



Morning brain: real-world neural evidence that high school class times matter

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Abstract

Researchers, parents and educators consistently observe a stark mismatch between biologically preferred and socially imposed sleep–wake hours in adolescents, fueling debate about high school start times. We contribute neural evidence to this debate with electroencephalogram data collected from high school students during their regular morning, mid-morning and afternoon classes. Overall, student alpha power was lower when class content was taught via videos than through lectures. Students' resting state alpha brain activity decreased as the day progressed, consistent with adolescents being least attentive early in the morning. During the lessons, students showed consistently worse performance and higher alpha power for early morning classes than for mid-morning classes, while afternoon quiz scores and alpha levels varied. Together, our findings demonstrate that both class activity and class time are reflected in adolescents' brain states in a real-world setting, and corroborate educational research suggesting that mid-morning may be the best time to learn.

Key words: real-world neuroscience; classroom learning; hyperscanning; alpha oscillations; portable EEG; circadian rhythms; school times; chronobiology

Introduction

Among all the ups and downs associated with the high school experience, most readers will distinctly recall trying (and failing) to make it to school on time, especially during the winter

season. Most younger kids are early risers, but something drastic happens to sleep/wake patterns around the onset of puberty (Wolfson and Carskadon, 1998; Roenneberg *et al.*, 2004; Crowley *et al.*, 2007): as children enter adolescence, they tend to shift toward later bedtimes. While variations in chronotypes

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exist across all ages, this sharp change in circadian rhythms toward ‘eveningness’ is so consistent and distinct that it is often regarded as a reliable biomarker for the onset of adolescence (Carskadon *et al.*, 1998, 2006; Dewald *et al.*, 2010; Preckel *et al.*, 2011; Crowley *et al.*, 2014), resulting in a stark mismatch between biologically preferred and socially imposed sleep–wake hours (Crowley *et al.*, 2007; Dewald *et al.*, 2010; Wahlstrom *et al.*, 2014; Kelley *et al.*, 2017) for most teenagers. In fact, chronically inadequate sleep in adolescents is now considered a public health epidemic (Wheaton *et al.*, 2016).

It is well known that low levels of alertness resulting from sleep-related factors are associated with a reduction in the ability to focus, which, in turn, affects learning and task performance (Chee and Choo, 2004; Lim and Dinges, 2010; Sievertsen *et al.*, 2016). To highlight just one example, performance accuracy in adults on certain basic cognitive and neurobehavioral tasks is more impaired after mild sleep deprivation than after alcohol intake that exceeds the levels for legal intoxication (Lamond and Dawson, 1999). For teenagers, various factors connected to sleep (e.g. sleep time, circadian rhythms and sleep loss) are shown to influence levels of focus, alertness and mood, both within and outside of laboratory contexts (Wolfson and Carskadon, 1998; Preckel *et al.*, 2011; Short *et al.*, 2013; Goldin *et al.*, 2020).

Although it can be difficult to disentangle whether low levels of alertness in the morning in high schoolers are due to sleep loss or chronotype (i.e. either adolescents go to bed late and have slept only a few hours by the time they have to wake up, or they are woken up at a bad moment in their natural sleep cycle), the overall observation is that adolescents are less alert in the morning than other age groups and that this lack of alertness leads to a reduction in (cognitive) performance in the morning. Perhaps most strikingly, it has been reported that car accidents in 16–19-year-olds decreased by 65–70% when school start time was delayed from 7:55 to 8:25 am (Wahlstrom *et al.*, 2014).

In light of these findings, it is unsurprising that studies consistently find that age-dependent circadian rhythms (as well as chronotype) predict school experience and academic performance (Dewald *et al.*, 2010; Preckel *et al.*, 2011; Short *et al.*, 2013; Vollmer *et al.*, 2013; Wahlstrom *et al.*, 2014). For example, research has shown that sleep-restricted adolescents exhibit poorer cognitive performance and higher levels of sleepiness in the early morning (Short *et al.*, 2013; Lo *et al.*, 2016). As a rather unfortunate coincidence, adolescents spend a sizeable chunk of their mornings attempting to retain information. This has sparked an ongoing public debate about high school start times. In fact, delaying school start times by even 50 min appears to have a significant positive effect on student achievement (Carrell *et al.*, 2011; also see Minges and Redeker, 2016; Bowers and Moyer, 2017). However, effect sizes in these data are often small, and results are largely dependent on explicit self-report measures (including parental reports; Dewald *et al.*, 2010), which are not always reliable. One possible additional source of information may come from (neuro)physiological data, which has been suggested to constitute a better predictor of behavior than self-report in some cases (Berkman and Falk, 2012; Thorson *et al.*, 2018). With the advance of wearable technology, researchers are now able to collect implicit biophysiological data during everyday activities (Debener *et al.*, 2012), including sleep (de Zambotti *et al.*, 2016). For example, a recent study used wearable activity trackers to assess the relationship between sleep patterns and academic performance in college students (Okano *et al.*, 2019).

Here, we extend on this body of work by focusing on neurophysiological data collected from teenagers as an implicit, real-time measure of their alertness during naturalistic daytime activities. Specifically, we recorded electroencephalogram (EEG) from high school students during their regular classes (consisting of a combination of teacher-led lectures and educational videos) throughout the school day (early morning, mid-morning and afternoon) in two different New York City high schools (Figure 1; Bevilacqua *et al.*, 2019; Dikker *et al.*, 2017).

Although EEG is often employed as a measure of focus/alertness (Corsi-Cabrera *et al.*, 1992; Cajochen *et al.*, 1995; Horne and Baulk, 2004; Lockley *et al.*, 2006), to our knowledge, we are the first to do so in a real-world school environment. This approach uniquely positioned us to investigate how student brain activity varies as a function of class time and how such neural changes might relate to self-reported focus and academic performance. We focused our analysis on students’ brain activity in the alpha frequency range (~10 Hz). The alpha rhythm, traditionally associated with cortical idling (Adrian and Matthews, 1934; Pfurtscheller *et al.*, 1996), is typically negatively correlated with selective attention and is thought to reflect a mechanism of active inhibition, such that increased alpha activity functions to suppress (distracting) input whereas decreased alpha facilitates processing (Klimesch *et al.*, 2007; Jensen and Mazaheri, 2010; Haegens *et al.*, 2011a, 2011b). In addition to reflecting local task-related focus, a person’s global alpha power is strongly related to overall vigilance or attentiveness (Haslum and Gale, 1973; Linkenkaer-Hansen *et al.*, 2004; Lakatos *et al.*, 2016; Crunelli *et al.*, 2018). We studied how alpha power varied over the course of the day and during different class activities at the group level, comparing alpha activity during teacher-led activities (lectures), educational videos and rest (silently looking at the wall for 2 min).

Furthermore, we linked brain activity to both self-reported attentiveness (‘how focused are you right now?’) and quiz scores. We hypothesized that alpha power would be highest early in the morning and inversely related to students’ quiz scores (Preckel *et al.*, 2011). In addition, following previous findings that reported that students are more engaged during videos than lectures (Dikker *et al.*, 2017; Bevilacqua *et al.*, 2019), we predicted that students would exhibit higher alpha power during lectures than videos.

Methods

We collected EEG and behavioral data from 22 students at two New York City high schools in their senior biology class setting. Here we briefly describe the approach; a more extensive description of the experimental design, recording procedures and preprocessing steps can be found in a previous publication (Dikker *et al.*, 2017).

Participants

Subjects were 22 healthy high school students (age 17–18 years; 10 students from School 1: 7 females and 3 males, 12 from School 2: 7 females and 5 males) with no known history of neurological disease. All participants provided written informed consent after receiving a detailed explanation of the experimental procedures. For those students who were under 18-years old at the time of data collection, informed consent was also obtained from their legal guardian. The Institutional Review Board of New York University approved all experimental procedures, and

custom software based on the OpenFrameworks C++ library (openframe works.com) to simultaneously record the data from all students (Dikker et al., 2017).

Data analysis

The data were analyzed using custom-built Matlab code, in addition to the EEGLAB (Delorme and Makeig, 2004) and FieldTrip toolboxes (Oostenveld et al., 2011). Continuous recordings were band-pass filtered between 0.5 and 35 Hz and then segmented into 1 s epochs. Bad channels and data segments were rejected upon visual inspection. The analysis included on average 15 min of data during rest (s.d. = 6 min), 23 min for lecture (s.d. = 8 min) and 20 min for video conditions (s.d. = 8 min) per subject. For SNR purposes, here we exclusively focused on data recorded with the two occipital electrodes.

Spectral analysis

Power spectra (4–30 Hz) were computed using a fast Fourier transform approach. The 1 s epochs were padded with 0–10 s length and multiplied with a Hanning taper, to improve alpha peak frequency detection. For each subject, we computed the average power across all frequencies per recording day (i.e. all conditions combined), per electrode. In order to normalize the data, we divided the single-epoch spectra of the respective day/electrode by this average power value, resulting in relative power values. This procedure reduces variability in the power estimates across electrodes, recording days and subjects. Subsequently, the power spectra were averaged per condition of interest.

Alpha peak detection

To determine the subject's peak occipital alpha frequency, we detected the highest local maximum within the 7–14 Hz band with a 0.1 Hz step size (Haegens et al., 2014), in the average spectrum for that subject (i.e. all occipital electrodes, all recording days, all conditions combined). All subsequent alpha power analysis was performed using a 1 Hz band centered at this individual peak frequency. As a control analysis, we verified that there were no significant differences in alpha peak frequency across conditions (repeated measures ANOVA with factor class condition: $F(2, 42) = 0.657$, $P = 0.523$) or time of day ($F = 0.765$, $P = 0.472$).

Statistical analysis

To analyze students' alpha power and focus over the course of the day, data from both schools were combined ($N = 22$). To adjust for non-independence over repeated measures, participants' pre- and post-session resting state alpha power was compared to self-reported focus scores using multilevel modeling, using the MIXED procedure in SPSS, specifying an unstructured correlation structure (in which variances for each repeated measure and all possible covariances are estimated). The 'time' repeated measure factor was analyzed in two ways. First, the 'pre/post' time factor evaluated resting state relative alpha power and focus ratings before and after lessons, regardless of time of day. Second, the time factor was analyzed as 'time of day' for alpha power, focus ratings and quiz scores per teaching style for the sessions' time of day occurrence (i.e. AM1 vs AM2 vs PM).

For the second school ($N = 12$), we analyzed students' alpha power in relation to performance via post-lesson quizzes for

both teaching methods (i.e. lectures and videos; this information was not collected for the first school). In this analysis, we adjusted for non-independence of repeated observations nested within students using GEE (Ballinger, 2004). The distribution of the response variable was specified as normal, and an unstructured correlation matrix was specified (in which all possible correlations between within-subjects' responses are estimated (Fitzmaurice et al., 1993). Commonly, this specialized model applies to complex data structures that account for missing data, multiple regression parameters and repeated measure within-subject correlations (Westgate and Burchett, 2017). This model was computed via the GENLIN command in SPSS.

Results

We recorded students' EEG before class ('resting state' or no task), during class (lectures and videos) and after class (post-class resting state; see Figure 1). In addition, we collected behavioral measures from the students, including self-reported focus scores and quiz performance for each class. We determined each student's individual alpha peak frequency (based on overall power spectra for occipital electrodes; $M = 9.56$ Hz, s.d. = 0.85; Figure 2A), and used this for all power analyses described below.

Alpha power varies by class activity

As an initial step, we asked whether students' alpha power varied as a function of class activity (pre- and post-class resting states, lectures and videos). We found that alpha power was significantly decreased during class compared to resting state, with lower alpha power during videos compared to lectures (repeated measures analysis of variance (ANOVA) with factor class condition: $F(2, 42) = 27.94$, $P < 0.001$, post-hoc correct pairwise comparisons all $P < 0.01$; Figure 2B–C).

Theta power does not vary by class activity

For the purpose of this study, we were specifically interested in posterior alpha activity. However, frontal theta activity (4–7 Hz) would make another obvious focus of interest, since it has previously been associated with focus/attention (Cajochen et al., 1995). While the power spectra showed no discernible theta modulation, neither on the single subject level nor averaged across subjects (in contrast to the very clear alpha peaks; Figure 2), as a sanity check, we nevertheless repeated our main effect analysis for frontal theta activity. We found no significant modulation of theta power by class activity ($F(2, 42) = 0.693$, $P = 0.51$), suggesting specificity of our results to the alpha band.

Alpha power during rest decreases throughout the day

To evaluate whether students' occipital alpha power varied as a function of class time, we first looked at students' EEG activity in the absence of a learning task (i.e. pre- and post-class resting states). All statistics reported below were derived using multilevel modeling in SPSS using the MIXED procedure to adjust for non-independence in repeated measures of alpha measures within student over time (see Hox et al., 2018; we note that the MIXED procedure can yield fractional degrees of freedom because they are computed using the Satterthwaite method (Fitzmaurice et al., 2004)). Given that each student provided data for three time points, we included the random effect of

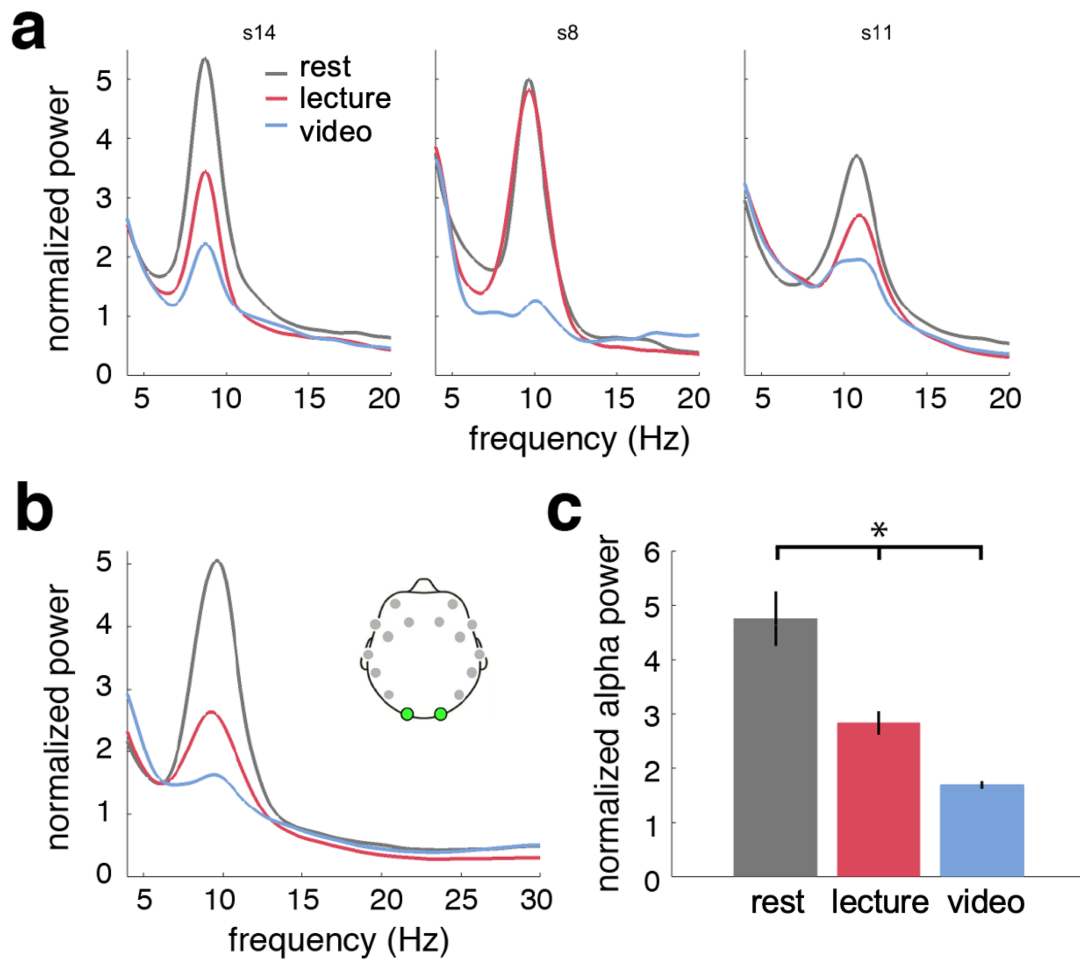


Fig. 2. Alpha power per condition. (A) Power spectra (averaged over occipital sensors, see inset in panel B) of three representative example subjects for each of the conditions of interest (resting state in gray, lecture in pink and video in blue), showing clear peaks in the alpha band. (B) Similar as in A, averaged over all subjects ($N = 22$), including all available recording sessions per subject. (C) A significant ($P < 0.001$) decrease of posterior alpha power over conditions (computed in 1 Hz band centered at individual alpha peak frequency for each subject, normalized with average power in the spectrum per channel). Error bars indicate standard error of the mean (SEM; $N = 22$).

each time point early morning, mid-morning and afternoon and all covariances between time points, resulting in a saturated random effects model (AM1 with AM2, AM1 with PM and AM2 with PM); all random effects, $P_s < 0.001$). We found that students' alpha power significantly differed across class times for resting state recordings with the highest alpha power during early morning classes (all MIXED procedure SPSS; AM1 vs AM2 vs PM: $F(2, 21.16) = 4.34$, $P = 0.026$). Alpha power declined as the day progressed, with the highest alpha power for pre-class resting state during early morning classes (AM1 > PM; Figure 3). In addition, alpha power was significantly lower during post-class resting state recordings than pre-class resting state recordings, independent of class time (pre vs post: $F(1, 16.88) = 19.99$, $P < 0.001$). Students' self-reported focus did not vary significantly as a function of class time (AM1 vs AM2 vs PM: $F(2, 20.55) = 0.50$, $P = 0.61$).

Lowest alpha power and highest quiz scores for mid-morning classes

Next, we turned to student learning as a function of class time. Since quiz scores were not available for School 1, all

data reported below pertain to School 2. Data were analyzed using Generalized Estimating Equations (GEE), an approach suited for testing repeated measures within subjects across time (Ballinger, 2004). GEE was developed by Zeger and Liang (1986) as a means of testing hypotheses regarding data that are collected within subjects across repeated measures. GEE model the mean response and the within-subject response separately; thus, the interpretation of the regression parameters is not altered by assumptions made about the nature and magnitude of the within-subject association.

In our GEE analysis, we specified a continuous outcome variable (quiz score), and an unstructured correlation matrix, in which all possible correlations between within-subjects' responses are adjusted for (ideal here because quiz scores are likely correlated within subject, across different quizzes; Fitzmaurice et al., 1993). A main effect of time of day (AM1, AM2, PM) was found (Wald $\chi^2(2) = 23.377$, $P < 0.001$; Figure 4A). As seen in Figure 4A, the average quiz score at AM2 was significantly higher than the average score at AM1 (Wald $\chi^2(1) = 17.79$, $P < 0.001$) and afternoon classes (Wald $\chi^2(1) = 10.07$, $P = 0.002$). The average score at AM1 did not differ from the average score at PM (Wald $\chi^2(1) = 0.088$, $P = 0.766$).

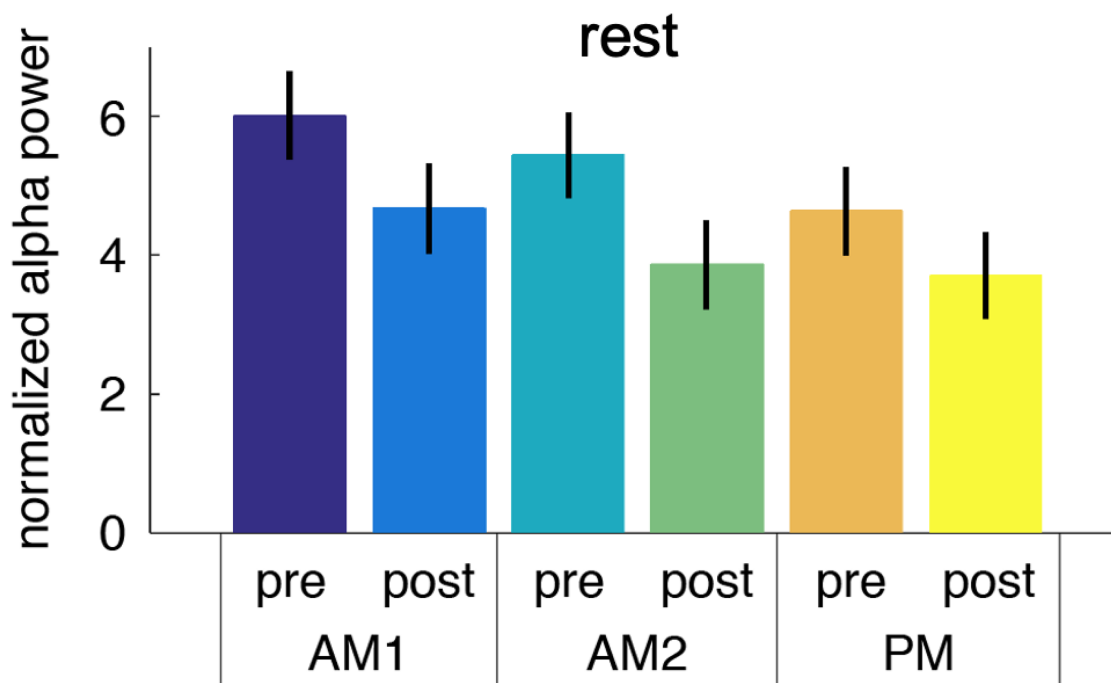


Fig. 3. Alpha power and focus over the course of the day. Resting state posterior alpha power, measured before and after class (computed in 1 Hz band centered at individual alpha peak frequency for each subject, normalized with average power in the spectrum per channel) significantly decreased with later class times ($P < 0.001$): we observed the highest alpha power at the beginning of the early morning classes and the lowest alpha power at the end of the afternoon classes. Showing data for all subjects combined ($N = 22$). [AM1: early morning session, AM2: mid-morning session, PM: afternoon session. Error bars indicate SEM.]

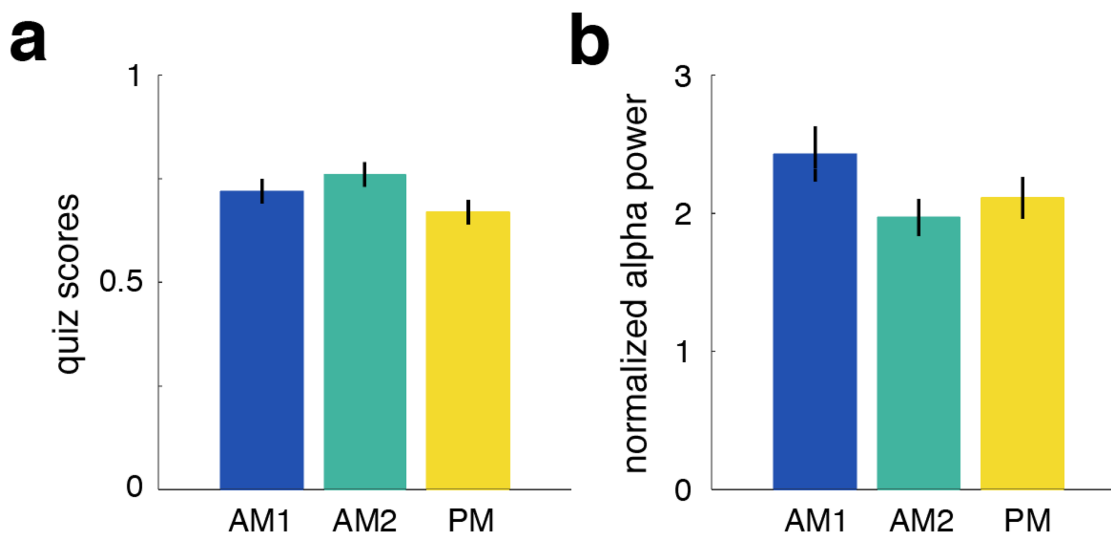


Fig. 4. Alpha power and performance. (A) Quiz scores were highest for mid-morning classes. (B) Alpha power was highest for early-morning classes ($P = 0.05$). [AM1: early morning session, AM2: mid-morning session, PM: afternoon session. Error bars indicate SEM.]

For alpha power, a main effect of time of day was also found (Wald $\chi^2(2) = 6.073$, $P = 0.048$; Figure 4B). As seen in Figure 4B, students exhibited significantly lower alpha power in the early morning classes relative to mid-morning (Wald $\chi^2(1) = 5.985$, $P < 0.001$) and afternoon classes (Wald $\chi^2(1) = 10.07$, $P = 0.002$). Similar to the quiz score results, alpha power in the early morning was not significantly different from alpha power in the afternoon (Wald $\chi^2(1) = 0.971$, $P = 0.324$). In addition, alpha power in the early morning did not significantly differ from alpha power in the afternoon (Wald $\chi^2(1) = 1.490$, $P = 0.222$).

In sum, quiz scores and student alpha power both varied by class time. Alpha power was consistently highest during early morning classes. Quiz scores were highest, and alpha activity was lowest, during mid-morning classes. Resting state alpha power (when students were facing the wall without a task) continued to drop in the afternoon. During class activities, in contrast, alpha rose up again in the afternoon compared to mid-morning. Exploratory analyses assessing alpha power effects by class activity and by individual differences are discussed in the Supplemental Materials.

Discussion

We collected EEG data from two classes of high school seniors at two different New York City schools during their regular biology lessons. Classes were taught at three different times of day: early morning, mid-morning and afternoon (17 recording days total). To investigate student attentiveness at school, we examined group-level changes in power in the alpha frequency band (~7–14 Hz), a well-known correlate of attentional state (Klimesch et al., 2007; Jensen and Mazaheri, 2010; Haegens et al., 2011b), where higher alpha power is typically linked to lower focus and decreased performance (Thut et al., 2006; Haegens et al., 2011a).

Alpha varies with lesson activity

First and foremost, we observed a robust difference in alpha power during different class activities: student's alpha activity was lowest while they were watching educational videos, significantly higher during lectures, and highest during rest. This matches the well-known effect of alpha power generally decreasing with engagement (Adrian and Matthews, 1934; Pfurtscheller et al., 1996). Quiz scores as well as student engagement ratings were also higher for videos than lectures (reported in Dikker et al., 2017; Bevilacqua et al., 2019). Together, these findings are consistent with an account whereby highly engaging stimuli increase shared attention among viewers, which in turn reduces alpha power at the group level. (See Dikker et al. (2017) for data and a discussion pertaining to how a decrease in alpha power as a function of classroom engagement may be coupled with an increase in EEG similarity, or brain-to-brain synchrony, between classmates.) These effects are likely driven by a combination of low-level stimulus features (Cohen and Parra, 2016; Ki et al., 2016; Poulsen et al., 2017) as well as high-level (social/discourse-related) factors (Stephens et al., 2010; Koike et al., 2016): processing attended stimuli reduces alpha activity (Ward, 2003; Klimesch et al., 2007) and increases entrainment (Zion Golumbic et al., 2013).

Before concluding that students should just be passively watching videos all day however (Freeman et al., 2014; Hew Khe, 2014) it is important to note that the lectures were not necessarily a fair representation of the richness of the typical face-to-face teaching experience. For example, teachers in both schools were instructed to keep movement to a minimum, to remain seated and to refrain from using visual support for their lectures. Furthermore, students were asked to keep questions about the material to themselves until the lecture portion was finished. All in all, then, the lectures were perhaps more dull than what the students would have experienced in their day-to-day classes.

At first glance, the reduction in alpha power after class (lower post-class resting state alpha than pre-class resting state alpha) seems incompatible with an attention explanation (in typical laboratory experiments, alpha increases over the course of a task; Benwell et al., 2017). Although we can only speculate why this was the case, one possible explanation is task-related. It is not unlikely that during the post-class rest period, students were rehearsing content they had just been presented during classes, in preparation for the post-class survey where they would be asked to recall and/or reflect on the class content and their class experience. Some indirect support for this explanation comes from findings suggesting that spontaneous thinking during resting states engages similar neural networks activated in problem-solving states (Kounios et al., 2008).

Alpha varies with class time

Now let's turn to the main focus of this study—student alpha power in relation to class time. First, students' alpha power during rest periods decreased as the time of day progressed, in line with prior work suggesting that students become more alert and less sleepy throughout the day (at least until the mid-afternoon). When students were presented with class content, however, a slightly different pattern emerged: student alpha power was lowest during the mid-morning classes, which mirrored their performance: retention was highest for materials learned in mid-morning classes. This finding is consistent with previous research on student performance that also shows such a 'U-shaped' relationship to time of day. This seemingly internally contradictory finding may well result from a combination of circadian factors and fatigue: early morning performance is found to be low because of circadian reasons (Preckel et al., 2011), whereas performance on tests taken at the end of a school day is argued to be low because of fatigue/saturation (Dewald et al., 2010; Short et al., 2013).

This relates to the fact that both global alpha power and self-reported focus both lack specificity: they likely reflect multiple mental states, closely related yet distinct. First, as noted in the Introduction and discussed above, alpha power is associated not only with local (or selective) task-related attention allocation (stimulus engagement) but also with global attentiveness (brain states)—in both cases lower alpha corresponds to higher levels of attention. (Note that increased local alpha is thought to be associated with active selective suppression of distracting inputs—i.e. the counterpart of attention; however, with the alpha measures, we are reporting here, we are likely not picking up on such more nuanced effects). Thus, our findings may very well reflect a combination of both local and global processes.

Most prior research investigating effects of circadian rhythms on school performance, motivation and engagement has targeted individual variation among adolescents in terms of e.g. their chronotype and/or hours of sleep (Curcio et al., 2006; Dewald et al., 2010; Preckel et al., 2011; Roeser et al., 2013; Short et al., 2013; Vollmer et al., 2013; Okano et al., 2019; Goldin et al., 2020). Unfortunately, we did not have access to this information from the students. *In lieu*, we had to rely on a fairly crude measure of self-reported focus, for which students received no additional instructions beyond the question 'how focused do you feel right now?'. While our supplementary analyses tentatively support prior findings that individual variation may differentially affect alertness, mood and academic performance (Roeser et al., 2013; Short et al., 2013; Vollmer et al., 2013), we observed no main effect of class time on self-reported focus. One of various possible explanations is that in the early morning, students equated lack of focus with sleepiness (i.e. global states of attentiveness), while in the afternoon they associated it more often with distractibility (i.e. local stimulus engagement): maybe they just broke up with a boyfriend, they may have heard back from their college applications, perhaps they just finished a difficult chemistry test, etc. Whichever the underlying cause, this null result underscores the relevance of implicit measures such as brain imaging in conjunction with self-report and behavioral metrics (see e.g. Thorson et al., 2018 for a more general argument about the problematic nature of self-report).

Finally, we did not replicate previous findings showing that frontal theta activity is associated with sustained wakefulness (Cajochen et al., 1995). Note, however, that the lack of frontal theta activity in our data could simply be a result of low signal-to-noise ratio, possibly because the recording setup might be

more sensitive to the prominent posterior alpha rhythm; hence, this does not allow us to draw any conclusions about the potential relevance or role of frontal theta in classroom engagement.

Conclusion

Together, our findings demonstrate that class time is reflected in adolescents' brain state and suggest that mid-morning may be the best time to learn. Perhaps most importantly, we validated that non-invasive neurophysiological measures can be employed as an implicit, continuous measure of attentiveness during real-world classroom learning.

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Conflicts of Interest

The authors declare that they have no competing interests.

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Author contributions

SD, DB, LK, LW, JM, KC, MD and DP designed the research; SD, DB, LK, ID, JM, and KC conducted research; SH, DB, LW, SD, and TW performed data analysis; SD, SH, DB, TW, and DP wrote the paper.

Supplementary data

Supplementary data are available at SCAN online.

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