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# ORIGINAL ARTICLE

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# Efficacy and safety of the addition of ertugliflozin in patients with type 2 diabetes mellitus inadequately controlled with metformin and sitagliptin: The VERTIS SITA2 placebo-controlled randomized study

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### Funding information

Funding for this research was provided by Merck & Co., Inc., Kenilworth, New Jersey, in collaboration with Pfizer Inc. **Aims:** To assess ertugliflozin in patients with type 2 diabetes who are inadequately controlled by metformin and sitagliptin.

**Materials and Methods:** In this double-blind randomized study (Clinicaltrials.gov NCT02036515), patients (glycated haemoglobin [HbA1c] 7.0% to 10.5% [53-91 mmol/mol] receiving metformin  $\geq$ 1500 mg/d and sitagliptin 100 mg/d; estimated glomerular filtration rate [eGFR]  $\geq$ 60 mL/min/1.73 m<sup>2</sup>) were randomized to ertugliflozin 5 mg once-daily, 15 mg once-daily or placebo. The primary efficacy endpoint was change from baseline in HbA1c at Week 26; treatment was continued until Week 52.

**Results:** A total of 464 patients were randomized (mean baseline HbA1c, 8.0% [64.3 mmol/mol]; eGFR, 87.9 mL/min/1.73 m<sup>2</sup>). After 26 weeks, placebo-adjusted least squares (LS) mean changes in HbA1c from baseline were -0.7% (-7.5 mmol/mol) and -0.8% (-8.3 mmol/mol) for ertugliflozin 5 and 15 mg, respectively (both *P* < .001); 17.0%, 32.1% and 39.9% of patients receiving placebo, ertugliflozin 5 mg or ertugliflozin 15 mg, respectively, had HbA1c <7.0% (53 mmol/mol). Significant reductions in fasting plasma glucose, body weight (BW) and systolic blood pressure (SBP) were observed with ertugliflozin relative to placebo. The positive effects of ertugliflozin on glycaemic control, BW and SBP were maintained through Week 52. A higher incidence of genital mycotic infections was observed in male and female patients receiving ertugliflozin (3.7%-14.1%) vs placebo (0%-1.9%) through Week 52. The incidence of urinary tract infections, symptomatic hypoglycaemia and hypovolaemia adverse events were not meaningfully different across groups.

**Conclusions:** Ertugliflozin added to metformin and sitagliptin was well-tolerated, and provided clinically meaningful, durable glycaemic control, BW and SBP reductions vs placebo over 52 weeks.

## KEYWORDS

DPP-IV inhibitor, drug development, glycaemic control, SGLT2 inhibitor, sitagliptin, type 2 diabetes

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# 1 | INTRODUCTION

Type 2 diabetes mellitus (T2DM) is a progressive disease, for which many patients require combination therapy to maintain glycaemic control over time.<sup>1</sup> Metformin is the standard first-line pharmacotherapy for the treatment of T2DM, unless it is contra-indicated or not tolerated.<sup>1</sup> Dipeptidyl peptidase-4 (DPP-4) inhibitors, such as sitagliptin, are commonly used as second-line therapy and exert an antihyperglycaemic effect by increasing concentrations of incretin hormones, thereby enhancing insulin secretion.<sup>2,3</sup> While the combination of metformin and sitagliptin has been shown to provide good glycaemic efficacy,<sup>4,5</sup> as the disease progresses and glycaemic control declines, some patients may benefit from the addition of a third agent with a complementary mode of action.<sup>1</sup>

Sodium-glucose cotransporter 2 (SGLT2) inhibitors represent the latest class of agents approved for the treatment of T2DM.<sup>6,7</sup> They have an insulin-independent mode of action and inhibit renal glucose reabsorption, resulting in enhanced urinary glucose excretion and thereby reducing plasma glucose and glycated haemoglobin (HbA1c) concentrations. SGLT2 inhibitors have also been shown to reduce body weight and blood pressure (BP)<sup>7</sup> and are generally well-tolerated, with a low risk of hypoglycaemia.

Combination therapy with an SGLT2 inhibitor and a DPP-4 inhibitor offers 2 antihyperglycaemic agents with different, complementary mechanisms of action.<sup>2,3</sup> Furthermore, it has been hypothesized that DPP-4 inhibitors may offset the increase in the rate of endogenous glucose production induced by SGLT2 inhibitors, potentially leading to additive effects on HbA1c reduction.<sup>8</sup>

Ertugliflozin is a highly selective SGLT2 inhibitor currently being evaluated in the VERTIS (eValuation of ERTugliflozin efflcacy and Safety) clinical trial programme. Two doses of ertugliflozin (5 and 15 mg once daily [QD]) were selected for Phase 3 studies, as these doses were predicted to provide >80% and >90% of maximal pharmacology for urinary glucose excretion and glycaemic (HbA1c, fasting plasma glucose [FPG]) and body weight endpoints based on Phase 2 studies.<sup>9,10</sup>

The aim of this study (VERTIS SITA2) was to compare the safety and efficacy of the addition of ertugliflozin (5 and 15 mg QD) to that of placebo in patients with T2DM and inadequate glycaemic control while receiving a combination of metformin and sitagliptin.

# 2 | MATERIAL AND METHODS

### 2.1 | Study design

Protocol MK-8835-006 was a randomized, double-blind, placebocontrolled, parallel-group Phase 3 study (ClinicalTrials.gov identifier: NCT02036515). It was conducted over 52 weeks in 2 phases; the primary time point was at Week 26 (Phase A) and treatment was continued into a 26-week extension (Phase B).

The trial was conducted at 104 centres across 12 countries (Appendix S1). The trial started on April 7, 2014; the last patient completed Phase A on November 18, 2015 and Phase A + B on June

6, 2016. The study was conducted in accordance with the ethical

principles that have their origin in the Declaration of Helsinki, and that are consistent with Good Clinical Practice and the applicable regulatory requirements. The study was approved by the appropriate institutional review boards and regulatory agencies. All participating patients provided written, informed consent. The protocol and statistical analysis plan were developed by the Sponsors in consultation with an external Scientific Advisory Committee.

# 2.2 | Patient population

Adult patients with T2DM according to American Diabetes Association guidelines,<sup>11</sup> who were receiving stable treatment with metformin ( $\geq$ 1500 mg/d, any formulation) and sitagliptin (100 mg/d) for  $\geq$ 8 weeks, and had an HbA1c level of 7.0% to 10.5% (53-91 mmol/mol) at the screening visit, entered a 2-week single-blind, placebo run-in period prior to randomization. Patients undergoing this regimen for <8 weeks, receiving metformin  $\geq$ 1500 mg/d along with a sulphonylurea, or receiving lower doses of metformin and/or another DPP-4 inhibitor at screening, were eligible if they met the above criteria after the appropriate dose/ medication adjustment, stabilization or washout period (Appendix S1).

Key exclusion criteria included: history of type 1 diabetes mellitus or assessment as possibly having type 1 diabetes mellitus, confirmed with a C-peptide <0.23 nmol/L (0.7 ng/mL); history of ketoacidosis; history of myocardial infarction, unstable angina, arterial revascularization, stroke, transient ischaemic attack or functional class III-IV heart failure according to the New York Heart Association within 3 months of screening; mean value for triplicate sitting systolic BP (SBP) >160 mm Hg and/or diastolic BP (DBP) >90 mm Hg (patients receiving BP medication must have a stable regimen for  $\geq 4$  weeks prior to randomization); treatment in the previous 12 weeks with insulin of any type or antihyperglycaemic agents (AHA) other than metformin, DPP-4 inhibitors or sulphonylureas; active, obstructive uropathy or indwelling urinary catheter; estimated glomerular filtration rate (eGFR) <60 mL/min/1.73 m<sup>2</sup>; serum creatinine ≥115 µmol/L (1.3 mg/dL) in men or ≥106 µmol/L (1.2 mg/dL) in women; FPG >14.4 mmol/L (260 mg/dL) prior to the placebo run-in period and confirmed within 7 days.

Patients with adequate compliance during the placebo run-in period (≥80% based on pill count) were randomized 1:1:1 to receive ertugliflozin 5 mg QD, ertugliflozin 15 mg QD, or placebo using a computergenerated randomization schedule. Patients were expected to take study medication in the morning without regard to food. Patients, investigators and the sponsor remained blinded during the 26-week Phase A. The sponsor became unblinded after the Week 26 database lock to permit writing of the Phase A clinical study report; the investigators and patients remained blinded during the 26-week Phase B extension.

Glycaemic rescue therapy with open-label glimepiride (or insulin glargine if glimepiride was not considered appropriate) was prescribed for patients meeting glycaemic rescue criteria, which became more stringent over time (Appendix S1).

Prespecified protocol discontinuation criteria for renal function were either serum creatinine concentrations consistently  $\geq$ 133 µmol/L (1.5 mg/dL) in men or  $\geq$ 124 µmol/L (1.4 mg/dL) in women, or eGFR consistently (repeat measurement performed within 7 days) <50 mL/min/1.73 m<sup>2</sup>.

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### 2.3 | Efficacy assessments

The primary efficacy endpoint was change from baseline in HbA1c at Week 26. Prespecified key secondary efficacy endpoints subject to inferential hypothesis testing with strict alpha control were change from baseline at Week 26 in FPG, body weight and SBP, and the proportion of patients with HbA1c <7.0% (53 mmol/mol) at Week 26. Other efficacy endpoints, not included in the alpha-controlled testing procedure, were change from baseline at Week 26 in DBP and homeostasis model assessment of  $\beta$ -cell function (HOMA- $\beta$ ), proportion of patients who received glycaemic rescue therapy through Week 26, and effect of ertugliflozin on quality of life (as assessed by the EuroQol five dimensions three level [EQ-5D-3L] questionnaire).<sup>12</sup> No formal hypothesis testing was conducted at Week 52.

Efficacy assessments (HbA1c, FPG, body weight, SBP, DBP) were performed at Weeks 0 (baseline), 6, 12, 18, 26, 39 and 52. Body weight was measured in duplicate with a standardized digital scale. Sitting BP was measured in triplicate using an automated oscillometric BP measuring device. HOMA- $\beta$  was calculated using FPG and fasting C-peptide levels, which were measured at baseline, Weeks 26 and 52, using the calculator released by the University of Oxford in 2004.<sup>13</sup> The EQ-5D-3L assessment was performed at baseline, and at Weeks 26 and 52.

### 2.4 | Safety assessments

Safety analysis was conducted at Week 26 (Phase A) and Week 52 (Phases A + B). Safety assessments included the number of patients with adverse events (AEs), including AEs of special interest prespecified for inferential testing without multiplicity control (symptomatic hypoglycaemia [defined as episodes with clinical symptoms reported by the investigator as hypoglycaemia; biochemical documentation not required], and AEs associated with genital mycotic infection [analysed by gender], urinary tract infection and hypovolaemia). In addition, documented hypoglycaemia (symptomatic and asymptomatic), defined as episodes with a glucose level ≤3.9 mmol/L (70 mg/dL), with or without symptoms, was recorded.

Prespecified laboratory, electrocardiogram (ECG) and postural BP parameters were assessed, as well as changes over time in laboratory parameters (including eGFR and lipid panels [including low-density lipoprotein cholesterol (LDL-C) and high-density lipoprotein cholesterol (HDL-C)]), ECG measurements and vital signs.

Orthostatic BP (defined in Appendix S1) was assessed at baseline, Weeks 6 and 26.

# 2.5 | Statistical analyses

A planned sample size of approximately 405 patients (135 patients per group) was estimated to provide 97% power to detect a true difference of 0.5% in the mean change from baseline in HbA1c between a given ertugliflozin dose and placebo, based on a 2-sided test at 5% level of significance, assuming approximately 19% attrition at Week 26, and assuming a standard deviation of 1.0.

Primary and key secondary efficacy endpoints were tested at Week 26 in the following order: HbA1c, FPG, body weight, proportion of patients with HbA1c <7.0% (53 mmol/mol) and SBP. For each endpoint, the 15-mg dose was tested vs placebo, followed by the 5-mg dose vs placebo. Each test was performed at the 0.05 level, and testing continued until a P value  $\geq$ .05 was obtained.

Efficacy analyses included all randomized patients who received  $\geq 1$  dose of study drug and had  $\geq 1$  measurement of the respective endpoint. Post-rescue efficacy data were treated as missing in all efficacy analyses. A longitudinal data analysis (LDA) model<sup>14</sup> was used to evaluate continuous endpoints, with fixed effects for treatment, prior antihyperglycaemic agents (metformin + DPP-4 inhibitor / metformin + sulphonylurea), baseline eGFR (continuous), time (categorical) and interaction of time by treatment with a constraint that the true mean at baseline is common to all treatment groups, which is valid because of randomization. Missing data were handled implicitly by the model. Logistic regression was used to evaluate the proportion of patients with HbA1c <7.0% (53 mmol/mol), fitted with terms for treatment, baseline eGFR (continuous) and baseline HbA1c (continuous), with missing data imputed via multiple imputation using the LDA model described above.

In a prespecified analysis, HbA1c reduction from baseline at Week 26 was assessed in the subgroups shown in Table S1 (Appendix S1) using a repeated measures analysis of covariance model.

Statistical testing was not performed for Week 52 efficacy endpoints; however, 95% confidence intervals (CIs) are provided for between-group comparisons.

Safety analyses included all randomized, treated patients. Data following initiation of glycaemic rescue were included for analysis of serious AEs (SAEs), deaths and discontinuations because of AEs, and were excluded for the other endpoints at Week 26. For Week 52, all safety analyses included post-rescue observations, with the exception of those related to hypoglycaemia. *P* values and 95% Cls for between-group differences in pre-specified AEs were computed using the Miettinen and Nurminen method.<sup>15</sup> LDL-C and HDL-C were assessed by an LDA model similar to that used for the primary endpoint. Changes from baseline in eGFR and other safety endpoints were summarized descriptively.

# 3 | RESULTS

## 3.1 | Patient disposition and baseline characteristics

In total, 464 patients were randomized and 462 were analyzed (two patients in the ertugliflozin 15 mg group did not receive study medication) (Figure S1, Appendix S1). Baseline demographics were generally similar between groups (Table 1), except for a higher proportion of males in the placebo group vs ertugliflozin groups. The mean age was 59.1 years; 72.9% of patients were White and 20.3% were Asian. The overall median metformin dose at baseline was 2000 mg/d.

### 3.2 | Efficacy

At Week 26, significantly greater reductions in HbA1c from baseline were seen in the ertugliflozin groups relative to the placebo group (placebo-adjusted least squares [LS] means [95% CI] HbA1c changes at Week 26: -0.7% [-0.9, -0.5] and -0.8% [-0.9, -0.6], respectively; *P* <.001 for both comparisons) (Table 2; Figure 1A).

### TABLE 1 Baseline demographics and disease characteristics

	Placebo (n = 153)	Ertugliflozin 5 mg (n = 156)	Ertugliflozin 15 mg (n = 153)	Total (n = 462)
Age, years	58.3 (9.2)	59.2 (9.3)	59.7 (8.6)	59.1 (9.0)
Male, n (%)	100 (65.4)	81 (51.9)	82 (53.6)	263 (56.9)
Race / ethnicity, n (%)				
White	108 (70.6)	114 (73.1)	115 (75.2)	337 (72.9)
Asian	33 (21.6)	33 (21.2)	28 (18.3)	94 (20.3)
Black or African American	3 (2.0)	2 (1.3)	4 (2.6)	9 (1.9)
American Indian or Alaska Native	5 (3.3)	1 (0.6)	5 (3.3)	11 (2.4)
Multiple	4 (2.6)	6 (3.8)	1 (0.7)	11 (2.4)
Hispanic or Latino	24 (15.7)	23 (14.7)	25 (16.3)	72 (15.6)
Region, n (%)				
North America	30 (19.6)	32 (20.5)	31 (20.3)	93 (20.1)
South America	14 (9.2)	10 (6.4)	9 (5.9)	33 (7.1)
Europe	65 (42.5)	70 (44.9)	74 (48.4)	209 (45.2)
Asia	44 (28.8)	44 (28.2)	39 (25.5)	127 (27.5)
Body weight, kg	86.4 (20.8)	87.6 (18.6)	86.6 (19.5)	86.9 (19.6)
BMI, kg/m <sup>2</sup>	30.3 (6.4)	31.2 (5.5)	30.9 (6.1)	30.8 (6.0)
Duration of T2DM, years	9.4 (5.6)	9.9 (6.1)	9.2 (5.3)	9.5 (5.7)
HbA1c, mmol/mol	64.3 (10.2)	64.5 (9.4)	64.0 (9.1)	64.3 (9.6)
HbA1c, %	8.0 (0.9)	8.1 (0.9)	8.0 (0.8)	8.0 (0.9)
FPG, mmol/L	9.4 (2.1)	9.3 (2.1)	9.5 (2.2)	9.4 (2.1)
FPG, mg/dL	169.6 (37.8)	167.7 (37.7)	171.7 (39.1)	169.7 (38.2)
SBP, mm Hg	130.2 (13.3)	132.1 (12.5)	131.6 (13.2)	131.3 (13.0)
DBP, mm Hg	78.5 (7.6)	78.4 (7.3)	78.8 (7.2)	78.6 (7.4)
Background AHA therapy at screening, n (%)				
Biguanides	153 (100)	156 (100)	153 (100)	462 (100)
DPP-4 inhibitors	102 (66.7)	107 (68.6)	100 (65.4)	309 (66.9)
Sulphonylureas	52 (34.0)	52 (33.3)	54 (35.3)	158 (34.2)
Two agents	152 (99.3)	152 (97.4)	152 (99.3)	456 (98.7)
Three or more agents	1 (0.7)	4 (2.6)	1 (0.7)	6 (1.3)
One or more blood pressure medications, n (%)	111 (72.5)	112 (71.8)	109 (71.2)	332 (71.9)
RAS agents	99 (64.7)	94 (60.3)	95 (62.1)	288 (62.3)
Beta blockers	41 (26.8)	44 (28.2)	39 (25.5)	124 (26.8)
Calcium channel blockers	31 (20.3)	30 (19.2)	36 (23.5)	97 (21.0
Diuretics	36 (23.5)	29 (18.6)	31 (20.3)	96 (20.8)
Other anti-hypertensives	9 (5.9)	8 (5.1)	8 (5.2)	25 (5.4)
eGFR, mL/min/1.73 m <sup>2</sup>	89.9 (17.5)	87.0 (17.5)	86.9 (15.6)	87.9 (16.9)
C-peptide	2.2 (1.5)	2.2 (1.0)	2.3 (1.0)	_

Abbreviations: AHA, antihyperglycaemic agents; BMI, body mass index, DBP, diastolic blood pressure; DPP-4, dipeptidyl peptidase 4; eGFR, estimated glomerular filtration rate; FPG, fasting plasma glucose; HbA1c, glycated haemoglobin; SBP, systolic blood pressure; SD, standard deviation; T2DM, type 2 diabetes mellitus. Data are presented as mean ( $\pm$  SD), unless otherwise stated.

The corresponding placebo-adjusted changes from baseline at Week 26 in HbA1c in mmol/mol were: ertugliflozin 5 mg: -7.5 mmol/mol (-9.5, -5.5); ertugliflozin 15 mg: -8.3 mmol/mol (-10.3, -6.3).

At Week 26, HbA1c reductions were greater in the ertugliflozin groups relative to the placebo group across all HbA1c subgroup categories (Table S1, Appendix S1). Larger placebo-adjusted reductions in HbA1c were observed in those with higher than median baseline HbA1c (patients with baseline HbA1c  $\leq$  median 7.9%: -0.6% [95% CI: -0.9, -0.4] and -0.6% [95% CI: -0.8, -0.3], for ertugliflozin 5 mg and ertugliflozin 15 mg, respectively; baseline HbA1c > median 7.9%: -0.7% [95% CI: -1.0, -0.5] and -1.0% [95% CI: -1.3, -0.7], respectively).

A higher proportion of ertugliflozin-treated patients had HbA1c <7.0% (53 mmol/mol) at Week 26 compared to the placebo group (Table 3). The odds of having HbA1c <7.0% (53 mmol/mol) at Week 26 were significantly greater in the ertugliflozin groups vs the placebo group (both P < .001) (Table 3; Figure 1B).

Significantly greater reductions from baseline were observed at Week 26 for ertugliflozin 5 mg and 15 mg compared to placebo in the key secondary endpoints of FPG, body weight and SBP (Table 3; Figure 1C–E). DBP was not prespecified as a key secondary endpoint; placebo-adjusted reductions in DBP of 1.2 and 1.4 mm Hg were observed in the ertugliflozin 5 mg and 15 mg groups, respectively (Table S2, Appendix S1). Across treatment groups, the proportion of

	Placebo	Ertugliflozin 5 mg	Ertugliflozin 15 mg	Placebo	Ertugliflozin 5 mg	Ertugliflozin 15 mg
Baseline	n = 152	n = 155	n = 152			
Mean (SD), mmol/mol	64.3 (10.2)	64.5 (9.4)	64.0 (9.1)			
Mean (SD), %	8.0 (0.9)	8.1 (0.9)	8.0 (0.8)			
Week 26 or 52	n = 119	n = 138	n = 138	n = 73	n = 120	n = 115
Mean (SD), mmol/mol	61.4 (10.7)	55.7 (8.0)	54.8 (8.9)	56.7 (7.2)	54.0 (8.0)	53.0 (7.5)
Mean (SD), %	7.7 (1.0)	7.2 (0.7)	7.2 (0.8)	7.3 (0.7)	7.1 (0.7)	7.0 (0.7)
Change from baseline						
	n = 153	n = 156	n = 153	n = 153	n = 156	n = 153
Mean (SD), mmol/mol	-1.7 (10.4)	-8.9 (8.8)	-9.4 (9.5)	-3.1 (9.3)	-9.2 (10.0)	-10.7 (8.7)
Mean (SD), %	-0.2 (1.0)	-0.8 (0.8)	-0.9 (0.9)	-0.3 (0.9)	-0.8 (0.9)	-1.0 (0.8)
LS mean (95% Cl), mmol/mol	-1.0 (-2.5, 0.5)	-8.5 (-1.0, -7.1)	-9.4 (-10.8, -7.9)	0.2 (-1.7, 2.1)	-8.1 (-9.8, -6.5)	-8.9 (-10.6, -7.2)
LS mean (95% Cl), %	-0.1 (-0.2, 0.0)	-0.8 (-0.9, -0.6)	-0.9 (-1.0, -0.7)	0.0 (-0.2, 0.2)	-0.7 (-0.9, -0.6)	-0.8 (-1.0, -0.7)
Difference in LS means vs placebo						
	n = 153	n = 156	n = 153	n = 153	n = 156	n = 153
mmol/mol (95% CI)	1	-7.5 (-9.5, -5.5)*	-8.3 (-10.3, -6.3)*	I	-8.3 (-10.8, -5.9)	-9.1 (-11.5, -6.6)
% (95% CI)	I	-0.7 (-0.9, -0.5)*	-0.8 (-0.9, -0.6)*	I	-0.8 (-1.0, -0.5)	-0.8 (-1.1, -0.6)

 TABLE 2
 Change in glycated haemoglobin (HbA1c) from baseline at Week 26 and Week 52

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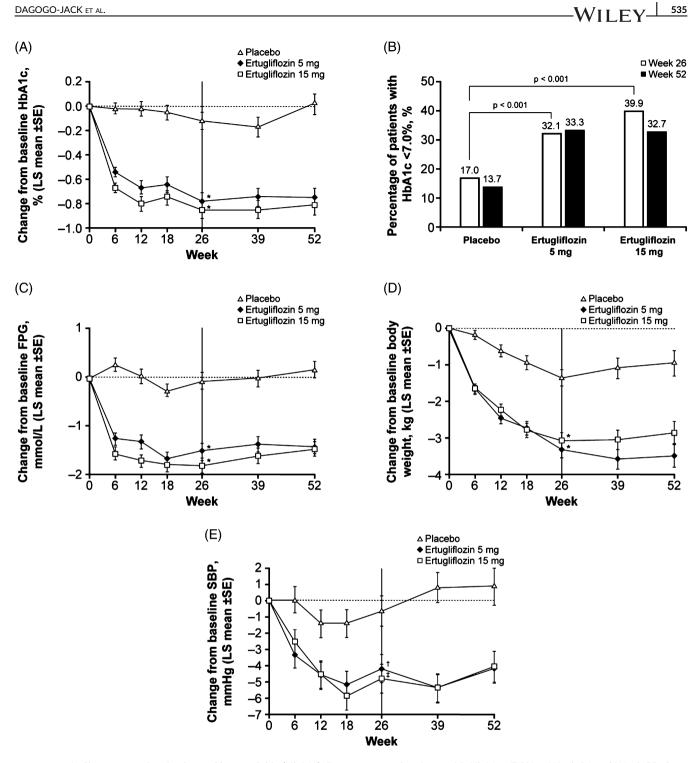


FIGURE 1 A, Change over time in glycated haemoglobin (HbA1c); B, percentage of patients with HbA1c <7.0% at Week 26 and Week 52; C, change over time in fasting plasma glucose (FPG); D, change over time in body weight; E, change over time in systolic blood pressure (SBP). LS, least squares; SE, standard error. \*P < .001 vs placebo;  $^{+}P$  = .019 vs placebo;  $^{+}P$  = .002 vs placebo

patients receiving BP-lowering medication did not change in a meaningful manner during the study (Table S3, Appendix S1).

The effects of ertugliflozin on HbA1c, FPG, body weight and SBP at Week 26 were sustained through Week 52 (Figure 1). Fewer ertugliflozin-treated patients received glycaemic rescue medication at or before Week 26 (1.3% and 2.0% in the ertugliflozin 5 mg and ertugliflozin 15 mg groups, respectively) compared with the placebo group (16.3%; nominal P < .001 for both comparisons) (Table S2, Appendix S1). A similar trend was observed at Week 52 (Table S2, Appendix S1).

LS mean increases from baseline in HOMA- $\beta$  (%) at Week 26 were greater in the ertugliflozin 5 and 15 mg groups than in the placebo group, with the improvement in HOMA- $\beta$  lasting to Week 52 (nominal P < .001 for both comparisons) (Table S2, Appendix S1). The mean change from baseline in EQ-5D-3L score was negligible in all groups (data not shown).

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## 3.3 | Safety

The proportion of patients with 1 or more AEs was similar across groups (Table 4). The incidence of serious AEs and AEs leading to

# TABLE 3 Summary of key secondary efficacy endpoints at Week 26 and Week 52

	Week 26			Week 52 <sup>a</sup>		
	Placebo (n = 153)	Ertugliflozin 5 mg (n = 156)	Ertugliflozin 15 mg (n = 153)	Placebo (n = 153)	Ertugliflozin 5 mg (n = 156)	Ertugliflozin 15 mg (n = 153)
Patients with HbA1c <7.0% (<53 mmol/mol)						
Number of patients, n (%)	26 (17.0)	50 (32.1)	61 (39.9)	21 (13.7)	52 (33.3)	50 (32.7)
Adjusted odds ratio relative to placebo (95% Cl)	I	3.2 (1.7, 5.7)*	4.4 (2.4, 8.0)*	I	3.6 (2.0, 6.6)	4.0 (2.2, 7.3)
Fasting plasma glucose						
LS mean change from baseline (95% CI), mmol/L	-0.1 (-0.4, 0.2)	-1.5 (-1.8, -1.2)	-1.8 (-2.1, -1.5)	0.2 (-0.2, 0.5)	-1.4 (-1.7, -1.1)	-1.5 (-1.8, -1.2)
Pairwise comparison vs placebo, difference in LS means (95% Cl), mmol/L	1	-1.4 (-1.8, -1.0)*	-1.7 (-2.2, -1.3)*	I	-1.6 (-2.0, -1.2)	-1.6 (-2.1, -1.2)
LS mean change from baseline (95% Cl), mg/dL	-1.8 (-7.7, 4.2)	-26.9 (-32.6, -21.2)	-33.0 (-38.7, -27.4)	3.2 (-3.1, 9.5)	-25.6 (-30.9, -20.2)	-26.4 (-31.8, -21.0)
Pairwise comparison vs placebo, difference in LS means (95% Cl), mg/dL	I	-25.2 (-32.8, -17.5)*	-31.3 (-38.9, -23.7)*	I	-28.8 (-36.4, -21.1)	-29.6 (-37.3, -21.9)
Body weight, kg						
LS mean change from baseline (95% CI)	-1.3 (-1.8, -0.9)	-3.4 (-3.8, -2.9)	-3.0 (-3.5, -2.6)	-1.0 (-1.6, -0.3)	-3.5 (-4.1, -2.9)	-2.8 (-3.4, -2.2)
Pairwise comparison vs placebo, difference in LS means (95% CI)	I	-2.0 (-2.7, -1.4)*	-1.7 (-2.4, -1.1)*	I	-2.5 (-3.4, -1.6)	-1.9 (-2.8, -1.0)
Systolic blood pressure, mm Hg						
LS mean change from baseline (95% CI)	-0.9 (-2.7, 0.9)	-3.8 (-5.5, -2.1)	-4.8 (-6.6, -3.1)	0.8 (-1.4, 3.1)	-4.2 (-6.0, -2.3)	-4.1 (-6.0, -2.2)
Pairwise comparison vs placebo, difference in LS means (95% CI)	I	-2.9 (-5.4, -0.5)#	−3.9 (−6.4, −1.5) <sup>†</sup>	ı	-5.0 (-7.8, -2.2)	-4.9 (-7.8, -2.1)
Abbreviations: Cl, confidence interval; HbA1c, glycated haemoglobin; LS, least squares. *P < .001 vs placebo; *P = .019 vs placebo; *P = .002 vs placebo. Italic rows present the data in a different set of units.	bin; LS, least squares. *	P < .001 vs placebo; #P = .(	019 vs placebo; $^{\dagger}P$ = .002 v	s placebo. Italic rows pr	esent the data in a differe	nt set of units.

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<sup>a</sup> Statistical testing was not performed at Week 52.

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discontinuation were low and similar across treatment groups. No deaths were reported during the treatment period. The observed incidence of drug-related AEs was higher in the ertugliflozin groups compared with the placebo group, largely the result of drug-related AEs associated with genital mycotic infections.

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Genital mycotic infections were more common among male and female patients who received ertugliflozin than among those who received placebo (Table 4). The incidence of urinary tract infections was low and not meaningfully different between treatment groups (Table 4). The incidence of symptomatic hypoglycaemia (Table 4) and documented hypoglycaemia was low and similar between the ertugliflozin and placebo groups over 26 weeks (documented hypoglycaemia: placebo: 3.3%, ertugliflozin 5 mg: 4.5%, ertugliflozin 15 mg: 2.0%) and 52 weeks (placebo: 7.2%, ertugliflozin 5 mg: 5.1%, ertugliflozin 15 mg: 5.2%). No cases of severe hypoglycaemia were reported in the ertugliflozin groups and 1 case was reported in the placebo group. The incidence of polyuria, pollakiuria and nocturia AE was low and similar across treatment groups.

Hypovolaemia AEs were low overall, and not meaningfully different between treatment groups (Table 4). Although infrequent in all groups, small increases in the proportion of patients with orthostasic changes in SBP were observed in the ertugliflozin groups relative to the placebo group (Table S4, Appendix S1). The proportion of patients experiencing diastolic orthostatic hypotension increased from baseline to Week 26 in the placebo group (10.7%-15.1%) and a trend similar in magnitude was observed for the ertugliflozin 15 mg group (12.0%-18.6%), but not for the ertugliflozin 5 mg group in which there was essentially no difference between baseline and Week 26 (15.4% and 16.3%).

After 52 weeks of treatment, the most common AEs (incidence >5% in any treatment group) were nasopharyngitis (placebo: 3.3%; ertugliflozin 5 mg: 5.1%; ertugliflozin 15 mg: 3.9%), urinary tract infection (placebo: 5.2%; ertugliflozin 5 mg: 1.3%; ertugliflozin 15 mg: 3.3%) and hypoglycaemia (placebo: 7.2%; ertugliflozin 5 mg: 4.5%; ertugliflozin 15 mg: 3.3%).

Modest, transient decreases from baseline in mean eGFR were observed in the ertugliflozin groups at Week 6; values returned to or near to baseline during the study (Figure 2). Through Week 52, 6.0%, 5.2% and 5.3% of patients in the placebo, ertugliflozin 5 mg and ertugliflozin 15 mg groups experienced a decrease from baseline >30% in eGFR. Two patients (1.3%) in the placebo group and none in the ertugliflozin groups experienced a decrease >50% in eGFR from baseline. During the study, 1 patient in the ertugliflozin 15 mg group (baseline eGFR 54 mL/min/ 1.73 m<sup>2</sup>) met protocol-specified discontinuation criteria because of renal problems (eGFR consistently <50 mL/min/1.73 m<sup>2</sup>). eGFR increased following treatment cessation. Two patients in the ertugliflozin 15 mg group with baseline eGFR values of 61 and 59 mL/min/1.73 m<sup>2</sup> discontinued treatment because of AEs of blood creatinine increased and eGFR decreased. eGFR increased to or near to baseline in both patients after treatment discontinuation.

Negligible placebo-corrected LS mean percent changes in LDL-C were observed at Week 26 (Table S5, Appendix S1) (-0.6% [95% CI: -7.2, 6.0] and 1.3% [95% CI: -5.4, 8.0] for ertugliflozin 5 mg and ertugliflozin 15 mg, respectively). At Week 52, the placebo-adjusted increases were 4.5% (95% CI: -2.4, 11.4) and 2.9% (95% CI: -4.1,

9.9) for ertugliflozin 5 mg and ertugliflozin 15 mg, respectively. Small increases from baseline in HDL-C were observed across all groups; these were higher in the ertugliflozin groups vs the placebo group (Table S5, Appendix S1) (placebo-adjusted difference for ertugliflozin 5 mg and 15 mg: 4.2% and 4.4%, respectively, at Week 26 and 5.0% and 5.7%, respectively, at Week 52).

No patient experienced an event that reflected ketoacidosis. No AEs of pancreatitis were reported. Adjudication-confirmed fractures occurred in 1 patient in each treatment group (placebo: tibia fracture associated with a fall; ertugliflozin 5 mg: femur fracture and a spinal compression fracture following a hang-gliding accident; ertugliflozin 15 mg: forearm fracture and radius fracture associated with a fall, with no concurrent report of orthostatic hypotension). None of these patients reported concurrent AEs of hypovolaemia or hypoglycaemia.

# 4 | DISCUSSION

In this Phase 3 placebo-controlled study (VERTIS SITA2), significant improvements in glycaemic control were obtained with ertugliflozin added to background therapy of metformin and sitagliptin and were sustained over 52 weeks of treatment. Ertugliflozin led to greater reductions in HbA1c compared with placebo across all baseline HbA1c subgroups. Significantly more patients in the ertugliflozin groups met the American Diabetes Association-recommended HbA1c target of <7.0% (53 mmol/mol)<sup>1</sup> compared with the placebo group at Week 26.

At Week 26, statistically significant, clinically meaningful placebo-adjusted reductions in FPG, body weight and SBP were seen, with these effects being sustained through 52 weeks. The observed SBP reductions were notable, given that over 70% of patients in this study were receiving BP medication at baseline, and SBP was generally well-controlled (mean SBP approximately 130 mm Hg). No meaningful differences in the proportions of patients receiving anti-hypertensive medication at Week 26 or Week 52 relative to baseline were observed in the ertugliflozin or placebo groups. The reductions in BP may be explained by the mild diuretic characteristics of SGLT2 inhibitors,<sup>16</sup> although other mechanisms may contribute to BP lowering.

These results are consistent with previously reported findings from the VERTIS Phase 3 clinical trial programme.<sup>17,18</sup> The reductions in HbA1c from baseline at Week 26 reported here are reflective of those reported in other studies involving SGLT2 inhibitor addition to metformin and DPP-4 inhibitor background therapy with similar baseline HbA1c values.<sup>19-22</sup> Because of the progressive nature of T2DM, over time, patients who are receiving dual therapy with metformin and a DPP-4 inhibitor may require intensification with a third oral agent to maintain glycaemic control, or, for those with HbA1c  $\geq$ 10% (86 mmol/mol), initiation of injectable insulin therapy.<sup>1</sup> The present results support the addition of ertugliflozin as a third-line agent.

Ertugliflozin monotherapy improves HOMA- $\beta$ , a marker of  $\beta$ -cell function.<sup>17</sup> In this study, improvements were also observed despite the fact that patients were already receiving sitagliptin, which is known to improve  $\beta$ -cell function.<sup>23</sup> This is likely to be an indirect

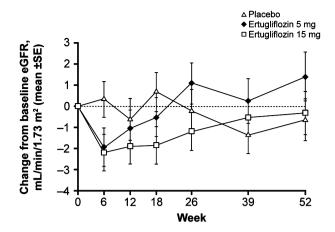
	Week 26			Week 52		
Number of patients, n (%)	Placebo (n = 153)	Ertugliflozin 5 mg (n = 156)	Ertugliflozin 15 mg (n = 153)	Placebo (n = 153)	Ertugliflozin 5 mg (n = 156)	Ertugliflozin 15 mg (n = 153)
Overall safety (ER and IR) <sup>a</sup>			Ove	Overall safety (all IR)		
One or more AEs	74 (48.4)	65 (41.7)	67 (43.8)	97 (63.4)	90 (57.7)	92 (60.1)
AEs related to study drug <sup>b</sup>	13 (8.5)	17 (10.9)	22 (14.4)	18 (11.8)	19 (12.2)	32 (20.9)
One or more serious AEs	5 (3.3)	7 (4.5)	3 (2.0)	8 (5.2)	13 (8.3)	3 (2.0)
Serious AEs related to study drug <sup>b</sup>	0 (0)	0 (0)	1 (0.7)	1 (0.7)	0 (0)	0 (0)
Deaths	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)
AEs leading to discontinuation	1 (0.7)	5 (3.2)	1 (0.7)	6 (3.9)	7 (4.5)	6 (3.9)
Prespecified AEs (ER)			Pre-spe	Pre-specified AEs (IR, except hypoglycaemia)	ypoglycaemia)	
Genital mycotic infection (women)	1/53 (1.9)	6/75 (8.0)	9/71 (12.7)*	1 (1.9)	9 (12.0)*	10 (14.1)*
Genital mycotic infection (men)	0/100 (0)	4/81 (4.9)*	3/82 (3.7)	0 (0)	4 (4.9)*	3 (3.7)
Urinary tract infection	3 (2.0)	4 (2.6)	7 (4.6)	10 (6.5)	5 (3.2)	11 (7.2)
Symptomatic hypoglycaemia <sup>c</sup>	4 (2.6)	6 (3.8)	1 (0.7)	6 (3.9)	7 (4.5)	3 (2.0)
Hypovolaemia	1 (0.7)	1 (0.6)	0 (0)	2 (1.3)	1 (0.6)	0 (0)

<sup>a</sup> For Week 26 safety analyses, data following initiation of glycaemic rescue were excluded from incidence of "one or more AEs" and from "AEs related to study drug."

<sup>b</sup> As reported by the investigator.

<sup>c</sup> Event with clinical symptoms reported by the investigator as hypoglycaemia (biochemical documentation not required).

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**FIGURE 2** Mean change from baseline in estimated glomerular filtration rate (eGFR) (mL/min/1.73 m<sup>2</sup>) through Week 52. SE, standard error

effect of reduced glucotoxicity resulting from enhanced urinary elimination of glucose.

Patients with moderate renal impairment were not eligible for enrolment in this study. The glycaemic efficacy of SGLT2 inhibitors is dependent on renal function and the amount of filtered glucose; therefore, in patients with impaired renal function, the glycaemic efficacy of SGLT2 inhibitors is likely to be attenuated, although beneficial effects on body weight and BP have been observed.<sup>24–27</sup>

Addition of ertugliflozin 5 mg and 15 mg to metformin and sitagliptin was generally well-tolerated over 52 weeks of treatment; no clinically important differences were observed between the two ertugliflozin doses. A higher incidence of genital mycotic infections, a known class effect of SGLT2 inhibitors,<sup>28</sup> was observed in male and female patients receiving ertugliflozin vs placebo. The low incidence of hypoglycaemia in this study is consistent with the mechanism of action of SGLT2 inhibitors.<sup>6,28</sup> This, together with their mechanism of action which is complementary to that of DPP-4 inhibitors, makes SGLT2 inhibitors an attractive alternative to sulphonylureas for combination therapy.

Treatment with SGLT2 inhibitors causes osmotic diuresis, which may lead to AEs related to volume depletion;<sup>6,29</sup> however, the incidence of hypovolaemia and orthostatic hypotension was low in this study. The risk of volume depletion with an SGLT2 inhibitor is increased in those with moderate renal impairment, advanced age and use of diuretics. In this study, 21.4% of patients reported concomitant diuretic use, and 29.9% were 65 years of age or older. Because of the small sample size of patients using diuretics, as well as the low incidence of hypovolaemia/orthostatic hypotension, the interaction between the two could not be investigated in the present study.

The transient decreases in eGFR observed in this study have been reported with other SGLT2 inhibitors and are probably haemodynamically mediated.<sup>6</sup> Recent evidence suggests that SGLT2 inhibitors may have long-term beneficial effects on renal outcomes,<sup>30</sup> which is probably the result of several direct and indirect effects on the kidney through tubuloglomerular feedback and improvements in hyperglycaemia, hypertension, obesity and hyperuricaemia.<sup>31,32</sup>

In summary, in patients with T2DM who had inadequate glycaemic control with metformin and sitagliptin, the addition of —WILEY <u>539</u>

ertugliflozin provided clinically meaningful and durable glycaemic control and reductions in body weight and SBP. Ertugliflozin was generally well-tolerated without a meaningful difference in symptomatic hypoglycaemia, urinary tract infection or hypovolaemia AEs, but resulted in a higher incidence of genital mycotic infections in men and women compared to placebo.

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### **Conflict of interest**

S. D.-J. is the principal investigator/coinvestigator for clinical trials contracts with the University of Tennessee, funded by AstraZeneca, Novo Nordisk, Inc., Boehringer Ingelheim Pharmaceuticals, Inc.; is a consultant/advisory board member for Amgen, Merck & Co., Inc., Sanofi, AstraZeneca, Novo Nordisk Inc., Boehringer Ingelheim Pharmaceuticals, Inc. and Janssen Pharmaceuticals, Inc.

R. E. was an employee of Merck Sharp & Dohme Corp., a subsidiary of Merck & Co., Inc., Kenilworth, New Jersey, at the time the study was conducted. B. L., J. L., G. A., J. J., D. H., S. H., G. G. and S. S. E. are employees of Merck Sharp & Dohme Corp., a subsidiary of Merck & Co., Inc., Kenilworth, New Jersey and may own stock and/or hold stock options in the company. Y. L. is an employee of MSD R&D (China) Co., Ltd., a subsidiary of Merck & Co., Inc., Kenilworth, New Jersey, and may own stock and/or hold stock options in the Company. S. G. T. and J. P. M. are employees and shareholders in Pfizer Inc.

### Author contributions

The authors are responsible for the work described in this paper. S. D.-J., R. E., J. J., D. H., G. G., S. G. T., J. P. M. and S. S. E. contributed to the design of the study. J. L., R. E., G. A. and B. L. contributed to conduct of the study and data collection. S. D.-J., J. L., R. E., G. A., J. J., D. H., Y. L., S. H., G. G., J. P. M., S. S. E. and B. L. contributed to the analysis. All authors reviewed the manuscript for important intellectual content and provided final approval of the version to be published. All authors agree to be accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

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### SUPPORTING INFORMATION

Additional Supporting Information may be found online in the supporting information tab for this article.

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