

# Treatment of Obstructive Sleep Apnea in Young and Middle-Aged Adults: Effects of Positive Airway Pressure and Compliance on Arterial Stiffness, Endothelial Function, and Cardiac Hemodynamics

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**Background**—The cardiovascular effects of positive airway pressure (PAP) therapy in obstructive sleep apnea (OSA) patients are not clear because of confounding by comorbid conditions.

*Methods and Results*—Prospective interventional study of PAP therapy and withdrawal. Apnea Hypopnea Index (AHI; events/hour of sleep) was determined from polysomnography. Central aortic blood pressures (BPs), Aortic Augmentation Index (AAIx), and central (PWV<sub>c-f</sub>) and peripheral pulse wave (PWV<sub>c-f</sub>) velocities were determined by applanation tonometry. Echocardiography and brachial artery reactivity testing were performed at baseline, after 4 and 12 weeks of PAP therapy, and 1 week after PAP withdrawal. The 84 participants were mean (SD) 41.1 (7.6) years old and had 39.8 (24.5) AHI events/hour. After 4 weeks post-PAP initiation and sustained after 12 weeks, subjects experienced decreases in central systolic BP (*P*=0.008), diastolic BP, mean BP, AAIx, and PWV<sub>c-r</sub>, and brachial artery dilation (all *P*<0.001), as well as improvements in left ventricular diastolic function and systemic and pulmonary vascular resistance. In adjusted models, PAP use (hours/night) predicted reductions in diastolic BP ( $\beta$ =-0.65 [SE, 0.32] mm Hg/hour; *P*=0.045), AAIx ( $\beta$ =-0.53 [0.27] %/hour; *P*=0.049) and PWV<sub>c-r</sub> ( $\beta$ =-0.13 [0.05] m·s<sup>-1</sup>/hour; *P*=0.007), and improved brachial artery flow-mediated dilation ( $\beta$ =0.31 [0.14] %/hour use; *P*=0.015). After 1 week of PAP withdrawal, brachial diameter, diastolic BP, mean BP, AAIx, and heart rate increased (*P*≤0.05).

*Conclusions*—PAP therapy reduces arterial tone and improves endothelial and diastolic function in young to middle-aged adults. This positive effect is observed after 4 weeks and depends on hours of use, but reverts quickly with PAP withdrawal.

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**Key Words:** echocardiography • endothelial function • obstructive sleep apnea • positive airway pressure ventilation compliance • pulse wave velocity

M oderate-to-severe obstructive sleep apnea (OSA) affects  $\approx$ 13% of men and 6% of women in the United States.<sup>1</sup> OSA is characterized by intermittent hypoxia, arousals, and exaggerated ventilatory efforts with excessive negative intrathoracic pressure fluctuations that result in increased sympathetic nerve activity, oxidative stress, inflam-

mation, endothelial dysfunction, vascular stiffness, and abnormal glucose metabolism.<sup>2–6</sup> OSA is associated with systemic hypertension and increased risk for fatal and nonfatal cardiovascular disease (CVD) events.<sup>7</sup> Because obesity and insulin resistance tend to accompany OSA as comorbidities, it has been difficult to isolate the independent effect of OSA and its treatments on the development and consequences of hypertension. Several studies have postulated that increased sympathetic tone and endothelial dysfunction cause hypertension in OSA.<sup>8–10</sup>

Treatment with positive airway pressure (PAP) may reduce CVD risk<sup>11</sup>; however, the extent, time course, mechanisms, and durability of its benefit are not well known. These are especially important issues given that the diagnosis of OSA tends to be delayed<sup>12</sup> and compliance with PAP therapy can be poor or intermittent, especially in young adults.<sup>13–15</sup> Furthermore, blood pressure (BP) and endothelial function responses to PAP therapy have differed between studies, in part because of variables that may confound vascular

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responses to OSA and PAP therapy, especially age and preexisting hypertension,<sup>16</sup> as well as sex, race/ethnicity,<sup>17</sup> obesity, and degree of sleepiness.<sup>18</sup> Across these studies, subjects also differed in OSA severity or had variable exposures to PAP; some studies were underpowered. Also, the effects of short-term PAP withdrawal on cardiovascular measures only have been characterized in a few studies,<sup>19,20</sup> though its effects on sleep architecture and obstructive event rates indicate a rapid return to almost baseline values.<sup>21</sup>

Our study evaluated the time course and magnitude of PAP therapy effects on arterial stiffness, endothelium-dependent vasodilation, and cardiac function. In order to avoid the influence of confounding effects of advanced age, prolonged hypertension, and use of PAP, we focused on younger, treatment-naïve adults (18–50 years old) with moderate-to-severe OSA who were normotensive (including only mild hypertensive subjects with well-controlled hypertension). They were evaluated at baseline and after 4 and 12 weeks of PAP therapy, then again after 1 week of PAP withdrawal. The aims of our study were to: (1) determine the time course and magnitude of effect of PAP therapy on measures of arterial stiffness and tone, endothelial function, and cardiac size and function and (2) assess the effect of PAP withdrawal on these changes.

# Methods

### **Participants**

The study was reviewed and approved by the University of Wisconsin Health Sciences Institutional Review Board (Madison, WI); all subjects signed the approved consent form. All subjects were assessed during the morning hours after 10 hours of fasting, refraining from caffeine, smoking, and exercise. Patients from Wisconsin Sleep (a clinical sleep center) that met the inclusion and exclusion criteria were invited to participate after completion of clinically indicated overnight in-laboratory polysomnography (PSG) or home sleep testing and routine survey questionnaires. We enrolled subjects with an Apnea Hypopnea Index (AHI) of >10 events/hour and an Epworth Sleepiness Scale (ESS)  $\geq$ 10 or AHI  $\geq$ 20 events/hour, who were between the ages of 18 and 50 years and naïve of past apnea treatment. Subjects could not have hypertension; however, stable treatment for at least 6 weeks before enrollment was permitted.

### Polysomnography

Overnight PSG (n=47 subjects) was performed using Respironics Alice Sleepware (version 5; Respironics, Inc., Murraysville, PA) digital PSG equipment. Recordings were performed according to American Academy of Sleep Medicine (AASM) guidelines and included 6 channels of electroencephalography, electrooculography, submental electromyography (EMG), electrocardiography (ECG), bilateral tibial EMG, respiratory inductance plethysmography, pulse oximetry, and a position sensor. Home sleep testing (n=43 subjects) was performed using the Respironics PDx Portable Sleep System (Respironics, Inc.); channels recorded included nasal cannula, oral thermistor, chest and abdominal effort, pulse oximetry, heart rate, snoring, and position. Sleep recordings, sleep staging, and scoring of associated events were performed according to AASM criteria<sup>22</sup> by registered PSG technologists. Physicians certified in sleep medicine reviewed all studies and prepared clinical reports. ESS scores were collected at the time of sleep studies.

At each study visit after PAP therapy establishment, compliance information (hours of use, AHI and leak) was downloaded from the patient's PAP equipment. Total hours of PAP use divided by the exact number of days until the study visit (4 or 12 weeks  $\pm$  1 week windows) were used to calculate "average hours PAP use/night" as our predictor variable. The sleep specialist that interpreted the initial PSGs and identified possible candidates to be enrolled in the study also indicated whether it would be safe for them to experience a week of withdrawal visit based on minimal and average nocturnal oxygen desaturation. Only subjects approved by the sleep specialist were invited to participate in the optional withdrawal visit to test the reversibility of PAP benefits. A subgroup of 44 subjects agreed to refrain from PAP use for 6.6 (3.0) days; their demographic characteristics were not different from the full study cohort and are described in Table 2.

### **Arterial Tonometry**

Radial pulse wave analysis and pulse wave velocity (PWV) were assessed by arterial tonometry using a Sphygmocor MM3 system (Atcor Medical Inc, Itasca, IL). Radial artery tonometry and calibrated brachial pressures obtained by oscillometry with a Dynamap Pro-400 (DINAMAP; GE Medical Systems, Milwaukee, WI) were used for pulse wave analysis. From these data, wave reflections, their timing, and Aortic Augmentation Index (AAIx)<sup>23</sup> information were obtained. A validated transfer function was used to derive central aortic pressures from radial tonometry tracings as previously described.<sup>24</sup> AAIx derived from central aortic pressure and central pulse pressure were calculated, thus providing 2 measures of wave reflections and cardiac load.<sup>25</sup> AAIx was corrected for heart rate. PWV, the gold-standard measure of arterial stiffness, was obtained in 2 arterial territories, central (carotid-femoral, PWV<sub>c-f</sub>) and peripheral (carotid-radial, PWV<sub>c-r</sub>), as markers of early changes in arterial stiffness and vascular tone.<sup>26–28</sup> PWV<sub>c-f</sub> was used as a measure of conduit artery passive (capacitative) stiffness. It is dependent mainly on the elastin/collagen composition of the aorta as well as its

diameter and wall thickness, whereas  $PWV_{c-r}$  was used as a measure of muscular arterial bed active stiffness. It is dependent on multiple factors, though principally endothelial function and sympathetic resting tone. Stable 10-second tonometry tracings were obtained where at least 80% of the beats had a detectable upstroke. Only recordings with a mean time SD below 6% and derived PWV SD below 10% were accepted.

# Endothelial Function Assessment by Brachial Artery Flow-Mediated Dilation

Brachial artery imaging was performed with an L12-3 MHz linear transducer on a CX50 system (Philips Ultrasound, Andover, MA). Flow-mediated dilation (FMD; %) was measured as previously described<sup>29</sup>; the diameter of a preselected segment of the brachial artery was measured before and after a hyperemic stimulus provoked by a 5-minute forearm occlusion at 250 mm Hg. Percentage dilation is a measure of endothelium-mediated response to increased shear stress. In our laboratory, subjects who underwent repeat FMD scans  $\approx$ 2 weeks apart had an interscan absolute  $\Delta$ FMD of only 0.26% (-0.43% to +0.72%; *P*=0.498); median inter-reader variability was -0.14% to +0.09%, with correlations of 0.97 to 0.99 (*P*<0.001).<sup>30</sup>

#### Transthoracic Echocardiography

Imaging followed the American Society of Echocardiography (ASE) Guidelines for acquisition, measurement, and interpretation.<sup>31,32</sup> Images were obtained using a Philips CX50 system (Philips Healthcare, Andover, MA) with a 4V1c transducer by a single registered cardiac sonographer. Measurements were performed by an individual blind to the sleep study and PAP compliance data offline in triplicate using a Syngo Workplace (Siemens, Issaquah, WA) workstation by a single registered cardiac sonographer (C.E.K.) and over-read by a Level III echocardiographer (J.H.S.). Left ventricle (LV) mass was calculated using the two-dimensional (2D)-derived ASE corrected cubed formula, at end-diastole. LV ejection fraction (LVEF) was calculated using the biplane method of disks using a semiautomated border detection algorithm.<sup>33</sup> Left atrial (LA) volume was measured using the biplane area-length method. LV diastolic function LVDF assessments used mitral valve pulsed wave Doppler and Doppler tissue imaging at rest and during the Valsalva maneuver.<sup>34</sup> LV outflow tract diameter and pulse wave Doppler were used to calculate stroke volume and cardiac output. The combination of mean brachial arterial pressure (oscillometric technique), right atrial (RA) pressure estimated based on inferior vena cava diameter and respiratory changes, and mean flow (LV outflow tract cardiac output) were used to calculate systemic vascular resistance (SVR).

Right ventricle (RV) end-diastolic area, RV fractional area change, pulmonary systolic pressure (derived from the peak tricuspid regurgitation Doppler velocity and estimated RA pressure), pulmonary vascular resistance (PVR;  $10 \times$  tricuspid valve peak regurgitation velocity/time-velocity integral from the RV outflow tract),<sup>35</sup> and RA area<sup>36</sup> were measured in triplicate and calculated. Tricuspid annular plane systolic excursion (TAPSE) was obtained using 2D-guided M-mode tracings from apical 4 chamber views, maximizing the image resolution and alignment between the cardiac apex and the tricuspid annulus.

The ECG parameters described in this manuscript were obtained from ≥98% of participants; missing data were attributable to subjects with poor acoustic windows or incomplete Doppler signals. Intrareader reproducibility was assessed by Pearson correlation coefficients and coefficients of variation (CVs) using the root mean-squared approach.<sup>37</sup> A random sample of 10 echocardiograms was remeasured by the same reader (C.E.K.); reproducibility was excellent for LV mass (r=0.98; P<0.001; CV=6.1%), LVEF (r=0.83; P=0.003; CV=3.0%), cardiac output (r=0.91; P<0.001; CV=7.9%); LA volume (r=0.92; P<0.001; CV=10.3%), pulmonary artery systolic pressure (r=0.92; P<0.001; CV=7.3%), RV enddiastolic area (r=0.96; P<0.001; CV=4.8%), RA area (r=0.92; P<0.001; CV=7.2%), PVR (r=0.92; P<0.001; CV=5.4%), and TAPSE (r=0.83; P<0.005; CV=6.0%). These results are within the desirable reproducibility for ECG outcome variables used in clinical trials or observational studies.<sup>32,38,39</sup>

#### Statistical Analysis

Analyses were performed with SAS software (version 9.2; SAS Institute, Inc, Cary, NC). AHI was parameterized as  $\log_{10}(AHI+1)$  when analyzed as a continuous variable because of its skewed distribution.<sup>40</sup> Data are presented as mean (SD) for continuous variables. For discrete variables, results are presented as counts and percentages. AHI was the main measure of OSA severity. Additional measures of disease severity, such as peak O<sub>2</sub> desaturation, overall nocturnal hypoxia, and time with O<sub>2</sub> saturation <89%, were tested and displayed similar effects; they are not presented in this article.

The primary predictor, PAP compliance, was expressed in average hours of use during the observational period (hour/day). We also tested PAP effects in 2 categorical groups: average PAP use <4 or  $\geq$ 4 hours/night as usually presented in the literature. Because the results were very similar, results using the continuous variable are presented.

Paired t tests were used to compare outcome measures between baseline and after 4 and 12 weeks of PAP treatment. We additionally performed paired t test comparisons between 12 weeks of PAP treatment and after 1 week of PAP withdrawal. Multivariable linear regression models were used to estimate associations between PAP use and changes in cardiovascular outcomes at each follow-up visit. Final models included age, sex, body mass index (BMI), systolic BP, antihypertensive medication use, log<sub>10</sub>(AHI+1), and PAP compliance. Models that predicted FMD changes were also adjusted for baseline brachial artery diameter variations between visits. A "time" by PAP use interaction term was tested to assess whether treatment effect differed over time. It was found to be a nonsignificant predictor, indicating that there were no differences in PAP effect between 4 and 12 weeks of therapy. Therefore, week 4 and week 12 changes in outcome variables were combined with "time" as a covariate and analyzed as a multivariate regression model with repeated measures with a compound symmetry covariance structure.

P values <0.05 were considered statistically significant for main-effects variables; P values <0.01 were considered statistically significant for interaction effects.

### Results

## Subjects

Overnight sleep testing was performed at subjects' homes using a type III home sleep testing device (N=43) or in a clinical sleep laboratory using full PSG (N=47) for a final enrollment of 90 subjects. One subject was disqualified after rereviewing the PSG because of AHI <10 events/hour at baseline. Of the remaining 89 enrolled, 84 subjects returned for either both visits (n=78 subjects), week 4 only (n=4), or week 12 only (n=2). Figure 1 shows a Consolidated Standards



Figure 1. Consolidated Standards of Reporting Trials (CONSORT) flow diagram for enrollment, intervention, follow-up, and data analysis. AHI indicates Apnea-hypopnea Index; PAP, positive airway pressure; PSG, .

of Reporting Trials (CONSORT) flow diagram (www.consortstatement.org) for enrollment, retention, and analysis. Demographic characteristics are presented in Table 1. Subjects were (mean [SD]) 41.1 (SD=7.6) years old, 77% were male,

#### Table 1. Baseline Characteristics

Variable (N=84)	Mean	SD
Age, y	41.1	7.6
Sex (% male)	77	
Body mass index, kg/m <sup>2</sup>	35.4	7.4
Race (% white)	89	
AHI, events/hr	39.8	24.5
BP-lowering medication use (%)	20	
Log <sub>10</sub> (AHI+1)	1.54	0.23
Mean SpO <sub>2</sub> saturation (%)	92.9	1.7
Percentage of sleep time with SpO <sub>2</sub> saturation <89% (%)	18.0	22.7
Epworth score	9.8	4.9
BPs		
Central systolic, mm Hg	115.0	9.7
Brachial systolic, mm Hg	127.5	11.8
Diastolic, mm Hg	76.6	7.95
Mean, mm Hg	94.6	7.6
Heart rate, beats/min	63.7	9.1
PWV <sub>c-f</sub> , m/sec	6.6	1.0
PWV <sub>c-r</sub> , m/sec	8.3	1.2
Augmentation index <sub>HR corrected</sub> (%)	15.1	9.7
Maximum relative FMD (%)	4.40	2.89
Maximum absolute FMD, mm	0.19	0.11
Brachial artery diameter, mm	4.38	0.64
Left ventricular mass indexed, g/m <sup>2</sup>	73.5	12.9
Left ventricular ejection fraction (%)	59.1	4.1
Diastolic function, %		
1=normal	56.0	
2=abnormal relaxation	9.5	
3=pseudo normal	34.5	
Left atrial volume indexed, mL/m <sup>2</sup>	27.2	5.2
TAPSE, mm	22.4	3.1
Right ventricular end-diastolic area, cm <sup>2</sup>	20.2	4.3
Pulmonary artery systolic pressure, mm Hg	27.2	5.5
Cardiac output, L/min	5.8	1.2
Systemic vascular resistance, dynes×s/cm <sup>5</sup>	1251	268
Pulmonary vascular resistance (Woods Units)	1.70	0.30

AHI indicates Apnea Hypopnea Index; BP, blood pressure; FMD, flow-mediated vasodilation; PWV<sub>c-f</sub>, carotid-femoral pulse wave velocity; PWV<sub>c-f</sub>, carotid-radial pulse wave velocity; TAPSE, tricuspid annular plane systolic excursion.

89% white with a BMI of 35.4 (7.4) kg/m<sup>2</sup>, mean AHI of 39.8 (24.5) events/hour, and Epworth score of 9.8 (4.9) with 45.2% having a score above 10. Systolic and diastolic BP were 127 (11) and 77 (8) mm Hg, respectively. Only 20% of subjects were on antihypertensive medications. All were well controlled: 13% on monotherapy and 7% on 2 or 3 antihypertensive medications. Residual AHI was 3.42 (2.89) and 2.97 (2.27) events/hour after 4 and 12 weeks of treatment, respectively. As summarized in a recent meta-analysis<sup>41</sup> and observed in this study, PAP compliance after 12 weeks was associated with a modest increase in BMI (+0.25 [SE, 0.95] kg/m<sup>2</sup>; *P*=0.021) compared to baseline. Change in BMI was independently predicted by baseline BMI (*P*=0.003) in a model adjusted for age, sex, BP medications, and baseline systolic BP.

We also investigated whether the subgroup of subjects that returned after 1 week of PAP withdrawal (n=44) had different baseline characteristics from the ones that attended any on-treatment visits. The only statistically significant differences we found between the 2 groups were baseline AHI and TAPSE; both were higher in the subjects who did not return (48.1 [29.8] vs 32.3 [15.4] events/hour; P=0.025; and 23.3 [2.7] vs 21.6 [3.3] mm; P=0.008, respectively; Table 2).

#### PAP Effects on Arterial Stiffness

After 4 and 12 weeks of PAP treatment, we observed significant reductions in BPs (Table 3). Brachial diastolic and mean BPs decreased by 4.6 (6.6) and 3.7 (6.1) mm Hg, respectively (P≤0.001). Accordingly, central systolic BP was 2.6 (8.5) mm Hg lower after 4 weeks of PAP (P=0.008) and 2.9 (8.2) mm Hg lower after 12 weeks of PAP (P=0.003). AAIx also significantly declined at 4 weeks (P<0.001) and remained lower at 12 weeks (P<0.001). PWV<sub>c-r</sub> was significantly lower than at baseline after both 4 (P<0.001) and 12 (P=0.003) weeks, though reductions in PWV<sub>c-f</sub> did not reach statistical significance. In multivariate analysis, both reduction in diastolic BP ( $\beta$ =-0.65 [0.32] mm Hg/hours per night; P=0.045) and AAlx ( $\beta=-0.53$  [0.27] %/hours/night; P=0.049) were independently predicted by PAP use (Table 4). After a week of PAP withdrawal, diastolic BP, mean BP, central systolic BP, and AAIx all started to reverse toward baseline values with worsening outcomes as compared to week 12 values (all P<0.01).

In multivariate analysis, reduction in  $PWV_{c-r}$  was independently associated with  $log_{10}(AHI+1)$  and PAP use (hours/ night; both *P*<0.007; Table 4). Figure 2 shows changes in  $PWV_{c-r}$  by PAP compliance categorized by use <4 or  $\geq$ 4 hours/night at each study visit, where the significant effects of PAP use and withdrawal are demonstrated (*P*<0.0003 for 4- and 12-week visits). In models adjusting for PAP use, OSA severity (measured by  $log_{10}[AHI+1]$ ), and

**Table 2.** Comparison of Baseline Characteristics byWithdrawal Visit Participation

	No	Yes		
Participated in Withdrawal Visit	Mean (SD)	Mean (SD)	P Value	
N	40	44		
Age, y	41.4 (7.6)	40.8 (7.6)	0.622	
Body mass index, kg/m <sup>2</sup>	36.2 (7.0)	32.8 (7.7)	0.221	
Apnea hypopnea index, events/hr	48.1 (29.8)	32.3 (15.4)	0.025	
Blood pressure				
Central systolic, mm Hg	114.5 (10.4)	116.1 (9.1)	0.327	
Brachial systolic, mm Hg	126.3 (12.4)	128.7 (11.2)	0.272	
Diastolic, mm Hg	77.2 (7.7)	76.1 (8.2)	0.498	
Mean, mm Hg	94.4 (7.8)	94.7 (7.5)	0.868	
Heart rate, beats/min	63.2 (9.2)	64.2 (9.1)	0.522	
PWV <sub>c-f</sub> , m/sec	6.7 (1.0)	6.4 (1.0)	0.390	
PWV <sub>c-r</sub> , m/sec	8.4 (1.1)	8.3 (1.2)	0.669	
AAIx <sub>HR corrected</sub> (%)	14.6 (9.4)	15.6 (10.1)	0.709	
Maximum relative FMD (%)	4.7 (3.0)	4.2 (2.8)	0.551	
Brachial artery diameter, mm	4.4 (0.7)	4.4 (0.6)	0.907	
Left ventricular mass, g	171.2 (40.4)	160.9 (37.9)	0.132	
Left ventricular ejection fraction (%)	59.3 (4.2)	58.9 (4.0)	0.539	
Diastolic function (grade)	1.7 (0.9)	1.9 (0.9)	0.286	
E/e' ratio	8.4 (1.9)	8.5 (1.8)	0.925	
Left atrial volume, mL	61.3 (14.9)	60.9 (13.1)	0.929	
TAPSE, mm	23.3 (2.7)	21.6 (3.3)	0.008	
Right ventricular end- diastolic area, cm <sup>2</sup>	20.6	19.9	0.482	
Pulmonary artery systolic pressure, mm Hg	27.6 (4.8)	26.7 (6.0)	0.436	
Systemic vascular resistance, dynes $\times$ s/cm <sup>5</sup>	1223.6 (237)	1275.4 (293)	0.579	

AAIx indicates Aortic Augmentation Index; FMD, flow-mediated vasodilation; PWV<sub>c-f</sub>, carotid-femoral pulse wave velocity; PWV<sub>c-f</sub>, carotid-radial pulse wave velocity; TAPSE, tricuspid annular plane systolic excursion.

their interaction, none of the interaction terms were statistically significant predictors of treatment effect reversal, possibly attributable to a smaller sample size and being underpowered to detect such differences.

### **PAP Effects on Endothelial Function**

Resting brachial artery diameter increased after 4 weeks and remained larger than baseline after 12 weeks (P<0.001; Table 3). In the multivariate models, PAP (average daily hours

of use during the observational period) for weeks 4 and 12 independently predicted a significant improvement in both relative ( $\beta$ =0.34 [0.14] %/hour use; *P*=0.015) and absolute ( $\beta$ =0.02 [0.01] mm/hour use; *P*=0.007) FMD. Baseline AHI, but not PAP use, independently predicted change in resting brachial diameter post-treatment ( $\beta$ =0.17 [0.06] mm change/log<sub>10</sub>[AHI+1]; *P*=0.008). Compared to week 12 visit values, we detected significant reduction in BA diameter after 1 week of PAP withdrawal (*P*=0.02), but the reduction in FMD did not reach statistical significance (Table 3).

# PAP Effects on Cardiac Function and Hemodynamics

All subjects had normal RV and LV systolic function at entry (Table 1), but 44% had some degree of diastolic dysfunction at baseline. Cardiac chamber sizes, LV mass, and systolic function did not change significantly with treatment, whereas diastolic function, SVR, PVR, and TAPSE improved rapidly after 4 and 12 weeks (Table 3). After 1 week of PAP withdrawal, there was a trend toward an increase in pulmonary artery systolic pressure (P=0.09).

In multivariate analysis, the only ECG parameter predicted by PAP compliance was improvement in diastolic function category ( $\beta$ =0.10 [0.05]; *P*=0.028; Figure 3). In this model, baseline OSA severity was inversely related to diastolic function improvement (Table 4).

### Discussion

Although previous analyses have addressed some aspects of the effects of PAP on measures of cardiac and vascular function, <sup>16,42</sup> to the best of our knowledge, our results are the first to identify early changes in vascular stiffness, endothelial function, and cardiac hemodynamics in young individuals with moderate-to-severe OSA and identify their changes in response to PAP treatment and its withdrawal. A major strength of our study is the robustness and consistency of our data, as well as the use of objective PAP compliance information. We found that in young to middle-aged adults with normal BPs, PAP therapy rapidly improved central and peripheral BPs, endothelial function, and LVDF. These changes likely reflect changes in sympathetic tone and endothelial nitric oxide variability. Lower peripheral PWV and central AAIx, both reduce afterload-a stimulus for future cardiac remodeling-leading to improved diastolic function.

Some previous studies have shown that PAP therapy was associated with lower BPs in individuals with prehypertension<sup>9</sup> or clinical hypertension,<sup>43,44</sup> although results were inconsistent,<sup>45,46</sup> likely attributable to the effects of confounding variables, such as age, severity and duration of OSA,

#### Table 3. Changes From Baseline on Positive Airway Pressure Therapy and Its After Withdrawal

	Week 4—Baseline Week 12—Baseline			Week 13* to Week 12		
Variable Changes	Change Mean (SD)	P Value	Change Mean (SD)	P Value	Change Mean (SD)	P Value
Ν	82	80	44			
Body mass index, kg/m <sup>2</sup>	0.16 (0.69)	0.039	0.25 (0.95)	0.021	-0.03 (0.49)	0.70
Log <sub>10</sub> (AHI+1)	-0.49 (0.33)	<0.001	-0.53 (0.34)	<0.001	n/a	n/a
Blood pressures						
Central systolic, mm Hg	-2.6 (8.5) <sup>†</sup>	0.008 <sup>†</sup>	-2.9 (8.2) <sup>†</sup>	0.003 <sup>†</sup>	0.97 (5.4)	0.25
Brachial systolic, mm Hg	-0.4 (10.9)	0.76	-0.3 (9.8)	0.79	-0.4 (7.5)	0.71
Diastolic, mm Hg	-4.6 (6.6) <sup>†</sup>	<0.001 <sup>†</sup>	-3.9 (6.1) <sup>†</sup>	<0.001 <sup>†</sup>	1.8 (4.5) <sup>†</sup>	0.010 <sup>†</sup>
Mean, mm Hg	$-3.7~(6.1)^{\dagger}$	<0.001 <sup>†</sup>	$-3.6~(5.9)^{\dagger}$	<0.001 <sup>†</sup>	1.9 (4.0) <sup>†</sup>	0.003 <sup>†</sup>
Heart rate, beats/min	0.8 (7.9)	0.38	0.6 (6.7)	0.42	2.1 (6.7) <sup>†</sup>	0.045 <sup>†</sup>
PWV <sub>c-f</sub> , m/sec	-0.16 (0.68)	0.054	-0.09 (0.90)	0.44	-0.01 (0.74)	0.964
PWV <sub>c-r</sub> , m/sec	-0.35 (0.85) <sup>†</sup>	<0.001 <sup>†</sup>	-0.35 (1.00) <sup>†</sup>	<0.003 <sup>†</sup>	0.23 (0.87)	0.10
AAIx <sub>HR corrected</sub> (%)	-2.81 (5.24) <sup>†</sup>	<0.001 <sup>†</sup>	-3.73 (5.13) <sup>†</sup>	<0.001 <sup>†</sup>	2.92 (4.69) <sup>†</sup>	<0.001 <sup>†</sup>
Maximum relative FMD (%)	0.42 (2.64)	0.16	0.37 (2.70)	0.22	-0.07 (2.36)	0.85
Brachial artery diameter, mm	0.09 (0.17) <sup>†</sup>	<0.001 <sup>†</sup>	0.13 (0.19) <sup>†</sup>	<0.001 <sup>†</sup>	-0.04 (0.11) <sup>†</sup>	0.02 <sup>†</sup>
Left ventricular mass index, g/m <sup>2</sup>	0.2 (6.3)	0.75	-1.4 (6.7)	0.057	0.52 (6.18)	0.83
Left ventricular ejection fraction (%)	0.1 (3.8)	0.814	0.7 (4.2)	0.14	-0.02 (4.52)	0.98
Diastolic function (grade)	0.25 (0.85) <sup>†</sup>	0.010 <sup>†</sup>	0.49 (0.97) <sup>†</sup>	<0.001 <sup>†</sup>	-0.18 (0.72)	0.10
E/e' ratio	-0.12 (1.60)	0.54	-0.15 (1.63)	0.40	-0.15 (1.72)	0.56
Left atrial volume indexed, mL/m <sup>2</sup>	0.30 (2.79)	0.34	0.12 (2.80)	0.70	0.10 (0.22)	0.85
TAPSE, mm	0.27 (2.76)	ns	0.91 (2.99) <sup>†</sup>	0.008 <sup>†</sup>	0.02 (0.32)	ns
Right ventricular end-diastolic area, cm <sup>2</sup>	0.07 (2.86)	ns	0.56 (2.95)	ns	0.08 (0.25)	ns
Pulmonary artery systolic pressure, mm Hg	-0.86 (5.58)	ns	-0.65 (5.31)	ns	1.50 (5.76)	0.09
Cardiac output, L/min	0.05 (0.83)	0.62	0.12 (0.81)	0.18	0.04 (0.78)	0.77
Systemic vascular resistance, dynes×s/cm <sup>5</sup>	$-56.6~(194)^{\dagger}$	0.011 <sup>†</sup>	-73.0 (162) <sup>†</sup>	< 0.001 <sup>†</sup>	1.97 (161)	0.94
Pulmonary vascular resistance (Woods Units)	-0.09 (0.31) <sup>†</sup>	0.017 <sup>†</sup>	-0.10 (0.30) <sup>†</sup>	0.004 <sup>†</sup>	0.05 (0.27)	0.20

AAIx indicates Aortic Augmentation Index; AHI, Apnea Hypopnea Index; FMD, flow-mediated vasodilation; PWV<sub>c-f</sub>, carotid-femoral pulse wave velocity; PWV<sub>c-f</sub>, carotid-radial pulse wave velocity; TAPSE, tricuspid annular plane systolic excursion.

\*Week 13=1 week of withdrawal from positive airway pressure (PAP).

<sup>†</sup>Р<0.05.

severity of hypertension, and other factors that affect OSA severity and arterial injury as discussed in the Introduction.

Reductions in AAlx and PWV<sub>c-r</sub> with PAP therapy indicate better "active control of arterial stiffness" by improved endothelial function and sympathetic tone.<sup>47</sup> Previous PAP intervention studies that described improvements in arterial stiffness using the brachial-to-ankle PWV as a measure of large artery stiffness might have been detecting reductions in peripheral arterial tone and improved endothelial function without true changes in elastic properties of large arteries.<sup>48</sup> Our study, by design, was able to differentiate between central and peripheral changes of PWV, showing normal (ie, age-appropriate) PWV<sub>c-f</sub> values at baseline with no significant effects of PAP use or withdrawal on central PWV, consistent

with normal BPs in our study group, whereas early changes in peripheral PWV were noted and treatment effects were predicted independently by baseline OSA severity and PAP compliance. Additionally, we detected a significant improvement in diastolic function with PAP use. We postulate that early treatment of OSA can delay the development of vascular damage that leads to systemic hypertension and adverse cardiac remodeling. Our findings are novel, but in general agree with those of Shantsila et al., who found that PAP use for 26 weeks lowered arterial elastance index.<sup>49</sup>

We did not detect significant changes in cardiac chamber size or LV mass<sup>50</sup> that others have described, likely because our subjects were healthy at baseline and the time course of our intervention and its withdrawal were not long enough to

#### Table 4. Effects of Baseline AHI Severity and PAP Use on Cardiovascular Outcomes—Main Effects Models

	Baseline Log <sub>10</sub> (AHI+1)		PAP Use (Hours/Night)	
Outcome Changes	Beta (SE)	P Value	Beta (SE)	P Value
Brachial systolic BP, mm Hg	-3.80 (3.15)	0.230	0.66 (0.46)	0.150
Diastolic BP, mm Hg	-3.61 (2.23)	0.107	-0.65 (0.32)*	0.045*
Mean pressure, mm Hg	-3.00 (1.99)	0.134	-0.36 (0.29)	0.214
Central systolic BP, mm Hg	-4.00 (2.53)	0.115	0.10 (0.37)	0.782
Body mass index, kg/m <sup>2</sup>	0.68 (0.28)*	0.017*	0.03 (0.04)	0.399
Brachial artery diameter, mm	0.17 (0.06)*	0.008*	0.01 (0.01)	0.326
Maximum relative FMD (%)	-1.41 (0.97)	0.150	0.34 (0.14)*	0.015*
Maximum absolute FMD, mm	-0.07 (0.04)	0.085	0.02 (0.01)*	0.007*
HR-corrected augmentation index (%)	-3.25 (1.87)	0.084	-0.53 (0.27)*	0.049*
PWV <sub>c-f</sub> (femoral), m/sec	-0.23 (0.31)	0.460	-0.01 (0.04)	0.860
PWV <sub>c-r</sub> (carotid), m/sec	-0.90 (0.32)*	0.006*	-0.13 (0.05)*	0.007*
LV ejection fraction (%)	3.03 (1.41)*	0.034*	-0.04 (0.20)	0.845
PA systolic pressure, mm Hg	5.45 (1.88)*	0.004*	-0.05 (0.27)	0.847
Cardiac output, L/min	0.81 (0.29)*	0.006*	0.00 (0.04)	0.913
SVR (SD)	-0.79 (0.24)*	0.001*	-0.01 (0.03)	0.690
Diastolic function (grade)	-1.03 (0.31)*	0.001*	0.10 (0.05)*	0.028*

Both predictor variables included simultaneously in the models. Output reflects repeated measures modeling. Models adjusted for age, sex, BMI, baseline SBP, and baseline BP medications. FMD models included brachial artery diameter as a covariate. Indexed measures did not include AHI indicates apnea hypopnea index; BMI as a covariate. BMI, body mass index; BP, blood pressure; FMD, flow-mediated vasodilation; LV, left ventricle; PAP, positive airway pressure; PWV<sub>c-ft</sub> carotid-femoral pulse wave velocity; PWV<sub>c-ft</sub> carotid-radial pulse wave velocity; SE, standard error; SVR, systemic vascular resistance.

\**P*≤0.05.



**Figure 2.** Changes in carotid-to-radial pulse wave velocity with positive airway pressure therapy. Changes between baseline  $PWV_{c-r}$  and different study visits, separated by PAP compliance. Noncompliant=used PAP therapy <4 hours a night (blue diamonds). Compliant=used PAP therapy  $\geq$ 4 hours/night (red boxes). PAP indicates positive airway pressure; PWV, pulse wave velocity.



**Figure 3.** Changes in diastolic function category with positive airway pressure therapy treatment and withdrawal. Stacked bars represent the percentage distribution of diastolic function categories by study visit. Percentage of study subjects with normal function (blue), progressively increased to week 4, and continued to week 12 of PAP use, but declined after 1 week of withdrawal. Percentage of subjects with diastolic dysfunction (green and red) decreased during treatment. PAP indicates positive airway pressure.

see much remodeling besides change in diastolic function, in response to the changes in arterial stiffness and endothelial function we observed. The fact that we were able to detect rapid changes in peripheral and central BPs and withdrawal effects from stopping PAP is consistent with other investigators<sup>20,47</sup> and emphasizes the need for continuous PAP treatment by demonstrating rapid reversal of hemodynamic and vascular improvements observed with PAP after as short a time as 1 week.

### Limitations

Our study lacked a control group; each individual served as his or her own control. When studying subjects with sleep apnea, sham preparations and blinding are challenging given that subjects may be able to detect subtherapeutic PAP settings. In addition, a blinded sham intervention was considered too high risk by our institutional review board given the severity of OSA in our subjects. PAP withdrawal, in a subgroup that was similar to our overall treatment group, demonstrated the effects of PAP withdrawal that emphasize the robustness of the observed effects of the PAP intervention as well as the risk of patient noncompliance. Our relatively short (3 month) study length was by design and based on previous publications that were able to demonstrate significant changes in a similar period, while avoiding potentially confounding changes in risk factors or therapies. Additionally, a shorter study length was thought to provide a better retention rate, but may explain why some factors previously shown to change with PAP therapy, such as LV mass, did not change in our study. By design, we studied a healthy and relatively young OSA population to avoid confounders; the beneficial effects observed in our cohort may not be generalizable to OSA patients with more cardiovascular disease risk factors or who are older. Although we had significant representation of races and both sexes, we did not have adequate power to evaluate subgroups.

# Conclusions

PAP therapy and compliance improves arterial stiffness, endothelial function, and cardiac hemodynamics, likely by reducing arterial tone, wave reflections, and BP. Our results highlight the need for future longitudinal studies that assess the effects of early detection and treatment of moderate-tosevere OSA before the development of clinical hypertension to evaluate whether PAP compliance can prevent the future cardiovascular complications associated with the disease.

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C.E.K. had full access to all of the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis.

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