

Since January 2020 Elsevier has created a COVID-19 resource centre with free information in English and Mandarin on the novel coronavirus COVID-19. The COVID-19 resource centre is hosted on Elsevier Connect, the company's public news and information website.

Elsevier hereby grants permission to make all its COVID-19-related research that is available on the COVID-19 resource centre - including this research content - immediately available in PubMed Central and other publicly funded repositories, such as the WHO COVID database with rights for unrestricted research re-use and analyses in any form or by any means with acknowledgement of the original source. These permissions are granted for free by Elsevier for as long as the COVID-19 resource centre remains active.



The Journal of Heart and Lung Transplantation

http://www.jhltonline.org

Covid-19 in recipients of heart and lung transplantation: Learning from experience



Michelle M. Kittleson, MD, PhD,^a Daniel C. Chambers, MBBS, MRCP, FRACP, MD,^b Marcelo Cypel, MD, MSc, FACS, FRCSC,^c and Luciano Potena, MD, PhD^d

From the ^aDepartment of Cardiology, Smidt Heart Institute, Cedars-Sinai Medical Center, Los Angeles, California; ^{b-} School of Clinical Medicine, The University of Queensland and Queensland Lung Transplant Program, Brisbane, Australia; ^cToronto Lung Transplant Program, University of Toronto, Toronto, Ontario, Canada; and the ^dHeart Failure and Transplant Program, IRCCS Policlinico di Sant'Orsola, Bologna, Italy.

As of May 2021, SARS-CoV-2 is estimated to have infected 161 million people worldwide and been responsible for over 3 million deaths.¹ Early in the pandemic, the impact of COVID-19 on solid organ transplant recipients was not well established, and initial experience suggested the presentation of COVID-19 in solid organ transplant recipients was similar to that of nontransplant patients.^{2,3} However, in subsequent reports, heart and lung transplant recipients fared worse than nontransplant patients, with an observed mortality of 20% to 30%.^{4,5} Two articles in the current issue of the *Journal of Heart and Lung Transplantation* offer greater insight into the clinical characteristics and outcomes of heart⁶ and lung⁷ transplant recipients infected with SARS-CoV-2.

COVID-19 in heart transplantation

Patients with heart failure had been significantly impacted by the SARS-CoV-2 pandemic given their increased risk of mortality⁸ and the reduction in heart transplant volume⁹ though, fortunately, concerns of COVID-19-related myocarditis appear unfounded¹⁰ and donor-to-recipient transmission in heart transplant patients has not been reported. However, the unique clinical characteristics, risk factors, and outcomes of heart transplant recipients infected with SARS-CoV-2 is not well characterized and the study in this issue of the *Journal of Heart and Lung Transplantation* by Genuardi and colleagues offers important observations regarding the clinical course, immunosuppression and outcomes.⁶

E-mail address: Michelle.kittleson@cshs.org

In this, the largest descriptive series to date, of 99 consecutive heart transplant recipients with SARS-CoV-2 infection at 11 centers, COVID-19 affected a disproportionate number of patients who self-identified as Black race (42%) while the overall transplant population at these 11 centers comprised only 15% Black transplant recipients. This observation is consistent with the epidemiology of COVID-19¹¹ and further supports the notion that social disparities may negatively affect outcomes even in a highly selected population of heart transplant recipients with access to specialized care and close follow-up. Notably, atypical symptoms were common in heart transplant recipients: 43% of patients had no fever and while cough was present on presentation in 49%, gastrointestinal symptoms were as prominent, occurring in 46% of patients.

About two-thirds of heart transplant recipients with COVID-19 required hospitalization and one-quarter had severe illness, defined as need for mechanical ventilation, new renal replacement therapy, or use of vasoactive agents for blood pressure support. One-quarter of those hospitalized ultimately died; the overall case fatality rate was 15%. While these outcomes are sobering, it is important to note that deaths were due to COVID-19 complications; only 1 patient had heart failure and none of the deaths were attributed to cardiac dysfunction, and severe outcomes and death were not associated with race.

Perhaps the most useful observation of this study is the impact of immunosuppressive agents on outcomes. When compared to a regimen of calcineurin inhibitor + antimetabolite, the use of a proliferation signal inhibitor use was associated with a 6.8-fold increased risk of severe disease and use of prednisone was associated with 7.3-fold risk of severe disease and 17.8-fold increased risk of death.

The current study by Genuardi et al. offers greater insight into the evaluation and management of patients with

The only source of knowledge is experience. -Albert Einstein

Reprint requests: Michelle M Kittleson MD, PhD, 8670 Wilshire Boulevard, 2nd floor, Los Angeles, CA 90211. Telephone: 310-248-8300. Fax: 310-248-8333.

COVID-19: the importance of a high index of suspicion in heart transplant recipients with gastrointestinal symptoms regardless of fever, as well as consideration for adjustment of immunosuppression with temporary cessation of proliferation signal inhibitors in infected patients, and for enhanced preventive strategies in the Black transplant community. And there may be some cause for hope: the case fatality rate of 15% is lower than that observed in other studies,¹²⁻¹⁴ which is multifactorial but likely due in part to evolution in management strategies and because hospital supplies were not as constrained as during the hyperacute surges in parts of Europe in early 2020.

COVID-19 in lung transplantation

The SARS-CoV-2 pandemic has similarly impacted the process of lung transplantation globally, with dramatic reductions in transplant volume,¹⁵ the ever-present risk of donorto-recipient transmission,¹⁶ the advent of lung transplantation for severe COVID-19,¹⁷ and the potential for significant morbidity and mortality in patients with end-stage lung disease acquiring infection before¹⁸ or after⁴ transplantation. In this issue, Mohanka and colleagues describe their experience in 25 lung transplant recipients from Dallas, Texas infected with SARS-CoV-2.⁷ Their patient cohort, representative of lung transplant cohorts around the world, exhibited a median age of 60 (range 20-73) and the majority were male with a history of restrictive lung disease as the indication for transplantation. Of the 25 patients, 88% reported symptoms consistent with lower, rather than isolated upper, respiratory tract involvement (productive cough, wheezing, shortness of breath), 57% experienced significant allograft dysfunction (forced expiratory volume in 1 second [FEV₁] decline >10%), and 60% had opacities on chest radiograph.

Lung transplant recipients were at risk for poor outcomes: over one-third developed new or worsening respiratory failure requiring high-flow oxygen, noninvasive ventilation or intubation and 3 (12%) patients died.⁷ Risk factors for respiratory failure included lower baseline FEV₁, the presence of parenchymal opacities on admission chest radiograph, and longer time between symptom onset to initiation of remdesivir or convalescent plasma.

Notably, one-third of survivors were readmitted at a median of 5 days post discharge and one-quarter of those had new respiratory failure. Even more concerning, among those patients with available post-COVID-19 spirometry performed 4 weeks or more after the illness onset, half had >10% loss in forced vital capacity or FEV₁ and most survivors reported worsening of functional status. The impact of COVID-19 was far worse than that of respiratory syncytial virus (RSV) infection; in a historical cohort of 36 lung transplant recipients with RSV 2016-2018, 97% survived.

Although the study by Mohanka et al. is small, it offers hope as outcomes are better than originally reported in lung transplant recipients.^{4,5,19-21} Compared with earlier reports of mortality approaching 30%, in a more current series of 2307 solid organ transplant recipients with COVID-19 (8% lung transplant and 11% heart transplant recipients), 30-day mortality was 4.8%.²² However, while the mortality of COVID-19 in lung transplant recipients may not be as high as originally feared, ongoing issues remain regarding the potential for long-term effects on allograft function, including acceleration of chronic allograft loss, as seen with other viral respiratory tract infections. In addition, the ideal adjustment of immunosuppression regimens to mitigate disease severity has not been established.

Lessons learned

In highly vaccinated communities throughout the world, the worst of the COVID-19 pandemic may be behind us. In the United States, at a time when 60% of adults have received at least one vaccination, the 7-day average of daily new cases dropped 81% between January and May 2021.²³ In Israel, where over 90% of adults over 60 years of age have been vaccinated, there have been fewer than 10 Covid-19 fatalities per day since April 2021.²⁴ But does this optimism borne of vaccination apply to transplant recipients?

Unfortunately, this optimism must be tempered by the evidence of immune paresis in transplant recipients.²⁵ Heart transplant and lung transplant patients who have received mRNA vaccines mount an inadequate antibody response^{26,27} and may still develop severe infection after vaccination.²⁸

So as we look to the future of a COVID-19-endemic world, studies like those of Genuardi et al.⁶ and Mohanka et al.⁷ offers crucial experience so that we may best care for our heart transplant and lung transplant recipients. As the two articles indicate, outcomes may be improving over time and we now have some insight, for heart transplant recipients, into potentially beneficial adjustments in immunosuppression to mitigate disease severity.

We await with anticipation the knowledge that comes from further experience, including understanding the optimal timing of vaccination and potential adjustment of immunosuppression in transplant recipients to maximize the vaccine immune response. Fortunately, studies evaluating responses to additional vaccine doses and T-cell response are currently underway. Until such knowledge is available, the best way to protect transplant recipients is through maintenance of social distancing and masking, and vaccination²⁹—not only vaccination of said transplant recipients, but of all eligible individuals—to prevent viral transmission and subsequent infection in this vulnerable population.

Acknowledgments

There are no funding sources or relevant disclosures.

References

- Johns hopkins university center for systems science and engineering Covid-19 dashboard. https://www.arcgis.com/apps/dashboards/ bda7594740fd40299423467b48e9ecf6. Accessed May 13, 2021.
- 2. Li F, Cai J, Dong N. First cases of COVID-19 in heart transplantation from China. J Heart Lung Transplant 2020;39:496-7.
- Kates OS, Fisher CE, Stankiewicz-Karita HC, et al. Earliest cases of coronavirus disease 2019 (COVID-19) identified in solid organ transplant recipients in the United States. Am J Transplant 2020;20:1885-90.

- Aversa M, Benvenuto L, Anderson M, et al. COVID-19 in lung transplant recipients: a single center case series from New York City. Am J Transplant 2020;20:3072-80.
- Marcondes-Braga FG, Murad CM, Belfort DSP, et al. Characteristics and outcomes of heart transplant recipients with coronavirus-19 disease in a high-volume transplant center. Transplantation, Published online March 22, 2021. https://doi.org/10.1097/TP.000000000003770. Online ahead of print.
- 6. Genuardi M. 2021.
- 7. Mohanka R. 2021.
- 8. Alvarez-Garcia J, Lee S, Gupta A, et al. Prognostic impact of prior heart failure in patients hospitalized with COVID-19. *J Am Coll Cardiol* 2020;76:2334-48.
- **9.** DeFilippis EM, Sinnenberg L, Reza N, et al. Trends in US heart transplant waitlist activity and volume during the coronavirus disease 2019 (COVID-19) pandemic. JAMA Cardiol 2020;5:1048-52.
- Joy G, Artico J, Kurdi H, et al. Prospective case-control study of cardiovascular abnormalities 6 months following Mild COVID-19 in healthcare workers. JACC: Cardiovascular Imaging 2021.
- Kullar R, Marcelin JR, Swartz TH, et al. Racial disparity of coronavirus disease 2019 in African American Communities. J Infect Dis 2020;222:890-3.
- Rivinius R, Kaya Z, Schramm R, et al. COVID-19 among heart transplant recipients in Germany: a multicenter survey. Clin Res Cardiol 2020;109:1531-9.
- Latif F, Farr MA, Clerkin KJ, et al. Characteristics and outcomes of recipients of heart transplant with coronavirus disease 2019. JAMA Cardiol 2020;5:1165-9.
- Iacovoni A, Boffini M, Pidello S, et al. A case series of novel coronavirus infection in heart transplantation from 2 centers in the pandemic area in the North of Italy. J Heart Lung Transplant 2020;39:1081-8.
- Chan EG, Chan PG, Harano T, Ryan JP, Morrell MR, Sanchez PG. Trends in lung transplantation practices across the United States During the Covid-19 pandemic. Transplantation 2021;105:187-92.
- Kaul DR, Valesano AL, Petrie JG, et al. Donor to recipient transmission of SARS-CoV-2 by lung transplantation despite negative donor upper respiratory tract testing. Am J Transplant 2021.
- 17. Bharat A, Machuca TN, Querrey M, et al. Early outcomes after lung transplantation for severe COVID-19: a series of the first

consecutive cases from four countries. Lancet Respir Med 2021;9:487-97.

- Drake TM, Docherty AB, Harrison EM, et al. Outcome of hospitalization for COVID-19 in patients with interstitial lung disease. An international multicenter study. Am J Respir Crit Care Med 2020;202:1656-65.
- Raja MA, Mendoza MA, Villavicencio A, et al. COVID-19 in solid organ transplant recipients: a systematic review and metaanalysis of current literature. Transplant Rev (Orlando) 2021;35:100588.
- Pereira MR, Mohan S, Cohen DJ, et al. COVID-19 in solid organ transplant recipients: initial report from the US epicenter. Am J Transplant 2020;20:1800-8.
- Coll E, Fernández-Ruiz M, Sánchez-Álvarez JE, et al. COVID-19 in transplant recipients: the Spanish experience. Am J Transplant 2021;21:1825-37.
- 22. Hadi YB, Naqvi SF, Kupec JT, Sofka S, Sarwari A. Outcomes of coronavirus infectious disease -19 (COVID-19) in solid organ transplant recipients: a propensity matched analysis of a large research network. Transplantation 2021.
- Centers for disease control COVID data tracker. https://covid.cdc.gov/ covid-data-tracker/#datatracker-home. Accessed May 14, 2021.
- Israeli ministry of health corona dashboard. https://datadashboard. health.gov.il/COVID-19/general. Accessed May 14, 2021.
- Aslam S, Danziger-Isakov L, Mehra MR. COVID-19 vaccination immune Paresis in heart and lung transplantation. J Heart Lung Transplant.
- 26. Peled Y, Ram E, Lavee J, et al. BNT162b2 vaccination in heart transplant recipients: clinical experience and antibody response. *J Heart Lung Transplant*.
- Boyarsky BJ, Werbel WA, Avery RK, et al. Antibody response to 2-Dose SARS-CoV-2 mRNA vaccine series in solid organ transplant recipients. JAMA 2021.
- Wadei HM, Gonwa TA, Leoni JC, Shah SZ, Aslam N, Speicher LL. COVID-19 infection in solid organ transplant recipients after SARS-CoV-2 vaccination. Am J Transplant 2021.
- Statement on COVID-19 vaccination in solid organ transplant recipients. https://ishlt.org/ishlt/media/documents/ISHLT-AST_SARS-CoV-2-Vaccination_5-19-21.pdf. Published 2021. Accessed 5/24/21.