



A narrative review of retinal vascular parameters and the applications (Part II): Diagnosis in stroke

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Abstract:

The retina, as an external extension of the diencephalon, can be directly, noninvasively observed by ocular fundus photography. Therefore, it offers a convenient and feasible mode to study nervous system diseases. Caliber, tortuosity, and fractal dimension, as three commonly used retinal vascular parameters, are not only the reflection of structural changes in the retinal microcirculation but also capture the branching pattern or density changes of the retinal microvascular network. Therefore, it contributes to better reflecting the subclinical pathological changes (e.g., lacunar stroke and small cerebral vascular disease) and predicting the risk of incident stroke and recurrent stroke.

Keywords:

Caliber, fractal dimension, retina, stroke, tortuosity

Introduction

The retinal vascular system, which can be noninvasively visualized and measured its parameters from color fundus photography by computer-assisted analysis, supplies a distinctive and feasible “window” to study cerebrovascular diseases since it shares analogous anatomical traits and physiological characteristics to the brain.^[1] Currently, many ophthalmologists and neurologists have studied the correlation between retinal abnormalities and cerebrovascular disease^[2-4] and neurodegenerative diseases.^[5,6] Novel parameters, including caliber, tortuosity, and fractal dimension (FD), can quantify branching patterns and reflect how effectively and efficiently blood is distributed and abnormalities in the retinal vascular system.^[7] Retinal vascular diameter can indirectly reflex the blood flow and

metabolism of vasculature in the retina.^[8] It also has been associated with subclinical and clinical cerebrovascular diseases.^[9-12] Increased tortuosity, a sign of damaged blood–retinal barriers and dysfunctional vessel walls^[5,13] has been investigated in several diseases such as systemic hypertension,^[14,15] diabetic retinopathy,^[16] and retinopathy of prematurity.^[17,18] Fractal analysis can quantify complex geometric patterns such as the retinal vascular tree.^[19] Decreased vascular FD can be caused by the narrowing and collapse of retinal vessels, which is frequently linked to hypoxia.^[20] Thus, those novel parameters may provide additional knowledge for cerebrovascular diseases beyond qualitative indicators.^[20] Color fundus photography has a great number of advantages such as simplicity, accessibility, noninvasive, and economical when compared with neuroimaging tools such as computed tomography, magnetic resonance imaging, and positron emission tomography to study cerebrovascular diseases.^[1] It offers an extra optional method for studying the

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pathophysiology of stroke and may offer a specific diagnosis by distinctive data on the condition of the cerebral microvasculature.

We mainly summarized the applications of these parameters based on the 30° or 45° range of color fundus photography in subclinical and clinical stroke research, which provides the scientific basis for applying color fundus photography as a noninvasive approach to predict the risk of stroke occurrence, recurrent stroke, and stroke mortality in clinical practice in future.

Retinal Vascular Parameters and Cerebral Artery Stenosis

Cerebral artery stenosis, mainly including extracranial carotid atherosclerotic stenosis and intracranial artery stenosis, is the leading cause of stroke worldwide.^[21-23] Extracranial internal carotid artery (ICA) stenosis was related to 8.0% of all ischemic strokes,^[24,25] and a major cause of ischemic monocular visual loss.^[26] Retinal vascular abnormalities are closely related to cerebral artery stenosis as a result of the ophthalmic artery deriving from the ICA.^[27,28] A cross-sectional study recruited from three centers (Melbourne, Sydney, Singapore) found that retinal venous diameter was related to ipsilateral severe extracranial carotid disease (odds ratio [OR], 3.81; 95% confidence interval [CI], 1.80–8.07), and the association regardless of age and conventional vascular risk factors, indicating that patients with carotid stenosis or occlusion diseases may have the concurrent retinal and cerebral microvascular disease.^[29] Wang *et al.* discovered that central retinal artery equivalent (CRAE), central retinal vein equivalent (CRVE), and arteriovenous ratio (AVR) have no significant differences in the normal group, mild stenosis group, moderate stenosis group, and severe stenosis group ($P > 0.05$, each).^[30] However, Wu *et al.* found that smaller CRAE, AVR, and decreased mean retinal sensitivity were related to severe ICA stenosis, whereas CRVE has no relationship with the degrees of ICA stenosis.^[31]

ICA stenosis can lead to both ocular and cerebral ischemia, while treatment with carotid endarterectomy (CEA) may contribute to restoring blood flow perfusion and may prevent ocular and cerebral complications.^[32] Machalińska *et al.* observed that CRVE decreased both in the ipsilateral ($P = 0.01$) and contralateral ($P = 0.04$) eyes, CRAE decreased in the ipsilateral eyes ($P < 0.001$) of asymptomatic patients with hemodynamically significant ICA stenosis compared to healthy controls 3 months after CEA, which hints that microvascular dysfunction persists despite the recovery of carotid artery flow.^[33] Recently, another study also reported that 6 months after CEA, CRVE decreased in the ipsilateral of patients' eyes (222 μm vs. 214 μm , $P = 0.024$), and arteriolar,

venular tortuosity subsided in the macula ($P = 0.15$, $P = 0.10$, respectively), while CRAE was no significant difference preoperatively and postoperatively.^[34]

Intracranial arterial stenosis (ICAS) can also lead to ischemic stroke, particularly in Asian patients.^[35,36] De Silva *et al.* reported that any intracranial large artery disease was not related to ipsilateral retinal caliber (OR, 1.05; 95% CI 0.89–1.24, arteriolar caliber; OR, 1.00; 95% CI 0.84–1.18, venular caliber), but was related to severe enhanced arteriolar light reflex (OR, 1.74; 95% CI 1.18–2.57).^[37] However, Rhee *et al.* found that ICAS subjects had larger CRAE and CRVE than those free of ICAS, particularly a large standard deviation of mean arterial width independently related to ICAS (OR, 22.1; 95% CI: 2.56–190.97) after adjusting for possible confounders.^[38]

Retinal Vascular Parameters and Cerebral Venous Stenosis

Cerebral venous stenosis (CVS), including internal jugular vein stenosis and cerebral venous sinus stenosis, is the major cause of poor cerebral venous return and cerebral venous sinus thrombosis.^[39] Jugular venous reflux (JVR) occurs more frequently in patients with transitory monocular blindness (TMB) compared to the control group (57% vs. 30%, $P < 0.0001$), according to a study.^[40] Moreover, JVR in TMB patients resulted in a greater retinal venule diameter of the right eyes and left eyes (184.5 \pm 17.5 μm vs. 174.3 \pm 16.2 μm , $P = 0.023$; 194.2 \pm 24.6 μm vs. 176.6 \pm 19.5 μm , $P = 0.017$, respectively).^[41] The Valsalva maneuver (VM) studies revealed that patients with JVR have a higher rise in retinal venule diameters compared to the patients without JVR (14.27% \pm 11.16% vs. 2.75% \pm 3.51%, $P = 0.0002$, right eyes; 10.06% \pm 6.42% vs. 1.80% \pm 2.03%, $P = 0.0003$; left eyes) during VM.^[41] The results demonstrated that JVR was related to TMB and impair ocular venous outflow. However, there is a lack of studies on retinal vascular parameter changes in non-TMB patients with CVS. Cerebral venous sinus thrombosis often leads to intracranial hypertension, presenting with ocular symptoms including papilledema, retinal hemorrhages, exudation, and venous tortuosity.^[42]

Retinal Vascular Parameters and Subclinical Stroke

Lacunar strokes are small subcortical infarcts and are usually correlated with cerebral small vessel disease (CSVD).^[43] Lindley *et al.* reported lacunar stroke was related to retinal arteriole narrowing (OR, 1.45; 95% CI, 0.84–2.51) and retinal venular widening (OR, 1.35; 95% CI, 0.80–2.26) after controlling the confounder factors, suggesting that it may be a presentation of nonatherothrombotic occlusive small-vessel

disease (SVD) and contribute to the recognition of this stroke subtype.^[44] Dumitrascu *et al.* further demonstrated that venular widening was correlated with lacunar stroke (OR, 1.46; 95% CI 1.10–1.93).^[45] Doubal *et al.* found that lacunar stroke subtype (lacunar stroke and cortical stroke) and older age were related to decreased both mono-FD ($P < 0.001$) and multi-FD ($P < 0.001$) after correcting confounding factors, hinting that it has a loss of branching complexity.^[46]

Apart from lacunar stroke, CSVD is also distinguished by cerebral white matter hyperintensities (WMH), enlarged perivascular spaces (ePVs), microbleeds, and cerebral atrophy on imaging.^[43] McGroory *et al.* confirmed that reduced FD was related to WMH load and deep WMH scores (OR, 0.53; 95% CI, 0.32–0.87) in older people, supporting that retinal vasculature may be used in the study of brain microvascular disease.^[47] Hilal *et al.* found that lower arteriolar FDs (OR, 1.89; 95% CI 1.27–2.82), larger venular calibers (OR, 2.29; 95% CI, 1.19–4.40), and narrower retinal arteriolar calibers (OR, 2.10; 95% CI, 1.06–4.15) were all related to multiple cerebral microbleeds (CMB), but not related to lacunar infarcts and white matter lesions (WML) volume.^[3] They concluded that CMBs may be the early sign of CSVD in older people with a thinner and more convoluted retinal microvascular network, regardless of risk factors and other signs of SVD.^[3] In addition, ePVs influenced by vascular risk factors was closely linked to WMLs and lacunes. Mutlu *et al.* found that smaller artery and broader vein diameters were independently related to more ePVs in the centrum semioval (arterioles, OR, 1.07; 95% CI, 1.01–1.14; venules, OR 1.08; 95% CI, 1.01–1.16) and hippocampal region (arterioles, OR 1.13; 95% CI, 1.04–1.22; venules, OR, 1.09; 95% CI, 1.00–1.18), further demonstrating the relationship between microvascular damage and ePVs.^[48] Ballerini *et al.* also confirmed that decreased CRAE and FD of the arterioles and venules were correlated with increased total perivascular space (PVS) volume and count ($P < 0.001$, $P < 0.01$, respectively), while CRVE has no associations of PVS.^[49]

Retinal vascular parameters also have a vital mediation role in studying the relationship of other factors and stroke. Cheung *et al.* surveyed the correlation between arterial stiffness and retinal diameter to clear whether arterial stiffness being related to a high risk of stroke is interceded by small- or large-artery disease.^[50] They found that decreased arterial wall compliance in large arterial beds was related to a smaller retinal arteriolar diameter, whereas the compliance in small arterial beds was related to a wider retinal venular diameter. The findings may offer additional information on the impacts of altered arterial stiffness on brain circulation.^[50] Meanwhile, another study also confirmed that alterations in the retinal microvasculature, such as arteriolar

constriction and arteriolosclerosis, were associated with aortic stiffening.^[51] These changes may have indirect effects on the cerebral microvasculature.

Retinal Vascular Parameters and Clinical Stroke

Stroke can always lead to adult disability and death worldwide,^[52] thus early screening for risk factors and intervention is extremely important. In a multi-ethnic cohort, Kawasaki *et al.* found that middle-aged people free of clinical cardiovascular disease who had narrower retinal arteriolar caliber and retinopathy throughout 6 years were more likely to experience a clinical stroke than those without them (42.3% vs. 27.8%; OR, 2.3, 95% CI 1.06–4.97), regardless of conventional cardiovascular risk factors and assessments of subclinical atherosclerosis.^[53] Cheung *et al.* confirmed that retinopathy (hazard ratio [HR], 1.94; 95% CI, 1.01–3.72) and wider retinal vein diameter (HR, 3.28; 95% CI 1.30–8.26) were correlated with the risk of stroke and retinal microvascular signs assessment enhancing stroke risk identification and stratification superior to the established risk factors, which was consistent with previous findings in European and American populations.^[54] Ong *et al.* also reported that decreased arteriolar (OR, 2.28; 95% CI, 1.80–2.87) and venular FD (OR, 1.80; 95% CI, 1.46–2.23), increased arteriolar tortuosity (OR, 1.56; 95% CI, 1.25–1.95), and venular tortuosity (OR, 1.49; 95% CI, 1.27–1.76), narrower arteriolar caliber (OR, 2.79; 95% CI, 2.21–3.53), and larger venular caliber (OR, 1.57; 95% CI, 1.27–1.95) were related to stroke and those results were similar to stroke subtypes, suggesting that ischemic stroke has a sparser and more tortuous vessels in retinal microvascular network.^[12] Pial collateral blood flow is a significant predictor of stroke outcomes. Khan *et al.* reported that increased multifractal FD (1.673 ± 0.028 vs. 1.652 ± 0.025 , $P = 0.028$) was associated with poor pial collaterals, and they hoped to develop a classifier to identify patients with either poor or good pial collaterals noninvasively.^[55] Zhuo *et al.* reported that every unit increase in CRAE and CRVE would raise stroke risk recurrent by 4.28 times in patients with coronary heart diseases.^[56] Apart from that, every additional unit of the arterial and venous angles would raise stroke risk recurrent in atrial fibrillation patients by 3.9 and 13.7 times, respectively.^[56] These findings demonstrated that retinal vascular parameters contain numerous information for assessing the risk of recurrent cerebral infarction and may be developed as a tool for stroke management in large population screening in the future.^[56] Besides, Liew *et al.* confirmed that decreased FD was related to a higher long-term risk of stroke mortality (HR, 2.42; 95% CI, 1.15–5.07), indicating relative hypoxia existing in retinal and cerebral tissue and thus raised the risk of stroke mortality.^[57]

Retinal Vascular Parameters and Intracerebral Hemorrhage

Intracerebral hemorrhage (ICH), as a subtype of stroke, can make up 10% to 15% of stroke patients each year, and have high rates of death and disability in older people.^[58,59] Prevention seems the most effective method due to the present treatment limitation and poor prognosis.^[60] New risk indicators and risk factors screening is becoming increasingly important in identifying people at risk of ICH. A study of acute stroke patients discovered that those with deep ICH had smaller arterioles (131.9 vs. 138.7 μm ; $P = 0.0004$) and larger venules (212.5 vs. 205.9 μm ; $P = 0.03$) than those with nonlacunar stroke.^[61] During an average follow-up of 11.5 years, wider venular caliber was independently correlated with an increased risk for stroke (HR, 1.2; 95% CI, 1.09–1.33), ICH (HR, 1.53; 95% CI: 1.09–2.15), particularly with lobar hemorrhages (HR, 2.02; 95% CI 1.28–3.19) and oral anticoagulant-related hemorrhages (HR, 2.48; 95% CI: 1.30–4.76).^[62] The results imply that in the general population, larger retinal vein diameter was related to an increased risk of stroke, particularly ICH. Besides, a diagnosis of Wyburn–Mason syndrome should be taken into consideration when retinal vascular tortuosity covering both arteries and veins, manifests unilaterally but does not result in hemorrhage.^[63]

In conclusion, retinal vascular parameters have a definite association with cerebral artery stenosis, ICH, either subclinical or clinical stroke, and offer new perception into the organization and pattern of stroke-related microcirculation changes. It has vital clinical significance for reflecting the subclinical pathological changes, stroke risk stratification, and the risk estimation of stroke recurrent and stroke mortality.

Conclusion and Future Directions

Retinal vascular parameters from ocular fundus photography are significantly associated with subclinical and clinical stroke and can be regarded as a complementary tool in brain circulation research, which have been demonstrated by many studies. However, the present studies were mainly cross-sectional studies but lack of longitudinal studies. Besides the relationship between retinal vascular parameters and intracranial aneurysm, the intracranial arteriovenous malformation is still unclear, and thus, it requires further research. Finally, further research into the performance and clinical utility of retinal vascular parameters in risk stratification and prediction for subclinical or clinical stroke may be warranted, especially in the age of artificial intelligence.

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Conflicts of interest

Prof. Xunming Ji is an Editor-in-Chief, Prof. Yuchuan Ding is an Editorial Board member of *Brain Circulation*. The article was subject to the journal's standard procedures, with peer review handled independently of them and their research groups.

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