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Predictive Factors for Future Onset of Reflux Esophagitis: A Longitudinal Case-control Study Using Health Checkup Records

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Background/Aims

Although risk factors of reflux esophagitis (RE) have been investigated in numerous cross-sectional studies, little is known about predictive factors associated with future onset of RE. We investigated time courses of clinical parameters before RE onset by a longitudinal case-control study using health checkup records.

Methods

We used health checkup records between April 2004 and March 2014 at 9 institutions in Japan. A multivariate logistic regression analysis was performed to evaluate associations of baseline clinical parameters with RE. The time courses of the clinical parameters of RE subjects were compared with those of non-RE subjects by the mixed-effects models for repeated measures analysis or longitudinal multivariate logistic analysis.

Results

Initial data were obtained from 230056 individuals, and 2066 RE subjects and 4132 non-RE subjects were finally included in the analysis. Body mass index, alanine aminotransferase, smoking, acid reflux symptoms, hiatal hernia, and absence of atrophic gastritis at baseline were independently associated with RE. The time courses of body mass index, fasting blood sugar, triglyceride, aspartate aminotransferase, alanine aminotransferase, γ -glutamyl transpeptidase, percentages of acid reflux symptoms, feeling of fullness, and hiatal hernia in the RE group were significantly worse than in the non-RE group.

Conclusions

The RE group displayed a greater worsening of the clinical parameters associated with lifestyle diseases, including obesity, diabetes, hyperlipidemia, and fatty liver for 5 years before RE onset compared with the non-RE group. These results suggest that RE is a lifestyle disease and thus lifestyle guidance to at-risk person may help to prevent RE onset. (J Neurogastroenterol Motil 2022;28:86-94)

Key Words

Epidemiology; Esophagitis; Longitudinal studies; Risk factors

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Introduction

Reflux esophagitis (RE) is a disease in which the reflux of gastric contents into the esophagus causes superficial erosion of the mucosa of the lower esophagus, and its prevalence and burden have recently increased.^{1,2} Risk factors of RE proposed in previous studies included obesity,3-5 being male,4,6 hiatal hernia,7-9 absence of atrophic gastritis,¹⁰ advanced age,¹¹ diabetes,¹² drinking,^{4,9,12} smoking,^{4,9,12} metabolic syndrome,^{9,13,14} Helicobacter pylori negative,^{4,10} and high serum pepsinogen I/II ratio.4 However, these factors were found in cross-sectional studies and the systematic reviews based on them, and little is known about predictive factors associated with future RE onset because of a lack of longitudinal studies. Although 1 longitudinal study¹⁵ proposed that a > 1% increase in the body mass index (BMI) over 5 years, hiatal hernia, and drinking were risk factors of RE after 5 years, we cannot consider possible RE onset risk factors based on this report, because Azumi et al¹⁵ focused mainly on changes in the prevalence of reflux symptoms and RE over 5 years rather than the associated factors of future RE onset and thus did not exclude patients already with RE at the start of the follow-up. A further longitudinal study¹⁶ showed that being male, $BMI \ge 27 \text{ kg/m}^2$, smoking, drinking, hyperuricemia, and metabolic syndrome were risk factors of RE onset within 1 year. However, it remains unclear whether long-term changes of these factors are associated with future RE onset.

Patients with RE frequently suffer from troublesome symptoms,¹⁷ which also impair their health-related quality of life (HRQOL).¹⁸ While RE may also cause complications, including bleeding and stricture of the esophagus,^{19,20} and rarely esophageal adenocarcinoma following Barrett's esophagus,²¹ it is generally considered a chronic and non-life-threatening disease. Although it is difficult to conduct long-term cohort studies to focus on risk factors of future RE, retrospective studies can be implemented when consecutive health information for multiple years is available for clinical studies. Fortunately, in Japan, an annual health checkup is routinely conducted mainly for company employees, and upper gastrointestinal endoscopy is commonly performed even in healthy examinees. Therefore, we conducted a multicenter retrospective study to investigate factors associated with future RE onset using long-term health checkup records in Japan.

Materials and Methods -

This was a multicenter, retrospective, longitudinal study using health checkup records from 9 institutions in Japan. This study was conducted in accordance with the Declaration of Helsinki (7th revision, 2013), having been approved by the Central Ethics Committee of the Japanese Association for the Promotion of State-of-the-Art in Medicine, Nagoya, Aichi, Japan (Registration No. JAPSAM-2011). This study was registered with the University Hospital Medical Information Network Center Clinical Trials Registry in Japan (UMIN-CTR Registration No. UMIN000014640).

Population

Nine Japanese institutions, which met following criteria, participated in this study: (1) age, sex, body height, body weight, drinking, smoking, fasting blood sugar (FBS), hemoglobin A1c (HbA1c), and records of upper gastrointestinal endoscopy were available from health checkup records; and (2) health checkup records from at least 4 successive years were available. An initial dataset was obtained from individuals who participated in the health checkup and received at least 1 upper gastrointestinal endoscopy in 10 years (between April 2004 and March 2014) at the participating institutions. From individuals endoscopically diagnosed with RE, case candidates who met the following criteria were extracted: (1) participated in \geq 4 health checkups at the participating institutions between April 2004 and March 2014, (2) was newly-diagnosed with RE after April 2009, (3) had upper gastrointestinal endoscopy during the 2 years prior to the first RE diagnosis, (4) participated in \geq 3 health checkups in the 5 years before the first RE diagnosis, and (5) was \geq 30 years of age at the first RE diagnosis. From individuals without RE diagnosis, control candidates who met the following criteria were extracted: (1) participated in \geq 4 health checkups between April 2004 and March 2014 and (2) had upper

gastrointestinal endoscopy between April 2009 and March 2014. In case-control matching, 2 control candidates who were matched for age, sex, and participating institutions with the corresponding case and underwent upper gastrointestinal endoscopy at the year when the corresponding case was endoscopically diagnosed as having RE were extracted.

Measures

For case subjects, data obtained at the first year of RE diagnosis, referred to as the baseline year, and 5 years before this year were used for the analysis. For control subjects, data obtained at the baseline year of the corresponding case and 5 years prior to this year were used for the analysis. The presence of RE was defined as having grade A or more severe erosion according to the Los Angeles classification²² from endoscopic observation, or when the presence of RE was reported in the records if the Los Angeles grade was unavailable. To investigate factors associated with future RE onset, the following clinical parameters were extracted from the records: age, sex, BMI, FBS, HbA1c, abdominal circumference (AC), systolic blood pressure (SBP), diastolic blood pressure (DBP), triglyceride (TG), high-density lipoprotein cholesterol (HDL-C), low-density lipoprotein cholesterol (LDL-C), total cholesterol, uric acid (UA), aspartate aminotransferase (AST), alanine aminotransferase (ALT), γ -glutamyl transpeptidase (γ -GTP), drinking, smoking, gastrointestinal symptoms (acid reflux symptoms, gastric pain, heavy stomach, feeling of fullness, belching, and abdominal pain), comorbidities (diabetes, hypertension, and hyperlipidemia), findings of upper gastrointestinal endoscopy (hiatal hernia, atrophic gastritis, and Barrett's esophagus), and *H. pylori* infection.

Statistical Methods

Baseline characteristics of the case group were compared with those of the control group using Student's *t* test or chi-square test, as appropriate. A multivariate logistic regression analysis was performed to evaluate the effects of the baseline characteristics on presence or absence of RE. The independent variables for the multivariate logistic model were selected from the clinical parameters at baseline by stepwise forward-backward procedure, and multicollinearity of them were assessed using variance inflation factor. Missing values in the independent variables were complemented by multiple imputation method with chained equations. For each year before the baseline year, a cross-sectional comparison of the factors in both groups was made as for the baseline characteristics. To compare time-courses of the factors expressed as continuous variables



Figure 1. Subject flow in this study. HC, health checkup; UGE, upper gastrointestinal endoscopy; RE, reflux esophagitis.

between the case and control groups, the mixed-effect models for repeated measures with group, year, and the interaction effect of them as fixed effects and individual as a random effect were applied. In contrast, for the factors expressed as categorical variables, the multivariate logistic regression analysis with group, year, and the interaction effect of them as independent variables was applied. This analysis was also performed for dichotomized continuous variables between within and outside of normal ranges, where cut-off values of them were as follows: BMI $\ge 25 \text{ kg/m}^2$, AC $\ge 85 \text{ cm}$ in males and 90 cm in females, FBS \geq 110 mg/dL, HbA1c \geq 5.5%, SBP \geq 140 mmHg, DBP \geq 90 mmHg, TG \geq 150 mg/dL, HDL-C $< 40 \text{ mg/dL}, \text{LDL-C} \ge 120 \text{ mg/dL}, \text{UA} > 7.0 \text{ mg/dL}, \text{AST} >$ 30 IU/L, ALT > 30 IU/L, and γ -GTP > 50 IU/L. Additionally, analyses for subgroups aged < 55 years and ≥ 55 years at the baseline year were similarly performed as for the whole data. These statistical analyses were implemented using JMP 12.0.1 (SAS Institute, Cary, NC, USA) and R version 3.6.3 (R Foundation for Statistical Computing, Vienna, Austria) with mice package. Statistical significance was determined by 2-sided manner and the significance level was set to 0.05.

Results

Baseline Characteristics

In the case-control matching, 2066 cases and 4132 control subjects were finally included in the analysis (Fig. 1). Baseline characteristics in the case and control groups at the baseline year are presented in Tables 1 and 2. Age and sex, which were matching factors in this study, were similar between the groups. The following baseline characteristics were significantly different between the 2 groups: BMI, AC, FBS, SBP, TG, HDL-C, UA, AST, ALT, y-GTP, drinking, smoking, percentage with symptoms (acid reflux symptoms and feeling of fullness), percentages of the presence of hiatal hernia and atrophic gastritis, and percentage of comorbidities (hypertension and hyperlipidemia). In the multivariate analysis for the baseline characteristics, higher BMI, higher ALT, smoking, presence of acid reflux symptoms, presence of hiatal hernia, and absence of atrophic gastritis were independently related to RE (Table 3). Variance inflation factors of all the independent variables were less than 1.2.

Characteristics	Case group		Control group		- D relue ^a
	Ν	Mean \pm SD	Ν	Mean \pm SD	- r-value
Age (yr)	2066	53.9 ± 9.3	4132	54.0 ± 9.4	0.963
BMI (kg/m^2)	2063	23.5 ± 3.3	4126	22.9 ± 3.0	< 0.001
AC (cm)	2026	84.2 ± 9.1	4050	82.5 ± 8.6	< 0.001
FBS (mg/dL)	2052	102.7 ± 18.1	4103	101.4 ± 16.9	0.006
HbA1c (%)	1648	5.7 ± 0.6	3276	5.7 ± 0.5	0.321
SBP (mmHg)	2026	112.4 ± 22.3	4052	110.9 ± 22.0	0.011
DBP (mmHg)	2026	84.3 ± 22.0	4052	83.1 ± 21.9	0.052
TG (mg/dL)	2022	126.7 ± 90.2	4039	115.5 ± 72.8	< 0.001
HDL-C (mg/dL)	2025	60.4 ± 15.9	4052	61.5 ± 15.8	0.007
LDL-C (mg/dL)	1453	122.5 ± 30.2	2909	122.9 ± 29.5	0.669
TC (mg/dL)	1764	206.5 ± 32.6	3540	206.4 ± 32.9	0.889
UA (mg/dL)	1779	5.69 ± 1.32	3555	5.57 ± 1.3	0.002
AST (IU/L)	2025	24.1 ± 11.0	4052	23.0 ± 8.7	< 0.001
ALT (IU/L)	2025	26.2 ± 18.2	4052	23.5 ± 14.7	< 0.001
γ-GTP (IU/L)	2025	46.0 ± 49.4	4052	40.2 ± 44.1	< 0.001

 Table 1. Subject Characteristics of the Case and Control Groups at Baseline (Continuous Parameters)

^a*P*-values were calculated using Student's *t* test.

N, total number of subjects in the group (except subjects whose characteristics were unknown); BMI, body mass index; AC, abdominal circumference; FBS, fasting blood sugar; HbA1c, hemoglobin A1c; SBP, systolic blood pressure; DBP, diastolic blood pressure; TG, triglyceride; HDL-C, high-density lipoprotein cholesterol; LDL-C, low-density lipoprotein cholesterol; TC, total cholesterol; UA, uric acid; AST, aspartate aminotransferase; ALT, alanine aminotransferase; γ -GTP, γ -glutamyl transpeptidase.

Characteristics	Case group		Control group		
	N	n (%)	Ν	n (%)	- P-value
Male	2066	1558 (75.4)	4132	3116 (75.4)	1.000
Current drinking	1925	1379 (71.6)	3838	2680 (69.8)	0.156
Amount of alcohol	1392		2740		< 0.001
< 20 g/day		652 (46.8)		1418 (51.8)	
20-40 g/day		442 (31.8)		872 (31.8)	
40-60 g/day		240 (17.2)		344 (12.6)	
$\geq 60 \text{ g/day}$		58 (4.2)		106 (3.9)	
Current smoking	1923	471 (24.5)	3838	821 (21.4)	0.008
Symptoms					
Acid reflux symptoms	2057	239 (11.6)	4117	192 (4.7)	< 0.001
Gastric pain	1627	90 (5.5)	3259	166 (5.1)	0.517
Heavy stomach	1358	136 (10.0)	2715	234 (8.6)	0.144
Feeling of fullness	1244	106 (8.5)	2487	167 (6.7)	0.046
Belching	1137	75 (6.6)	2273	116 (5.1)	0.074
Abdominal pain	488	24 (4.9)	976	46 (4.7)	0.863
Endoscopic findings					
Hiatal hernia	1960	458 (23.4)	3916	492 (12.6)	< 0.001
Atrophic gastritis	1960	693 (35.4)	3917	1637 (41.8)	< 0.001
Barrett's esophagus	1960	53 (2.7)	3916	127 (3.2)	0.258
Comorbidities					
Diabetes	2022	174 (8.6)	4040	294 (7.3)	0.068
Hypertension	2022	417 (20.6)	4040	704 (17.4)	0.003
Hyperlipidemia	2022	351 (17.4)	4040	620 (15.4)	0.044
H. pylori infection	33	13 (39.4)	87	46 (52.9)	0.187

Table 2. Subject Characteristics of the Case and Control Groups at Baseline (Categorical Parameters)

^a*P*-values were calculated using chi-square test.

N, total number of subjects in the group (except subjects whose characteristics were unknown); H. pylori, Helicobactor pylori.

 Table 3. Multivariate Logistic Regression Analysis for Baseline

 Characteristics

Characteristics	Adjusted OR [95% CI]	P-value
BMI (per 1 kg/m ² increase) ^a	1.05 [1.03, 1.07]	< 0.001
ALT (per 10 IU/L increase) ^a	1.05 [1.02, 1.09]	0.004
Current smoking	1.15 [1.01, 1.32]	0.035
Acid reflux symptoms	2.72 [2.22, 3.33]	< 0.001
Hiatal hernia	2.32 [2.01, 2.69]	< 0.001
Atrophic gastritis	0.66 [0.58, 0.74]	< 0.001

^aUnit ORs are presented for continuous variables.

BMI, body mass index; ALT, alanine aminotransferase.

A higher OR indicates a higher risk of having reflux esophagitis given each characteristic.

Longitudinal Comparisons

The time courses of the following factors were significantly different between the 2 groups: BMI, AC, FBS, TG, HDL-C, AST, ALT, γ -GTP, and percentages with acid reflux symptoms, feeling of fullness, and hiatal hernia (Fig. 2). Results of longitudinal comparisons of the other (non-significant) factors are also presented in Figure 2. In the longitudinal comparisons of the dichotomized variables, no significant differences in the time courses were observed.

Cross-sectional Comparisons

The values of the factors in both the groups before the baseline year are shown in Supplementary Table 1. Regarding the factors that were significantly different in the comparison of baseline characteristics, most showed differences for ≥ 1 year consecutively before the baseline: BMI, AC, TG, ALT, acid reflux symptoms, and atrophic gastritis for 5 years; AST for 4 years; and HDL-C, smoking, and hiatal hernia for 3 years; FBS, SBP, UA, γ -GTP, and hypertension for 1 year. Additionally, some of the dichotomized continuous parameters also showed consecutive differences before baseline: BMI, AC, and ALT for 5 years; TG for 4 years; and FBS and γ -GTP for 1 year.





Figure 2. Time courses of the factors that were significantly different between the case and control groups in the longitudinal comparison. For continuous variables, points and error bars denote least square means at each year and its standard errors, respectively. For categorical variables, boxes denote percentages at each year. HDL, high-density lipoprotein; AST, aspartate aminotransferase; ALT, alanine aminotransferase; γ -GTP, γ -glutamyl transpeptidase. *P < 0.05; **P < 0.01; ***P < 0.001 in the cross-sectional comparisons between the groups. [#]P-value in the longitudinal comparison between the groups.

Subgroup Analysis

In the subgroup analysis for younger (< 55 years of age) subjects, the following baseline characteristics were significantly different between the groups: BMI, AC, FBS, SBP, DBP, TG, HDL-C, UA, AST, ALT, γ -GTP, drinking, amount of alcohol consumed, smoking, acid reflux symptoms, stomach heaviness, hiatal hernia, atrophic gastritis, hypertension, and hyperlipidemia (Supplementary Table 2). Most of these differed for \geq 1 year consecutively before the baseline: BMI, AC, TG, ALT, γ -GTP, and acid reflux symptoms for 5 years; FBS, HDL-C, drinking, smoking, and hiatal hernia for 3 years; UA for 2 years; SBP and DBP for 1 year. The time courses of BMI, ALT, γ -GTP, and hiatal hernia were significantly different between the groups (Supplementary Table 3).

In the subgroup analysis for older (≥ 55 years of age) subjects, the following baseline characteristics were significantly different between the groups: BMI, AC, TG, ALT, amount of alcohol consumed, acid reflux symptoms, hiatal hernia, atrophic gastritis, hypertension, and hyperlipidemia (Supplementary Table 4). Most of these differed for ≥ 1 year consecutively before the baseline: BMI, AC, and atrophic gastritis for 5 years; acid reflux symptoms and hiatal hernia for 3 years; TG and ALT for 1 year. The time courses of BMI, AC, and TG were significantly different between the groups (Supplementary Table 5).

Discussion

This study was implemented using health checkup records for 10 years to investigate factors associated with future RE onset. The multivariate analysis for the baseline characteristics showed that higher BMI, higher ALT, smoking, presence of acid reflux symptoms, presence of hiatal hernia, and absence of atrophic gastritis were independent risk factors for RE, although the baseline characteristics mutually correlated and the unselected variables in this analysis may also be important risk factors. Significant differences in the time courses between the case and control groups were observed for BMI, the factors associated with metabolic syndrome, including AC, FBS, TG, and HDL-C,²³⁻²⁵ indices of hepatic function (AST, ALT, and γ -GTP), and the percentages with acid reflux symptoms, feeling of fullness, and hiatal hernia. In cross-sectional analysis, most of the factors displaying significant differences between the groups at the baseline year corresponded with the associated factors proposed by previous cross-sectional studies, with BMI, AC, TG, ALT, and the percentages with acid reflux symptoms and atrophic gastritis being constantly different throughout the 5 years before

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the baseline. Although the means of BMI, AC, TG, and ALT remained within the normal ranges even in the case group, the rates of subjects who had them outside of the normal ranges were significantly higher in the case group. The analysis for the younger subgroup displayed greater differences between the groups than in the older subgroup, except for the percentage with atrophic gastritis, although the results in both these groups were similar to those in the whole data.

In the longitudinal comparisons, factors showing significant differences in the time course between the groups included laboratory parameters related to lifestyle diseases. AC, FBS, TG, and HDL-C are considered criteria of metabolic syndrome while indices of hepatic function are applied as markers of hepatic damage caused mainly by excessive intake of fats, carbohydrates, and alcohol. Because these factors (except HDL-C, described below) increased in the case group more rapidly with time, this suggests a close association of worsening lifestyle with RE onset. A previous study¹⁶ also found high BMI, metabolic syndrome, and hyperuricemia to be significant risk factors of RE onset during the following year. Therefore, we suggest that RE is a lifestyle disease and lifestyle guidance to at-risk person could help prevent RE. Here, we should consider the contradictory result in that the HDL-C level, a higher value of which may counter lifestyle diseases,²⁶ increased more rapidly in the case group. Although we cannot explain this result, we speculate that this was not a major result, because the P-value of 0.045 is only just significant, and the absolute HDL-C level in the case group at each year were consecutively lower than in the control group.

In the cross-sectional comparisons, most of factors displaying significant differences between the groups at baseline, including BMI, AC, FBS, SBP, TG, HDL-C, drinking, smoking, hiatal hernia, and atrophic gastritis, have previously been suggested to be associated with RE.8,11,16 We found that indices of hepatic function were also associated factors of RE, which have not been investigated in previous studies. Especially, it should be noted that higher ALT was identified as an independent risk factor also in the multivariate analysis for the baseline characteristics. Whereas our results of the cross-sectional comparison were similar to previous studies, we showed in the present study that BMI, AC, TG, ALT, and the percentages with acid reflux symptoms and atrophic gastritis had been significantly different between the groups for 5 years before the baseline year. Although we do not currently discuss how these factors are involved in the pathogenic process of RE, these results suggest that further investigation of the long-term influences of risk factors on RE onset should be made.

The younger subgroup clearly displayed greater differences, except for atrophic gastritis, between the groups in both longitudinal and cross-sectional comparisons compared with the older subgroup. This may result from the impact of lifestyle on RE onset being more direct in younger subjects, whereas it may become less clear in older subjects, for example, by a loss of the preventative mechanism against acid reflux caused through reasons other than lifestyle. Achem and DeVault²⁷ reviewed that aging may predispose to gastroesophageal reflux disease and increase its severity via physiological changes, including weakened esophageal motility, reduced lower esophageal sphincter pressure, and poor primary/secondary peristalsis.

We show that the percentage with atrophic gastritis was significantly lower in the case group. Although the results of *H. pylori* test were almost unavailable in the health checkup records, we can assume a lower percentage of *H. pylori*-positive subjects in this group because atrophic gastritis is mainly caused by *H. pylori* infection.²⁸ Therefore, our result is consistent with previous studies in which *H. pylori* infection and the presence of atrophic gastritis were reported to be negatively associated with RE. The declining *H. pylori* infection rate through expanded use of eradication therapy, particularly in developed countries,²⁹ provides one possible contribution to the increasing number of RE patients.¹ Considering the significant cost burden of RE and resulting poor HRQOL in patients, aggressive intervention in the lifestyle of those at risk of RE should be considered even before RE onset.

Because RE is not a life-threatening disease, no long-term cohort studies have been conducted to investigate risk factors of future RE. By using long-term health checkup records, we were able to investigate potential associated factors of future RE. The methodology of this study is appropriate to investigate risk factors of other chronic non-fatal diseases. However, non-standardized data formats used in different institutions may disturb studies using health checkup records. Indeed, our proposal of an association of lifestyle with RE onset was through "indirect" estimation based on the differences of the laboratory parameters between the groups, because lifestyle data could not be used in this study due to a lack of a common data format among the participating institutions. Should well-formatted lifestyle data be available, we could "directly" investigate the association. To initiate studies based on "real world data," including, for example, health checkup records and health insurance claims, standardization of the data format is essential.

The present study has limitations in addition to the non-uniformity of the data format. First, we defined the presence or absence of RE based solely on health checkup records between April 2004 and

March 2014, and were thus unable to determine whether subjects were diagnosed with RE before March 2004 or outside of health checkup. Therefore, non-newly diagnosed cases could be included in the case group, whereas subjects who had been diagnosed with RE before the examined records could be assigned to the control group. While this may have caused some bias, it was probably limited because the factors associated with RE in our study were consistent with those in previous studies. Secondly, we had planned to collect data regarding history of medications that could affect RE development, namely proton pump inhibitors, calcium blockers, and aspirin, at first; however we had to abandon this because such information were not adequately obtained by self-administered questionnaires in health checkups. Thus we could not have included the effect of medications in the analysis, and some biases probably exist in the assessment of presence or absence of RE, acid reflux symptoms, and the association between them. Finally, because we used health checkup records in this study, the subjects were considered to be relatively healthy compared with the general population of the same age. Therefore, our results cannot be generalized to a non-healthy population.

In conclusion, the factors thought to be related to lifestyle displayed significantly poorer values or larger worsening in RE patients than in non-RE subjects. Therefore, RE is thought to be a lifestyle disease, and lifestyle guidance should be given to health checkup participants with unhealthy lifestyle to prevent developing RE.

Supplementary Materials

Note: To access the supplementary tables mentioned in this article, visit the online version of *Journal of Neurogastroenterology and Motility* at http://www.jnmjournal.org/, and at https://doi. org/10.5056/jnm20237.

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Conflicts of interest: None.

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