

Preclinical and Early Clinical Development of PTC596, a Novel Small-Molecule Tubulin-Binding Agent

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ABSTRACT

PTC596 is an investigational small-molecule tubulin-binding agent. Unlike other tubulin-binding agents, PTC596 is orally bioavailable and is not a P-glycoprotein substrate. So as to characterize PTC596 to position the molecule for optimal clinical development, the interactions of PTC596 with tubulin using crystallography, its spectrum of preclinical *in vitro* anticancer activity, and its pharmacokinetic–pharmacodynamic relationship were investigated for efficacy in multiple preclinical mouse models of leiomyosarcomas and glioblastoma. Using X-ray crystallography, it was determined that PTC596 binds to the colchicine site of tubulin with unique key interactions. PTC596 exhibited broad-spectrum anticancer activity.

PTC596 showed efficacy as monotherapy and additive or synergistic efficacy in combinations in mouse models of leiomyosarcomas and glioblastoma. PTC596 demonstrated efficacy in an orthotopic model of glioblastoma under conditions where temozolomide was inactive. In a first-in-human phase I clinical trial in patients with cancer, PTC596 monotherapy drug exposures were compared with those predicted to be efficacious based on mouse models. PTC596 is currently being tested in combination with dacarbazine in a clinical trial in adults with leiomyosarcoma and in combination with radiation in a clinical trial in children with diffuse intrinsic pontine glioma.

Introduction

PTC596 is an investigational small-molecule tubulin-binding agent currently in clinical development. PTC596 has high oral bioavailability, is not a P-glycoprotein (P-gp) substrate, and readily crosses the blood–brain barrier (BBB). Microtubules are dynamic filaments composed of $\alpha\beta$ -tubulin heterodimers. They act as scaffolds to determine cell shape and play a central role in cell motility, transportation of organelles within the cytoplasm, and the organization and movement of chromosomes during mitosis and meiosis. Modulating microtubule dynamics can drastically alter a cell's viability by arresting the cell cycle, inhibiting cell migration, or initiating programmed cell death or apoptosis. A wide variety of tubulin-binding agents modulate their assembly properties. Currently, there are six distinct tubulin sites to which various ligands are known to interact (reviewed in (1); (2–4)). The classes of compounds targeting the sites include taxanes, vinca alkaloids, laulimalide/peloruside, pironetin, maytansine and colchicine. Tubulin-binding agents have been used clinically for years and rep-

resent one of the most successful classes of anticancer drugs (5). While several drugs that bind to the taxane, vinca, and maytansine sites have been approved for cancer indications by the FDA, either as free drugs or as antibody–drug conjugates, currently there are no drugs targeting the laulimalide/peloruside, pironetin or colchicine sites approved for use in cancer.

The clinical efficacy of the taxanes and vinca alkaloids is often diminished by the membrane-associated ATP-binding cassette transporter mediated P-gp drug efflux pump, a product of the multidrug resistance gene (*ABCBI*; ref. 6) that is expressed in a variety of tissues including intestine, liver, kidney, and in the capillary endothelial cells that form the BBB (7). Overexpression of P-gp in many tumor cell lines decreases intracellular drug concentrations, subsequently limiting effective drug cytotoxicity. Cancer cells may develop resistance to standard-of-care (SOC) chemotherapeutics by upregulating P-gp (8, 9).

Taxanes and vinca alkaloids also exhibit low oral bioavailability and therefore are administered IV. This is due in part to poor aqueous-solubility, high molecular weight, an affinity for the P-gp drug efflux pump in the gut, and vulnerability to first pass hepatic elimination, primarily by cytochrome P450 CYP3A4 (10–12). As substrates for P-gp, they do not cross the BBB, resulting in limited use in central nervous system (CNS) indications. In addition, clinical use of taxanes and vinca alkaloids is often limited by a sometimes irreversible, chronic peripheral sensory neuropathy, likely due to axonal degradation (13–15). Approval of nanoparticle albumin-bound (nab) paclitaxel reduced the adverse effect profile of paclitaxel but nab-paclitaxel does not differ from solvent-based paclitaxel in terms of neurotoxicity (16).

PTC596 is an investigational orally bioavailable small molecule that targets a broad range of cancer types. PTC596 was originally identified by its ability to inhibit proliferation of cancer stem cells expressing B-cell-specific Moloney murine leukemia virus insertion site 1 (BMI1) protein (17, 18). More recent work has demonstrated that the downregulation of BMI1 protein levels and function is a

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secondary event due to potent induction of G₂-M mitotic arrest and apoptosis induced by inhibition of tubulin polymerization by PTC596 (19). In contrast to many tubulin-binding agents, PTC596 is not a substrate for P-gp as demonstrated by its ability to kill cancer cells over-expressing P-gp and crosses the BBB (19), making it an attractive candidate for many oncological indications, including neuro-oncology. PTC596 also has compelling pharmacologic properties, including the ability to be administered orally *in vivo* as a simple suspension formulation, a long circulating half-life, and effective biodistribution into tumors in mice (19). PTC596 has been shown to enhance synergistically the activity of gemcitabine with nab-paclitaxel in a mouse model of pancreatic cancer (19). Here, we extend our earlier findings to characterize the properties and mechanism of action of PTC596 and in the context of data from a phase I first-in-human study, positioning the molecule for optimal clinical testing in solid tumors.

Materials and Methods

Additional details are presented in Supplemental Materials for all experiments.

Crystallography

The complex of two $\alpha\beta$ -tubulin heterodimers, one stathmin-like domain of RB3 (RB3-SLD), and one tubulin tyrosine ligase (TTL) (the T₂R-TTL complex) was produced as described (20, 21). Single-crystal monochromatic diffraction data were recorded at the X06DA beam-line of the Swiss Light Source (Paul Scherrer Institut, Villigen PSI, Switzerland). Data were processed and merged with XDS (22) to 2.05 Å resolution.

Cell screening using cell viability inhibition assays

CellTiter-Glo Luminescent Cell Viability Assays were performed by Crown Bioscience Inc. using a panel of 239 cell lines and in-house using a panel of 33 cell lines that included a range of tumor types. Tumor cells were treated with increasing concentrations of PTC596 or other SOC drugs and were assessed for viability after 72 hours. The concentration resulting in 50% reduction in cell viability (CC₅₀) value was determined from a non-linear regression curve using Prism version 8.4.3 (Graphpad Software).

Orthotopic and subcutaneous xenograft studies

Tumor and cell lines

HT1080 cells (a human fibrosarcoma cell line), U-87 MG cells (a human glioblastoma cell line), and SK-UT-1 and SK-LMS-1 cells (human LMS cell lines) were obtained from the ATCC and cultured using methods provided by the ATCC. Prior to implantation, the SK-LMS-1 cells were serially passaged seven cycles in male athymic nude mice to generate a more aggressive tumor model. The D-09-0500 MG xenograft line has been previously described (23) and was generated from a treatment-naïve 58-year-old male glioblastoma (GBM) patient undergoing surgery at Duke University Medical Center (Durham, NC) and was maintained by serial subcutaneous passage in athymic nude mice.

In vivo implantation and tumor growth

All *in vivo* studies were performed at an Association for Assessment and Accreditation of Laboratory Animal Care International (AAALAC)-certified facility under Institutional Animal Care and Use Committee (IACUC)-approved protocols. The day treatment initiated was denoted as day 0. An animal was taken off study when it attained

endpoint (e.g., death, moribundity, or tumor volume endpoint) or if it exhibited a body weight loss $\geq 20\%$ of its initial day 0 body weight. For dose optimization studies, HT1080 fibrosarcoma or U-87 MG GBM tumor cells (5×10^6 cells in 0.2 mL 50% Matrigel) were inoculated subcutaneously into the right flank of athymic nude mice. For the orthotopic GBM study, athymic nude mice were injected intracranially with U-87 MG tumor cells (2×10^5 in 2 μ L PBS) at the right frontal lobe, 2 mm lateral and 0.5 mm anterior from bregma at a depth of 3.5 mm. For the patient-derived GBM xenograft study, D-09-0500 MG tumors were removed from host animals under sterile conditions, homogenized into a cell suspension, and injected subcutaneously (50 μ L) into the right flank of athymic nude mice.

For subcutaneous xenograft studies, tumors were measured using digital calipers. Tumor volumes were calculated as $(l \times w^2)/2$, where l was the longest tumor measurement and w was the shortest tumor measurement. When tumors reached the appropriate size, mice were randomized into groups so that the average initial tumor size was the same across groups within a study.

Analyses for xenograft studies

For subcutaneous xenograft studies, mice were taken off study when individual tumor volumes were $\geq 1,000$ mm³, mice were moribund or found dead, or on the final day of the study, whatever came first. The time for the tumor in an individual mouse to reach 1,000 mm³ was calculated using the FORECAST function in Excel and then the median time across the group determined. Differences in the distribution of time-to-endpoint (time to 1000 mm³ or death in days) were analyzed by the Kaplan–Meier method and the log-rank test. Mean tumor growth curves were plotted and truncated when 50% of test animals were taken off study. For the orthotopic GBM survival study, the MST was determined for each treatment group and the increase in lifespan, expressed as a percentage of the control, was calculated. Graphical presentations and statistical analyses were performed using GraphPad Prism version 8.4.3 for Windows, (GraphPad Software).

Synergy scores

The interaction between PTC596 and the various compounds was evaluated using the fractional product method (24). The expected effect of PTC596 and a compound acting independently was calculated as the product of the unaffected fractions after treatment with either drug alone. Then, the potential interaction of the combined drugs was determined by calculating a Synergy Score as the ratio of observed to expected, where Synergy Score < 0.7 indicated synergy.

Phase I clinical trial

A phase I first-in-human study was performed in solid tumor patients. Written informed consent was obtained from the patients and the studies were conducted in accordance with the Declaration of Helsinki, Council for International Organizations of Medical Sciences (CIOMS), Belmont Report, and the U.S. Common Rule. The study was approved by all participating institutional review boards. The starting dose was 0.65 mg/kg twice weekly, calculated as one-tenth of the human equivalent dose (HED) of 40 mg/kg twice weekly, the severely toxic dose in 10% of rats (STD 10) as per FDA guidelines (25). Doses were increased, based on tolerability, according to the protocol-specified variation on the “3+3” dose escalation scheme to a maximum dose of 10 mg/kg (26). Tumor response was evaluated every other treatment cycle according to RECIST or RANO criteria. Additional details are in the Supplementary data.

Results

PTC596 is a structurally novel tubulin-binding agent

PTC596 is an amine substituted reverse pyrimidine described chemically as 5-fluoro-2-(6-fluoro-2-methyl-1*H*-benzo[*d*]imidazole-1-yl)-*N*,4-(4-(trifluoromethyl)phenyl)pyrimidine-4,6-diamine. The PTC596 chemical structure is shown in Fig. 1A. That of colchicine is shown in Fig. 1B. Structures for two PTC596 analogues are shown in Fig. 1C.

PTC596 targets the colchicine site of tubulin

Competition studies demonstrated that PTC596 is competitive with colchicine but not with vinblastine (Supplementary Fig. S1).

Many tubulin-binding agents that target the colchicine site bear the trimethoxyphenyl moiety that is essential to their interaction with tubulin (4). As an amine substituted pyrimidine compound that lacks the trimethoxyphenyl moiety, PTC596 is structurally distinct from other tubulin-binding agents that target the colchicine site.

To confirm this, the specific binding site was identified using X-ray crystallography. The cocrystal structure of PTC596 bound to a complex composed of two $\alpha\beta$ -tubulin heterodimers, one stathmin-like domain of RB3 (RB3-SLD), and one tubulin tyrosine ligase (TTL; the T₂R-TTL complex) was determined (Fig. 1D, right). As shown in Fig. 1D, PTC596 occupies the colchicine site located at the interface of the tubulin monomers of the heterodimer and directly adjacent to

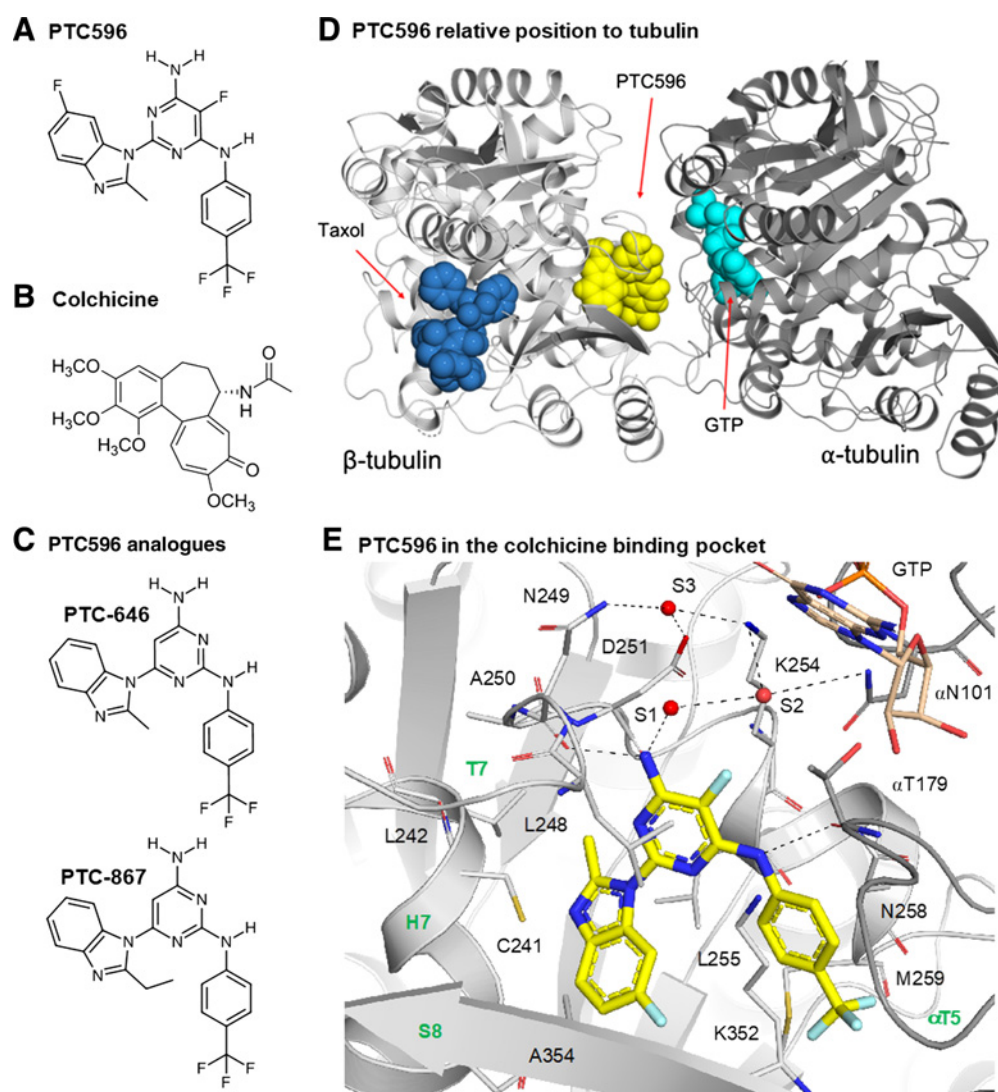


Figure 1.

PTC596 and colchicine chemical structures and X-ray analysis of the T₂R-TTL-PTC596 complex. **A**, PTC596: 5-fluoro-2-(6-fluoro-2-methyl-1*H*-benzo[*d*]imidazole-1-yl)-*N*,4-(4-(trifluoromethyl)phenyl) pyrimidine-4,6-diamine. **B**, Colchicine: *N*-[(7*S*)-1,2,3,10-Tetramethoxy-9-oxo-5,6,7,9-tetrahydrobenzo [*a*]heptalen-7-yl]acetamide. **C**, Two PTC596 analogues: PTC-646 and PTC-867. **D**, Overall view of the tubulin-PTC596 complex structure. PTC596 (yellow spheres) binds at the interface between α -tubulin (dark gray ribbon) and β -tubulin (light gray ribbon) in close proximity to the GTP (cyan spheres)-binding site. The superimposed structure of taxol bound to the taxane site in β -tubulin (dark blue; PDB: 1JFF, RMSD: 1.609 Å) is shown for comparison. **E**, Close-up view of PTC596 (yellow/blue sticks) and the surrounding binding pocket formed by α - and β -tubulin. Interacting residues of tubulin are shown in stick representation and are labeled. Oxygen and nitrogen atoms are colored red and blue, respectively. Hydrogen bonds are depicted as black dashed lines. Secondary structural elements of tubulin are labeled in green. For simplicity, only α -tubulin residues are indicated with an α . Oxygen atoms of water molecules in proximity of the ligand are represented as red spheres.

the GTP-binding site of α -tubulin. The overall structure of the T₂R-TTL-PTC596 complex (all chains) superimposed well with the one from the apo T₂R-TTL structure (PDB: 4I55, RMSD: 0.42 Å over 1964 C α -atoms). This suggests that upon binding, PTC596 does not affect the overall conformation of tubulin except for a difference in the orientation of the T7-loop residues of β -tubulin in the holo-versus apo-state.

As shown in Fig. 1E, PTC596 binds to the colchicine site of tubulin, which is formed by residues of strands S8, S9 and S10, loop T7, helices H7 and H8 of β -tubulin, and loop T5 of α -tubulin. The central 5-fluoro-pyrimidine-4,6-diamine moiety is packed between the side chain of β Leu248 and the main chain C α of β Leu255. The 4- and 6-amino groups form two hydrogen bonds to both the main chain carbonyls of β Ala250 and α Thr179, respectively. The 4-amino group is in water-mediated contact to both the side chains of β Lys254 and α Asn101 facing the nucleotide at the N-site. The 4-(trifluoromethyl) phenyl moiety is buried into a pocket shaped by the hydrophobic side chains of β Met259, β Thr314, β Ala316, β Lys352, and α Val181. Moreover, the phenyl-ring is stacked between the side chains of β Asn258 and β Lys352. The interaction is completed by the 6-fluoro-2-methyl-1H-benzo[d]imidazole-1-yl moiety, which inserts into the pocket formed by the side chains of β Val238, β Cys241, β Leu242, β Leu255, β Met259, β Ala316, β Ile318, and the hydrophobic moiety of the β Lys352 side chain. The 2-methyl group of the benzimidazolyl moiety is facing the T7 loop of β -tubulin.

As shown in Supplementary Fig. S2, to compare the binding mode of PTC596 with that of colchicine, the β -tubulin chains of the

respective tubulin-ligand complexes were superimposed. The major difference between PTC596 and colchicine in occupied space in the binding site is caused by the absence of the colchicine acetamide-group, which results in a flip of both the α T5- and the β T7- loops and on the side chain orientation of β Lys352. In the PTC596 structure, a water molecule is present in the space taken by the β Lys352 sidechain in the colchicine structure.

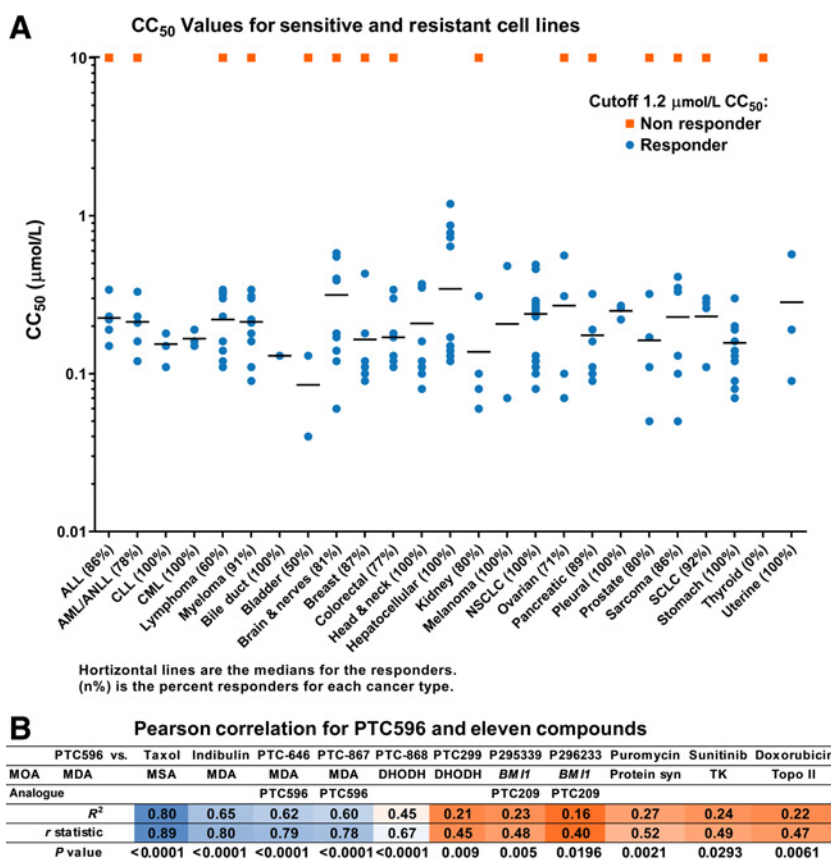
In addition to the co-crystal structure of PTC596 in complex with tubulin, autodocking studies were performed with the closely related PTC596 analogs, PTC-867, PTC-646, P295339, and P296233 [Supplementary Fig. S2C–S2F]. PTC-295339, and P296233 also down-regulate BMI-1 protein (Supplementary Fig. S3). Predicted binding sites for PTC-867 and PTC-646 overlapped very well with the PTC596 binding mode from the crystal structure. These results suggest that both related analogs can adopt a similar binding mode to PTC596. However, P295339 and P296233 adopted different binding modes than PTC596, likely due to the lack of a 4-amino substitution, rendering them unable to participate in a key hydrogen bonding interaction with β Ala250. These results suggest P-295339 and P296233 do not bind tubulin and could function to downregulate the BMI1 protein via a different mechanism.

PTC596 exhibits broad-spectrum antiproliferative activity

Two series of cell screens were carried out to assess the effect of PTC596 on the proliferation of cancer cell lines as well as to elucidate its potential mechanism of action. The first set of studies was designed to evaluate the spectrum of PTC596 activity across different tumor

Figure 2.

Cell screening. **A**, Cell lines were defined as sensitive or resistant cell lines for CC₅₀ values ≤ 1.2 μ mol/L and >1.2 μ mol/L, respectively. For each cancer type the median of the responders indicated by a horizontal line and the percent responders by (n%) in the legend. **B**, Thirty-three cell lines representing twelve cancer types and normal fibroblasts were tested for sensitivity to PTC596 and to eleven other compounds including analogs of PTC596 (PTC-646 and PTC-867), other known tubulin-binding agents (Taxol and indibulin), PTC299 (42) and PTC-868, both inhibitors of dihydroorotate dehydrogenase (DHODH, an enzyme involved in *de novo* pyrimidine nucleotide synthesis), compounds that inhibit BMI-1 and with similar activity as PTC209, inhibitors of *BMI1* mRNA translation (P296233 and P295339), and other known cytotoxic agents (puromycin, sunitinib, and doxorubicin). Individual CC₅₀ values for each compound were calculated for each cell line (Supplementary Table S1) and the CC₅₀ means were compared to that of PTC596 by evaluating the Pearson correlation coefficient (*r* statistic), the coefficient of determination (*R*²), and the *P* value (as a measure of the *r* statistic deviation from zero). The mean CC₅₀ value for PTC596 was most similar to those of chemical analogues and other tubulin-binding agents as demonstrated by the high *R*-squared (*R*²) and Pearson *r* statistic color coded from high to low as blue to orange, respectively. Abbreviations: *BMI1*, *BMI1* gene expression; CC₅₀, concentration resulting in a 50% decrease in cell viability; DHODH, dihydroorotate dehydrogenase; MDA, microtubule destabilizing agent; MOA, mechanism-of-action; MSA, microtubule stabilizing agent; protein syn, protein synthesis; TK, tyrosine kinase; topo II, topoisomerase II.



types. In this study, 239 cancer cell lines that included a range of tumor types were tested for sensitivity to PTC596. As shown in Fig. 2A, PTC596 exhibited broad-spectrum activity inhibiting 208 or 87% of the cell lines tested [concentration resulting in a 50% decrease in cell viability (CC_{50}) at $\leq 1.2 \mu\text{mol/L}$]. The least responsive cancer cell lines with $\leq 60\%$ sensitivity were lymphoma, bladder, and thyroid (See Supplementary File S2).

The second series of studies was designed to determine whether the pattern of sensitivity across multiple cell lines would give insight into the mechanism of action. In these studies, 33 cell lines as summarized in Supplementary Table S1 representing 12 cancer types and normal fibroblasts were tested for sensitivity to PTC596 and to 11 other compounds. These compounds included drugs that act by different mechanisms including other analogs of PTC596, other tubulin-binding agents, inhibitors of the enzyme dihydroorotate dehydrogenase (DHODH, involved in biosynthesis of pyrimidine nucleotides), and known cytotoxic agents. As shown in Fig. 2B, the CC_{50} values for PTC596 were most similar to those of 2 chemical analogues as well as to other tubulin-binding agents as demonstrated by the high R^2 and Pearson r statistic.

PTC596 efficacy correlates with C_{max} in dose optimization studies

Preclinical studies were conducted to optimize the dose and regimen of PTC596 (Fig. 3). In the HT1080 model of fibrosarcoma, PTC596 was not active when orally administered once daily at 5 mg/kg (a weekly dose of 35 mg/kg). However, PTC596 was active when administered at 10 mg/kg twice per week (a weekly dose of 20 mg/kg) or every other day (a weekly dose of ~ 35 mg/kg). In the U-87 MG model of GBM, similar responses were seen with 10 mg/kg PTC596 dosed twice per week, every other day, or 3 times per week (data not shown). These data are consistent with efficacy related to the C_{max} , rather than efficacy correlating with the AUC or time above a threshold.

A dosing regimen of 12 or 12.5 mg/kg, orally, twice per week was subsequently utilized for combination studies. A dose of 20 mg/kg once-per-week was more effective, but a suboptimal dose of 12.5 mg/kg twice per week was used for combination studies allowing for easier detection of a synergistic response. The twice weekly dosing schedule was selected on the basis of the similar responses to twice per week as every other day (3 or 4 times per week), which indicated little improvement in response with more frequent dosing (Fig. 3A).

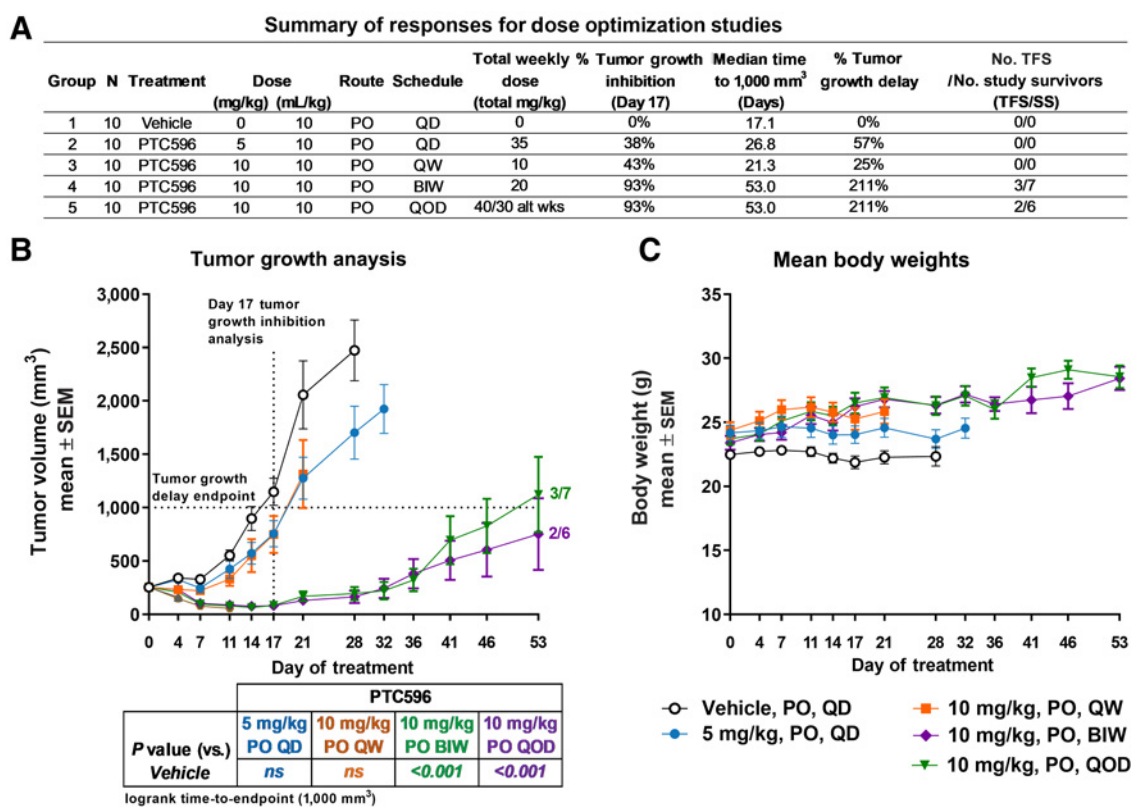


Figure 3.

PTC596 dose optimization. **A**, Percent tumor growth inhibition was determined on day 17, the last day all vehicle control mice remained on study. For each group, the median time for tumor volume to reach 1,000 mm³ in days was calculated. At study end, the number of mice with tumors that were too small to measure was evaluated. **B**, Symbols represent the mean tumor volumes (mm³) \pm the SEMs. The day of tumor growth inhibition (day 17) is shown as a vertical dotted line. The delay in tumor volumes reaching 1,000 mm³ is shown by the horizontal line. As shown in the inset table, the log-rank test was employed to assess the significance of the difference in the distribution of time-to-endpoint (time to 1,000 mm³ or death in days) values for treated groups versus the vehicle group with P values corrected for multiple comparisons. **C**, Symbols represent the mean body weights (g) \pm SEMs. Mean tumor growth and body weight curves are truncated on the first day that $\geq 50\%$ of the animals in a group attained the endpoint tumor volume. Abbreviations: alt wks, alternate weeks; NC, not calculated; TFS/SS, the number of animals that survived the study with tumors too small to measure (TFS)/the number of animals remaining at study end (SS).

This regimen (12.5 mg/kg twice per week) was supported by pharmacokinetic studies that showed PTC596 is highly bioavailable in mice when orally administered. The pharmacokinetics of PTC596 was measured in mice at 10 mg/kg in athymic nude mice. At 10 mg/kg, the C_{max} is 1,156 ng/mL and the AUC is 19,252 hour*ng/mL. Assuming that exposure of PTC596 increases linearly between 10 mg/kg and 12.5 mg/kg, the estimated C_{max} associated with 12.5 mg/kg would be 1,445 [1156 x (12.5/10)] ng/mL. Because efficacy *in vivo* often correlates with the levels of drug that is not bound to protein (i.e., free drug), the free drug in the plasma of mice at 12.5 mg/kg was also calculated. In the mouse, 99.5% of the PTC596 is protein bound so that the free drug concentration at the C_{max} (fC_{max}) at 12.5 mg/kg is estimated to be 7.2 ng/mL (27, 28).

PTC596 is efficacious in preclinical models of leiomyosarcoma

Leiomyosarcoma is one of the most common subtypes of soft tissue sarcomas, comprising 10% to 28% of all soft tissue sarcomas (29–36). Typically, leiomyosarcoma has a complex karyotype and is associated with p53 deficiency, the most commonly reported genetic mutation in leiomyosarcoma (37, 38). The uterine and vulvar leiomyosarcoma cell lines, SK-UT-1 and SK-LMS-1, used in this study express this characteristic leiomyosarcoma phenotype.

In the 145-day study shown in Fig. 4A and B, PTC596 delayed growth of SK-UT-1 leiomyosarcoma tumor cells *in vivo* alone and in combination with Taxotere (docetaxel). The figures show the mean tumor volumes with time and Supplementary Table S2 summarizes the data for each treatment regimen. The median time for tumors to reach 1,000 mm³ in vehicle dosed mice was 18.6 days. In mice dosed with PTC596 monotherapy [12.5 mg/kg, twice weekly for 6 weeks (12 doses), oral], the median time to 1,000 mm³ was significantly delayed over vehicle at 31.2 days (68% increase, $P = 0.0306$ by log-rank test). Taxotere monotherapy at 5 mg/kg [twice weekly for 5 and 1/2 weeks (11 doses), i.p.] demonstrated little efficacy with a median time to 1,000 mm³ of 19.3 days (4% increase). Taxotere monotherapy at 15 mg/kg (QW6, once per week for 6 weeks i.p., 6 doses) was more effective than PTC596 or the lower dose of Taxotere, significantly prolonging the median time to 1,000 mm³ to 48.7 days (163% increase, $P < 0.0010$ by log-rank test). The corresponding combination therapies were significantly more efficacious than any of the monotherapies, with a median time to 1,000 mm³ of 78.6 days (324% increase, $P < 0.0010$ by log-rank test) at 5 mg/kg and a median time to 1,000 mm³ of 145 days (682% increase, $P < 0.0010$ by log-rank test) with 8 study survivors of which 8 had no measurable tumor (noted as 8/8 tumor free survivors) at 15 mg/kg QW6. Both regimens exhibited strong day 25 synergy scores of 0.2 and 0.3, respectively. The response to Taxotere at 15 mg/kg with PTC596 was the most efficacious.

The 165-day study shown in Fig. 4C–F and Supplementary Table S3 evaluated the efficacy of PTC596 alone and in combination with Doxil (doxorubicin liposomal) at 3 or 9 mg/kg or DTIC (dacarbazine) at 4 or 21 mg/kg in the treatment of SK-LMS-1 human leiomyosarcoma xenografts in mice.

The median time for SK-LMS-1 tumors in vehicle-dosed mice to reach 1,000 mm³ was 17.7 days and was 23.0 days (30% increase) for mice treated with PTC596 monotherapy (12.5 mg/kg, twice per week). Doxil monotherapies at 3 mg/kg (5 doses) or 9 mg/kg (5 doses) yielded a median time for tumors to reach 1,000 mm³ of 29.9 days (69% increase) and 43.0 days (143% increase), respectively. The responses to the corresponding combination therapies were more efficacious producing respective median times to 1,000 mm³ of 56.0 days (216% increase) and 119.5 days (575% increase) and day 25 synergy scores of 0.6 and 0.4. The response to Doxil at

9 mg/kg with PTC596 was significantly efficacious ($P = 0.0360$ by log-rank test).

DTIC monotherapies at 4 mg/kg (3 times per week) or 21 mg/kg (5 doses) yielded median time for SK-LMS-1 tumors to reach 1,000 mm³ of 18.5 days (5% increase) and 34.7 days (96% increase), respectively. The responses to the corresponding combination therapies were significantly efficacious producing respective median times to 1000 mm³ of 54.7 days (209% increase, $P = 0.0144$ by log-rank test) and 165 days (832% increase, $P < 0.0010$ by log-rank test) with 5 of 7 tumor-free survivors. Respective synergy scores on day 25 were 0.3 and 0.4. The response to DTIC at 21 mg/kg with PTC596 was most effective.

As shown in Supplementary Fig. S4, PTC596 as monotherapy or in combination with Taxotere, DTIC, or Doxil was well-tolerated as shown by the lack of body weight loss. Doxil alone or combined with PTC596 was not tolerated as well as the other regimens in this study, but the addition of PTC596 did not exacerbate the lack of weight gain seen.

PTC596 is efficacious in preclinical models of GBM

Orthotopic U-87 MG xenograft model

As PTC596 crosses the BBB and is distributed into the CNS, it was tested for efficacy in an orthotopic mouse model of human GBM. Temozolomide-sensitive U-87 MG cells were implanted into the cranium of athymic nude mice. Mice were randomized and dosed with vehicle, 20 mg/kg PTC596 on days 10, 17, and 24, or with 50 mg/kg temozolomide orally, daily from days 10–14. For this study, dosing was not initiated until 10 days after tumor inoculation, resulting in a stringent test of efficacy. As shown in Fig. 5A [body weights in Supplementary Fig. S5A], the median survival time (MST) for vehicle and 50 mg/kg temozolomide dosed mice was 15 days, indicating that temozolomide was not active. For mice treated with 20 mg/kg PTC596, the MST was 20 days, a significant 33% increase in life span relative to vehicle ($P = 0.0042$ by log-rank test).

Subcutaneous patient-derived D-09-0500 MG xenograft model

Efficacy of PTC596 alone and in combination with temozolomide was assessed using the temozolomide-sensitive D-09-0500 MG human GBM tumor xenografts in mice. Mice were administered PTC596 (12 mg/kg, orally, twice per week) and/or temozolomide (25 mg/kg daily from days 3–7). The median time for tumors to reach 1,000 mm³ in vehicle-dosed mice was 10.6 days. In mice treated with PTC596 as monotherapy, the median time to 1,000 mm³ was 37.8 days (257% increase). In mice treated with temozolomide as monotherapy, the median time to 1,000 mm³ was significantly delayed over vehicle at 43.4 days (309% increase, $P < 0.0010$ by log-rank test) with 1/1 tumor-free survivor (day 147). When PTC596 was combined with temozolomide, the combination was more effective than either agent with the median time for tumors to reach 1,000 mm³ significantly delayed over vehicle at 115.5 days (990% increase, $P < 0.0010$ by log-rank test) and 3 of 3 tumor-free survivors (Fig. 5B). PTC596, temozolomide, or the combination of treatment did not result in decreased body weight (Supplementary Fig. S5B).

Subcutaneous U-87 MG xenograft model

The 94-day study shown in Fig. 5C–F and Supplementary Table S4 evaluated the efficacy of PTC596 alone and in combination with the chemotherapeutic agents sunitinib, paclitaxel, or temozolomide in the treatment of U-87 MG human GBM tumor xenografts in mice. Body weights are shown in Supplementary Fig. S6.

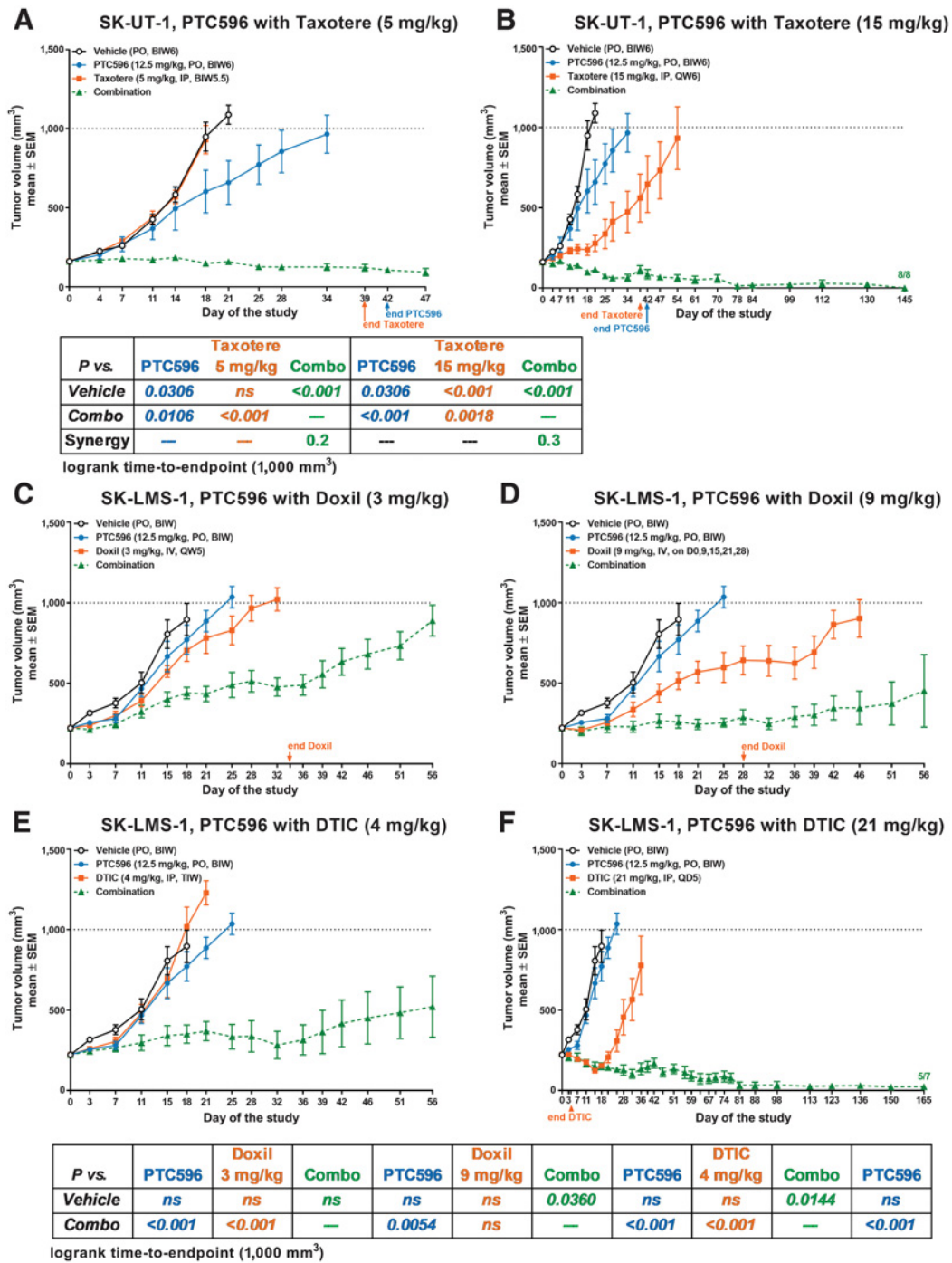


Figure 4.

Growth of human leiomyosarcoma xenografts in mice administered taxotere, Doxil, or DTIC as single agents or combined with PTC596. Symbols represent the mean tumor volumes (mm³) ± SEM. The 1,000 mm³ tumor volume endpoint is shown as a horizontal dotted line. Mean tumor growth curves are truncated on the first day that ≥50% of the animals in a group attained the endpoint tumor volume. As shown in the inset tables, the log-rank test was employed to assess the significance of the difference in the distribution of time-to-endpoint (time to 1,000 mm³ or death in days) values for mono and combination therapy groups versus vehicle or combination therapy groups with *P* values corrected for multiple comparisons. In addition, a Synergy score <0.7 for the combination therapy indicated synergy compared to the corresponding monotherapies. **A and B**, Male athymic nude mice were inoculated subcutaneously with SK-UT-1 tumor cells. Nine days after tumor inoculation, mice were randomized by tumor volume into groups of 10 mice each and treated with PTC596 and Taxotere alone or combined. At day 0, all groups had 10 mice/group. **C–F**, Male athymic nude mice were implanted subcutaneously in the right flank with SK-LMS-1 tumor fragments. Ten days after tumor inoculation, mice were randomized by tumor volume into groups of 8 mice each and treated with PTC596 and Doxil (**C and D**) alone or combined or with PTC596 and DTIC (**E and F**) alone or combined. At day 0, all groups had 8 mice/group. Abbreviations: Ratios are TFS/SS, the number of animals that survived the study with tumors too small to measure (TFS)/the number of animals remaining at study end (SS).

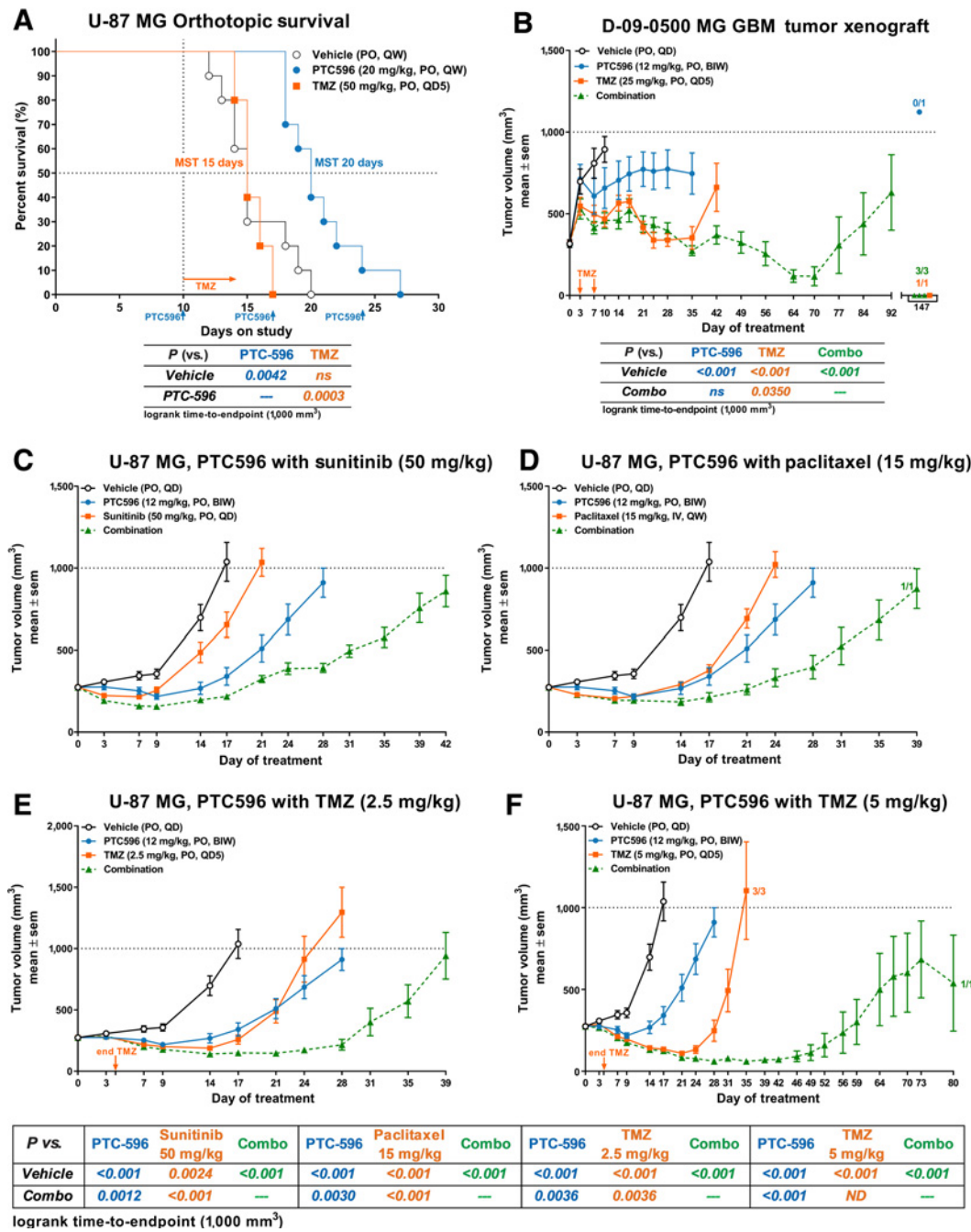


Figure 5.

Growth of human GBM xenografts in mice administered temozolomide as a single agent or combined with PTC596. Symbols represent the mean tumor volumes (mm³) ± SEMs. The 1,000 mm³ tumor volume endpoint is shown as a horizontal dotted line. Mean tumor growth curves are truncated on the first day that ≥50% of the animals in a group attained the endpoint tumor volume. As shown in the inset tables, the log-rank test was employed to assess the significance of the difference in the distribution of time-to-endpoint (time to 1,000 mm³ or death in days) values for mono and combination therapy groups versus vehicle or combination therapy groups with *P* values corrected for multiple comparisons. Synergy was not evaluated due to the rapid growth of tumors in vehicle control animals. **A**, Female athymic nude mice were inoculated intracranially with U-87 MG tumor cells (2 × 10⁵). Ten days after tumor inoculation, animals were randomized into groups of 10 mice each and treatments initiated as shown. **B**, D-09-0500 MG tumors were removed from host animals under sterile conditions, homogenized into a cell suspension, and injected subcutaneously into the right flank of athymic nude mice. Ten days after tumor inoculation, mice were randomized by tumor volume (average tumor volume was ~321 mm³) into groups of 9 mice each and treatment was initiated as shown. **C–F**, Male athymic nude mice were inoculated subcutaneously in the flank with U-87 MG tumor cells (5 × 10⁶ cells in 0.2 mL with 50% Matrigel). Twelve days after tumor inoculation, mice were randomized into groups of 10 mice each that were treated with PTC596 and sunitinib alone or combined (**C**) or with paclitaxel alone or combined (**D**), or with TMZ alone or combined (**E** and **F**). At day 0, all groups had 10 mice/group. Abbreviations: MST, median survival times; Ratios are TFS/SS, the number of animals that survived the study with tumors too small to measure (tumor-free survivors; TFS) / the number of animals remaining at study end (study survivors; SS). TMZ, temozolomide.

The median time for tumors to reach 1,000 mm³ was 16.0 days in vehicle-dosed mice. In mice dosed with PTC596 monotherapy (12 mg/kg, twice per week, orally), the median time to 1,000 mm³ was significantly delayed over vehicle at 28.1 days (76% increase, $P < 0.0010$ by log-rank test). Sunitinib (50 mg/kg, once daily, orally) and paclitaxel (15 mg/kg, once-per-week, i.v.) monotherapies yielded significant delays in median times to 1,000 mm³ of 20.7 days (29% increase, $P = 0.0024$ by log-rank test) and 23.7 days (49% increase, $P < 0.0010$ by log-rank test), respectively. The responses to the corresponding combination therapies were more efficacious producing respective significant delays in median times to 1,000 mm³ of 40.5 days (154% increase, $P < 0.0010$ by log-rank test) and 39.3 days (146% increase, $P < 0.0010$ by log-rank test, 1/1 tumor-free survivor).

In mice dosed with temozolomide monotherapy at 2.5 and 5 mg/kg the median times to reach 1,000 mm³ were 25.0 days (57% increase) and 33.0 days (107% increase, 3/3 tumor-free survivors at day 94), respectively. In mice treated with the combination, the median time for the tumor volume to reach 1,000 mm³ was 37.2 days (133% increase) and 76.9 days (382% increase, 1/1 tumor-free survivor). All temozolomide treatment regimens were significantly efficacious ($P < 0.0010$ by log-rank test) but the combination of temozolomide at 5 mg/kg with PTC596 was most effective.

PTC596 plasma exposures in phase I trial of patients with advanced solid tumors exceed concentrations associated with efficacy in preclinical models

Before initiating phase I clinical studies, Investigational New Drug (IND) -enabling toxicology and drug absorption, distribution, and metabolism studies were performed to support the clinical program. Pharmacokinetics of PTC596 was evaluated in the mouse, rat, and monkey (Supplementary Fig. S7). Good Laboratory Practice (GLP) 28-day studies were performed in the rat and monkey. As shown, the dose-normalized absolute oral bioavailability (F%) in rats was >79% after a single dose at 10 mg/kg and was 66% at 2 mg/kg in cynomolgus monkeys.

A 28-day phase I, open-label, first-in-human, safety and pharmacokinetic study of PTC596 as monotherapy was conducted in 31 patients with advanced solid tumors. This work has been described in more detail in another publication (39). The median age was 62.5 years (range 27 to 81 years) and approximately 60% were female. Patients received eight doses per 4-week cycle schedule. GLP and non-GLP studies indicated that 40 mg/kg twice per week was approximately the severely toxic dose in 10% of rats (STD 10). Therefore, the starting dose in the phase I study was calculated as one-tenth of the human equivalent dose (HED) of 40 mg/kg twice per week in rats, which was 0.65 mg/kg twice per week. Doses were increased to a maximum dose of 10 mg/kg.

Exposures measured in mice were compared with exposures measured in humans. As discussed previously, 12 or 12.5 mg/kg PTC596 was utilized for combination testing in the mouse. In the mouse, a dose of 12.5 mg/kg was predicted to result in a C_{max} of 1,445 ng/mL. If efficacy is assumed to be related to the total drug concentration at the C_{max} , the human dose that correlates to the mouse C_{max} (1,445 ng/mL) is 3.6 mg/kg (Fig. 6A). If efficacy is correlated to the fC_{max} , the predicted human dose is 1.6 mg/kg because protein binding is higher in mice (99.5% protein bound) than in humans (99.2% protein bound). The predicted human dose based on AUC_{last} and free AUC_{last} ($fAUC_{last}$) values in the mouse are 3.9 mg/kg and 2.3 mg/kg, respectively. The target exposures of 1,445 ng/mL in mice and 24,065 hour*ng/mL in humans are shown

as horizontal dashed lines in Fig. 6B. As predicted, these parameters fall between those attained at single doses of PTC596 at 2.6 and 5.2 mg/kg that yielded C_{max} values of 1,261 and 1,727 ng/mL and AUC_{last} values of 18,271 and 29,563 hour*ng/mL, respectively. As shown in Fig. 6C, there were mixed/partial responses or stable disease in 23% (7/31) of patients.

Discussion

PTC596 is an investigational orally bioavailable small molecule that binds to tubulin, a validated target for cancer treatment. PTC596 binds to the colchicine site of tubulin via unique key interactions previously unobserved with other agents that bind to the colchicine site. As an amine substituted pyrimidine compound that lacks the trimethoxyphenyl moiety, PTC596 is structurally and pharmacologically different than other tubulin-binding agents.

Crystallographic studies showed that the overall structure of tubulin in the T₂R-TTL-PTC596 complex superimposed well with the apo structure, suggesting that binding of PTC596 does not affect the overall conformation of tubulin except for a difference in the orientation of the β T7-loop residues in the holo- versus the apo-state. Comparing the binding mode of PTC596 with that of colchicine showed that the major difference between PTC596 and colchicine in occupied space in the binding site is caused by the absence of the colchicine acetamide-group, which results in a flip of both the β T7- and the α T5-loops and the side chain orientation of β Lys352. Colchicine site ligands are well known to destabilize microtubules by inhibiting the curved-to-straight conformational transition that tubulin dimers undergo during microtubule formation ((40); reviewed in (1)). Free tubulin assumes a “curved” conformation while tubulin in the microtubule lattice is “straight”. Colchicine-site ligands inhibit the curved-to-straight conformational transition that tubulin dimers must undergo to form microtubules; they thus typically act as microtubule-destabilizing agents (reviewed in (1)). Our structural and computational information obtained on PTC596 and its two derivatives suggests that this class of tubulin-binding agents destabilizes microtubules by a similar molecular mechanism.

Differences in the kinetics of binding to tubulin will likely affect the side-effect profile of colchicine site ligands. The binding mechanism of PTC596 may be correlated with the lack of neuropathy seen in clinical trials to date.

PTC596 exhibited broad-spectrum activity *in vitro* across tumor cell lines with different driving mutations. Activity against leiomyosarcoma and GBM were further evaluated in a series of xenograft studies. For both types of cancers, there are no consistent driving mutations leading to ineffective treatment with targeted therapy. Furthermore, both diseases tend to have low mutational burden, and to date have not been amenable to immuno-oncology approaches.

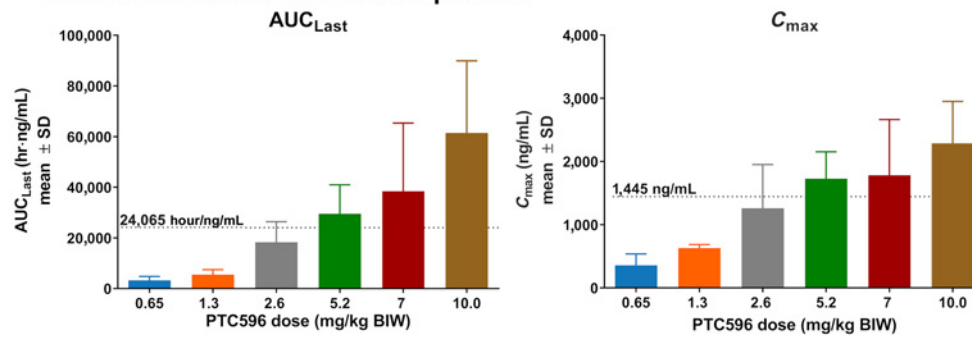
In mouse xenograft studies using the human SK-UT-1 and SK-LMS-1 cell line models of LMS, PTC596 in combination with SOC agents Taxotere, Doxil, and DTIC were well tolerated and significantly more efficacious than the responses to the corresponding monotherapies. PTC596 exhibited strong synergy when combined with these agents. On the basis of the promising preclinical data in leiomyosarcoma, a clinical trial assessing PTC596 in combination with DTIC in leiomyosarcoma is underway.

In contrast to many tubulin-binding agents, PTC596 is not a substrate for P-gp and crosses the BBB making it an attractive candidate for a broad number of oncological indications, including neuro-oncology. In a stringent test of efficacy in an orthotopic mouse

A Comparing PK parameters in mouse versus human

PK Parameter	Mouse PK @10 mg/kg PTC596	Predicted mouse PK @12.5 mg/kg	Efficacy correlation	PK parameter	Target human PK @12.5 mg/kg PTC596	Predicted human dose (mg/kg)
fC_{max} (ng/mL)	5.8	7.2	fC_{max}	C_{max}	903	1.6
$fAUC$ (hr.ng/mL)	96	120	$fAUC$	AUC_{last}	15041	2.3
C_{max} (ng/mL)	1156	1445	C_{max}	C_{max}	1445	3.6
AUC_{last} (hr.ng/mL)	19252	24065	AUC_{last}	AUC_{last}	24065	3.9

B PK Parameters from clinical trial patients



C A 28-day phase I study of PTC596 as monotherapy

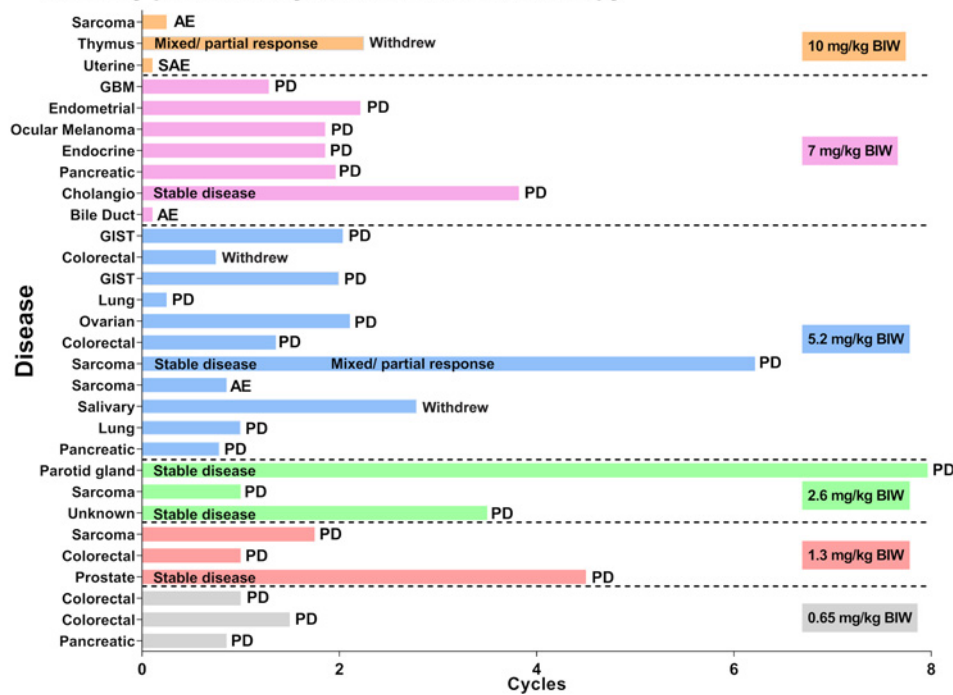


Figure 6.

Pharmacokinetics of PTC596 in patients with cancer (day 1, cycle 1) and duration of treatment. **A**, Data from mouse studies were compared with the pharmacokinetic parameters and dose in humans. In the mouse, C_{max} and AUC_{last} values for a dose of 12.5 mg/kg were predicted as values measured at 10 mg/kg \times 1.25. These predicted C_{max} and AUC_{last} values for a dose of 12.5 mg/kg were set as C_{max} and AUC_{last} -driven targets in the human. In the mouse, 99.5% of the PTC596 is protein bound, so free C_{max} (fC_{max}) and free AUC_{last} ($fAUC_{last}$) values for a dose of 12.5 mg/kg were predicted as values measured at 10 mg/kg \times 0.005 \times 1.25. The predicted fC_{max} and $fAUC_{last}$ values for a dose of 12.5 mg/kg were adjusted for reduced protein binding in humans (99.2%) and defined as fC_{max} and $fAUC_{last}$ targets in the human. The predicted human dose for each pharmacokinetic parameter was determined from regression analysis using the human pharmacokinetic dataset from the phase I trial. **B**, The pharmacokinetic parameters AUC_{last} and C_{max} were determined in plasma samples collected from the enrolled patients with cancer on day 1, cycle 1. Previous preclinical efficacy studies demonstrated that inhibition of tumor growth was associated with an AUC_{last} of 24,065 hr/ng/mL and a C_{max} of 1,445 ng/mL at 12.5 mg/kg in the mouse (horizontal dashed lines). **C**, A 28-day phase I study of PTC596 as monotherapy was conducted in 31 patients with different types of advanced solid tumors. The median age was 62.5 years (range 27 to 81 years) and approximately 60% were female. The objective of the study was to characterize any antitumor activity and associated adverse effects of PTC596 orally administered on a twice weekly schedule (8 doses per 4-week cycle). Response was evaluated every other treatment cycle according to RECIST or RANO criteria. Abbreviations: PD, progressive disease; AE, adverse event; SAE, serious adverse event.

model of GBM, PTC596 as monotherapy significantly prolonged survival even when initiation of treatment was delayed until day 10, while temozolomide administered at its MTD did not. This demonstrated that PTC596 readily crosses the BBB. In mouse xenograft studies using a patient-derived model and a U-87 MG human cell line model of GBM, PTC596 in combination with SOC agents sunitinib, paclitaxel, or temozolomide were acceptably tolerated and significantly more efficacious than the responses to the corresponding monotherapies. On the basis of these promising preclinical data in GBM, a clinical trial in diffuse intrinsic pontine glioma (DIPG) is ongoing. DIPG is a childhood brain tumor with significant unmet need and a 5-year survival rate of less than 2.2% (41).

In a series of dose-optimization and pharmacokinetic studies in mice, a dosing regimen of 12.5 mg/kg, orally, twice per week was utilized on the basis of the observation that there was no significant improvement in response with more frequent dosing. Furthermore, a dose of 12.5 mg/kg PTC596 still allowed for detection of additive/synergistic combinations with standard of care drugs. The data indicate that efficacy correlated with the C_{max} rather than with the AUC. On the basis of these data, the twice per week dosing regimen was used in the IND-enabling toxicology and in the completed and ongoing clinical trials. Most tubulin-binding agents are administered by intravenous infusion and therefore the pharmacokinetic profile and subsequent pharmacodynamic profile would likely differ for drugs that are administered intravenously compared with oral administration. Therefore, oral administration in addition to providing convenience to the patient, may also result in a different pharmacodynamic profile.

The human dose that correlated to the C_{max} (1,445 ng/mL) associated with a dose of 12.5 mg/kg in the mouse was 3.6 mg/kg if efficacy was assumed to be related to the total drug concentration or 1.6 mg/kg if correlated to the free C_{max} , based on differences in protein binding. The initial results from a phase Ib clinical trial showed that PTC596 monotherapy reached plasma exposures predicted to be efficacious in mouse models.

In summary, PTC596 is an investigational orally bioavailable small molecule that binds to tubulin at the colchicine binding site utilizing pockets of the binding site not targeted by other tubulin-binding agents. In contrast to many tubulin-binding agents, PTC596 is not a substrate for P-gp, has compelling pharmacologic properties including a long circulating half-life and effective biodistribution into tumors, and crosses the blood-brain-barrier, making it an attractive candidate for many oncologic indications including neuro-oncology. PTC596

exhibits broad-spectrum anticancer activity alone or in combination with SOC agents. Here, we showed that in both leiomyosarcoma and GBM preclinical models, PTC596, alone or combined with SOCs, exhibited notable efficacy and that efficacy was synergistic with chemotherapy agents. In a phase I trial, PTC596 caused no DLTs at doses predicted to be efficacious based on mouse models, providing strong support for continued clinical development for treating solid tumors. PTC596 is currently being tested in combination with radiation in a clinical trial in children with diffuse intrinsic pontine glioma and in combination with dacarbazine in a clinical trial in adults with leiomyosarcoma.

Authors' Disclosures

No disclosures were reported.

Authors' Contributions

F. Jernigan: Conceptualization, software, formal analysis, investigation, methodology, writing—original draft. **A. Branstrom:** Conceptualization, resources, data curation, formal analysis, supervision, investigation, writing—original draft. **J.D. Baird:** Conceptualization, resources, writing—review and editing. **L. Cao:** Conceptualization, resources, data curation, supervision, investigation. **M. Dali:** Resources, methodology. **B. Furia:** Investigation. **M. Kim:** Data curation, investigation. **K. O'Keefe:** Conceptualization, resources, supervision, funding acquisition. **R. Kong:** Resources, supervision, investigation. **O.L. Laskin:** Conceptualization, data curation. **J.M. Colacino:** Resources, funding acquisition, writing—review and editing. **M. Pykett:** Supervision, funding acquisition. **A. Mollin:** Data curation, investigation, methodology. **J. Sheedy:** Investigation, methodology. **M. Dumble:** Conceptualization, investigation, methodology. **Y. Moon:** Conceptualization, resources, formal analysis, supervision, visualization, writing—review and editing. **R. Sheridan:** Data curation, formal analysis, writing—original draft. **T. Mühlethaler:** Software, investigation, methodology. **R.J. Spiegel:** Conceptualization, resources, funding acquisition. **A.E. Protta:** Conceptualization, data curation, software, investigation, methodology. **M. Steinmetz:** Conceptualization, resources, formal analysis, writing—original draft, writing—review and editing. **M. Weetall:** Conceptualization, resources, data curation, supervision, funding acquisition, visualization, writing—original draft.

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