expanded to explore patient characteristics and preferences of those with other chronic conditions, such as heart disease. Additionally, we had missing referral acceptance/declination information for 21% of our sample, which potentially may have affected our results. Suggested referrals are typically not recorded for patients who declined referrals; thus, we were unable to determine whether the likelihood of referral acceptance was different for those receiving referrals for clinical services versus those receiving referrals for self-care programs.

Finally, we were unable to determine patients' participation in programs, as this information was not readily available for some programs. Although program participation is a key outcome for care management interventions, understanding factors that may increase the likelihood of patient participation is also important. Without an initial receptiveness or willingness to consider available services, participation may not occur. Thus, further exploration of patients' initial contact with care management resources may provide valuable information that can help guide outreach efforts and ultimately increase program participation.

Conclusion

The Navigator system was designed to promote key provisions of the medical home model of care in VAAAHS. PACT principles include patient-centeredness, which is included in the Navigator system by matching patients' goals and preferences to a variety of resources. Use of the Navigator increases patients' and providers' knowledge of available programs and promotes patient ownership and decision making in their care. Importantly, the Navigator promotes nurse-driven care management and care coordination, expanding the roles of primary care nurses, which is a key objective of PACT. A better understanding of the characteristics associated with acceptance of a program referral allows providers and/or RNs to strategically and proactively contact patients who

Table 5. Estimates	from logistic regi	ression modeling the	e probability	of referral accept	otance among Nav	igator patients	(n = 406).

		0 0	1 (,
Variable	Estimate	Odds ratio (OR)	95% confidence interval for OR	p value
Number of primary care visits in past year	0.055	1.057	(0.957, 1.167)	0.275
Level of pain at its worst over the past 4 weeks (0 = no pain to 10 = worst pain)	0.075	1.078	(1.001, 1.160)	0.047
Current enrollment in another clinical program	0.628	3.514	(1.388, 8.895)	0.008
Interested in coming into (the VHA site) for any programs	0.049	1.103	(0.632, 1.925)	0.729
Interested in a program that uses phone for support in managing health	0.578	3.174	(1.700, 5.927)	0.000
Interested in programs that use family members or friends for support in managing health	0.701	4.067	(1.350, 12.247)	0.013
Quality of life during past week				
Excellent or very good	-0.47 I	0.482	(0.217, 1.070)	0.025
Good	0.213	0.956	(0.455, 2.009)	0.265
Fair or poor	(ref)	-	_	-
General health compared to people of similar age				
Excellent or very good	0.324	2.033	(0.931, 4.441)	0.143
Good	0.061	1.563	(0.807, 3.029)	0.739
Fair or poor	(ref)	_	-	-
Level of confidence in managing health (I = not at all to IO = completely)	-0.182	0.834	(0.688, 1.011)	0.065
Level of confidence in judging health changes (I = not at all to I0 = completely)	0.073	1.075	(0.856, 1.351)	0.531
Level of confidence in performing needed tasks to manage health (I = not at all to I0=completely)	-0.058	0.943	(0.748, 1.190)	0.623

Logistic regression likelihood ratio chi-square = 74.61 (13 degrees of freedom), p < 0.0001. Estimates that are significant with p < 0.05 are in bold.

may need and be open to additional care, as well as develop new programs to match patients' needs. These efforts may lead to a more knowledgeable and engaged patient population with chronic disease.

Declaration of Conflicting Interests

The author(s) declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

Ethics approval

Evaluation of the Navigator program was deemed non-research operations (i.e. not research) by the Veterans Health Affairs Office of Research Oversight. Formal attestations of only non-research activities regarding this project and article were obtained and documented in official records from all VHA-employed co-authors and their supervisors and the VHA Director of Primary Care Services.

Funding

This work and the VAAAHS PACT Demonstration Laboratory were supported by the Office of Primary Care, Veterans Health Administration, Department of Veterans Affairs. The views expressed in this article are those of the authors and do not necessarily reflect the position or policy of the Department of Veterans Affairs or the United States government.

Informed consent

The Navigator program was deemed non-research operational activity (see above) and thus did not require informed consent from participants.

References

- Centers for Disease Control and Prevention. *Chronic diseases:* the power to prevent, the call to control—at a glance 2009. Atlanta, GA, 2009, http://www.cdc.gov/nccdphp/publications/ aag/pdf/chronic.pdf (accessed 11 May 2013).
- 2. Bodenheimer T, Chen E and Bennett HD. Confronting the growing burden of chronic disease: can the US health care workforce do the job? *Health Aff* 2009; 28(1): 64–74.
- Vogeli C, Shields AE, Lee TA, et al. Multiple chronic conditions: prevalence, health consequences, and implications for quality, care management, and costs. *J Gen Intern Med* 2007; 22(Suppl. 3): 391–395.
- Yu W, Ravelo A, Wagner TH, et al. Prevalence and costs of chronic conditions in the VA health care system. *Med Care Res Rev* 2003; 60(3 Suppl.): 146S–167S.
- Yoon J, Scott JY, Phibbs CS, et al. Recent trends in Veterans Affairs chronic condition spending. *Popul Health Manag* 2011; 14(6): 293–298.
- Paulus RA, Davis K and Steele GD. Continuous innovation in health care: implications of the Geisinger experience. *Health Aff* 2008; 27(5): 1235–1245.
- Barr M and Ginsburg J. *The advanced medical home: a patientcentered, physician-guided model of health care*, 2008, http:// www.acponline.org/running_practice/delivery_and_payment_models/pcmh/understanding/what.htm (accessed 11 May 2013).
- Crabtree BF, Nutting PA, Miller WL, et al. Summary of the National Demonstration Project and recommendations for the patient-centered medical home. *Ann Fam Med* 2010; 8(Suppl. 1): S80–S90.

- Stange KC, Nutting PA, Miller WL, et al. Defining and measuring the patient-centered medical home. *J Gen Intern Med* 2010; 25(6): 601–612.
- Klein S. The Veterans Health Administration: Implementing patient-centered medical homes in the nation's largest integrated delivery system, vol. 16, no.1537. New York: Commonwealth Fund Publication, 2011.
- Piette J, Holtz B, Beard A, et al. Improving chronic illness care for veterans within the framework of the patient-centered medical home: experiences from the Ann Arbor Patient-Aligned Care Team Laboratory. *Transl Behav Med* 2011; 1(4): 615–623.
- Holtz B, Morrish W and Krein S. A nurse-patient shared decision support tool. *Am J Nurs* 2013; 113(1): 47–52.
- Luptak M, Dailey N, Juretic M, et al. The Care Coordination Home Telehealth (CCHT) rural demonstration project: a symptom-based approach for serving older veterans in remote geographical settings. *Rural Remote Health* 2010; 10(2): 1375.
- Kahwati LC, Lance TX, Jones KR, et al. RE-AIM evaluation of the Veterans Health Administration's MOVE! Weight Management Program. *Transl Behav Med* 2011; 1(4): 551–560.
- Darkins A, Ryan P, Kobb R, et al. Care Coordination/Home Telehealth: the systematic implementation of health informatics, home telehealth, and disease management to support the care of veteran patients with chronic conditions. *Telemed J E Health* 2008; 14(10): 1118–1126.
- Barnett TE, Chumbler NR, Vogel WB, et al. The effectiveness of a care coordination home telehealth program for veterans with diabetes mellitus: a 2-year follow-up. *Am J Manag Care* 2006; 12(8): 467–474.
- Crowley MJ, Edelman D, McAndrew AT, et al. Practical telemedicine for veterans with persistently poor diabetes control: a randomized pilot trial. *Telemed J E Health*. Epub ahead of print 5 November 2015. DOI: 10.1089/tmj.2015.0145.
- Funnell MM. Peer-based behavioural strategies to improve chronic disease self-management and clinical outcomes: evidence, logistics, evaluation considerations and needs for future research. *Fam Pract* 2010; 27(Suppl. 1): i17–i22.
- Ditewig JB, Blok H, Havers J, et al. Effectiveness of selfmanagement interventions on mortality, hospital readmissions, chronic heart failure hospitalization rate and quality of life in patients with chronic heart failure: a systematic review. *Patient Educ Couns* 2010; 78(3): 297–315.
- Coleman K, Austin BT, Brach C, et al. Evidence on the Chronic Care Model in the new millennium. *Health Aff* 2009; 28(1): 75–85.
- Glasgow RE, Kurz D, King D, et al. Twelve-month outcomes of an Internet-based diabetes self-management support program. *Patient Educ Couns* 2012; 87(1): 81–92.

- Du S, Yuan C, Xiao X, et al. Self-management programs for chronic musculoskeletal pain conditions: a systematic review and meta-analysis. *Patient Educ Couns* 2011; 85(3): e299–e310.
- Lorig K, Ritter PL, Ory MG, et al. Effectiveness of a generic chronic disease self-management program for people with type 2 diabetes: a translation study. *Diabetes Educ* 2013; 39: 655–663.
- Fitzpatrick SL, Schumann KP and Hill-Briggs F. Problem solving interventions for diabetes self-management and control: a systematic review of the literature. *Diabetes Res Clin Pract* 2013; 100: 145–161.
- Kalsekar I, Record S, Nesnidal K, et al. National estimates of enrollment in disease management programs in the United States: an analysis of the National Ambulatory Medical Care Survey data. *Popul Health Manag* 2010; 13(4): 183–188.
- Jerant AF, von Friederichs-Fitzwater MM and Moore M. Patients' perceived barriers to active self-management of chronic conditions. *Patient Educ Couns* 2005; 57(3): 300–307.
- Ruppert K, Uhler A and Siminerio L. Examining patient risk factors, comorbid conditions, participation, and physician referrals to a rural diabetes self-management education program. *Diabetes Educ* 2010; 36(4): 603–612.
- Sunaert P, Vandekerckhove M, Bastiaens H, et al. Why do GPs hesitate to refer diabetes patients to a self-management education program: a qualitative study. *BMC Fam Pract* 2011; 12: 94.
- Glasgow RE, Nelson CC, Kearney KA, et al. Reach, engagement, and retention in an Internet-based weight loss program in a multi-site randomized controlled trial. *J Med Internet Res* 2007; 9(2): e11.
- Ware J Jr, Kosinski M and Keller SD. A 12-Item Short-Form Health Survey: construction of scales and preliminary tests of reliability and validity. *Med Care* 1996; 34(3): 220–233.
- 31. Saliba D, Elliott M, Rubenstein LZ, et al. The Vulnerable Elders Survey: a tool for identifying vulnerable older people in the community. *J Am Geriatr Soc* 2001; 49(12): 1691–1699.
- Kroenke K, Spitzer RL and Williams JB. The Patient Health Questionnaire-2: validity of a two-item depression screener. *Med Care* 2003; 41(11): 1284–1292.
- Cleeland CS and Ryan KM. Pain assessment: global use of the Brief Pain Inventory. *Ann Acad Med Singapore* 1994; 23(2): 129–138.
- Lorig K, Stewart A, Ritter P, et al. Outcome measures for health education and other health care interventions. Thousand Oaks, CA: SAGE, 1996.
- 35. Whitten PS and Mackert MS. Addressing telehealth's foremost barrier: provider as initial gatekeeper. *Int J Technol Assess Health Care* 2005; 21(4): 517–521.
- Whitten P and Holtz B. Provider utilization of telemedicine: the elephant in the room. *Telemed J E Health* 2008; 14(9): 995–997.