



Re-evaluating the need for mediastinal lymph node dissection and exploring lncRNAs as biomarkers of N2 metastasis in T1 lung adenocarcinoma

Xuefeng Hao^{1^}, Weiying Li¹, Wei Li¹, Meng Gu¹, Ziyu Wang¹, Kenta Nakahashi², Mara B. Antonoff³, Hiroyuki Adachi⁴, Shijie Zhou⁵, Shaofa Xu^{1^}

¹Department of Cancer Research Center, Beijing Chest Hospital, Capital Medical University/Beijing Tuberculosis and Thoracic Tumor Research Institute, Beijing, China; ²Department of Thoracic Surgery, Yamagata Prefectural Central Hospital, Yamagata, Japan; ³Thoracic & Cardiovascular Surgery, UT MD Anderson Cancer Center, Houston, TX, USA; ⁴Department of Thoracic Surgery, Kanagawa Cancer Center, Yokohama, Japan; ⁵Department of Thoracic Surgery, Beijing Chest Hospital, Capital Medical University/Beijing Tuberculosis and Thoracic Tumor Research Institute, Beijing, China

Contributions: (I) Conception and design: S Xu, X Hao; (II) Administrative support: S Xu, S Zhou; (III) Provision of study materials or patients: X Hao, S Zhou, Z Wang; (IV) Collection and assembly of data: M Gu, Wei Li; (V) Data analysis and interpretation: X Hao, Weiying Li; (VI) Manuscript writing: All authors; (VII) Final approval of manuscript: All authors.

Correspondence to: Shaofa Xu. Department of Cancer Research Center, Beijing Chest Hospital, Capital Medical University/Beijing Tuberculosis and Thoracic Tumor Research Institute, 9 Beiguan Street, Tongzhou District, Beijing 101149, China. Email: xushaofa2017@yeah.net; Shijie Zhou. Department of Thoracic Surgery, Beijing Chest Hospital, Capital Medical University/Beijing Tuberculosis and Thoracic Tumor Research Institute, 9 Beiguan Street, Tongzhou District, Beijing 101149, China. Email: jieyansuo20032006@aliyun.com.

Background: Although a well-acknowledged component of curative surgery for lung cancer, investigators have recently questioned the need for mediastinal lymph node dissection (MLND) in early-stage lung cancer cases. As such, the accurate prediction of N2 stage prior to surgery has become increasingly critical. But diagnostic biomarkers predicting N2 metastases are deficient, which are urgently needed.

Methods: We extracted the data of non-small cell lung cancer (NSCLC) patients whose clinical information and follow-up data are complete and without preoperative induction therapy from the Surveillance, Epidemiology, and End Results (SEER) database. The SEER program registries routinely collect demographic and clinic data on patients. And the prognostic differences were analyzed according to the presence or absence of MLND in their lung resection using the R package. Subsequently, the correlations between pN2 metastasis and clinical characteristics were analyzed. In parallel, the long non-coding RNAs (lncRNAs) associated with pN2 status were screened in The Cancer Genome Atlas (TCGA) database by expression difference analysis between pN0-N1 and pN2 patients using limma. Their diagnostic efficiency for detecting N2 metastases was evaluated using receiver operating characteristic (ROC) curves, and a combined diagnostic model was constructed using logistic regression and ROC curve analyses in lung adenocarcinoma (LUAD).

Results: There were 16,772 patients in MLND group, and 2,699 cases in no-MLND group. The clinical data from SEER showed that the incidence of N2 metastasis was low in pT1 NSCLC (1,023/16,772, 6.10%), but the prognosis of no-MLND patients was poorer than those who underwent MLND ($P < 0.001$, HR = 1.605). Pathological N2 metastasis was correlated with age, histologic type, and tumor size. On the other hand, five lncRNAs (LINC00892, AC099522.2, LINC01481, SCAMP1-AS1, and AC004812.2) were screened and confirmed as potential diagnostic biomarkers for detecting N2 metastasis in pT1 LUAD. The AUC of the combined indicators was 0.857.

Conclusions: MLND may be oncologically necessary for selected T1 NSCLC patients based on the

[^] ORCID: Xuefeng Hao, 0000-0002-2126-7316; Shaofa Xu, 0000-0003-2081-6608.

metastasis incidence and prognosis. A diagnostic model combining LINC00892, AC099522.2, LINC01481, SCAMP1-AS1, and AC004812.2 expression levels may have the potential to be a diagnostic biomarker for detecting N2 metastasis in pT1 LUAD. This study suggests that MLND might be omitted in patients with lower expression level of this diagnostic model.

Keywords: Lung cancer; lymph node; long non-coding RNA (lncRNA); diagnostic biomarker

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Introduction

Lung cancer has the highest mortality rate of malignant tumor types worldwide (1). Non-small cell lung cancer (NSCLC) accounts for 85% of lung cancers, with more than half of these cases presenting histologically as adenocarcinoma (LUAD). Currently, surgery remains the mainstay of curative treatment, particularly for early-stage cancers. Mediastinal lymph node dissection (MLND) is a critical part of traditional curative lung cancer resection, providing benefits in accurate clinical staging and survival (2). However, MLND also may carry elevated risk of perioperative complications.

The frequency of diagnosing early-stage lung cancers has increased in recent years, potentially related to greater imaging technology and the rise of lung cancer screening. These early staged cases have led some investigators to the need for uniform MLND in all patients, given the lesser incidence of occult pathologic N2 metastasis and controversy over survival benefits in patients with earlier clinical stages (3). Indeed, the key challenge and ultimate goal both lie in improving the accuracy and reliability of preoperatively providing a clinical N status that parallels pathological N status (4). The clinical TNM stage is currently diagnosed by computed tomography (CT) and positron emission tomography combined with CT (PET/CT). However, CT and PET/CT have limitations in identifying N2 metastasis, with similar appearances to nodes that are hyperplastic or inflammatory. Invasive mediastinal nodal staging through mediastinoscopy and endobronchial ultrasound guided transbronchial needle aspiration (EBUS-TBNA) add substantially to the accuracy, though with need for additional interventions carrying their own risk profiles, costs, and inconveniences. Therefore, diagnostic biomarkers of N2 lymph node metastasis could be of substantial benefit in guiding clinical treatment, but which are deficient currently.

Long non-coding RNAs (lncRNAs) are a class of RNAs of more than 200 nucleotides in length that do not encode proteins. A previous study has shown that lncRNAs can modulate carcinogenesis and influence metastasis and invasion in various types of cancer (5). Consequently, several lncRNAs have the potential to be a biomarker for diagnosis, prognosis, and resistance for treatment in several cancers (6-12). LncRNAs may be effective biomarkers predicting N2 stage because of their expression specificity and powerful biological functions (13).

This study explored the benefit of MLND in clinical T1 NSCLC by comparing prognosis among patients who did not receive MLND and received MLND (subdivided in pN0-1 group and pN2 group). Subsequently, the correlation of pN2 metastasis with basic clinical characteristics was analyzed in pT1 NSCLC. Furthermore, as a preliminary search for biomarkers, we screened differentially expressed lncRNAs in pT1 LUAD, evaluated their diagnostic value to detect N2 metastasis, and further analyzed their prognostic significance. We present the following article in accordance with the STARD reporting checklist (available at <https://tlcr.amegroups.com/article/view/10.21037/tlcr-22-207/rc>).

Methods

Data collection

The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). We selected those T1 stage NSCLC patients with complete clinical information and follow-up data, and who had undergone surgical resection (lobectomy or pneumonectomy) [2010-2015] from the Surveillance, Epidemiology, and End Results (SEER) database of the National Cancer Institute (NCI) (<https://seer.cancer.gov/>) (14) using SEER*Stat (8.3.9.2), to analyze the influence of MLND on postoperative prognoses. The SEER program registries routinely collect

demographic and clinic data on patients, and the mortality data reported by SEER are provided by the National Center for Health Statistics. Next, the HTseq-count and corresponding clinical pathologic information of T1 stage NSCLC were downloaded from The Cancer Genome Atlas (TCGA) database to analyze the relation between the expressions of lncRNAs and pN2 nodal metastases. Patients with incomplete clinical information were excluded. This study conforms to the publication guidelines provided by TCGA (<https://cancergenome.nih.gov/>).

Clinical characteristics and prognosis of T1 NSCLC patients

Pathological T1N0-2M0 NSCLC [LUAD and lung squamous cell carcinoma (LUSC)] patients who underwent surgical resection (lobectomy or pneumonectomy) were screened and allocated to one of three groups according to whether they received MLND and their pN stage: no-MLND, MLND + pN0-1, and MLND + pN2 groups. Firstly, we analyzed the difference of clinical characteristics and postoperative prognoses among groups. The prognosis of patients in the three groups was also analyzed in LUAD patients' subset. These analyses were performed using the "survival" and "survminer" packages in the R program (<https://r-pkgs.org/>).

Dataset processing and screening of differentially expressed lncRNAs

After analyzing the transcriptome data from TCGA database, pT1N0-2M0 stage patients were screened and divided into two groups according to their N stage: pN0-N1 and pN2. Differentially expressed lncRNAs were screened by expression difference analysis between pN0-N1 and pN2 patients using limma analysis (Sangerbox; www.sangerbox.com), and the lncRNAs were identified using Ensembl IDs (<http://asia.ensembl.org>). The expression of N2-related lncRNAs was visualized by heatmap using TBtools software (a toolkit for biologists integrating various biological data-handling tools, <https://www.tbtools.com/>) (15).

Clinical analysis of lncRNA expression and the diagnostic model construction

In order to explore the correlation between the screened lncRNAs and clinical characteristics, the expression level of the selected lncRNAs in tumor tissues and normal tissues

and their correlation with sex, age, and smoking history were analyzed using IBM SPSS Statistics and GraphPad Prism (GraphPad Software). Then, to verify the diagnostic efficacy to detect pN2 metastases of the five selected lncRNAs, we separately analyzed their expressions in pT1 LUAD patients using receiver operating characteristic (ROC) curves. Finally, a combined diagnostic model was constructed using logistic regression and ROC curve analyses.

Correlation analysis of screened lncRNAs and prognosis

The correlations between the screened lncRNAs and overall survival in LUAD were analyzed using the online database "starBase" (<https://starbase.sysu.edu.cn/index.php>) (16).

Statistical analysis

Statistical analyses for SEER were performed using the R statistical analysis package. Clinicopathological characteristics were analyzed by Chi-Square. Survival analysis was performed using the "survival" and "survminer" packages in the R program (<https://r-pkgs.org/>). The ROC curves were plotted to evaluate diagnostic efficiency of lncRNAs, and a combined diagnostic model was constructed using logistic regression and ROC curve analyses using SPSS software. An AUC greater than 70% indicates an acceptable model.

Results

Clinical characteristics

From the SEER database, a total of 19,471 patients met inclusion criteria, including 14,146 LUAD cases and 5,325 LUSC cases. Of these, 16,772 patients received MLND (MLND group), and 2,699 cases did not receive MLND (no-MLND group). Additionally, the patients in MLND group included 1,023 cases with pN2 metastasis (6.10%) and 15,749 cases without pN2 metastasis (93.90%) (Table 1). Among the LUAD patients, 12,286 cases received MLND [829 cases with pN2 (6.75%) and 11,457 cases without pN2 (93.25%)], and 1860 cases were classified into no-MLND group.

Differential prognosis of the no-MLND, MLND + pN0-1, and MLND + pN2 groups in pT1 NSCLC

For pT1 NSCLC patients, the MLND patients had a better

Table 1 Clinical characteristics of No-MLND and MLND (N0–N1, and N2) patients

Characteristics	No-MLND (N=2,699)	MLND		P value
		pN0-1 (N=15,749)	pN2 (N=1,023)	
Sex, n (%)				0.034
Male	1,225 (45.39)	7,007 (44.49)	490 (47.90)	
Female	1,474 (54.61)	8,742 (55.51)	533 (52.10)	
Age, years, mean ± SD	70.25±9.57	68.07±9.05	65.78±9.41	<0.001
Histologic type, n (%)				<0.001
Adenocarcinoma	1,860 (68.91)	11,457 (72.75)	829 (81.04)	
Squamous cell carcinoma	839 (31.09)	4,292 (27.25)	194 (18.96)	
Site, n (%)				0.129
Main bronchus	3 (0.11)	10 (0.06)	1 (0.10)	
Upper lobe	1,637 (60.65)	9,702 (61.60)	662 (64.71)	
Middle lobe	141 (5.22)	821 (5.21)	61 (5.96)	
Lower lobe	913 (33.83)	5,166 (32.80)	296 (28.93)	
Overlapping lesion of the lung	5 (0.19)	50 (0.32)	3 (0.29)	
Laterality, n (%)				0.268
Left	1,153 (42.72)	6,466 (41.06)	438 (42.82)	
Right	1,546 (57.28)	9,283 (58.94)	585 (57.18)	
Tumor size, n (%)				<0.001
T1a	663 (24.56)	1,893 (12.02)	76 (7.43)	
T1b	1,424 (52.76)	8,082 (51.32)	438 (42.82)	
T1c	612 (22.68)	5,774 (36.66)	509 (49.75)	
Ethnicity, n (%)				0.078
American Indian/Alaska Native	15 (0.56)	68 (0.43)	7 (0.68)	
Asian or Pacific Islander	139 (5.15)	981 (6.23)	56 (5.47)	
Black	208 (7.71)	1,379 (8.76)	110 (10.75)	
White	2,337 (86.59)	13,321 (84.58)	850 (83.09)	

MLND, mediastinal lymph node dissection.

prognosis than those of the no-MLND patients. Among the MLND patients, those with pN2 metastasis displayed the poorest prognosis. Furthermore, the prognosis of the MLND and no-MLND patients was compared separately for LUAD. These results indicated that the prognosis of the no-MLND group lay between that of the pN0–N1 and pN2 groups (*Figure 1*).

Correlation of pN2 metastasis with basic clinical features in pT1 NSCLC

The correlational analysis of pN2 metastasis with basic clinical features showed that pN2 metastasis was correlated with age, histologic type, and tumor size, but not with sex, site, laterality, or race. LUAD and patients with larger tumors were more prone to N2 lymph node metastasis (*Table 1*).

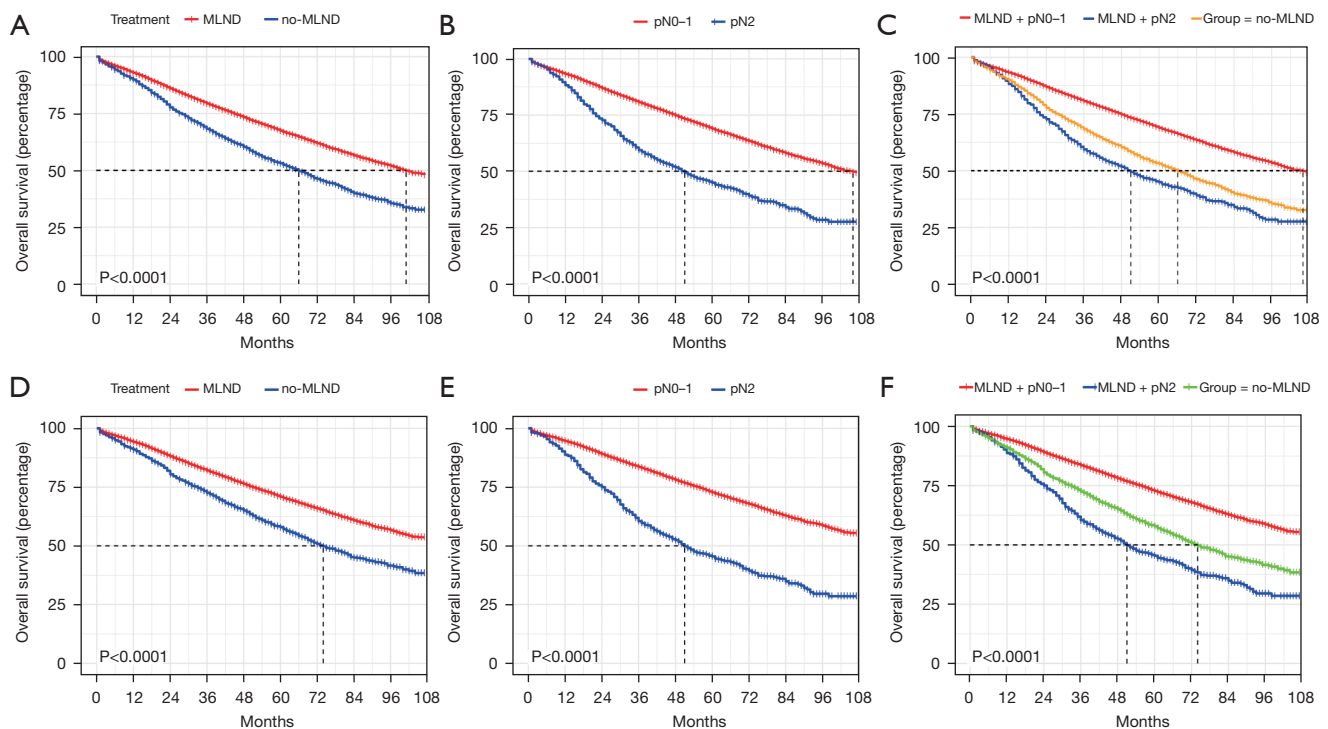


Figure 1 The prognosis of no-MLND and MLND patients comprising pN0-1 and pN2 subgroups. (A) Comparison between MLND and no-MLND groups in NSCLC. (B) Comparison between pN0-1 and pN2 groups in NSCLC. (C) Comparison between no-MLND, MLND + pN0-1, and MLND + pN2 groups in NSCLC. (D) Comparison between no-MLND and MLND groups in LUAD. (E) Comparison between pN0-1 and pN2 groups in LUAD. (F) Comparison between no-MLND, MLND + pN0-1, and MLND + pN2 groups in LUAD. MLND, mediastinal lymph node dissection; NSCLC, non-small cell lung cancer; LUAD, lung adenocarcinoma.

Clinical correlation of screened lncRNAs

As the heatmap shows (Figure 2A), five novel lncRNAs were screened in the pN0-1 and pN2 groups of pT1N0-2M0 LUAD patients: ENSG00000233093 (LINC00892), ENSG00000272525 (AC099522.2), ENSG00000257815 (LINC01481), ENSG00000245556 (SCAMP1-AS1), and ENSG00000277283 (AC004812.2). Then, the correlation of these five lncRNA's expression with sex, age, and smoking history was analyzed. The results showed that LINC00892 expression levels correlated with age, and AC004812.2 with sex, although patients ≤ 65 years old and female patients had lower expressions, respectively (Table 2). The expression of LINC00892 was lower ($P=0.0009$), and AC099522.2 was higher ($P=0.0043$) in tumor tissues than in normal tissues, whereas LINC01481, SCAMP1-AS1, and AC004812.2 showed no significant differences ($P>0.05$) (Figure 2B-2F, Table S1). Additionally, in pT1 LUAD, the expression of the five lncRNAs was significantly lower in pN2 patients than in pN0-1 patients (Figure 2G-2K).

Diagnostic value of the five lncRNAs in pT1 LUAD patients

The ROC curve analysis revealed that the areas under the curve (AUCs) for the five lncRNAs were as follows: LINC00892, 0.788; AC099522.2, 0.811; LINC01481, 0.812; SCAMP1-AS1, 0.815; AC004812.2, 0.801. The five lncRNAs were then combined to establish a diagnostic model, demonstrating an improved diagnostic efficacy with an AUC of 0.857. The optimum cutoff value showed a sensitivity of 83.3% with a specificity of approximately 80.3% (Figure 3A-3F).

Assessment of the prognostic value of the five lncRNAs in LUAD

The prognostic values of the five screened lncRNAs were analyzed in LUAD using starBase. The results showed that LINC00892 was correlated with overall survival (OS), with a higher expression level suggesting a better

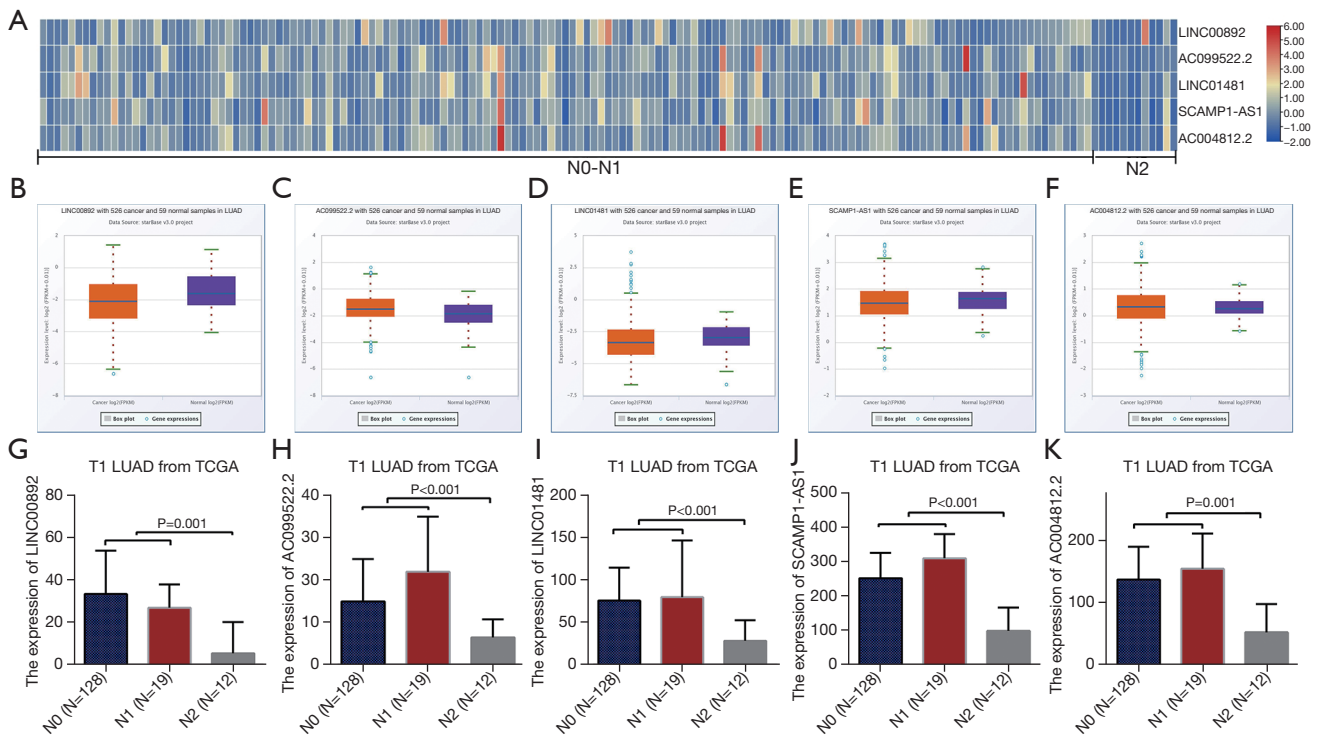


Figure 2 The expression differences of LINC00892, AC099522.2, LINC01481, SCAMP1-AS1, and AC004812.2 in LUAD. (A) Heatmap plot: the lncRNA expression profile by heatmap plot between the N0–N1 and N2 groups. (B–F) The expression difference between tumor tissues and normal tissues: (B) LINC00892, (C) AC099522.2, (D) LINC01481, (E) SCAMP1-AS1, (F) AC004812.2. (G–K) Comparison of the five lncRNA expressions in T1 LUAD: (G) LINC00892, (H) AC099522.2, (I) LINC01481, (J) SCAMP1-AS1, (K) AC004812.2. LUAD, lung adenocarcinoma; TCGA, The Cancer Genome Atlas; lncRNA, long non-coding RNA.

Table 2 The expression of five lncRNAs and clinical features in pT1 LUAD

Characteristics	LINC00892		AC099522.2		LINC01481		SCAMP1-AS1		AC004812.2	
	Median (IQR)	P	Median (IQR)	P	Median (IQR)	P	Median (IQR)	P	Median (IQR)	P
Sex		0.428		0.371		0.056		0.057		0.043
Male	28.0 (14.0–44.0)		16.0 (8.0–26.0)		78.0 (58.0–118.0)		285.0 (198.0–359.0)		160.0 (100.0–198.0)	
Female	32.0 (15.0–55.0)		12.5 (8.0–24.8)		61.5 (45.0–115.5)		233.5 (159.3–324.8)		124.0 (80.3–171.8)	
Age, years		0.017		0.256		0.329		0.714		0.080
≤65	25.0 (10.5–46.0)		15.0 (8.0–27.5)		78.0 (48.5–131.0)		254.0 (172.5–324.5)		150.0 (94.0–221.5)	
>65	36.0 (20.0–56.3)		12.5 (8.0–21.5)		71.0 (45.0–111.3)		246.0 (176.8–344.3)		126.0 (84.8–165.0)	
Smokers		0.805		0.277		0.238		0.224		0.082
Yes	28.0 (14.8–53.3)		15.0 (8.0–26.0)		75.0 (49.0–127.0)		264.5 (180.0–342.5)		140.5 (89.0–198.8)	
No	33.0 (13.5–54.0)		12.0 (7.0–21.5)		64.0 (45.0–113.0)		221.0 (165.5–315.5)		115.0 (80.0–164.5)	

lncRNAs, long non-coding RNAs; LUAD, lung adenocarcinoma; IQR, interquartile range.

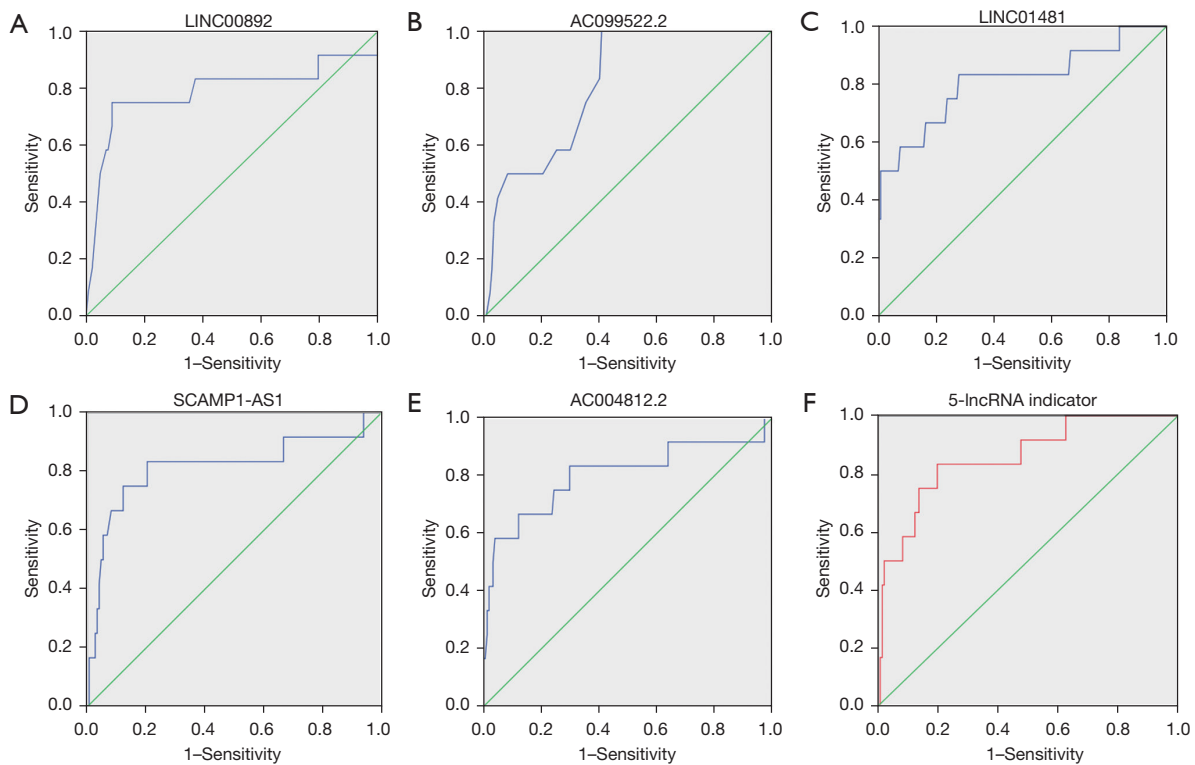


Figure 3 ROC curve analyses. (A-E) The diagnostic efficacy of single lncRNAs in T1 LUAD: (A) LINC00892, (B) AC099522.2, (C) LINC01481, (D) SCAMP1-AS1, (E) AC004812.2. (F) The diagnostic efficacy of the five combined lncRNAs in T1 LUAD. ROC, receiver operating characteristic; lncRNAs, long non-coding RNAs; LUAD, lung adenocarcinoma.

prognosis. However, the remaining four lncRNAs showed no correlation with OS (Figure 4A-4E).

Discussion

MLND has been an important part of curative surgery for lung cancer since the 1990s (17,18). MLND benefits cancer patients but may also increase the risk of postoperative complications, not to mention operative time and cost. There are also suggestions that after immunotherapy, preserving negative lymph nodes may be preferable for early-stage NSCLC patients (19-21). Importantly, consistent with the previous study, we found the prognosis of patients who received MLND was better than that of patients who did not receive MLND, the prognosis of patients with pN2 disease was poorer than that of patients with pN0-N1 disease, and the prognosis of patients with no MLND lay between the pN0-N1 and pN2 stage patients in pT1 NSCLC (3). These prognostic results indicate that positive N2 results may have been missed in patients who had not received MLND, leading to their poor prognosis

and reinforcing the necessity for MLND in T1 NSCLC. However, a “one-size-fits-all” approach to lymph node dissection may lead to overtreatment, as only 6.10% of pT1 NSCLC patients and 6.75% of pT1 LUAD patients had N2 metastasis. MLND can be used as a targeted treatment if N2 metastasis status can be predicted before surgery.

Further consistent with previous investigators’ findings, our results indicated that the incidence of N2 metastasis correlated with tumor size and histologic type, with LUAD and larger tumor sizes more likely to metastasize (22). However, these basic clinical features are not sufficient for diagnosis. At present, CT, PET/CT, mediastinoscopy, and EBUS-TBNA are the main examination methods for preoperative prediction of N2 status, but they all have limitations. Imaging may show false results due to inflammation and micrometastasis, and mediastinoscopy and EBUS-TBNA are invasive and can result in incomplete sampling. Intraoperative assessment of nodal staging on visual inspection alone is clearly flawed and inadequate (23). Diagnostic biomarkers predicting N2 status have the potential to be of great utility for T1 NSCLC.

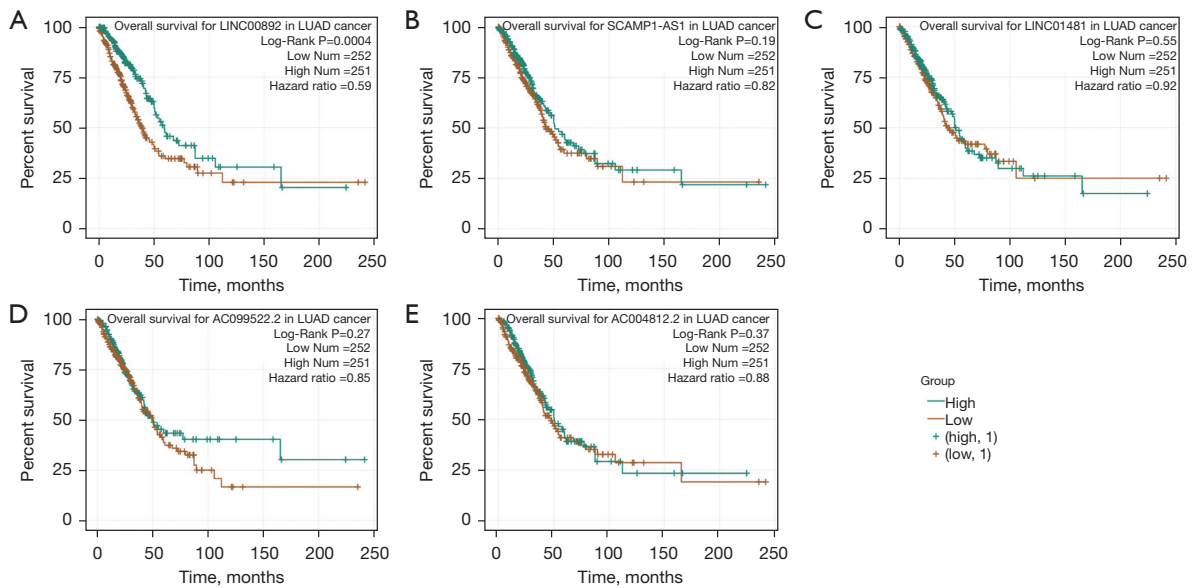


Figure 4 The prognosis according to lncRNA expression in LUAD patients from TCGA database. (A) LINC00892, (B) SCAMP1-AS1, (C) LINC01481, (D) AC099522.2, and (E) AC004812.2. lncRNA, long non-coding RNA; LUAD, lung adenocarcinoma; TCGA, The Cancer Genome Atlas.

Differentially expressed and tissue-specific lncRNAs may be accurate diagnostic markers. In this study, we explored the potential of lncRNAs to be predictive markers of N2 status. After screening, five lncRNAs were identified as possible biomarkers: LINC00892, AC099522.2, LINC01481, SCAMP1-AS1, and AC004812.2. All five lncRNAs had lower expressions in pN2 than pN0–N1 patients and no expression difference between tumor and normal tissues. By analyzing their correlation with basic clinical features, we demonstrated that the five lncRNA expression levels were almost not significantly associated with sex, age, or smoking history.

Additionally, the ROC curve analysis results showed high AUCs (0.788–0.815) for individual lncRNAs. The diagnostic model combining all five lncRNAs showed even better results, with an AUC of 0.857. However, our survival analysis showed that the expression of the five lncRNAs was not closely correlated with OS.

Previous studies have indicated that the sensitivity of CT for detecting N2 metastases is 57%, with a specificity of approximately 82%, and PET/CT demonstrates a high specificity (90%) but a low sensitivity (68%) (22,24). The diagnostic efficiency of CT and PET/CT may also be decreased in early-stage NSCLC. In our study, a combined five-lncRNA diagnostic model showed high specificity and sensitivity, although this will require further verification.

But due to the lack of imaging information in the SEER and TCGA databases, we could not establish a combined diagnostic model. However, we suggest that combining lncRNAs and imaging information would further improve diagnostic efficacy and be a powerful reference for surgical treatment. Finally, this study also had some limitations, pathological stage data rather than clinical stage was extracted from SEER database to analyze, but the latter is the main basis for whether MLND is performed. Besides, obtaining tumor tissue samples and rapid analyses of lncRNAs before surgery are the two major challenges at present. And analyses of lncRNAs using liquid biopsy or using small specimens by TBLB (transbronchial lung biopsy)/TNLB (transthoracic needle lung biopsy) may be better for prediction, but still need to be explored.

Conclusions

MLND may be oncologically necessary for selected T1 NSCLC patients based on the metastasis incidence and prognosis. A diagnostic model combining LINC00892, AC099522.2, LINC01481, SCAMP1-AS1, and AC004812.2 expression levels has the potential to be a diagnostic biomarker for N2 metastasis in pT1 LUAD. This study suggests that MLND might be omitted in patients with lower expression level of this diagnostic model. To establish

the diagnostic value of this model, further research of the correlation between lncRNA expression and pN2 metastases and the further development of technology detecting lncRNA expression are needed.

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Footnote

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Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form (available at <https://tcr.amegroups.com/article/view/10.21037/tcr-22-207/coif>). The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). All data in this study were downloaded from the public databases, SEER and TCGA.

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