



# A large-scale genome-wide association analysis of lung function in the Chinese population identifies novel loci and highlights shared genetic aetiology with obesity

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**Novel loci provide additional insights into the genetic basis of lung function. Understanding the shared genetic aetiology of lung function and obesity may open new avenues for molecular-targeted therapies for obesity and lung function improvement.** <http://bit.ly/38oCnez>

**Cite this article as:** Zhu Z, Li J, Si J, *et al.* A large-scale genome-wide association analysis of lung function in the Chinese population identifies novel loci and highlights shared genetic aetiology with obesity. *Eur Respir J* 2021; 58: 2100199 [DOI: 10.1183/13993003.00199-2021].

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This article has supplementary material available from [erj.ersjournals.com](http://erj.ersjournals.com)

This article has an editorial commentary: <https://doi.org/10.1183/13993003.01615-2021>

Received: 19 Oct 2020  
Accepted: 2 March 2021



## Abstract

**Background** Lung function is a heritable complex phenotype with obesity being one of its important risk factors. However, knowledge of their shared genetic basis is limited. Most genome-wide association studies (GWASs) for lung function have been based on European populations, limiting the generalisability across populations. Large-scale lung function GWASs in other populations are lacking.

**Methods** We included 100285 subjects from the China Kadoorie Biobank (CKB). To identify novel loci for lung function, single-trait GWAS analyses were performed on forced expiratory volume in 1 s (FEV<sub>1</sub>), forced vital capacity (FVC) and FEV<sub>1</sub>/FVC in the CKB. We then performed genome-wide cross-trait analysis between lung function and obesity traits (body mass index (BMI), BMI-adjusted waist-to-hip ratio and BMI-adjusted waist circumference) to investigate the shared genetic effects in the CKB. Finally, polygenic risk scores (PRSs) of lung function were developed in the CKB and their interaction with BMI's association on lung function were examined. We also conducted cross-trait analysis in parallel with the CKB using up to 457 756 subjects from the UK Biobank (UKB) for replication and investigation of ancestry-specific effects.

**Results** We identified nine genome-wide significant novel loci for FEV<sub>1</sub>, six for FVC and three for FEV<sub>1</sub>/FVC in the CKB. FEV<sub>1</sub> and FVC showed significant negative genetic correlation with obesity traits in both the CKB and UKB. Genetic loci shared between lung function and obesity traits highlighted important biological pathways, including cell proliferation, embryo, skeletal and tissue development, and regulation of gene expression. Mendelian randomisation analysis suggested significant negative causal effects of BMI on FEV<sub>1</sub> and on FVC in both the CKB and UKB. Lung function PRSs significantly modified the effect of change in BMI on change in lung function during an average follow-up of 8 years.

**Conclusion** This large-scale GWAS of lung function identified novel loci and shared genetic aetiology between lung function and obesity. Change in BMI might affect change in lung function differently according to a subject's polygenic background. These findings may open new avenues for the development of molecular-targeted therapies for obesity and lung function improvement.

## Introduction

Impaired lung function is associated with lung disease risk and mortality, such as chronic obstructive pulmonary disease (COPD) [1]. Clinical and epidemiological studies have shown many risk factors can affect lung function [2]. Among these risk factors, obesity has been one of the most rapidly growing public health issues with a nearly tripled prevalence over the past 30 years [3]. Specifically, according to a population-based study on 121 965 subjects, obesity is associated with approximately 2 times higher risk of reduced lung function (*e.g.* forced expiratory volume in 1 s (FEV<sub>1</sub>) and forced vital capacity (FVC)) [4]. Obesity is also associated with increased risk of respiratory diseases, such as asthma and COPD [4, 5]. However, such findings have also raised new questions about whether the genetic risk factors can contribute to the coexistence of lung function reduction and obesity.

We and others have recently identified shared genetic architecture among respiratory diseases, including asthma and COPD [6–9], indicating pleiotropic effects impacting both diseases. Lung function and obesity are both highly heritable traits, with an estimated heritability up to 70% [10–14]. The inverse association between lung function and obesity suggested potential shared genetic risk factors between these conditions [15]. However, knowledge of the shared genetic basis of lung function and obesity is limited.

To date, most lung function genome-wide association study (GWAS) participants have been of European descent [13, 14, 16, 17]; only few studies included a small number of non-European participants [18, 19]. Thus, large-scale GWASs based on non-European populations are critical to extend our understanding of the genetic heterogeneity across different populations [20, 21]. In addition, it is critical to understand the shared genetic architecture of lung function with other complex traits (*e.g.* obesity), which is robust to environmental confounding [22]. Thus, in the current study, we conducted a large-scale GWAS and cross-trait/cross-population analysis in the China Kadoorie Biobank (CKB) and UK Biobank (UKB) to address three aims: 1) to identify novel genetic risk loci for lung function traits that include FEV<sub>1</sub>, FVC and FEV<sub>1</sub>/FVC in the Chinese population; 2) to investigate shared genetic effects between the lung function traits and obesity traits (body mass index (BMI), BMI-adjusted waist-to-hip ratio (WHRadjBMI) and BMI-adjusted waist circumference (WCadjBMI)) in both Chinese and European populations; and 3) by using both the CKB and UKB follow-up cohorts, to investigate whether the baseline BMI and longitudinal change in BMI would affect lung function, taking into account the polygenic background of lung function.

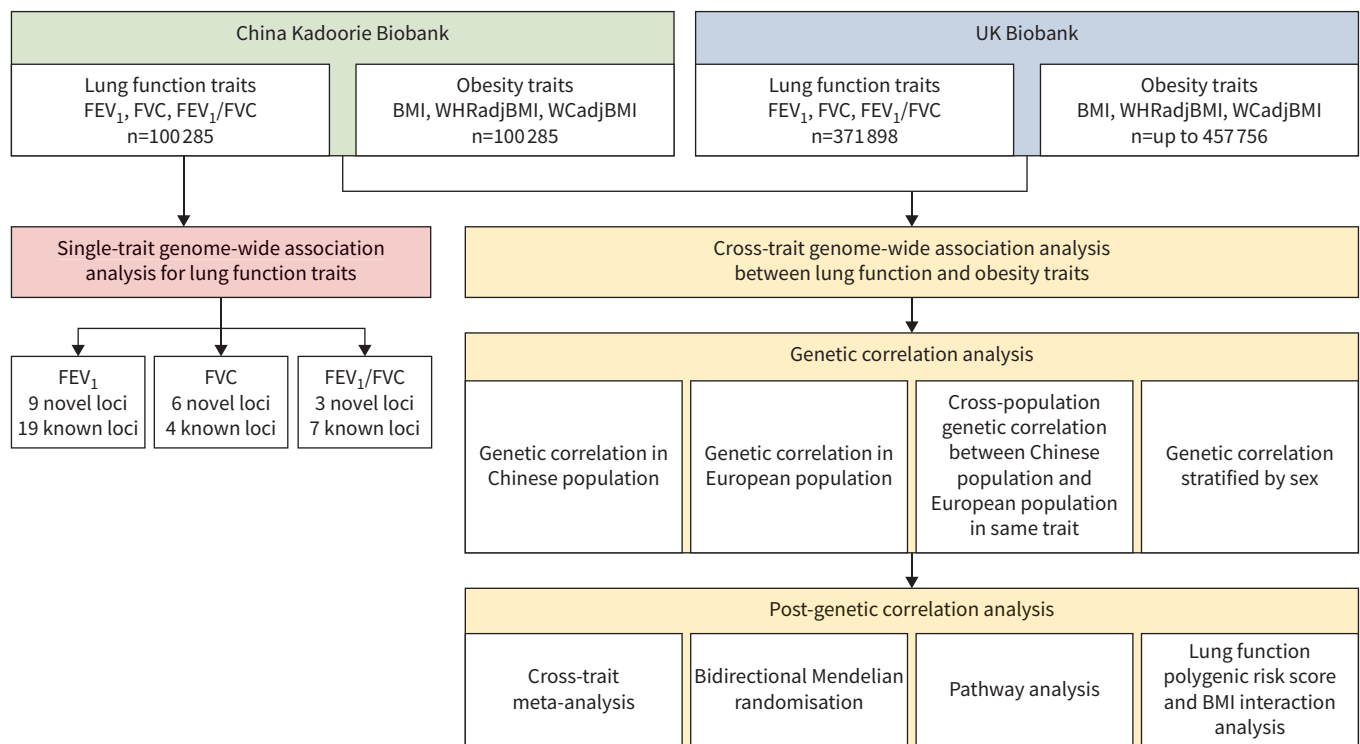
## Methods

### Study design, settings and participants

The overall study design can be found in figure 1. In brief, this study has two analytical stages. The first stage is to identify novel loci for lung function in the Chinese population by using single-trait GWAS analysis. The second stage is to investigate shared genetic effects between lung function and obesity by using cross-trait GWAS analysis in both the CKB and UKB.

The CKB study is a prospective cohort study of more than 500 000 participants in China. Details of the CKB have been described previously [23]. In brief, the CKB recruited 512 715 adults aged 30–79 years from 10 regions (Harbin, Qingdao, Suzhou, Liuzhou, Haikou, Henan, Gansu, Sichuan, Zhejiang and Hunan) across China. All participants gave informed written consent. Questionnaire data, physical measurements and blood samples were collected at the baseline survey during 2004–2008. Two follow-up surveys were taken in 2008 and during 2013–2014, which involved ~5% randomly chosen surviving participants.

The UKB study has been described in detail elsewhere [7, 24]. In brief, the UKB study is a prospective cohort study of more than 500 000 participants living in the UK. In total, 503 325 participants registered in the National Health Service with ages ranging from 40 to 69 years were recruited out of 9.2 million mailed invitations. Baseline data were collected (2004–2008) using questionnaires and anthropometric assessments were performed. In the UKB, we restricted to subjects of European ancestry. All detailed genotyping, quality control and imputation procedures are described in the UKB website (<http://biobank.ctsu.ox.ac.uk>). All participants provided informed consent to the UKB.



**FIGURE 1** Overall study design. FEV<sub>1</sub>: forced expiratory volume in 1 s; FVC: forced vital capacity; BMI: body mass index; WHRadjBMI: BMI-adjusted waist-to-hip ratio; WCadjBMI: BMI-adjusted waist circumference.

#### Ascertainment of lung function and obesity traits

FEV<sub>1</sub>, FVC and FEV<sub>1</sub>/FVC were adjusted for age, age squared, sex, height, smoking status (ever versus never) and assessment centre in a linear regression model [14]. The resulting residuals were inverse normal transformed [14].

BMI (measured or self-reported weight in kilograms per height in metres squared) was adjusted for age, age squared, sex and assessment centre in a linear regression model [14]. Waist and hip circumferences were also measured in the CKB and UKB participants. The waist-to-hip ratio and waist circumference were adjusted for age, age squared, BMI, sex and assessment centre in a linear regression model. The resulting residuals were inverse normal transformed. Detailed physical measurement procedures can be found in the supplementary material, the previous CKB study [25] and the UKB website [26].

#### CKB genotyping procedure

The CKB has conducted three phases of genotyping. A custom-designed biobank array, to provide optimised genome-wide coverage for the Chinese population, was developed by the University of Oxford's Clinical Trial Service Unit and Epidemiological Studies Unit (Oxford, UK) in collaboration with the Beijing Genomics Institute (Shenzhen, China) and Affymetrix (now Thermo Fisher Scientific, Santa Clara, CA, USA). This 700K single nucleotide polymorphism (SNP) array was used to genotype ~32 000 CKB participants in the first phase. A revised and updated version of the original array which covers ~803K SNPs was used to genotype ~69 000 participants in the second and third phases.

Variants with call rate >0.98, plate effect  $p > 10^{-6}$ , batch effect  $p > 10^{-6}$ , Hardy-Weinberg equilibrium (HWE) deviations  $p > 10^{-6}$  (combined 10 degrees of freedom Chi-squared test from 10 regions) and minor allele frequency (MAF) difference from 1000 Genomes East Asian frequencies <0.2 were identified, resulting in genotypes for 532 415 biallelic variants present on both array versions. The qualified genotypes for each chromosome were phased with SHAPEIT. Then, imputation was performed for each 5-Mb interval with IMPUTE 4 based on haplotypes derived from the 1000 Genomes phase III.

#### GWAS analysis

We selected variants that did not deviate from HWE ( $p > 1 \times 10^{-12}$ ), per variant missing rates <10%, per sample missing rate <10%, MAF >1% and imputation quality score (INFO) >0.8. Detailed data summary,

quality control and imputation information can be found in the supplementary material. The genotype–phenotype association test was carried out in 100285 samples from the CKB and up to 457756 samples from the UKB. For lung function and obesity traits, we carried out linear mixed model (LMM) association analyses and adjusted for genotyping array, 10 ancestry principal components in the CKB and 30 ancestry principal components in the UKB to assess the association between the traits' z-scores and imputed genotype dosages under an additive genetic model by using BOLT-LMM version 2.3 [27]. After association analysis, we applied the PLINK clumping function to determine top loci that were independent to each other. Specifically, variants with  $p < 1 \times 10^{-5}$ ,  $r^2 > 0.2$  and  $< 500$  kb away from the peak were assigned to that peak's clump. The genes within each clump were identified by the overlap between gene regions and clump region. Novel loci were defined at two levels: clump and variant (supplementary material). In brief, if the independent clump region did not overlap with any loci in the GWAS catalogue (search date: 3 April 2020) for the same trait, we defined it as a novel locus. If there was an overlap between the clump region and GWAS catalogue, we further checked if the sentinel variant was novel, which is defined by low linkage disequilibrium (LD)  $r^2 < 0.2$  between the sentinel variant and any variants within the clump region from the GWAS catalogue.

#### *Cross-trait genetic correlation*

We used cross-trait LD score regression (LDSC) to estimate genetic correlation between the causal effects of two traits (ranging from  $-1$  to  $1$ ) based on summary statistics of each trait's GWAS [28]. We specified LDSC to estimate the regression intercept to account for shared subjects between different traits' GWAS [29]. We applied Bonferroni correction ( $p < 0.05/9$ ) to account for multiple testing in the LDSC analysis.

#### *Sex-specific genetic correlation*

Previous studies showed the association between lung function and obesity could differ in males and females [30, 31]. Thus, we evaluated the genetic correlation between lung function and obesity in males and females separately.

#### *Cross-population genetic correlation*

To assess the genetic heterogeneity between Chinese and European populations, we also estimated genome-wide cross-population genetic correlation for lung function and obesity traits by applying S-LDXR [32] with the baseline-LD-X model annotations. We applied Bonferroni correction ( $p < 0.05/6$ ) to account for multiple testing in the S-LDXR analysis.

#### *Cross-trait meta-analysis*

Cross-phenotype association (CPASSOC) combines the effect estimate and standard error of the GWAS summary statistics to test the hypothesis of association between the SNP with both traits [33]. A heterogeneous version of CPASSOC (SHet) was used in this study.

SHet is a cross-phenotype meta-analysis method based on a fixed effects model. It is more powerful when there is a heterogeneous effect present across studies, which is common when testing multiple phenotypes [34]. SHet uses the sample size of a trait as the weight instead of using the effect standard error. It can also account for effect correlation due to overlapping or related subjects within and among different studies or cohorts.

#### *Overrepresentation enrichment analysis*

In order to understand the shared biological pathways between lung function and obesity, we extracted the genes from the clumping procedure for both lung function and obesity, and used the WebGestalt tool [35] to assess the enrichment of the identified genes in Gene Ontology (GO) biological pathways. If they were significantly enriched in both lung function and obesity, we considered them as shared biological pathways. A false discovery rate (FDR) method was used to correct for multiple testing.

#### *Mendelian randomisation analysis*

We applied generalised summary data-based Mendelian randomisation (GSMR) [36] under default settings to infer putative causal relationships between BMI and lung function traits from GWAS summary statistics. To avoid overlapping subjects in the MR analysis, we used the BMI GWAS from Biobank Japan ( $n=158$  284) [37] and the lung function GWAS from the CKB, and we used the GIANT BMI GWAS ( $n_{\max}=322$  154) [12] and the lung function GWAS from the UKB. A more detailed description of the Biobank Japan and GIANT GWAS data can be found in the supplementary material. Since GSMR requires a minimum of 10 LD-independent instruments with  $p < 5 \times 10^{-8}$ , we restricted our analyses to traits that satisfy this criterion. Prior to running GSMR, we removed SNPs with strand ambiguity, INFO  $< 0.9$  and in the human

leukocyte antigen (*HLA*) region (chr6:25–34M). We applied Bonferroni correction (0.05/6) to account for the number of trait pairs in the MR analysis.

#### **Lung function polygenic risk score and BMI interaction ( $PRS_{\text{lung function}} \times \text{BMI}$ ) analysis**

We constructed the polygenic risk scores (PRSs) for three lung function traits using LDpred [38]. Details of PRS construction can be found in the supplementary material. We also constructed three additional lung function PRSs using weights of 279 SNPs reported by SHRINE *et al.* [14] (only 275 SNPs were available in the CKB data). To investigate the interaction effect between lung function PRSs and baseline BMI or its longitudinal change ( $n_{\text{CKB}}=21\,791$  and  $n_{\text{UKB}}=12\,019$ ) on lung function, we fitted two linear regression models to test the  $PRS_{\text{lung function}} \times \text{BMI}$  effect:

Baseline model:

$$\text{Lung function}_{t_0} = \text{BMI}_{t_0} + \text{lung function PRS} + \text{BMI}_{t_0} \times \text{lung function PRS} + \text{other covariates}$$

Change model:

$$\begin{aligned} \text{Lung function}_{t_1} - \text{lung function}_{t_0} = & \text{BMI}_{t_0} + (\text{BMI}_{t_1} - \text{BMI}_{t_0}) + \text{lung function PRS} + (\text{BMI}_{t_1} - \text{BMI}_{t_0}) \\ & \times \text{lung function PRS} + \text{other covariates} \end{aligned}$$

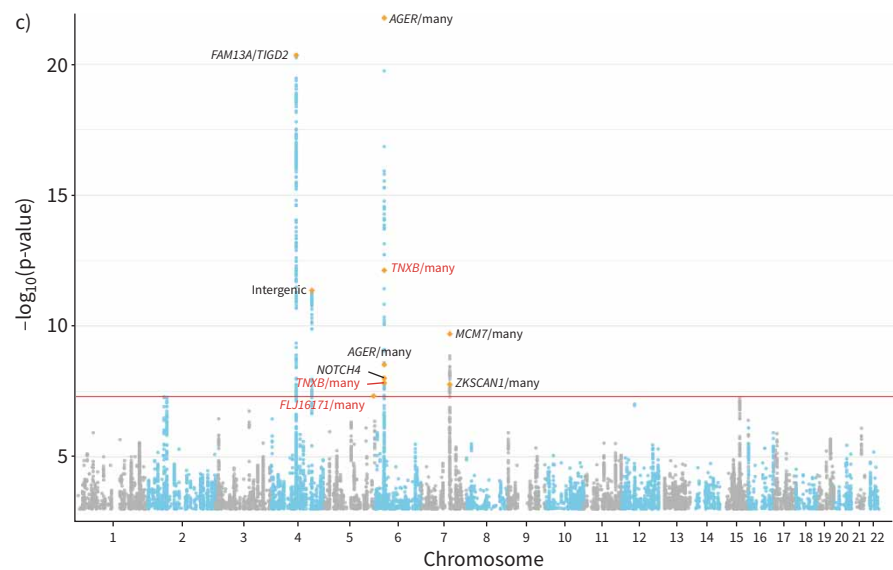
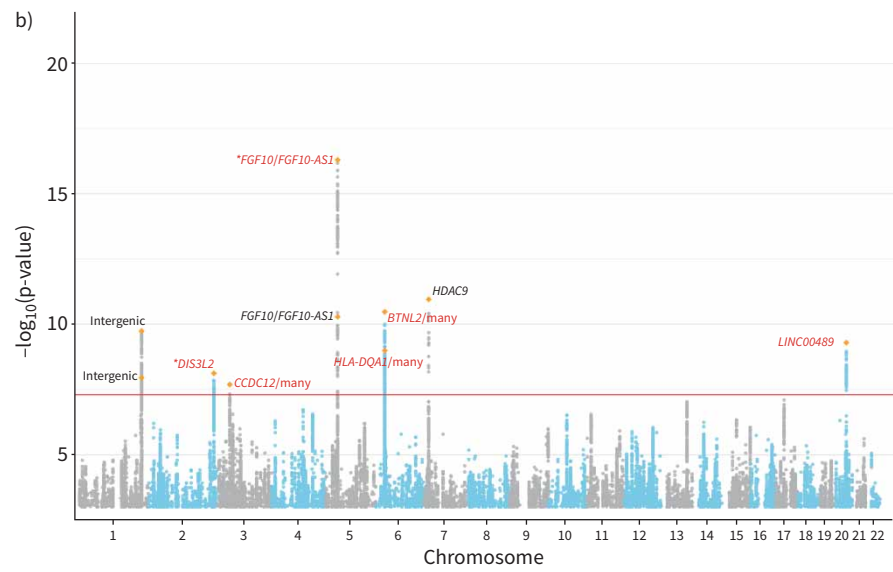
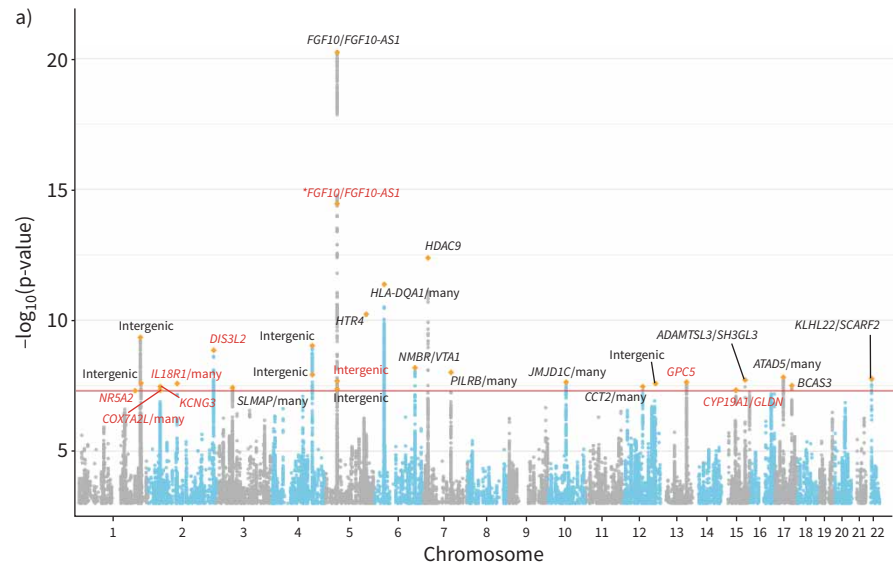
where baseline ( $t_0$ ) is at 2004–2010 and follow-up ( $t_1$ ) is at 2012–2014; other covariates are principal components 1–10 for the CKB and principal components 1–30 for the UKB, age, age squared, sex, standing height, smoking status (ever/never), genotyping array, and assessment centre. For the baseline model, we set normal BMI and the deciles 2–9 group as reference; for the change model, we set BMI stable and the deciles 2–9 group as reference.

## **Results**

### ***GWAS and SNP-based heritability***

The baseline demographic characteristics of the CKB and UKB cohorts are summarised in supplementary table S1. GWAS results for all traits showed no evidence of inflation due to population stratification (supplementary figures S1 and S2). In the CKB, LDSC estimates of SNP-based heritability on the observed scale were (mean $\pm$ SE) 13.07 $\pm$ 0.88% for FEV<sub>1</sub>, 11.12 $\pm$ 0.82% for FVC, 5.12 $\pm$ 0.67% for FEV<sub>1</sub>/FVC, 21.77 $\pm$ 1.23% for BMI, 8.72 $\pm$ 0.83% for WHRadjBMI and 10.65 $\pm$ 0.95% for WCadjBMI. We identified 28 genome-wide significant ( $p < 5 \times 10^{-8}$ ) loci for FEV<sub>1</sub>, 10 for FVC and 10 for FEV<sub>1</sub>/FVC (figure 2). After comparing with GWAS catalogue results for FEV<sub>1</sub>, FVC and FEV<sub>1</sub>/FVC (supplementary tables S2–S4), we determined a total of 18 novel loci for the three lung function traits (table 1). We further conducted the replication analysis for the novel loci in a recently published large-scale lung function GWAS (supplementary table S5) by SHRINE *et al.* [14]. A total of 11 loci were available in the SHRINE *et al.* [14] data. Among them, we found four were significant ( $p < 0.05/13$ ) with consistent effect size direction. The nonreplicated loci were likely due to distinct effect allele frequencies between the CKB and SHRINE *et al.* [14] (supplementary table S5). For example, the MAF of the sentinel SNP rs1861229 in the CKB is 0.52, but 0.17 in the SHRINE *et al.* [14] study. Among these previously reported loci showing genome-wide significant association with lung function in SHRINE *et al.* [14], *AGER*, *AP4M1*, *DIS3L2*, *FAM13A*, *FGF10*, *HLA-DQA1* and *HTR4* are notable genes that play important roles in lung function. In terms of novel loci, we identified *GPC5* as a novel gene for FEV<sub>1</sub> (sentinel SNP rs528366:  $p = 2.30 \times 10^{-8}$ ). In addition, we identified 20q11.23 as a novel region for FVC (sentinel SNP rs6063386:  $p = 5.00 \times 10^{-10}$ ). The sentinel SNP is mapped to a long intergenic nonprotein coding RNA (lncRNA), *LINC00489*. Among the novel loci for FEV<sub>1</sub>/FVC, two are within the 6p21.33 region and the sentinel SNP was mapped to *TNXB*, which was known for its association with lung function traits (FEV<sub>1</sub> and FEV<sub>1</sub>/FVC) and COPD [39, 40]. Detailed summary statistics information on genome-wide significant loci for the three lung function traits can be found in supplementary tables S6–S8.

In the UKB, LDSC estimates of SNP-based heritability on the observed scale were (mean $\pm$ SE) 20.13 $\pm$ 0.76% for FEV<sub>1</sub>, 20.26 $\pm$ 0.74% for FVC, 23.95 $\pm$ 1.43% for FEV<sub>1</sub>/FVC, 27.41 $\pm$ 1.07% for BMI, 13.88 $\pm$ 0.94% for WHRadjBMI and 16.58 $\pm$ 0.83% for WCadjBMI. The single-trait GWAS results are consistent with the SHRINE *et al.* [14] study.



**FIGURE 2** Manhattan plots for genome-wide association analysis of 100285 Chinese subjects in the China Kadoorie Biobank cohort for three lung function traits: a) forced expiratory volume in 1 s (FEV<sub>1</sub>), b) forced vital capacity (FVC) and c) FEV<sub>1</sub>/FVC. The x-axis denotes the genomic position (chromosomes 1–22); the y-axis denotes the  $-\log_{10}(\text{p-value})$  of association test and starts at  $-\log_{10}(\text{p-value})=3$ . The most significant novel variant in each independent clump is highlighted by an orange diamond symbol. Genes in black were previously reported and genes in red are novel. An asterisk on some genes indicates a novel variant. The genome-wide significance level ( $p=5\times 10^{-8}$ ) is denoted by the red line.

### Genetic correlation between lung function and obesity traits

We investigated the genetic correlation between lung function and obesity traits in both the CKB and UKB. As shown in figure 3, we found that two lung function traits have significant negative genetic correlation with obesity traits in the CKB (e.g.  $R_g = -0.26$ ,  $p = 5.99 \times 10^{-9}$  for FEV<sub>1</sub>–WCadjBMI,  $R_g = -0.11$ ,  $p = 5.44 \times 10^{-3}$  for FVC–BMI and  $R_g = -0.28$ ,  $p = 2.24 \times 10^{-10}$  for FVC–WCadjBMI). We found that the genetic correlation is generally stronger between lung function and central obesity traits than BMI. The UKB genetic correlations also showed consistent findings with the CKB in most of the trait pairs, although different for one trait pair (e.g.  $R_g = 0.15$ ,  $p = 7.92 \times 10^{-24}$  for FEV<sub>1</sub>/FVC–BMI in the UKB, but not significant in the CKB) (figure 3). Sex-specific analyses found stronger genetic correlation between lung function and obesity traits in females than in males (supplementary tables S9 and S10). In addition, the cross-population genetic correlation analysis showed that one of these traits had an estimated cross-population  $R_g$  significantly  $< 1$  ( $R_{g\text{cross-population}} = 0.86$ ,  $p = 3.76 \times 10^{-6}$  for BMI) (supplementary table S11).

### Cross-trait meta-analysis

For the trait pairs that showed significant genetic correlation after Bonferroni correction (we also included the BMI–FEV<sub>1</sub> trait pair despite  $p = 0.038$ ), we applied CPASSOC for genome-wide cross-trait meta-analysis to identify shared genetic variants among each of the trait pairs ( $p_{\text{meta}} < 5 \times 10^{-8}$ ; single-trait  $p < 1 \times 10^{-5}$ ). A total of six trait pairs in the CKB and seven trait pairs in the UKB were included for the cross-trait meta-analysis. In the CKB, after pruning, we found seven loci significantly associated with BMI and FEV<sub>1</sub>, five loci with WHRadjBMI and FEV<sub>1</sub>, seven loci with WCadjBMI and FEV<sub>1</sub>, four loci with BMI and FVC, one locus with WHRadjBMI and FVC, and one locus with WCadjBMI and FVC. Among these loci, we highlighted three shared loci since they were shared loci in multiple pairs of lung function and obesity traits. The first locus is *DIS3L2* on 2q37.1 (BMI–FEV<sub>1</sub>, WCadjBMI–FEV<sub>1</sub>, BMI–FVC and WCadjBMI–FVC). The second locus is *HLA-DQA1* (BMI–FEV<sub>1</sub> and BMI–FVC). The third locus consists of several sentinel SNPs that were all mapped within 12p13.2, with genes including *ATXN2* and *ACAD10* (table 2). Out of the 25 shared loci identified in the CKB, five of them were also identified in the same trait pairs in the UKB (table 2 and supplementary tables S12–S18).

### Pathway analysis

To gain biological insights into the shared genes, we assessed the enrichment of the independent loci for each trait and the identified set of shared genes between lung function and obesity traits in GO biological process categories, and observed many significant enrichments in the UKB results (FDR:  $q < 0.05$  for both traits) (supplementary table S19). Consistent with the gene function of shared loci, GO biological process highlighted several common biological pathways for lung function and obesity traits, such as cell proliferation, embryo, skeletal and tissue development, and regulation of gene expression. However, we did not observe any significant enrichment in the CKB results.

### Mendelian randomisation

We applied GSMR to perform causal inference between BMI and lung function traits. In the East Asian population analysis, we observed a significant negative causal effect of BMI (per standard deviation) on FEV<sub>1</sub> ( $b_{xy} = -0.08$ ,  $p = 2.46 \times 10^{-4}$ ) and FVC ( $b_{xy} = -0.11$ ,  $p = 3.44 \times 10^{-7}$ ) (table 3). We did not observe a significant causal effect of BMI on FEV<sub>1</sub>/FVC. In the reverse direction, we observed either a small magnitude or nonsignificant causal effect.

### PRS<sub>lung function</sub> × BMI analysis

We constructed seven PRS models from LDpred for each lung function trait and selected the PRS model with the highest discriminatory performance ( $R^2$ ) for interaction analysis (supplementary table S20). We found a significant interaction between baseline BMI and PRS of FEV<sub>1</sub>/FVC on FEV<sub>1</sub>/FVC ( $p = 0.011$ ) (figure 4a and supplementary table S21). Generally, compared with the reference group, the bottom PRS decile plus underweight group has the lowest FEV<sub>1</sub>. For FVC, the largest reduction was found in the

**TABLE 1** 18 novel loci associated with forced expiratory volume in 1 s (FEV<sub>1</sub>), forced vital capacity (FVC) and FEV<sub>1</sub>/FVC in the China Kadoorie Biobank

Trait	Sentinel SNP	Clump region	N	A1	A2	A1 FREQ	BETA	SE	p-value	Genes within clump region
FEV <sub>1</sub>	rs145972739	chr1:200031115 –200031115	1	A	G	0.97	–0.083	0.015	4.90×10 <sup>–8</sup>	NR5A2
	rs1861229	chr2:102992079 –103208610	28	A	G	0.52	0.026	0.005	2.60×10 <sup>–8</sup>	IL18R1, IL18RAP, MIR4772, SLC9A4
	rs28695435	chr2:232797462 –233092939	181	G	A	0.31	–0.029	0.005	1.40×10 <sup>–9</sup>	DIS3L2
	rs222482	chr2:42391012 –42703861	188	C	T	0.27	–0.028	0.005	4.70×10 <sup>–8</sup>	COX7A2L, EML4, KCNG3, LOC102723824
	rs112952987	chr2:42638788 –42703942	21	G	A	0.94	0.050	0.009	3.40×10 <sup>–8</sup>	KCNG3
	rs117331805	chr5:43813683 –44687091	96	A	G	0.94	–0.076	0.010	3.50×10 <sup>–15</sup>	FGF10, FGF10-AS1
	rs117675260	chr5:44474070 –44623745	28	G	A	0.96	–0.069	0.012	2.10×10 <sup>–8</sup>	Intergenic region
	rs528366	chr13:92381450 –92572381	224	T	C	0.78	–0.030	0.005	2.30×10 <sup>–8</sup>	GPC5
	rs77578670	chr15:51607186 –51645049	23	C	T	0.75	–0.028	0.005	4.60×10 <sup>–8</sup>	CYP19A1, GLDN
FVC	rs143944819	chr2:232797462 –233101499	185	A	G	0.77	0.033	0.006	7.50×10 <sup>–9</sup>	DIS3L2
	rs6442039	chr3:46902129 –47410564	158	C	G	0.52	–0.025	0.004	2.00×10 <sup>–8</sup>	CCDC12, KIF9, KIF9-AS1, KLHL18, MYL3, NBEAL2, NRADDP, PTH1R, SETD2
	rs78732306	chr5:44048662 –44583962	177	T	C	0.91	–0.068	0.008	5.10×10 <sup>–17</sup>	FGF10, FGF10-AS1
	rs28366282	chr6:32196697 –32713674	1767	C	T	0.76	0.035	0.005	3.30×10 <sup>–11</sup>	BTNL2, C6orf10, HCG23, HLA-DQA1, HLA-DQA2, HLA-DQB1, HLA-DRA, HLA-DRB1, HLA-DRB5, HLA-DRB6
	rs139447342	chr6:32396905 –32636434	661	C	T	0.3	0.032	0.005	1.00×10 <sup>–9</sup>	HLA-DQA1, HLA-DQB1, HLA-DRA, HLA-DRB1, HLA-DRB5, HLA-DRB6
	rs6063386	chr20:36206453 –36273380	127	C	T	0.33	0.030	0.005	5.00×10 <sup>–10</sup>	LINC00489
FEV <sub>1</sub> /FVC	rs186784089	chr5:174393318 –174393318	1	G	A	0.99	0.120	0.022	4.70×10 <sup>–8</sup>	FLJ16171
	rs149101418	chr6:31944375 –32113312	17	T	G	0.55	0.035	0.005	7.50×10 <sup>–13</sup>	ATF6B, C4A, C4B, C4B_2, CYP21A1P, CYP21A2, FKBPL, STK19, TNXA, TNXB
	rs200214283	chr6:31976290 –32133380	4	C	T	0.9	–0.045	0.008	1.50×10 <sup>–8</sup>	ATF6B, C4A, C4B, C4B_2, CYP21A1P, CYP21A2, EGFL8, FKBPL, LOC100507547, PPT2, PPT2-EGFL8, PRRT1, STK19, TNXA, TNXB

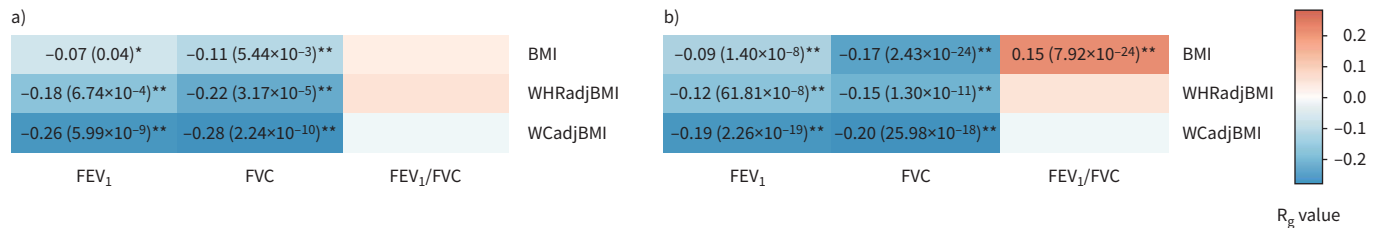
SNP: single nucleotide polymorphism; N: number of variants meeting the criteria of  $p < 1 \times 10^{-5}$  and  $r^2 > 0.2$  within the clump region; A1: effect allele; A2: noneffect allele; BETA: BOLT-LMM regression effect size.

bottom PRS decile plus obese group. For FEV<sub>1</sub>/FVC, we observed that the bottom PRS decile plus underweight group had the lowest FEV<sub>1</sub>/FVC, and overweight and obese groups had increases. In the change model, we found a significant interaction between change in BMI and PRS of FVC ( $p=0.038$ ) on change in FVC or between change in BMI and PRS of FEV<sub>1</sub>/FVC ( $p=0.007$ ) on change in FEV<sub>1</sub>/FVC (figure 4b and supplementary table S21). Overall, compared with the reference group, the BMI increase group had reduced FEV<sub>1</sub> and FVC, and the effect was largest in the top PRS decile. For the UKB, we did not find a significant interaction effect in the baseline model. However, we found a borderline significant interaction between change in BMI and PRS of FVC ( $p=0.068$ ) on change in FVC (supplementary table S22 and supplementary figure S3). Finally, we constructed additional lung function PRSs using weights of 275 SNPs from the SHRINE *et al.* [14] study and did not find any significant PRS<sub>lung function</sub>×BMI effect (supplementary table S23 and supplementary figure S4).

## Discussion

To the best of our knowledge, the current study is the largest GWAS of lung function in the Chinese population. We found a strong genetic correlation and shared genetic loci between lung function and





**FIGURE 3** Genome-wide genetic correlation between three lung function traits and three obesity traits in the a) China Kadoorie Biobank (CKB) and b) UK Biobank (UKB) cohorts. FEV<sub>1</sub>: forced expiratory volume in 1 s; FVC: forced vital capacity; BMI: body mass index; WHRadjBMI: BMI-adjusted waist-to-hip ratio; WCadjBMI: BMI-adjusted waist circumference. The colour of each box scales with the magnitude of the genetic correlation (R<sub>g</sub>). \*: pairs of traits with nominal significant genetic correlation (p<0.05); \*\*: pairs of traits with significant genetic correlation after correcting for multiple testing (p<0.05/9). Boxes without labelling are trait pairs with nonsignificant genetic correlation.

obesity traits. We replicated these Chinese findings in the UKB and also identified population-specific genetic effects. We also found shared biological pathways between lung function and obesity traits, such as cell proliferation, embryo, skeletal and tissue development, and regulation of gene expression.

In this study, we identified nine new loci for FEV<sub>1</sub>, six for FVC and three for FEV<sub>1</sub>/FVC. Of these, we highlighted a novel gene associated with FEV<sub>1</sub>, *GPC5* on 13q31.3. *GPC5* is a member of the glypican gene family. Evidence to date suggests that the main function of the glypicans is to regulate the signalling pathway of bone morphogenetic proteins, Wnt, Hedgehog and fibroblast growth factors [41], which are involved in modulation of lung function [42], pulmonary fibrogenesis [43] and COPD pathobiology [44]. *GPC5* was also found to contribute to an increased risk of lung cancer in never-smokers [45]. For FVC, we also found a novel independent region, 20q11.23, where the sentinel SNP is mapped to a lncRNA, *LINC00489*, although the function of this region needs to be further investigated. For FEV<sub>1</sub>/FVC, we note that several independent loci were within the 6p21 region, which was known for its association with lung function traits (FEV<sub>1</sub> and FEV<sub>1</sub>/FVC) and COPD [39, 40]. This region contains genes such as *AGER*, *ATF6B*, *NOTCH4* and *TNXB*, of which *AGER* has been reported to play a potential functional role in lung function [46]. *AGER* protein, a receptor for advanced glycation end-products (RAGE), is a multiligand receptor of the immunoglobulin superfamily and interacts with distinct molecules implicated in homeostasis, development, inflammation, diabetes and neurodegeneration [46]. RAGE signals depend on cell type and context. RAGE expression increases following cigarette smoke exposure and is partially responsible for inducing the pro-inflammatory signalling pathways (e.g. NF-κB) [47]. In addition, we noticed two novel loci (mapped genes *DIS3L2* and *FGF10/FGF10-AS1*) that are significant in both FEV<sub>1</sub> and FVC, indicating their pleiotropic effect between different lung function traits.

Our LDSC analysis showed a strong genome-wide genetic correlation between lung function and obesity traits in both Chinese and European populations. We observed a strong negative genetic correlation of obesity traits with FEV<sub>1</sub> and FVC in both populations, but a nonsignificant genetic correlation between obesity traits and FEV<sub>1</sub>/FVC in the Chinese population. The genetic correlation results in the European population were consistent with those from the Chinese population, except for BMI–FEV<sub>1</sub>/FVC, which was also highly significant in the European population (R<sub>g</sub>=0.15, p=7.92×10<sup>-24</sup>). Previous studies showed that FEV<sub>1</sub> and FVC are reduced in the presence of obesity [48], but the FEV<sub>1</sub>/FVC ratio is usually unaffected [49]. There are several biological mechanisms that could potentially explain how lung function impairment and obesity are associated. First, the mechanical effects of obesity produce airway narrowing and closure and increased respiratory system resistance. Compared with healthy weight individuals, airway narrowing in obesity correlates with airway closure and airway hyperresponsiveness [50]. Airway narrowing and closure lead to air trapping and ventilation inhomogeneity [51]. In addition, we found that the genetic correlation is stronger between lung function and central obesity than with global obesity. Compared with global obesity, which does not take account of fat distribution, abdominal and thoracic fat are more likely to play a role in lung function impairment. This is because they have direct mechanical effects on the diaphragm and chest wall expansion during forced inspiration [52, 53], a typical symptom of restrictive lung disease [54].

Cross-trait meta-analysis identified significantly independent loci shared between lung function and obesity traits. In the Chinese population, the locus *DIS3L2* on 2q37.1 was found to be shared between multiple lung function and obesity traits (BMI–FEV<sub>1</sub>, WCadjBMI–FEV<sub>1</sub>, BMI–FVC and WCadjBMI–FVC in the

**TABLE 2** 25 shared genetic loci between lung function (forced expiratory volume in 1 s (FEV<sub>1</sub>) and forced vital capacity (FVC)) and obesity (body mass index (BMI), BMI-adjusted waist-to-hip ratio (WHRadjBMI) and BMI-adjusted waist circumference (WCadjBMI)) traits in the China Kadoorie Biobank

Trait pair	Sentinel SNP	Clump region	N	A1	A2	BETA1	P1	BETA2	P2	P	Genes within clump region	Overlap with UKB <sup>#</sup>
FEV <sub>1</sub> and BMI	rs73995038	chr2:232797462 -233165478	193	A	G	-0.027	2.40×10 <sup>-9</sup>	-0.024	1.30×10 <sup>-7</sup>	1.15×10 <sup>-15</sup>	<i>DIS3L2</i>	No
	rs801170	chr5:139973696 -140230371	303	C	T	0.023	3.70×10 <sup>-7</sup>	0.022	7.20×10 <sup>-7</sup>	9.11×10 <sup>-13</sup>	<i>CD14, DND1, HARS, HARS2, IK, MIR3655, NDUFA2, PCDHA1, PCDHA2, PCDHA3, PCDHA4, PCDHA5, PCDHA6, PCDHA7, PCDHA8, PCDHA9, TMC06, VTRNA1-1, VTRNA1-2, VTRNA1-3, WDR55, ZMAT2</i>	No
	rs9271730	chr6:32397794 -32667412	1728	G	A	0.022	3.10×10 <sup>-6</sup>	0.029	3.30×10 <sup>-10</sup>	7.72×10 <sup>-15</sup>	<i>HLA-DQA1, HLA-DQB1, HLA-DRA, HLA-DRB1, HLA-DRB5, HLA-DRB6</i>	No
	rs11066001	chr12:111629389 -112119171	19	T	C	0.024	7.60×10 <sup>-6</sup>	0.028	3.40×10 <sup>-7</sup>	1.99×10 <sup>-11</sup>	<i>ATXN2, BRAP, CUX2, FAM109A, MIR6760, SH2B3</i>	No
	rs144504271	chr12:112140669 -113117897	16	G	A	0.028	1.00×10 <sup>-6</sup>	0.029	3.20×10 <sup>-7</sup>	1.88×10 <sup>-12</sup>	<i>ACAD10, ADAM1A, ALDH2, ERP29, HECTD4, MAPKAPK5, MAPKAPK5-AS1, MIR6761, MIR6861, NAA25, PTPN11, RPL6, TMEM116, TRAFD1</i>	No
	rs2078863	chr12:111846028 -112355472	137	T	C	0.020	5.60×10 <sup>-6</sup>	0.020	9.50×10 <sup>-6</sup>	5.20×10 <sup>-10</sup>	<i>ACAD10, ADAM1A, ALDH2, ATXN2, BRAP, MAPKAPK5, MAPKAPK5-AS1, MIR6761, SH2B3</i>	No
	rs5742653	chr12:102397730 -102910374	339	C	T	0.021	4.50×10 <sup>-6</sup>	0.022	1.10×10 <sup>-6</sup>	2.03×10 <sup>-11</sup>	<i>CCDC53, IGF1, NUP37, PARPBP, PMCH</i>	No
FEV1 and WHRadjBMI	rs11066325	chr12:112834586 -113150735	7	T	C	0.036	2.00×10 <sup>-9</sup>	0.031	2.30×10 <sup>-7</sup>	5.44×10 <sup>-16</sup>	<i>PTPN11, RPL6</i>	No
	rs3809297	chr12:1111293470 -111718231	88	G	T	0.029	8.80×10 <sup>-7</sup>	0.026	6.80×10 <sup>-6</sup>	2.53×10 <sup>-11</sup>	<i>CCDC63, CUX2, LOC100131138, MYL2</i>	No
	rs4646776	chr12:111886967 -112678697	19	G	C	0.037	5.60×10 <sup>-11</sup>	0.029	2.20×10 <sup>-7</sup>	1.51×10 <sup>-17</sup>	<i>ACAD10, ADAM1A, ALDH2, ATXN2, BRAP, ERP29, HECTD4, MAPKAPK5, MAPKAPK5-AS1, MIR6761, MIR6861, NAA25, SH2B3, TMEM116, TRAFD1</i>	No
	rs7175531	chr15:51415799 -51556959	49	T	C	0.027	1.60×10 <sup>-7</sup>	0.022	7.80×10 <sup>-6</sup>	2.01×10 <sup>-12</sup>	<i>CYP19A1, MIR4713</i>	No
	rs6142351	chr20:33864484 -34336720	302	G	A	-0.032	5.20×10 <sup>-11</sup>	-0.024	1.20×10 <sup>-6</sup>	5.03×10 <sup>-16</sup>	<i>C20orf173, CEP250, CPNE1, EIF6, ERGIC3, FAM83C, FAM83C-AS1, FER1L4, GDF5, MMP24, MMP24-AS1, NFS1, RBM12, RBM39, ROMO1, SPAG4, UQCC1</i>	Yes
FEV1 and WCadjBMI	rs12048493	chr1:149922960 -149995265	4	A	C	-0.023	1.00×10 <sup>-6</sup>	0.022	6.10×10 <sup>-6</sup>	1.92×10 <sup>-10</sup>	<i>OTUD7B</i>	Yes
	rs6604614	chr1:218568359 -218690948	71	C	G	-0.030	5.10×10 <sup>-9</sup>	-0.027	1.90×10 <sup>-7</sup>	1.06×10 <sup>-16</sup>	<i>TGFB2</i>	Yes
	rs16828537	chr2:232797462 -233211117	264	A	G	0.033	3.90×10 <sup>-13</sup>	-0.025	3.30×10 <sup>-8</sup>	3.91×10 <sup>-19</sup>	<i>DIS3L2</i>	No
	rs11066065	chr12:111846028 -112824473	707	C	G	0.025	2.20×10 <sup>-8</sup>	0.024	1.20×10 <sup>-7</sup>	1.92×10 <sup>-16</sup>	<i>ACAD10, ADAM1A, ALDH2, ATXN2, BRAP, ERP29, HECTD4, MAPKAPK5, MAPKAPK5-AS1, MIR6761, MIR6861, NAA25, SH2B3, TMEM116, TRAFD1</i>	No
	rs11066325	chr12:112834586 -113150735	7	T	C	0.053	6.70×10 <sup>-19</sup>	0.031	2.30×10 <sup>-7</sup>	5.08×10 <sup>-27</sup>	<i>PTPN11, RPL6</i>	No
	rs4646776	chr12:111827203 -112678697	31	G	C	0.055	4.90×10 <sup>-23</sup>	0.029	2.20×10 <sup>-7</sup>	7.56×10 <sup>-31</sup>	<i>ACAD10, ADAM1A, ALDH2, ATXN2, BRAP, ERP29, HECTD4, MAPKAPK5, MAPKAPK5-AS1, MIR6761, MIR6861, NAA25, SH2B3, TMEM116, TRAFD1</i>	No
	rs78572043	chr12:111293470 -111718231	94	A	G	0.046	1.10×10 <sup>-13</sup>	0.029	2.70×10 <sup>-6</sup>	4.58×10 <sup>-20</sup>	<i>CCDC63, CUX2, LOC100131138, MYL2</i>	No

Continued

TABLE 2 Continued

Trait pair	Sentinel SNP	Clump region	N	A1	A2	BETA1	P1	BETA2	P2	P	Genes within clump region	Overlap with UKB <sup>#</sup>
FVC and BMI	rs6730783	chr2:219890663 –220051676	63	A	G	–0.023	2.00×10 <sup>–7</sup>	–0.021	4.00×10 <sup>–6</sup>	2.73×10 <sup>–12</sup>	CCDC108, CNPPD1, FAM134A, IHH, MIR3131, NHEJ1, SLC23A3	No
	rs73995038	chr2:232797462 –233201328	207	A	G	–0.027	2.40×10 <sup>–9</sup>	–0.024	7.10×10 <sup>–8</sup>	4.13×10 <sup>–16</sup>	DIS3L2	No
	rs801170	chr5:139791506 –140230371	327	C	T	0.023	3.70×10 <sup>–7</sup>	0.022	1.30×10 <sup>–6</sup>	8.74×10 <sup>–13</sup>	ANKHD1, ANKHD1-EIF4EBP3, APBB3, CD14, DND1, EIF4EBP3, HARS, HARS2, IK, MIR3655, MIR6831, NDUFA2, PCDHA1, PCDHA2, PCDHA3, PCDHA4, PCDHA5, PCDHA6, PCDHA7, PCDHA8, PCDHA9, SLC35A4, SRA1, TMC06, VTRNA1-1, VTRNA1-2, VTRNA1-3, WDR55, ZMAT2	No
	rs9271730	chr6:32397794 –32667412	2271	G	A	0.022	3.10×10 <sup>–6</sup>	0.028	1.30×10 <sup>–9</sup>	2.21×10 <sup>–14</sup>	HLA-DQA1, HLA-DQB1, HLA-DRA, HLA-DRB1, HLA-DRB5, HLA-DRB6	No
FVC and WHRadjBMI	rs2425059	chr20:33847253 –34412049	326	T	C	0.033	3.70×10 <sup>–11</sup>	0.022	9.10×10 <sup>–6</sup>	1.61×10 <sup>–14</sup>	C20orf173, CEP250, CPNE1, EIF6, ERGIC3, FAM83C, FAM83C-AS1, FER1L4, GDF5, MMP24, MMP24-AS1, NFS1, PHF20, RBM12, RBM39, ROMO1, SPAG4, UQCC1	Yes
FVC and WCadjBMI	rs16828537	chr2:232797462 –233211117	257	A	G	0.033	3.90×10 <sup>–13</sup>	–0.025	1.40×10 <sup>–8</sup>	5.78×10 <sup>–17</sup>	DIS3L2	Yes

SNP: single nucleotide polymorphism; N: number of variants meeting the criteria of  $p < 1 \times 10^{-5}$  and  $r^2 > 0.2$  within the clump region; A1: effect allele; A2: noneffect allele; BETA1: lung function trait effect size; P1: lung function trait p-value; BETA2: obesity trait effect size; P2: obesity trait p-value; P: cross-trait meta-analysis p-value; UKB: UK Biobank. #: if the cross-trait meta-analysis clump region is overlapped with the same trait pair results in the UKB.

**TABLE 3** Estimates of causal effect size for body mass index (BMI) and lung function traits (forced expiratory volume in 1 s (FEV<sub>1</sub>), forced vital capacity (FVC) and FEV<sub>1</sub>/FVC)

Population	Trait 1	Trait 2	Direction	Causal effect size <sup>#</sup>	SE	p-value	n <sub>SNP</sub>
East Asian	BMI	FEV <sub>1</sub>	→	-0.0773	0.021	2.46×10 <sup>-4</sup>	68
			←	0.0884	0.033	6.53×10 <sup>-3</sup>	17
	FVC	→	-0.1084	0.021	3.44×10 <sup>-7</sup>	67	
		← <sup>¶</sup>				6	
	FEV <sub>1</sub> /FVC	→	0.0332	0.021	0.115732	69	
		← <sup>¶</sup>				3	
European	BMI	FEV <sub>1</sub>	→	-0.1057	0.012	4.65×10 <sup>-18</sup>	50
			←	0.0250	0.012	0.0308	411
	FVC	→	-0.1564	0.012	1.23×10 <sup>-37</sup>	50	
		←	-0.0180	0.013	0.154475	379	
	FEV <sub>1</sub> /FVC	→	0.1622	0.014	8.01×10 <sup>-33</sup>	48	
		←	0.0393	0.009	4.75×10 <sup>-6</sup>	599	

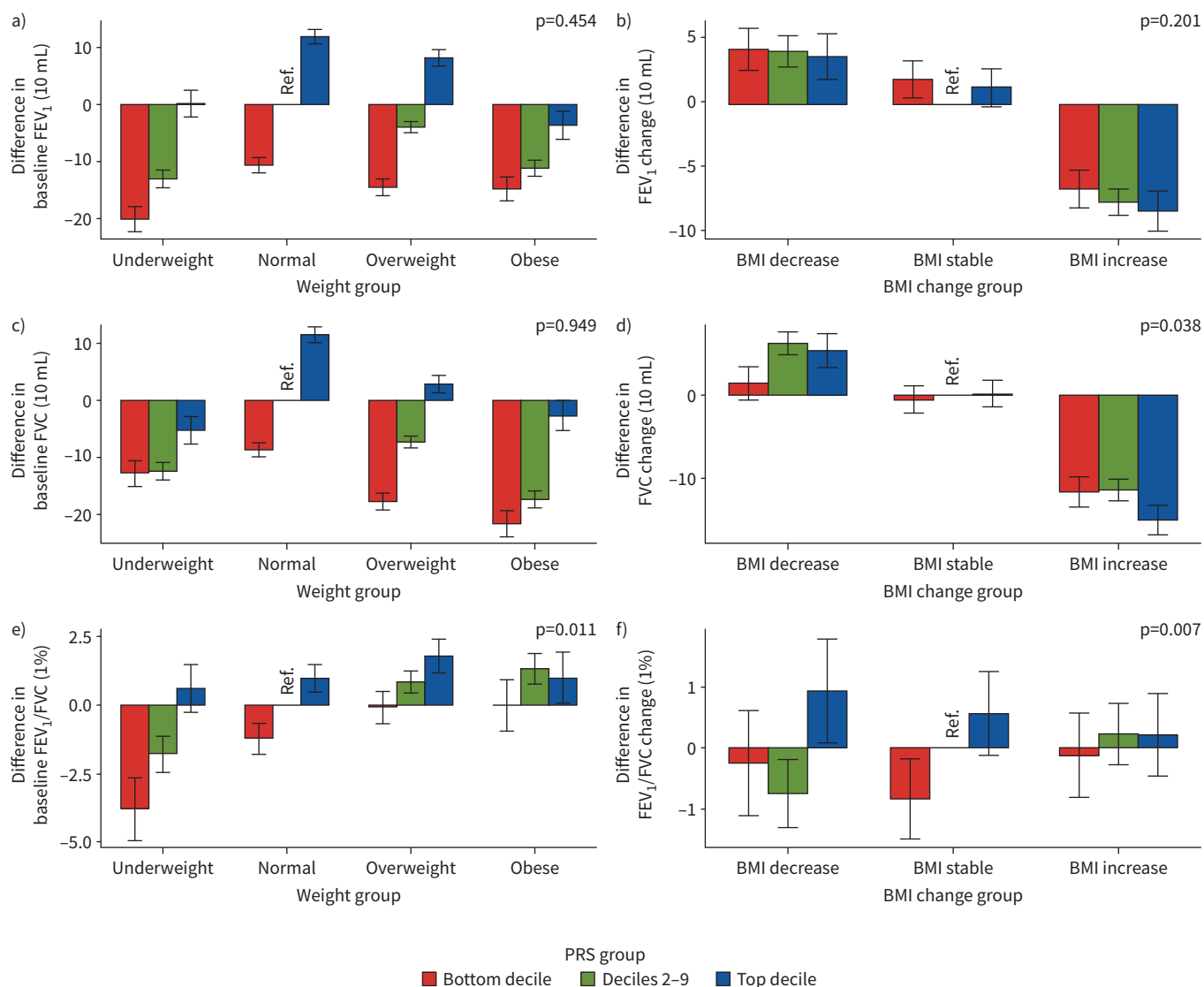
n<sub>SNP</sub>: number of single nucleotide polymorphisms in the instrumental variable; →: trait 1→trait 2 causal direction; ←: trait 2→trait 1 causal direction. <sup>#</sup>: causal effect sizes are in units of per standard deviation increase in exposure; <sup>¶</sup>: the FVC and FEV<sub>1</sub>/FVC genome-wide association studies do not have enough SNPs at the genome-wide significance level to construct the instrument variable.

Chinese population; WC–FVC in the European population). A previous study found *DIS3L2* to be a gene that contributes to an overgrowth syndrome (e.g. Perlman syndrome [55]), suggesting its critical role in the regulation of cell growth and division. Such a function is also consistent with the findings from the pathway analysis, where the shared genes are mainly enriched in pathways related to cell proliferation and embryo, skeletal and tissue development. Notably, these shared pathways show the important role of growth for both lung function and obesity, and are partially distinct from the pathways identified in a recent lung function GWAS study [14]. Unsurprisingly, we also found many loci in the *HLA* region that were shared by obesity traits and lung function in both populations. *HLA* is a gene complex that contains abundant pleiotropy for many complex diseases [6, 7, 9, 56] and is especially involved in immune-related process [57]. In the European population, we also identified many shared loci between lung function and obesity traits. However, we found that most of the shared loci were distinct between the two populations.

Although the relationship between lung function and obesity was established in epidemiological studies [5, 15, 31, 58], it remains unclear whether obesity is a driving component in lung function or a comorbidity of its presence. The MR estimates in the current study suggested a negative causal effect of BMI to FEV<sub>1</sub> and FVC and a positive causal effect to FEV<sub>1</sub>/FVC. These estimates provide evidence that BMI might reduce lung function in both East Asian and European populations, although the causal relationship between BMI and FEV<sub>1</sub>/FVC can still be bidirectional. The results of causal association from BMI to lung function traits are consistent with WIELSCHER *et al.* [59], showing negative causal associations of BMI with FEV<sub>1</sub> and FVC and a positive causal association of BMI with FEV<sub>1</sub>/FVC.

In this study, we found evidence of genetic heterogeneity in Chinese and European populations. At the genome-wide level, the cross-population genetic correlation analysis showed that BMI had an estimated cross-population R<sub>g</sub> statistically significantly <1, indicating heterogeneity in genetic regulation of BMI across Chinese and European populations. At the variant level, the cross-trait meta-analysis showed the majority of the shared variants are different in Chinese and European populations, which could be due to the distinct genetic background and sample size in the two populations, and also gene×environment interaction [32].

Several recent lung function PRS studies have focused on the association between lung function PRS and COPD risk, and interaction with smoking status [14, 60]. Our study investigated PRS<sub>lung function</sub>×BMI in both cross-sectional and longitudinal settings. Our interaction analysis showed that maintaining a normal BMI improved lung function and the beneficial effect is more profound in subjects with a high lung function genetic profile. The results suggest that BMI might be a mediator of genetic effects on lung function, which is consistent with the MR results showing that BMI is a causal risk factor of lung function. We also observed the top PRS group showed the most beneficial effect by BMI change. These findings have important implications for lung function improvement because they can provide potential intervention on BMI to individuals at risk before lung function reduction based on more precise risk stratification by using PRSs.



**FIGURE 4** Relationship of the distribution of three lung function polygenic risk scores (PRSs) with body mass index (BMI) in the China Kadoorie Biobank for **a, c, e** the baseline model and **b, d, f** the change model: **a, b** forced expiratory volume in 1 s (FEV<sub>1</sub>), **c, d** forced vital capacity (FVC) and **e, f** FEV<sub>1</sub>/FVC. For the baseline model, we set normal BMI and the deciles 2–9 group as reference; for the change model, we set BMI stable and the deciles 2–9 group as reference. For the baseline model, the *x*-axis denotes different BMI categories by the following definitions: underweight BMI <18.5 kg·m<sup>-2</sup>, normal BMI 18.5–24.9 kg·m<sup>-2</sup>, overweight BMI 25.0–29.9 kg·m<sup>-2</sup> and obese BMI ≥30.0 kg·m<sup>-2</sup>. The *y*-axis denotes the difference between lung function measurements for each group and the reference group. For the change model, the *x*-axis denotes different BMI change categories: BMI decrease is defined as BMI<sub>t1</sub>–BMI<sub>t0</sub> ≤ –1 kg·m<sup>-2</sup>, BMI stable is defined as –1 kg·m<sup>-2</sup> < BMI<sub>t1</sub>–BMI<sub>t0</sub> ≤ 1 kg·m<sup>-2</sup> and BMI increase is defined as BMI<sub>t1</sub>–BMI<sub>t0</sub> > 1 kg·m<sup>-2</sup>. The *y*-axis denotes the difference between lung function measurements change (lung function<sub>t1</sub>–lung function<sub>t0</sub>) for each group and the reference group. The PRS groups were defined as: bottom decile, deciles 2–9 and top decile. The *p*-value on each plot represents the lung function and baseline BMI or BMI change interaction *p*-value from baseline or change models.

We also acknowledge the limitations in the current study. First, the CKB GWAS sample size is only a quarter the size of the UKB's. This leads to some findings that may not be directly comparable between the two cohorts. Second, the FVC and FEV<sub>1</sub>/FVC measurements for the Haikou and Qingdao regions (n=14000) have may be biased in comparison with the other eight regions in the CKB. Thus, we further conducted the sensitivity analysis removing the two regions. The sensitivity analysis (supplementary figures S5 and S6) showed the effect sizes of FVC and FEV<sub>1</sub>/FVC novel loci were highly consistent with the primary analysis (using the full GWAS cohort), although the *p*-values modestly increased after removing the two regions due to less power. In addition, the results of the sensitivity analysis for genetic correlation are consistent with the primary analysis (supplementary table S24). The CKB FEV<sub>1</sub>/FVC PRS

has also been used in a recent study and showed consistent results compared with other independent ancestry groups [14]. Third, we chose to use the lung function spirometry measures with two time-points in the UKB (data fields 3062 and 3063), thus the most cleaned lung function spirometry measures (data fields 20150 and 20151) could not be used. However, we showed our GWAS results are consistent with the results of the SHRINE *et al.* [14] study, which used the most cleaned lung function spirometry measures. Fourth, although the use of PRSs allows researchers to effectively capture a useful fraction of genetic effects, the PRS<sub>lung function</sub>×BMI analysis remains susceptible to confounding or bias due to LD between SNP markers in the PRS model and the causal variants of BMI [61, 62]. However, our sensitivity analyses showed that this potentially has little impact on the PRS<sub>lung function</sub>×BMI analyses and adjusting height is not likely to introduce collider bias between the BMI and lung function association (supplementary tables S25–S27). Finally, the LD patterns in the *HLA* region are highly complex and the signals we reported are likely tagging the causal variants instead of actually being the causal variants due to the limitation of imputation data. Sequencing data is recommended to identify causal variants in the *HLA* region.

In conclusion, the current study is the first large-scale GWAS analysis of lung function in the Chinese population. Our study extends existing knowledge of the genetic landscape of lung function traits by leveraging large-scale Chinese and European genetic cohorts. We applied single- and cross-trait analyses, and identified novel loci for lung function traits in the Chinese population, shared genetic effects between lung function and obesity traits, genetic heterogeneity in Chinese and European populations, and a PRS<sub>lung function</sub>×BMI effect. These new findings provide greater knowledge of the genetic basis of lung function in the Chinese population, and shared genetics between lung function and obesity, which will foster subsequent translational, clinical and public health research.

**Acknowledgements:** This research has been conducted using the China Kadoorie Biobank (CKB) and UK Biobank (UKB) (application numbers 16549 and 45052). We greatly thank the participants in the study and the members of the research teams at both the CKB and UKB. We thank GIANT and the Biobank Japan consortium for providing genome-wide association study summary statistics data. We also thank Zachary Schwartz (Harvard T.H. Chan School of Public Health, Boston, MA, USA) for language editing assistance.

**Author contributions:** Z. Zhu, C. Yu and L. Liang designed the study. All authors acquired or interpreted the data. Z. Zhu, J. Li, B. Ma and H. Shi did the statistical analysis. L. Li, W. Cao, C. Yu, J. Lv and Y. Guo obtained funding. All authors reviewed and approved the manuscript.

**Conflict of interest:** Z. Zhu has nothing to disclose. J. Li has nothing to disclose. J. Si has nothing to disclose. B. Ma has nothing to disclose. H. Shi has nothing to disclose. J. Lv has nothing to disclose. W. Cao has nothing to disclose. Y. Guo has nothing to disclose. I.Y. Millwood has nothing to disclose. R.G. Walters has nothing to disclose. K. Lin has nothing to disclose. L. Yang has nothing to disclose. Y. Chen has nothing to disclose. H. Du has nothing to disclose. B. Yu has nothing to disclose. K. Hasegawa reports grants from the NIH and Novartis, outside the submitted work. C.A. Camargo Jr has nothing to disclose. M.F. Moffatt has nothing to disclose. W.O.C. Cookson has nothing to disclose. J. Chen has nothing to disclose. Z. Chen has nothing to disclose. L. Li has nothing to disclose. C. Yu has nothing to disclose. L. Liang has nothing to disclose.

**Support statement:** This work was supported by the National Key R&D Program of China (2016YFC1303904, 2016YFC0900500, 2016YFC0900501 and 2016YFC0900504) and National Natural Science Foundation of China (81941018, 91846303 and 91843302). The China Kadoorie Biobank baseline survey and the first re-survey were supported by a grant from the Kadoorie Charitable Foundation in Hong Kong. The long-term follow-up is supported by grants from the UK Wellcome Trust (212946/Z/18/Z, 202922/Z/16/Z, 104085/Z/14/Z and 088158/Z/09/Z), National Natural Science Foundation of China (81390540, 81390541 and 81390544), and Chinese Ministry of Science and Technology (2011BAI09B01). The funders had no role in the study design, data collection, data analysis and interpretation, writing of the report, or the decision to submit the article for publication. Funding information for this article has been deposited with the Crossref Funder Registry.

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