

# **Prognostic value of the ALBI grade among patients with single hepatocellular carcinoma without macrovascular invasion**

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# Abstract

Although evidence for the application of an albumin-bilirubin (ALBI) grading system to assess liver function in hepatocellular carcinoma (HCC) is available, less is known whether it can be applied to determine the prognosis of single HCC with different tumor sizes. This study aimed to address this gap.

Here, we enrolled patients who underwent hepatectomy due to single HCC from 2010 to 2014. Analyses were performed to test the potential of the ALBI grading system to monitor the long-term survival of single HCC subjects with varying tumor sizes.

A total of 265 participants were recruited. The overall survival (OS) among patients whose tumors were  $\leq$ 7 cm was remarkably higher than those whose tumors were >7 cm. The Cox proportional hazards regression model identified the tumor differentiation grade, ALBI grade, and maximum tumor size as key determinants of OS. The ALBI grade could stratify the patients who had a single tumor  $\leq$ 7 cm into 2 distinct groups with different prognoses. The OS between ALBI grades 1 and 2 was comparable for patients who had a single tumor >7 cm.

We showed that the ALBI grading system can predict disease outcomes in patients with a single HCC with a tumor size  $\leq$ 7 cm. However, the ALBI grade may not predict the prognosis of patients with a single tumor >7 cm.

**Abbreviations:** AFP = alpha-fetoprotein, ALBI = albumin-bilirubin, BCLC = Barcelona Clinic for Liver Cancer, CLIP = Cancer of the Liver Italian Program, HBV = hepatitis B virus, HCC = hepatocellular carcinoma, MVI = microvascular invasion, OS = overall survival, ROC = receiver operating characteristic.

Keywords: albumin-bilirubin grade, child-pugh grade, hepatocellular carcinoma, overall survival, tumor size

#### Editor: Mihai Dorin Vartolomei.

WC and ZZ contributed equally to this work.

This work was supported by the Big Data Project of Xiangya Medical School, Central South University, and the Research Project of Hunan Provincial Health Commission (No. B20180130).

The authors have no conflicts of interest to disclose.

The datasets generated during and/or analyzed during the present study are not publicly available, but are available from the corresponding author on reasonable request.

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How to cite this article: Chen W, Zhang Z, Fang X, Xiong L, Wen Y, Zhou J, Kong F, Zou H. Prognostic value of the ALBI grade among patients with single hepatocellular carcinoma without macrovascular invasion. Medicine 2021;100:24 (e26265).

Received: 4 November 2020 / Received in final form: 9 February 2021 / Accepted: 22 May 2021

http://dx.doi.org/10.1097/MD.00000000026265

# 1. Introduction

Hepatocellular carcinoma (HCC) is a highly aggressive form of cancer. Current statistics rank HCC as the sixth most prevalent cancer disease in the world. Moreover, cancer has been reported to be the third most prevalent cause of cancer-associated deaths worldwide.<sup>[1]</sup> Treatment of HCC has remained a challenge to date. When diagnosed early, resection can be performed to remove the affected part; other therapeutic options such as chemotherapy and radiotherapy can be used to kill the tumors that may have metastasized. Therefore, surgical resection is still the main treatment option for a single HCC.<sup>[2]</sup> However, as this form of tumor is very aggressive, recurrence is common even after surgical resection; very early diagnosis is necessary to ensure successful treatment and to improve the disease outcome.

The guidelines of the Barcelona Clinic for Liver Cancer (BCLC) staging system stipulates that hepatic surgery is only suitable for early-stage tumors (stages 0 and A: single tumors or multinodular tumors with size  $\leq 3$  cm, Child-Pugh A or B, PS 0, and  $\leq 3$  nodules with no vascular invasion).<sup>[3]</sup> The size of a tumor is a significant determinant of disease outcomes of patients with HCC. A tumor with a large diameter is correlated with poor clinical outcomes in patients with HCC.<sup>[4,5]</sup> Johnson et al proposed a novel way of assessing the function of the liver termed the albumin-bilirubin (ALBI) grade. This has been verified to be an ideal tool for evaluating the liver function of patients with HCC. The model involves the use of only serum albumin and bilirubin and avoids subjective parameters such as ascites and encephalopathy.<sup>[6]</sup> The ALBI grade was verified as a

precise prognostic model for patients with advanced HCC and receiving sorafenib,<sup>[7]</sup> for patients with solitary HCC within the Milan criteria,<sup>[8]</sup> for patients with early-stage HCC who have been treated by radiofrequency ablation,<sup>[9]</sup> and for different BCLC stages of HCC.<sup>[10]</sup> However, the association between the size of single tumors of patients with HCC and the ALBI grade is not sufficiently understood.

Herein, we examined the potential of the ALBI grade to assess the clinical outcomes of HCC with varying tumor sizes.

# 2. Methods

### 2.1. Patients

Patients with HCC who received hepatectomy in our ward from 2010 to 2014 were recruited. HCC was diagnosed by pathological examination of prepared liver specimens by a qualified pathologist. Only patients who had the following features were included in the study: ALBI grade 1 or 2 for liver function; no treatment for HCC before hepatectomy, single tumor without macrovascular invasion, and the absence of lymph node metastasis. The patients were classified into subgroups on the basis of the size of tumors: group I, tumor sizes  $\leq 3 \text{ cm}$ ; group II, tumor sizes  $>3 \text{ and } \leq 5 \text{ cm}$ ; group III, sizes  $>5 \text{ and } \leq 7 \text{ cm}$ ; group IV, tumor size  $>7 \text{ and } \leq 10 \text{ cm}$ ; and group V, tumor size >10 cm. This study was approved by the Institutional Ethical Board of Second Xiangya Hospital of Central South University. Informed consent was waived for this retrospective study.

# 2.2. Definitions

We calculated the ALBI scores as follows: ALBI score= $0.66 \times \log_{10}$  (total bilirubin,  $\mu$ mol/L) –  $0.085 \times$  (albumin, g/L). The following grading system was employed: grade I ( $\leq -2.60$ ); grade II (>-2.60 to  $\leq -1.39$ ), and grade III (>-1.39).<sup>[6]</sup> The Cancer of the Liver Italian Program (CLIP) staging system consists of 4 variables: Child-Pugh grade, tumor morphology, alpha-fetoprotein (AFP), and portal vein thrombosis.<sup>[11]</sup>

#### 2.3. Surgical methods

Indocyanine green test was carried out to assess liver function in hepatitis B virus (HBV) or hepatitis C virus-infected individuals before the surgery. Preoperative remnant liver volume was mainly assessed by 3-dimensional reconstruction and virtual hepatic resection among patients who underwent a major hepatectomy as per the methods described previously.<sup>[12]</sup> Most of the patients received open hepatectomy through the right subcostal margin incision. However, a few selective patients (those with tumor size <5 cm positioned in segments 2-6 of the liver) underwent laparoscopic surgery. Intraoperative ultrasonography was conducted to confirm the relationship between tumors and vessels and locate the satellite nodules when necessary. After determining resectability, anatomical liver resection was the preferred option in the case of sufficient future remnant liver functional reserve. Hepatectomy extent was considered minor resection or major (involving 3/more Couinaud liver segments) and minor.<sup>[13]</sup>

### 2.4. Post-surgery follow-ups

Follow-up periods were 1 month after discharge and every 3 months for the next 2 years. During the follow-ups, routine tests on blood, AFP, imaging examinations, such as ultrasonography, contrast-enhanced computed tomography/magnetic resonance

imaging, and liver function tests were performed. In the case of recurrence, the patients were taken through repeated hepatic resection, transcatheter arterial chemoembolization, ablation, or systemic therapies. These therapies were administered based on the hepatic function, tumor status, and economic status of the patient. The overall survival (OS) was measured beginning from the first diagnosis of HCC (admission date) until the last followup/death/January 2018.

#### 2.5. Data analysis

Data involving continuous variables were assessed by the Mann–Whitney and U test and shown as interquartile range whereas discrete variables were analyzed by Chi-square. Kaplan–Meier plot was employed in the determination of OS. The log-rank test was employed to make comparisons of survival rates. The multivariate Cox proportional hazard regression model was applied to identify independent prognostic factors for OS. The area under the receiver operating characteristic (ROC) curve was used to measure the discriminatory power of the staging systems as predictors of survival. These analyses were conducted using SPSS v25.0. A P < .05 represented statistically significant differences.

#### 3. Results

#### 3.1. Clinicopathological features

Approximately 265 patients with HCC, including 231 males and 34 females (median age=51years; range: 21–77years), were enrolled. A total of 60, 62, 46, 52, and 45 patients were classified in groups I, II, III, IV, and V, respectively. Those whose tumors were  $\leq$ 7 cm presented a higher albumin level, a longer survival time, and a higher proportion of ALBI grade 2 HCC than those who had tumors that were >7 cm (*P*=.010, *P*<.001, and *P*=.036, respectively). However, patients who had tumor size  $\leq$ 7 cm had a significantly low platelet count, a small tumor size, a short operative time, low blood loss, low rates of ALBI grade 1 HCC, AFP  $\geq$ 400 ng/mL, major liver resection, and poor differentiation (*P*<.001, *P*<.001, *P*=.015, *P*<.001, *P*=.036, *P*=.003, *P*<.001, and *P*=.015, respectively). The baseline features of the participants are presented in Table 1.

# 3.2. Survival

Up until the last follow-up day, 127 (47.9%) patients died. Among the 265 patients, the OS at 1 and 3 years reached 92.8% and 66.8%, respectively. No considerable differences in the OS were observed between groups I and II (P=.525), groups II and III (P=.439), and groups 1 and III (P=.627; Fig. 1A). A similar OS was noted between groups IV and V (P=.425; Fig. 1B). However, the OS among patients with tumor size  $\leq$ 7 cm was considerably longer than those who had tumor size >7 cm (P<.001; Fig. 1C).

#### 3.3. Evaluation of OS by multivariate analysis

The Cox proportional hazards regression model assessment of the total cohort identified 3 indices as independent predictive factors for OS: ALBI grade (hazard ratio [HR]: 2.10; 95% confidence interval [CI]: 1.43-3.08; P < .001), maximum tumor size (HR: 1.72; 95% CI: 1.16-2.56; P = .007), and differentiation grade (HR: 1.47; 95% CI: 1.01-2.15; P = .045; Table 2).

In the subgroup that had tumor size  $\leq 7$  cm, the independent predictive factors for OS included the ALBI grade (HR: 2.37;

Table 1		
Baseline chara	cteristics of 265 HCC patients.	

Variables	Total cohort (n = 265)	$\leq$ 7 cm subgroup (n=168)	>7 cm subgroup (n=97)	<i>P</i> value ( $\leq$ 7 cm vs $>$ 7 cm)
Age (years)*	51 (43-60)	52 (41-60)	51 (44–60)	0.825
Male gender <sup>†</sup>	231 (87.2%)	147 (87.5%)	84 (86.6%)	0.832
Positive HBsAg <sup>†</sup>	228 (86.0%)	143 (85.1%)	85 (87.6%)	0.570
Total bilirubin (µmol/L)*	13.4 (9.8–18.3)	13.3 (9.9–19.3)	13.5 (9.6–17.9)	0.749
Albumin (g/L)*	38.4 (35.6-41.2)	39.1 (36.4-41.4)	37.9 (34.2-40.0)	0.010
ALBI grade <sup>†</sup>				0.036
1	147 (55.5%)	85 (50.6%)	62 (63.9%)	
2	118 (44.5%)	83 (49.4%)	35 (36.1%)	
Prothrombin time (s)*	13.2 (12.2–14.4)	13.2 (12.1–14.3)	13.2 (12.3-14.4)	0.934
Platelet count (×10 <sup>9</sup> /L)*	151 (106–210)	135 (93–188)	195 (136–246)	< 0.001
Maximum tumor size (cm)*	6.0 (3.5–9.0)	4.0 (3.0-5.5)	10.0 (8.0–14.0)	< 0.001
Serum AFP $\geq$ 400 ng/mL <sup>†</sup>	90 (34.0%)	46 (27.4%)	44 (45.4%)	0.003
Operative time (min)*	180 (140-210)	170 (136–205)	180 (155–223)	0.015
Blood loss (mL)*	300 (100–500)	200 (100-400)	400 (200-1000)	< 0.001
Major hepatectomy <sup>†</sup>	42 (15.8%)	6 (3.6%)	36 (37.1%)	< 0.001
Poor differentiation grade <sup>†</sup>	80 (30.2%)	42 (25.0%)	38 (39.2%)	0.015
Survival time (mo)*	43 (25-61)	49 (37–62)	26 (15-57)	< 0.001

 $AFP = \alpha$ -fetoprotein, ALBI = albumin-bilirubin, HBsAg = hepatitis B surface antigen, HCC = hepatocellular carcinoma.

Values are median (interquartile range).

<sup>†</sup> Values are number (%).

95% CI: 1.39–4.05; P = .002) and platelet count (HR: 1.80; 95% CI: 1.07–3.05; P = .027). However, in the subgroup with tumor size >7 cm, the ALBI grade was not identified as a major determinant of OS (Table 3).

# 3.4. Comparison of OS of patients with ALBI grades 1 and 2 HCC stratified by tumor size

For the total cohort, we observed a remarkable difference in the OS between ALBI grades 1 and 2 patients (Fig. 2F). For groups I,

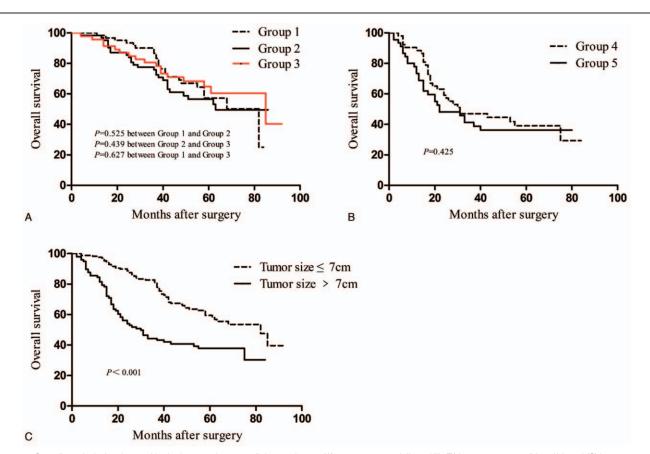


Figure 1. Overall survival of patients with single tumor hepatocellular carcinoma (A) among groups I, II, and III; (B) between groups IV and V; and (C) between tumor size  $\leq$ 7 cm and tumor size >7 cm subgroups.

*P* value

.302

.072

.798

.840

.924

.533

.548

.311

#### Table 2

Prognostic factors for survival in the total cohort.

	Univariate analysis	Multivariate analysis			
Variables	Hazard ratio	P value	Hazard ratio	P value	
Age	0.79 (0.51–1.23)	.298			
Gender	1.33 (0.75–2.36)	.331			
Albumin-bilirubin grade	2.28 (1.57-3.32)	<.001	2.10 (1.43-3.08)	<.001	
Prothrombin time	1.46 (1.03-2.07)	.034	1.28 (0.90-1.83)	.169	
Platelet count	1.31 (0.88–1.95)	.179			
Maximum tumor size	2.08 (1.46-2.96)	<.001	1.72 (1.16–2.56)	.007	
Alpha-fetoprotein	1.42 (0.99-2.03)	.054	1.16 (0.80-1.70)	.435	
Operation time	1.51 (1.06-2.15)	.021	1.25 (0.86-1.83)	.240	
Blood loss	1.55 (1.05-2.28)	.028	1.03 (0.67–1.58)	.891	
Major hepatectomy	1.55 (0.99-2.42)	.054	0.95 (0.58-1.56)	.837	
Differentiation grade	1.67 (1.17-2.40)	.005	1.47 (1.01-2.15)	.045	

II, and III, the ALBI grade 1 patients showed a significantly longer survival time relative to the ALBI grade 2 patients (P=.006, P=.025, and P=.039; Fig. 2A, B, and C, respectively). However, the OS was comparable between patients in ALBI grades 1 and 2 in groups IV and V (P=.546 and P=.224; Fig. 2D and E, respectively).

# 3.5. Comparison of patients' OS in tumor size $\leq$ 7cm + ALBI grade 1 vs tumor size $\leq$ 7cm + ALBI grade 2 vs tumor size >7cm subgroups

Given their importance in predicting OS, we further evaluated the effects of tumor size and ALBI grade on the prognosis of patients with HCC. With regard to tumor size and ALBI grade, considerable differences in the OS were observed among the 3 patient subgroups (Fig. 3). The tumor size  $\leq 7 \text{ cm} + \text{ALBI}$  grade 1 subgroup presented a remarkably higher OS compared with the tumor size  $\leq 7 \text{ cm} + \text{ALBI}$  grade 2 and tumor size  $\geq 7 \text{ cm}$  subgroups. The patients in the tumor size  $\geq 7 \text{ cm}$  subgroup exhibited the poorest OS among the 3 subgroups.

# 3.6. Discriminative ability of different scoring systems for patients' survival

ROC curve analyses of ALBI score, Child-Pugh score, and CLIP score were performed to predict 1- and 3-year survival. The area under the ROC curve for ALBI score, Child-Pugh score, and CLIP score were 0.640 (P=.043), 0.590 (P=.191), and 0.617 (P=.090) in predicting 1-year survival (Fig. 4A), respectively,

and 0.645 (P < .001), 0.580 (P = .034), and 0.584 (P = .025) in predicting 3-year survival (Fig. 4B), respectively.

### 4. Discussion

Herein, the effectiveness of the ALBI grading system to predict the disease progress of HCC subjects with different tumor sizes was evaluated. We observed that a large tumor size (>7 cm) and a high ALBI grade are indicators of the poor clinical progress of patients with single HCC following surgery.

Tumor size has been regarded as one of the primary determinants of tumor recurrence and OS.<sup>[4,14]</sup> Huang et al identified tumor size as a major determinant of HCC patient prognosis, particularly among patients with solitary tumors without vascular invasion.<sup>[15]</sup> Another study also reported that tumor size is an independent prognostic factor of recurrence-free survival among patients with solitary HCC and who received curative liver resection.<sup>[16]</sup> However, other studies showed that large HCC does not conform to BCLC staging and treatment guidelines.<sup>[17,18]</sup> Among patients with HCC at the BCLC stage A, single tumors >5 cm showed a similar prognosis as patients with single tumors <5 cm.<sup>[19,20]</sup> In the present study, tumor size served as an independent predictor of OS for a single HCC without macrovascular invasion. Patients who had a tumor size >7 cm exhibited a significantly poorer OS than those who had a tumor size <7 cm. To further assess the effect of tumor size on long-term survival, we compared the OS in subgroups divided by the tumor size. No differences were noted in the OS of groups I, II, and III. Groups IV and V exhibited a similar OS. These findings indicate

1.12 (0.39-3.19)

0.97 (0.57-1.67)

1.20 (0.68-2.12)

0.84 (0.47-1.49)

1.33 (0.77-2.31)

	1e - I

Platelet count

Operation time

Blood loss

Alpha-fetoprotein

Differentiation grade

Multivariate analyses of prognostic factors for survival divided by tumor size.				
Variables	$\leq$ 7 cm subgroup Hazard ratio	P value	>7 cm subgroup Hazard ratio	
Age	0.81 (0.43–1.54)	.522	0.61 (0.29-1.29)	
Gender	1.33 (0.59-3.01)	.498	1.61 (0.65-3.96)	
Albumin-bilirubin grade	2.37 (1.39-4.05)	.002	1.74 (0.95–3.18)	
Prothrombin time	1.47 (0.89-2.44)	.133	0.93 (0.53-1.64)	

1.80 (1.07-3.05)

1.45 (0.81-2.61)

1.10 (0.65-1.89)

1.21 (0.63-2.32)

1.67 (0.97-2.86)

.027

.213

.719

.570

.064

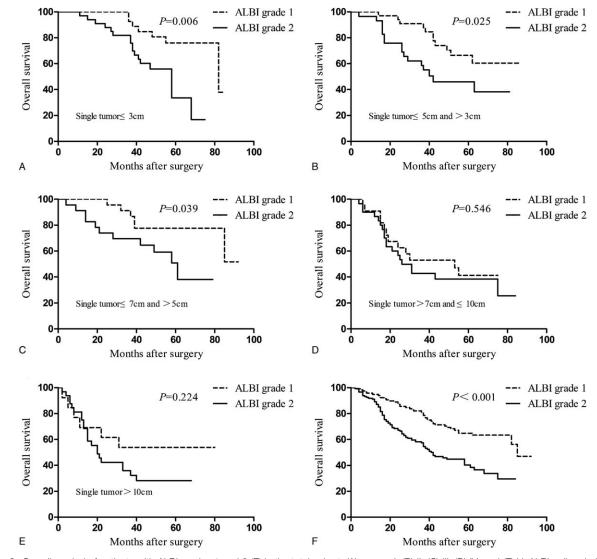
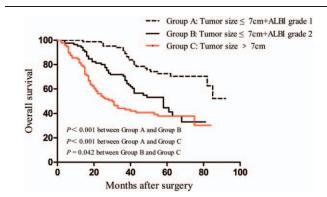


Figure 2. Overall survival of patients with ALBI grades 1 and 2 (F) in the total cohort, (A) groups I, (B) II, (C) III, (D) IV, and (E) V. ALBI = albumin-bilirubin.

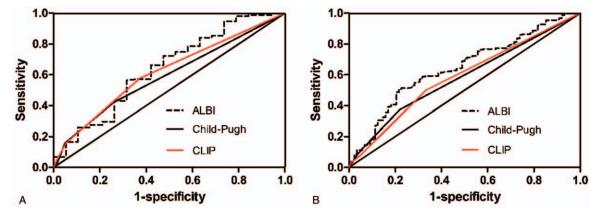


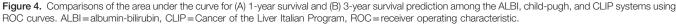
**Figure 3.** Overall survival curves for patients stratified by ALBI grade and tumor size following hepatic resection. Significant differences were observed in patients between tumor size  $\leq$ 7 cm + ALBI grade 1 and tumor size  $\leq$ 7 cm + ALBI grade 2 subgroups (P < .001); patients in tumor size  $\leq$ 7 cm + ALBI grade 1 and tumor size  $\geq$ 7 cm subgroups (P < .001); and patients in tumor size  $\leq$ 7 cm + ALBI grade 2 and tumor size  $\geq$ 7 cm subgroups (P < .001); and patients in tumor size  $\leq$ 7 cm + ALBI grade 2 and tumor size  $\geq$ 7 cm subgroups (P = .042). ALBI = albumin-bilirubin.

that patients with tumor size  $\leq 7 \text{ cm}$  or tumor size >7 cm may feature similar survival times. Therefore, patients who have a single tumor size >7 cm should not be ascribed to the same BCLC stage as those with a single tumor size  $\leq 7 \text{ cm}$ .

Several factors may explain why patients who had a single tumor  $\leq 7 \text{ cm}$  showed a remarkably better OS than those with tumor size >7 cm. We observed that patients whose tumors were >7 cm presented a lower albumin level, greater blood loss, and a higher proportion of serum AFP  $\geq 400 \text{ ng/mL}$  and poor differentiation than patients with tumor size  $\leq 7 \text{ cm}$ . AFP is regarded as an important prognostic indicator for HCC undergoing liver resection.<sup>[18,21]</sup> A high level of preoperative AFP often indicates poor survival time after surgery.<sup>[22]</sup> Tumor differentiation is positively correlated with the OS of patients with HCC after hepatectomy.<sup>[23]</sup> In our study, poor differentiation was found to be an independent OS predictor in multivariate analysis. The low histologic grade is correlated with a high risk of recurrence and low OS in patients with HCC following curative resection.<sup>[24]</sup>

The disease outcomes of patients with HCC post-liver resection are partly affected by the existing liver physiological status. For





years, various prognostic staging systems for HCC have been proposed.<sup>[11]</sup> Our study showed that the ALBI score might have a better value in predicting 1- and 3-year survival than the Child-Pugh score and CLIP score. This finding is similar to the results of some previous studies.<sup>[6,25,26]</sup> The patients who had an ALBI grade 1 presented a better survival time than those who had an ALBI grade 2 in the total cohort. Additionally, we analyzed the ALBI grade in the different subgroups to further validate its value in predicting the prognoses of HCC. The OS rates were higher in ALBI grade 1 patients compared with those in ALBI grade 2 patients in groups I, II, and III. However, when the OS rates were analyzed in groups IV and V, no marked differences were found between the subjects with ALBI grades 1 and 2. Multivariate analysis identified the lack of predictive capability of ALBI grade for the tumor size >7 cm subgroup. Therefore, ALBI grade could predict the prognosis of patients with HCC and a small single tumor. However, ALBI grade alone might be insufficient in determining the prognosis of patients with HCC and single tumor >7 cm. For large HCC, tumor burden could have a more significant function in determining the OS compared with liver function. Microvascular invasion (MVI) is a critical risk factor for HCC prognosis.<sup>[27–29]</sup> Previous studies demonstrated that the incidence of MVI increased with tumor size.<sup>[30]</sup> In solitary HCC, the incidence of MVI progressively increased from 4.1% to 30.7% as the tumor size increases from <2 cm to 8.1-9.9 cm, to 12.8% in tumor size  $\leq 5$  cm, and to 27.7% in tumor size measuring 5.1 to 9.9 cm.<sup>[4]</sup> Therefore, MVI might play a more crucial function in determining the prognosis compared with liver function for large single tumors.

Theoretically, the combination of tumor size and liver function could effectively predict the prognosis of HCC following hepatic resection. We integrated tumor size and ALBI grade, to generate an accurate model for determining the prognosis of patients with a single tumor. The results showed that patients in the tumor size  $\leq 7$  cm + ALBI grade 1 subgroup presented a remarkably higher OS rate than those in the tumor size  $\leq 7$  cm + ALBI grade 2 and tumor size  $\geq 7$  cm subgroups. Patients in the single tumor  $\geq 7$  cm subgroup achieved the poorest OS among the 3 subgroups. Thus, the prognosis of a single small tumor might be remarkably affected by liver function, whereas tumor burden could play a leading role in the prognosis of single large tumors. In clinical practice, patients having a single tumor  $\geq 7$  cm and who are undergoing liver

resection may likely suffer from relapse. Thus, additional postoperative treatments may be necessary for these patients.

This study features several limitations. First, the conclusions are based on the retrospective analysis of data from a single liver center and weakened by small sample size, so selective bias may exist. Multicenter prospective research is required to verify and extend the results of the present study. In addition, our study did not assess the influence of ALBI grade on disease-free survival. Lastly, we did not evaluate the role of microvascular invasion in relation to ALBI grade, which we hope to do in the future.

#### 5. Conclusions

In conclusion, ALBI grade is valuable in determining the prognosis of patients with a single HCC with tumor size  $\leq 7$  cm. However, the ALBI grade shows no predictive capability among patients with a single tumor >7 cm. Patients with a single tumor >7 cm may constitute an independent group distinct from the classic BCLC stage A.

#### Author contributions

Conceptualization: Fanhua Kong, Heng Zou; Data collection: Wenhao Chen, Zijian Zhang; Formal analysis: Jiangjiao Zhou, Li Xiong, Xianrui Fang. Methodology: Heng Zou, Yu Wen. Supervision: Heng Zou. Writing-original draft: Wenhao Chen, Zijian Zhang, Fanhua Kong. Writing-review and editing: Xianrui Fang, Heng Zou, Fanhua Kong.

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Methodology: Yu Wen.

Supervision: Yu Wen.

Writing – original draft: Wenhao Chen, Zijian Zhang, Fanhua Kong.

Writing – review & editing: Wenhao Chen, Xianrui Fang, Fanhua Kong, Heng Zou.

# Correction

When originally published, the affiliation for Zijian Zhang, Li Xiong, Yu Wen, Jiangjiao Zhou, Fanhua Kong, and Heng Zou was mistakenly removed. It has been added as affiliation b, and the original affiliation b has been updated to c. The indicators on the author list have been updated accordingly.

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