

Concise Review

Therapeutic antibodies: their mechanisms of action and the pathological findings they induce in toxicity studies

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Abstract: Antibodies can swiftly provide therapeutics to target disease-related molecules discovered in genomic research. Antibody engineering techniques have been actively developed and these technological innovations have intensified the development of therapeutic antibodies. From the mid-1990's, a series of therapeutic antibodies were launched that are now being used in clinic. The disease areas that therapeutic antibodies can target have subsequently expanded, and antibodies are currently utilized as pharmaceuticals for cancer, inflammatory disease, organ transplantation, cardiovascular disease, infection, respiratory disease, ophthalmologic disease, and so on. This paper briefly describes the modes of action of therapeutic antibodies. Several non-clinical study results of the pathological changes induced by therapeutic antibodies are also presented to aid the future assessment of the toxic potential of an antibody developed as a therapeutic. (DOI: 10.1293/tox.2015-0031; *J Toxicol Pathol* 2015; 28: 133–139)

Key words: therapeutic antibody, mode of action, pathological findings, toxicity study

Antibodies can swiftly provide therapeutics to target the disease-related molecules that have been discovered in genomic research because 1) the high level of specificity and affinity to the target molecule or antigen achieves a high level of efficacy and fewer adverse events, 2) their ability to target diverse molecules and the modes of action of the antibodies allow them to be applied to a wide range of therapeutic targets, and 3) modification and refinement by genetic engineering technology and the establishment of recombinant manufacturing technology has made industrial manufacturing possible.

Development of therapeutic antibodies boomed in the 1980's, and the first therapeutic antibody, a mouse antibody, was launched in 1986 as an immunosuppressive agent used during organ transplantation^{1–3}. Although problems, such as mouse antibodies expressing antigenicity in humans, prevented any therapeutic antibodies being launched in the next 10 years, antibody engineering techniques continued to be actively developed and resulted in techniques to produce chimeric antibodies and humanized antibodies from mouse antibodies^{4–8}. In chimeric antibodies, 33% of the structure originates from mouse, with variable regions from mouse and constant regions from human, and in human-

ized antibodies, up to 90% of the structure originates from human, with only the antigen binding site in the variable region (complementarity-determining region) originating from mouse. Furthermore, new techniques made it possible to obtain human antibodies from human antibody phage libraries and human antibody-producing mice^{9–15}. These technological innovations intensified the development of therapeutic antibodies, and from the mid-1990's, a series of therapeutic antibodies were launched that are now being used in clinic. The disease areas that therapeutic antibodies can target have subsequently expanded, and antibodies are currently utilized as pharmaceuticals for cancer, inflammatory disease, organ transplantation, cardiovascular disease, infection, respiratory disease, ophthalmologic disease, and so on (Table 1).

This paper briefly describes the modes of action of therapeutic antibodies. Several non-clinical study results of the pathological changes induced by therapeutic antibodies are also presented to aid the future assessment of the toxic potential of an antibody that is being developed as a therapeutic.

Mechanisms of Action of Therapeutic Antibodies

The efficacy of therapeutic antibodies stems from various natural functions of antibodies — neutralization, antibody-dependent cell-mediated cytotoxic (ADCC) activity, or complement-dependent cytotoxic (CDC) activity —, or the antibody can be utilized as a drug delivery carrier (mis-sile therapy)¹ (Fig. 1).

Neutralization: Many therapeutic antibodies utilize neutralization to block the pathophysiological function of

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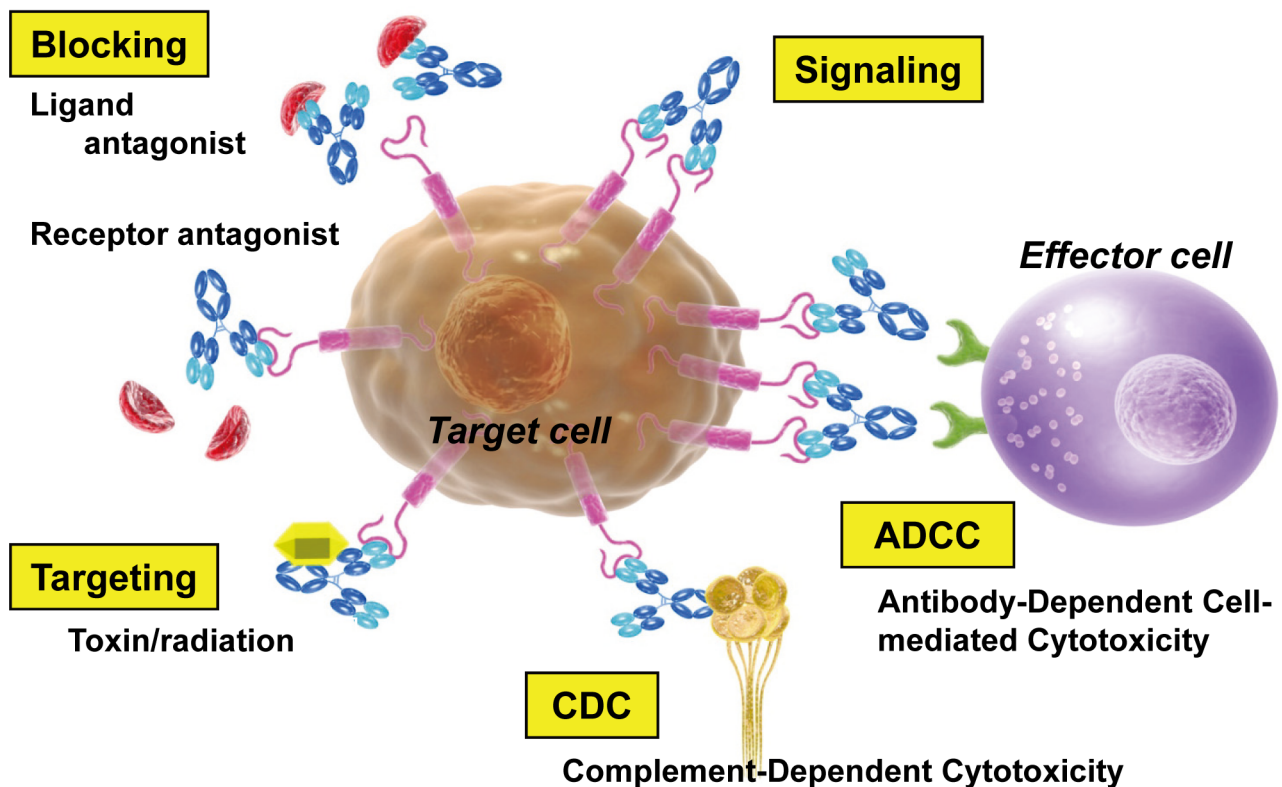
Table 1. Antibody Type, Target Molecule, Mechanism of Action, and Major Indication of Antibody Pharmaceuticals

Scientific name	Trade name	Approval	Origin and isotype	Target	MoA*	Licensed indication
Cancer						
Rituximab	Rituxan, MabThera	1997	Chimeric IgG1	CD20	ADCC, CDC	B cell non-Hodgkin lymphoma
Trastuzumab	Herceptin	1998	Humanized IgG1	HER-2	ADCC, CDC, Blocking Targeting	HER-2 positive breast cancer
Gemtuzumab ozogamicin	Mylotarg	2000	Humanized IgG4	CD33 ADC	Blocking Targeting	Leukemia
Alemtuzumab	Campath, MabCampath	2001	Humanized IgG1	CD52	ADCC, CDC	B-CLL
Ibritumomab tiuxetan	Zevalin	2002	Murine IgG1	CD20 RIT	Targeting	NHL
Tositumomab iodine 131	Bexxar	2003	Murine IgG2	CD20 RIT	Targeting	NHL
Cetuximab	Erbitux	2004	Chimeric IgG1	EGFR	ADCC, CDC, Blocking	Colorectal, head and neck cancer
Bevacizumab	Avastin	2004	Humanized IgG1	VEGF	Blocking	Colorectal, lung, breast cancer
Panitumumab	Vectibix	2006	Human IgG2	EGFR	ADCC, CDC, Blocking	Colorectal cancer
Catumaxomab	Removab	2009	Chimeric IgG2a/b**	CD3, EpCAM	ADCC, CDC	Malignant ascites
Denosumab	Prolia, Xgeva	2010	Human IgG2	RANKL	Blocking	Osteoporosis, bone metastasis
Ofatumumab	Arzerra	2009	Human IgG1	CD20	CDC	CLL
Brentuximab vedotin	Adcetris	2011	Chimeric IgG1	CD30 ADC	Targeting	ALCL and Hodgkin lymphoma
Ipilimumab	Yervoy	2011	Human IgG1	CTLA4	Blocking	Advanced melanoma
Pertuzumab	Perjeta	2012	Humanized IgG1	HER-2	Blocking	HER-2 positive breast cancer
Mogamulizumab	Poteligeo	2012	Humanized IgG1	CCR4	ADCC	T cell leukemia-lymphoma
Obinutuzumab	Gazyva	2013	Humanized & glyco-engineered IgG1	CD20	ADCC	Chronic lymphocytic leukemia
Trastuzumab emtansine	Kadcyla	2013	Humanized IgG1	HER-2 ADC	Targeting	HER-2 positive, metastatic breast cancer
Vedolizumab	Entyvio	2014	Humanized	integrin $\alpha4\beta7$	Blocking	Crohn's disease, ulcerative colitis
Pembrolizumab	Keytruda	2014	Humanized IgG4 κ	PD-1	Blocking	Unresectable or metastatic melanoma
Ramucirumab	Cyramza	2014	Human IgG1	VEGFR2	Blocking	Metastatic gastric or gastroesophageal junction adenocarcinoma, NSCLC
Nivolumab	Opdivo	2014	Human IgG4	PD-1	Blocking	Malignant melanoma
Inflammation						
Infliximab	Remicade	1998	Chimeric IgG1	TNF	Blocking	RA, ankylosing spondylitis, Crohn's disease, ulcerative colitis
Adalimumab	Humira	2002	Human IgG1	TNF	Blocking	RA, Crohn's disease, plaque psoriasis
Tocilizumab	Actemra, Roactemra	2005	Humanized IgG1	IL-6R	Blocking	Castleman's syndrome, RA
Certolizumab pegol	Cimzia	2008	Humanized Fab	TNF	Blocking	Rheumatoid arthritis, Crohn's disease
Canakinumab	Ilaris	2009	Human IgG1	IL-1 β	Blocking	Muckle-Wells syndrome
Golimumab	Simponi	2009	Human IgG1	TNF	Blocking	RA, psoriatic arthritis, ankylosing spondylitis
Belimumab	Benlysta	2011	Human IgG1	Blys	Blocking	Systemic lupus erythematosus
Raxibacumab	Raxibacumab	2012	Human IgG1	Bacillus anthracis protective antigen	Blocking	Inhalation anthrax from bacillus anthracis
Siltuximab	Sylvant	2014	Chimeric IgG1 κ	IL-6	Blocking	Castleman's disease
Transplant						
Muromonab-CD3	Orthoclone OKT3	1986	Murine IgG2a	CD3	Blocking	Transplant rejection
Daclizumab	Zenapax	1997	Humanized IgG1	CD25	Blocking	Prophylaxis for transplant rejection
Basiliximab	Simulect	1998	Chimeric IgG1	CD25	Blocking	Prophylaxis for transplant rejection

Table 1. Continued

Scientific name	Trade name	Approval	Origin and isotype	Target	MoA*	Licensed indication
Others						
Abciximab	ReoPro	1994	Chimera Fab	GPIIb/IIIa	Blocking	Prevention of cardiac ischemic complications
Palivizumab	Synagis	1998	Humanized IgG1	RSV F protein	Blocking	Prevention of RSV infection in neonates
Omalizumab	Xolair	2003	Humanized IgG1	IgE	Blocking	Severe asthma
Efalizumab***	Raptiva	2003	Humanized IgG1	CD11a	Blocking	Psoriasis
Natalizumab	Tysabri	2004	Humanized IgG4	$\alpha 4\beta 1$ integrin	Blocking	Multiple sclerosis
Ranibizumab	Lucentis	2006	Humanized Fab	VEGF	Blocking	Macular degeneration
Eculizumab	Soliris	2007	Humanized IgG2/4	Complement 5	Blocking	Paroxysmal nocturnal hemoglobinuria, atypical hemolytic-uremic syndrome
Ustekinumab	Stelara	2009	Human IgG1	IL12, IL23-p40	Blocking	Plaque psoriasis

*MOA, mode of action; **bi-specific antibody; *** Approved in 2003 and withdrawn from the market in 2009 because of side effect. CD, cluster of differentiation; CDC, complement-dependent cytotoxicity; ADCC, antibody-dependent cell-mediated cytotoxicity; HER-2, human epidermal growth factor receptor 2; ADC, antibody drug conjugate; B-CLL, B-cell chronic lymphocytic leukemia; RIT, radioimmunotherapy; NHL, non-Hodgkin lymphoma; EGFR, epidermal growth factor receptor; VEGF, vascular endothelial growth factor; EpCAM, epithelial cell adhesion molecule; RANKL, receptor activator of nuclear factor kappa-B ligand; ALCL, anaplastic large cell lymphoma; CTLA4, cytotoxic T-lymphocyte antigen 4; NSCLC, non-small cell lung cancer; TNF, tumor necrosis factor; RA, rheumatoid arthritis; IL-6R, interleukin 6 receptor; IL-1 β , interleukin 1 β ; BLys, B lymphocyte stimulator; PSA, prostate antigen; RSV, respiratory syncytial virus; IL-12p40, interleukin 12 p40 subunit.

**Fig. 1.** Mechanisms of action of therapeutic antibodies.

their target molecules¹. In this case, antibodies bind to the ligand or receptor that is expressed on the cell surface and block the target signaling pathway. When the signaling in the tumor through these ligands or receptors is diminished, it can result in cellular activity being lost, proliferation being inhibited, pro-apoptotic programs being activated, or

cells being resensitized to cytotoxic agents¹⁶.

ADCC: To trigger ADCC, the Fv binding domain of an antibody binds to a specific antigen expressed on the surface of a target cell. The antibody is then able to recruit immune-effector cells (such as macrophages and NK cells) that express various receptors able to bind to the Fc and thus

activate the immune-effector cells to lyse the target cell¹⁷.

CDC: CDC is triggered when the C1 complex binds the antibody-antigen complex, activates a cascade of complement proteins, and causes a complex to form that attacks the membrane. This results in lysis of the target cell¹⁷. Both ADCC and CDC are interactions that involve components of the host immune system and, among the therapeutic antibodies being developed for cancer, there are presumably products that utilize more than one mechanism (ADCC, CDC, and neutralizing functions) in their pharmacological actions.

Drug delivery carrier: Antibodies can be applied as drug delivery carriers when conjugated to radioisotopes, toxins, drugs or cytokines¹⁷. The advantage of these conjugates over conventional drugs is that cytotoxic agents can be delivered directly and at higher local concentrations to tumor tissues, without causing damage to normal cells.

Antibodies that bind and/or cross-link to target molecules and thus stimulate several signal pathways are also under research. However, these agonistic antibodies have not been placed on the market at this point.

Pathological Findings Induced by Therapeutic Antibodies in Toxicity Studies

Below are examples of the histopathological changes induced by therapeutic antibodies in non-clinical studies. As examples of therapeutic antibodies that use neutralization to block the pathophysiological function of their target antigens, we will show the changes caused by an anti-vascular endothelial growth factor (VEGF) antibody and by an epidermal growth factor receptor (EGFR) antibody. For those that use ADCC and CDC, we will give examples of biological reactions to an anti-CD20 antibody.

Anti-VEGF antibody

Bevacizumab (Avastin[®]) is an anti-VEGF humanized monoclonal antibody. It binds to VEGF and blocks VEGF from uniting with its receptors (VEGFR-1 and -2), which then blocks the signal transduction of VEGF¹⁸. VEGF is the main factor that controls angiogenesis, and its expression is increased in most human tumors and is related to tumor proliferation/metastasis. Hence, bevacizumab was approved for colorectal cancer, non-small cell lung cancer except squamous cell carcinoma, breast cancer, and so on¹⁸. Because the therapeutic blocks all the signaling transduced by VEGF, angiogenesis is inhibited in normal organs as well as in tumors.

Cynomolgus monkeys treated repeatedly with bevacizumab via intravenous injection exhibited several pathological adverse effects on the epiphyseal growth plate, ovary, and uterus¹⁹. Lesions on the epiphyseal growth plate were characterized by a linear cessation of growth line and chondrocyte hyperplasia²⁰. In the ovary, arrested follicular development and absent corpora lutea were shown, and in the uterus, a decrease in endometrial proliferation and in the number of menstrual cycles were also seen^{19, 21}.

It is well known that vascularization of the epiphyseal growth plate region represents a key mechanism for chondrogenesis (cartilage production) and osteogenesis (bone formation)^{22, 23}. A small-molecule VEGF inhibitor that inhibited angiogenesis in rats showed epiphyseal growth plate lesions that were characterized by thickening due to the retention of hypertrophic chondrocytes^{24, 25}. It is reported that vascularization is essential for corpus luteum and endometrial formation²⁶⁻²⁸; therefore, biological reactions caused by an anti-VEGF antibody are considered to be specific reactions by the target molecule in the organs and tissues in which vascularization was constantly maintained.

Anti-EGFR antibody

Cetuximab (Erbix[®]) is a recombinant human/mouse chimeric anti-EGFR monoclonal antibody²⁹. Cetuximab binds to EGFR selectively, blocks EGFR from uniting with its ligand, EGF, and then blocks the signal transduction of EGF. EGFR is a transmembrane glycoprotein that is expressed in epithelial tissues and acts as a receptor. Binding of EGFR to EGF induces receptor dimerization and tyrosine autophosphorylation and leads to cell proliferation and differentiation^{30, 31}. EGFR is expressed in normal tissues and also in many solid tumors, including colorectal cancer. Hence, cetuximab is approved for colorectal cancer and squamous cell carcinoma of the head and neck^{30, 31}.

In cynomolgus monkeys, cetuximab was given by repeated intravenous injection and it resulted in dermatologic lesions characterized by hyperkeratosis, parakeratosis, abscess, and acantholysis with bullosa at the external integument. Similar changes were observed in the epithelial mucosa of the nasal passage, esophagus, and tongue at the highest dose level^{32, 33}. In addition, deaths due to sepsis associated with ulcerative dermatitis were observed in the animals at the highest dose level^{32, 33}.

Anti-CD20 antibody

Rituximab (Rituxan[®]) is a chimeric murine/human monoclonal antibody targeted against the pan-B-cell marker CD20. Rituximab binds to B cells that express CD20 and induces cell death through CDC or ADCC³⁴. CD20 is expressed in non-neoplastic B cells (pre, immature, mature, and activated) and neoplastic cells derived from B cells. Rituximab is indicated for the treatment of patients with non-Hodgkin's lymphoma (NHL), chronic lymphocytic leukemia (CLL) and rheumatoid arthritis³⁵⁻³⁷.

In a non-clinical study, rituximab was administered to cynomolgus monkeys repeatedly via intravenous injection (1/ week), and changes were found in immune-hematopoietic tissues. The total number of lymphocytes decreased in peripheral blood owing to a decrease of B cells, and atrophy of lymphoid follicles and a decrease of CD20-positive B cells were seen in the spleen and systemic lymph node³⁸. All of the cells affected by cytotoxicity were B cells that express CD20, and the reaction is considered to be specific to the target molecule.

The changes induced by a therapeutic antibody in non-clinical study are thought of as biological reactions that are dependent on the target molecule^{39, 40}. For example, with a blocking antibody the changes occur in the tissues and organs in which the targeted pathway functions. With antibodies that target specific ligands, changes are found in organs and tissues that express the receptor of the targeted ligand, and with antibodies that target specific receptors, changes are found in organs and tissues that express the targeted receptor. With a cytotoxic antibody the changes are found in the tissues and organs that express the target molecule.

Although the biological reactions induced by a therapeutic antibody are dependent on the target molecule and the target molecules selected in this paper, VEGFR and EGFR, were expressed broadly in normal tissues, the biological changes were not observed in all the organs and tissues that express the target molecule^{19, 20}. With a blocking antibody, differences in the biological reactions may depend not only on expression of the target molecule but also on how the target pathway contributes to maintenance of homeostasis^{21–23}. The existence of alternative systems that compensate for the blocked pathway is thought to be an important factor of toxicologic changes.

Cytotoxicity antibodies are reported to have biological reactions that are not induced in all the cells in which antigen is expressed^{41, 42}. We analyzed CDC induction in a non-clinical *in vivo* model and demonstrated that the biological response to an antibody with a CDC mechanism is regulated not only by the distribution of the target molecule but also by various other factors, ranging from antibody distribution to the nature of the host immune system and the presence of membrane complement regulatory proteins^{43, 44}. Hence, when a therapeutic antibody induces cytotoxic change via the host immune system, CDC, or ADCC, the immune regulatory system is an important factor on the occurrence of toxic effects⁴³.

Future Trends of Therapeutic Antibodies and Pathological Evaluation

Recently, antibody engineering techniques have progressed and it is now possible to create antibodies with a diverse selection of functions, such as antibodies with more efficient and long-lasting neutralizing effects, agents that cause cytotoxicity at lower molecule expression levels, or bispecific antibodies that can recognize two different molecules simultaneously to induce new biological responses^{45–48}. These recent advances along with the discovery of novel target molecules shed light on the possibility of new therapies. As the functions and target molecules of antibodies become more and more diverse, it becomes increasingly necessary to understand how the target molecule functions biologically and what will be the biological response to the modified functions induced by the antibody. The toxicological pathology associated with these issues will also need to be evaluated and researched most carefully.

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