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3 **1 Predicting Direct-Specimen SARS-CoV-2 Assay Performance Using Residual Patient**
4 **2 Samples**

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6 **3 Running title: Predicting SARS-CoV-2 assay performance**
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45 40 **Nonstandard Abbreviations:**
46 41 VTM: viral transport media
47 42 pPPA: predicted positive percent agreement
48 43 LOD: limit-of-detection
49 44 CT: cycle threshold
50 45 Sn: sensitivity
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Predicting Direct-Specimen SARS-CoV-2 Assay Performance Using Residual Patient Samples

49

Abstract

Background

Diagnostic sensitivities of point-of-care SARS-CoV-2 assays depend on specimen type and population-specific viral loads. Evaluation of these assays require 'direct' specimens from paired-swab studies rather than more accessible residual specimens in viral transport media (VTM).

Methods

Residual VTM and limit-of-detection studies were conducted on Abbott ID NOW™ COVID-19, Quidel Sofia 2™ SARS Antigen FIA, and DiaSorin Simplexa™ COVID-19 Direct assays, with cycle threshold (CT) adjustments to approximate direct-specimen testing based on gene-target doubling each PCR cycle. Logistic regression was used to model assay performance by specimen CT. These models were applied to CT distributions of symptomatic and asymptomatic populations presenting to emergency services to predict the percent of specimens that would be detected by each assay. A 96-sample paired-swab study was conducted to confirm model results.

Results

When using direct nasopharyngeal samples and fit with either VTM or limit-of-detection data, percent positivities for ID NOW (symptomatic 94.9%/97.4%; asymptomatic 88.4.0%/89.6%) and Simplexa (symptomatic 97.8%/97.2%; asymptomatic 91.1%/90.8%) were predicted to be similar. Likewise, fit with VTM data, percent positivities for ID NOW with direct nasal specimens (symptomatic 77.8%; asymptomatic 64.5%) and Sofia 2 with direct nasopharyngeal specimens (symptomatic 76.6%, asymptomatic 60.3%) were similar. The paired-swab study comparing direct nasopharyngeal specimens on ID NOW and nasopharyngeal VTM specimens on Simplexa showed 99% concordance.

Conclusions

Assay performance can be modeled as dependent on viral load, fit using laboratory bench study results, and adjusted to account for direct-specimen testing. When using nasopharyngeal specimens, direct testing on Abbott ID NOW and VTM testing on DiaSorin Simplexa have similar performance.

Impact statement

Throughout the COVID-19 pandemic, there has been a proliferation of SARS-CoV-2 assays with Emergency Use Approval. There is significant variation in the analytic sensitivities of these diagnostics as well as a strong dependence of diagnostic sensitivity on patient population and specimen type, making it difficult for institutions to evaluate tests for implementation. Furthermore, many point-of-care tests require direct-specimens, rather than residual viral transport media, presenting additional challenges for verification. This study demonstrates a model that can use data from limit-of-detection and residual viral transport media studies to predict the performance of direct-specimen assays in different patient populations.

Introduction

SARS-CoV-2 diagnostic testing throughout much of the pandemic has been defined by reagent scarcity and testing delays.(1–4) Nonetheless, there has been a proliferation of assay options on the market, from central laboratory instruments to near-patient and point-of-care formats, including multiple specimen types, and both nucleic acid as well as antigen targets.(5,6) Importantly, the accuracy of these assays varies substantially according to viral RNA concentration in samples, with a strong dependence on specimen type and patient population.(7–10) While the availability of numerous assays and specimen types is desirable, verification of assay accuracy with different specimen types in different patient populations is challenging. When assays are approved for use with viral transport media (VTM), verification is straight-forward, as residual samples can be used. However, many point-of-care assays require ‘direct’ or ‘dry’ swabs, where swabs are placed directly into assay reagents without first diluting in VTM. For these assays, verification requires paired-swab studies, where two swabs are collected from each patient, one for the index and one for the reference test. Paired-swab designs, however, are time-consuming and difficult to conduct when positivity is low. Considering the large set of assay-, specimen type-, and patient population-combinations that an institution must consider, paired-swab designs as the initial evaluation are often untenable.

The goal of this study was to identify a rapid point-of-care assay with similar performance to the rapid real-time reverse transcription polymerase chain reaction (rRT-PCR) testing used throughout the pandemic at our institution, the DiaSorin Simplexa™ COVID-19 Direct (DiaSorin, Cypress, CA). Recently, another study demonstrated the relationship of assay performance with viral load, calculated positive percent agreements (PPAs) for two assays for different categories of viral load, and finally estimated overall performance in their entire patient population.(11) We expand on this by developing a logistic regression model of assay performance to quickly estimate performance of several assay/specimen type/population combinations before dedicating resources for a clinical paired-swab study. We use residual VTM samples and LOD studies to evaluate two direct-swab assays, making adjustments to account for the benefit of direct-swab testing based on gene target doubling in each PCR cycle. As viral load varies by population being studied and by specimen type, the model can predict performance of assays under a variety of test settings. Data are presented for the Abbott ID NOW™ COVID-19 (Abbott Molecular, Des Plaines, IL), Quidel Sofia 2™ SARS Antigen FIA (Quidel, Inc., San Diego, CA) and the deployed Simplexa assay. A targeted paired-swab study was prospectively conducted between the ID NOW and Simplexa assays to verify model predictions before implementation.

Methods

The underlying assumption of this model is that specimen viral load is the primary determinant of SARS-CoV-2 assay diagnostic sensitivities, whether it be nucleic acid testing or antigen testing. In turn, PCR cycle threshold (CT) is assumed to be an estimator of viral load. The higher the viral load, the lower the CT will be in PCR assays that detect SARS-CoV-2, and the more likely any assay will detect virus in that sample. By using CT distributions of positive cases in different patient populations, one can estimate the performance expected for various assays in use, and potentially to be used, in a health system. This performance measure is calculated by mapping CT-specific analytic sensitivities to the CT distribution of the population-of-interest. Development of the model followed five steps:

Step 1: The model assumes negligible CT-bias between assays used to generate analytic sensitivity curves and the population-specific CT distributions. Accordingly, assay CTs were compared for bias with residual VTM samples (Table 1, #1 and #2).

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3 145
4 146 Step 2: Data from multiple sources were used to fit models of CT-specific analytic sensitivity.
5 147 Positive cases from a study conducted in April, 2020(7) were used for ID NOW and Simplexa
6 148 characterization. Briefly, twenty-four foam nasal swabs were tested directly with ID NOW (Table
7 149 1, #3), and the paired nasopharyngeal VTM samples were tested on central laboratory assay
8 150 (see below) as well as ID NOW (Table 1, #4) and Simplexa (Table 1, #7).(7) An LOD study was
9 151 also conducted on the ID NOW (Table 1, #5) and Simplexa (Table 1, #8).(7) To simulate direct
10 152 testing on the ID NOW for the LOD study, reagent buffer viral particle concentrations were
11 153 increased to equal that in dilution aliquots used for other assays.(7) In addition, 32 residual VTM
12 154 samples that were positive by our central laboratory assays were run on the Sofia 2 (Table 1,
13 155 #6). As the Sofia 2 SARS Antigen FIA assay has recently lost approval for nasopharyngeal
14 156 samples, such testing would be considered off-label. However, the Sofia 2 Flu+SARS Antigen
15 157 FIA EUA does include nasopharyngeal samples. For each assay and study, the performance
16 158 (detection/no detection) was compared to CTs of these same specimens derived from either the
17 159 Abbott RealTime m2000™ SARS-CoV-2 assay (April, 2020 study) or Abbot Alinity™ m SARS-
18 160 COV-2 assay (Abbott Molecular, Des Plaines, IL; Table 1). All m2000 CTs were increased by 10
19 161 cycles to account for the unique reporting on that instrument that does not count the first 10
20 162 cycles.
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22 163
23 164 Analytic sensitivity curves were generated through logistic regression, using CT as the
24 165 independent variable, and ID NOW, Sofia 2, or Simplexa result (positive/negative) as the
25 166 dependent variable, along with 95% point-wise confidence intervals and p-values calculated by
26 167 the likelihood ratio test.(12) Because ID NOW and Sofia 2 require direct-swabs in clinical
27 168 practice, an adjustment was made to account for the VTM dilution that will not typically occur. In
28 169 the ID NOW study, 200 ul of VTM were transferred, representing 6.6% of the total VTM volume
29 170 (3 ml tube), and therefore 6.6% of total viral particles. For Sofia 2, 50 ul of VTM were
30 171 transferred, representing 1.6% of viral particles. Assuming direct-swabbing would transfer 100%
31 172 of viral particles into the assay, there would be $1/.066 = 15$ times (ID NOW) and $1/.016 = 60$
32 173 times (Sofia 2) more viral particles than in VTM studies. As PCR approximately doubles gene
33 174 targets each cycle, dilutions were estimated to cause a 3.9 CT shift of CTs on ID NOW, and a
34 175 5.9 CT shift for Sofia 2. For example, if ID NOW detected a VTM specimen of CT 25.0, we
35 176 added 3.9 CT for a final value of 28.9 CT for that specimen to be used in the ID NOW logistic
36 177 regression, as direct-swab testing should detect lower viral loads.
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39 179 Step 3: For patient populations-of-interest, distributions of CTs from October 10th, 2020 through
40 180 January 31st, 2021 were queried from our institution's electronic medical record (supplemental
41 181 Figure S1). These CTs were from different instruments as, e.g., the emergency services CTs
42 182 derived from Simplexa S-gene CT, while outpatient CTs derived from central laboratory PCR
43 183 assays. The analyses in this study pertain to emergency services patients only.
44 184

45 185 Step 4: Logistic regressions from Step 2 for the different assays and specimen types were then
46 186 applied to the historic CT distributions for emergency services symptomatic and asymptomatic
47 187 patients. For example, if logistic regression predicted 50% analytic sensitivity for samples
48 188 positive at CT 35.0, then it was predicted the assay would detect 50% of cases of CT 35.0 in the
49 189 population-of-interest. This was repeated for each CT value in the distribution and total detected
50 190 cases were summed and divided by the total distribution count to calculate a predicted positive
51 191 percent agreement (pPPA). Since the emergency services CT distribution was generated by
52 192 Simplexa, it is a pPPA against the Simplexa. We also calculate the pPPA of the Simplexa
53 193 against the historic Simplexa CT distribution, which is expected to be below 100% when some
54 194 samples are near the LOD. This allows use of the pPPA as an index to compare the
55 195 performance of proposed assays with the current assay (i.e., the Simplexa). While the Simplexa
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3 196 pPPA with itself would be expected to be less than 100%, if all positive and negative samples
4 197 from emergency services testing were to be rerun on the Simplexa, the absolute number of
5 198 positives would be expected to be the same between runs.
6 199

7 200 Step 5: Finally, estimates of missed cases per 1,000 tested were made for varying prevalences
8 201 as a measure that can be benchmarked against Infectious Disease Society of America (IDSA)
9 202 guidelines. As pPPA is not the same as diagnostic sensitivity, and since the pPPA was
10 203 calculated against the routine assay in use for emergency services patients (i.e., the Simplexa
11 204 rapid assay) that has a lower analytic sensitivity than typical reference standards, an adjustment
12 205 was made to account for likely additional missed cases. This was achieved by binning
13 206 emergency services CT-distributions into 10 bins based on the Simplexa analytic sensitivity
14 207 curve fit with LOD data such that the CT-bins represented equally spaced sensitivity windows
15 208 (i.e., windows with midpoints of 95%, 85%, etc). Then, a multiplier for each CT-value was
16 209 calculated as the inverse of the Simplexa analytic sensitivity for the midpoint of the relevant CT-
17 210 window, rounded to two decimal places (i.e., 1.05, 1.18), and multiplied by 100 (i.e. 105, 118).
18 211 Finally, new CT distributions were created such that each original CT value was instead
19 212 represented by replicates according to this multiplier. This process accounts for cases likely
20 213 missed in routine testing and will enrich the CT-distribution with higher values. Total missed
21 214 cases per 1000 tested were calculated using analytic sensitivity curves against these enriched
22 215 CT-distributions. This was estimated for all missed cases and for cases < 33.0 CT,(13,14)
23 216 although the exact cutoff for infectiousness is contentious.(15)
24 217

25 218 A paired-swab study was conducted after these modeling exercises were complete. IRB
26 219 approval was not required as per institutional policy of clinical quality improvement projects. In
27 220 96 patients presenting to adult emergency services, a direct nasopharyngeal swab was
28 221 collected for the ID NOW and testing was performed within 1 hour, and another nasopharyngeal
29 222 swab was collected simultaneously in VTM and performed on the Simplexa. ID NOW positive
30 223 results were communicated to those performing the Simplexa assay to facilitate patient care. As
31 224 this was a study meant to compare ID NOW to Simplexa (the institutional standard for
32 225 emergency services) only discrepant specimens were sent to the central laboratory for
33 226 confirmation on either the Alinity or m2000.
34 227

35 228 For all clinical and laboratory testing, each assay was performed according to manufacturer's
36 229 EUA instructions, with the exception of the use of residual VTM samples for ID NOW(7) and
37 230 Sofia 2 (as described above). In all analyses, patient status (symptomatic vs asymptomatic) was
38 231 determined from an institutional checklist based on federal reporting guidance.(16) All statistical
39 232 analyses were conducted in R statistical environment (R Foundation for Statistical Computing,
40 233 Vienna, Austria), and dot plots were generated in GraphPad Prism 9 (GraphPad Software, San
41 234 Diego, USA). A bootstrap version of the univariate Kolmogorov-Smirnov test was used to
42 235 compare CT distributions from patients enrolled in the paired-swab study and those not enrolled
43 236 (10,000 bootstraps).
44 237

45 238 **Results**

46 239
47 240 Bias between PCR assays in this study was less than 1 CT. Simplexa showed a -0.15 bias with
48 241 m2000, and Alinity showed a 0.82 bias with m2000 (supplemental Figure S2, see difference
49 242 plot). Analytic sensitivity curves of point-of-care assays, across CTs generated by
50 243 nasopharyngeal VTM specimens on our central laboratory assays, are shown in Figure 1.
51 244 Logistic regression estimated direct-swab nasal ID NOW analytic sensitivity, fit with direct-swab
52 245 nasal specimen data as 95% at CT 20.1, 50% at 28.8, and 5% at 37.4. It estimated direct-swab
53 246 nasopharyngeal ID NOW analytic sensitivity, fit with patient VTM data, as 95% at CT 27.5, 50%
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3 247 at CT 36.2, and 5% at CT 44.9 and fit with LOD data as 95% at CT 33.6, 50% at CT 34.5, and
4 248 5% at CT 35.5. Direct-swab nasopharyngeal Sofia 2 analytic sensitivity estimates, fit with patient
5 249 VTM data, were 95% at CT 23.7, 50% at CT 27.8, and 5% at CT 32.0. The model estimated
6 250 VTM nasopharyngeal Simplexa analytic sensitivity, fit with patient VTM data, as 95% at CT
7 251 32.9, 50% at CT 35.2, and 5% at CT 37.6 and fit with LOD data as 95% at CT 31.4, 50% at CT
8 252 35.6, and 5% at CT 39.9. All p-values for CT as predictor were significant.

9 253
10 254 Applying these logistic regression models to the emergency services symptomatic population
11 255 (Figure 2, Table 2), overall pPPA for ID NOW direct nasal specimens (compared to Simplexa
12 256 nasopharyngeal VTM testing as per routine clinical care) was estimated to be 77.8% (95%
13 257 confidence interval of the model was 50.2%–89.1%). ID NOW pPPA for direct nasopharyngeal
14 258 specimens was estimated to be 94.9% (65.8%–98.5%) (fit with patient VTM data) and 97.4%
15 259 (66%–98.8%) (fit with LOD data). Sofia 2 pPPA for direct nasopharyngeal specimens was
16 260 estimated as 76.6% (56.4%–84.7%), fit with patient VTM data. Simplexa pPPA for
17 261 nasopharyngeal VTM testing was estimated as 97.8% (2.7%–99.7%) (fit with patient VTM data)
18 262 and 97.2% (83.3%–98.9%) (fit with LOD data).

19 263
20 264 Similarly, for the asymptomatic population presenting for emergency services (Figure 3, Table
21 265 2), overall pPPA for ID NOW using direct nasal specimens was estimated to be 64.5%
22 266 (40.8%–79.8%). ID NOW pPPA for direct nasopharyngeal specimens was estimated to be
23 267 88.4% (59.8%–95.5%) (fit with patient VTM data) and 89.6% (57.4%–94.7%) (fit with LOD
24 268 data). Sofia 2 pPPA for direct nasopharyngeal specimens was estimated as 60.3%
25 269 (45%–71.4%), fit with patient VTM data. Simplexa pPPA for nasopharyngeal VTM testing was
26 270 estimated as 91.1% (6.2%–99%) (fit with patient VTM data) and 90.8% (75.2%–96.2%) (fit with
27 271 LOD data).

28 272
29 273 When adjusting for cases likely missed in the routine testing that generated the historic CT-
30 274 distributions, the number of predicted missed cases, and potentially infectious missed cases
31 275 with CT<33.0, per 1,000 tested were plotted by prevalence in Figure 4. In symptomatic
32 276 emergency services patients at 15% SARS-CoV-2 prevalence, ID NOW direct nasal testing was
33 277 predicted to miss 33.8 (16.7-75.0) infected cases per 1,000. Using direct nasopharyngeal
34 278 specimens, ID NOW was predicted to miss 8.3 (2.6-51.8) (fit with patient VTM data) and 4.5
35 279 (2.3-51.6) (fit with LOD data) infected cases per 1,000 tested and Sofia 2 was predicted to miss
36 280 35.7 (23.6-65.9) cases. Using nasopharyngeal VTM samples, Simplexa was predicted to miss
37 281 4.1 (0.5-146.0) (fit with patient VTM data) and 4.8 (1.8-25.7) (fit with LOD data) cases.

38 282
39 283 In asymptomatic emergency services patients and at 3% prevalence, ID NOW direct nasal
40 284 testing was predicted to miss 15.0 (9.3-20.7) infected cases per 1,000. Using direct
41 285 nasopharyngeal specimens, ID NOW was predicted to miss 7.1 (3.3-15.2) (fit with patient VTM
42 286 data) and 9.2 (5.4-16.8) (fit with LOD data) infected cases per 1,000 tested and Sofia 2 was
43 287 predicted to miss 16.4 (12.8-19.8) cases. Using nasopharyngeal VTM samples, Simplexa was
44 288 predicted to miss 8.3 (0.5-28.3) (fit with VTM data) and 7.6 (3.3-12.3) (fit with LOD data) cases.

45 289
46 290 In the paired-swab study of 96 emergency services patients (average age 53 years), 25
47 291 positives (18 symptomatic and 7 asymptomatic) were identified, with nearly 100% concordance
48 292 between the ID NOW and Simplexa results (Table S1). The only discrepancy was an ID NOW
49 293 positive/Simplexa negative sample that was confirmed in the clinical laboratories as positive at
50 294 CT 34.6. One sample was excluded from analysis due to a specimen aliquoting error when
51 295 tested on Simplexa. The distribution of CT values detected by Simplexa ranged from 11.0 CT to
52 296 32.1 CT (supplemental Figure S3, a dotplot of positives on the Simplexa, whether enrolled in the

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3 297 study or not, is shown for comparison to evaluate representativeness of the enrolled patients; K-
4 298 S test $p = 0.86$ consistent with no selection bias).

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6 300

7 301 Discussion

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9 303 In this study we develop and demonstrate a model to translate analytic sensitivity of SARS-CoV-
10 304 2 assays into predictions of PPA as well as missed cases in different patient populations using
11 305 different specimen types. We also show this can be performed for direct-swab assays using
12 306 data derived from VTM samples, and allows predictions for multiple settings when data for only
13 307 one patient population or one specimen type are available. This approach should not replace a
14 308 validation study. Instead, it increases efficiency and reduces cost by quickly evaluating direct-
15 309 specimen assays under a number of different conditions, before devoting resources for clinical
16 310 paired-swab studies. Two different methods (via residual VTM studies, and LOD studies)
17 311 predicted that if using direct nasopharyngeal samples, ID NOW would have a similar
18 312 performance to VTM nasopharyngeal testing on Simplexa, the routine standard for rapid
19 313 emergency services testing at our institution. In contrast, ID NOW direct nasal testing and Sofia
20 314 2 direct nasopharyngeal testing were predicted to perform with a clinically meaningful lower
21 315 performance. These data are consistent with other studies, where ID NOW direct nasal testing
22 316 performed with a range of 48%-88% positive percent agreement (PPA).(7, 17–19) No studies of
23 317 direct nasopharyngeal samples on ID NOW or Sofia 2 were identified. The prediction of
24 318 equivalence for ID NOW and Simplexa was confirmed with 99% concordance in a 96-patient
25 319 paired-swab study that included 25 positive-cases with a wide range of CT values. In published
26 320 reports, Simplexa has demonstrated a range of LODs (cps/ml): 37(20), 167(21), 501(22), and
27 321 521(7). In clinical specimens, PPAs have been reported as 100% in symptomatic
28 322 populations,(20,23) 88% and 88.1% in mixed populations,(7,9) and 96% and 100% in
29 323 undescribed populations,(22,24) with most false negative occurring at $CT > 33.0$.(9)

30 324

31 325 Using this approach, other data sources could be incorporated. For instance, the CT difference
32 326 between testing nasal versus nasopharyngeal specimens could potentially be estimated.(10)
33 327 Using that CT benefit, one could map the nasal specimen analytic sensitivity curve (from a
34 328 clinical nasal specimen study) to a predicted nasopharyngeal specimen curve. Similarly, one
35 329 could use this method to predict performance with specimen-pooling in different populations,
36 330 either based on a clinical study, LOD study, or simple CT adjustment of 2.3 cycles knowing that
37 331 there will be a dilution of five times the concentration of viral particles (if pooling 5 specimens).
38 332 Another data source is literature, when CTs of positive cases are reported.

39 333

40 334 A quality target for diagnostic sensitivity of SARS-COV-2 assays is not universally accepted.
41 335 However, IDSA determined an acceptable benchmark for symptomatic patients at 10-20 missed
42 336 cases per 1,000 tested, while >60 per 1,000 was deemed unacceptable (recommendations 5,
43 337 6).(25) In our modeling, ID NOW and Simplexa using nasopharyngeal specimens were not
44 338 expected to exceed 20 missed cases per 1,000 in symptomatic patients up to (and beyond) a
45 339 prevalence of 20% and in asymptomatic patients up to a prevalence of 6%). In contrast, ID
46 340 NOW nasal testing and Sofia 2 nasopharyngeal testing were expected to exceed 20 missed
47 341 cases per 1,000 tested in symptomatic patients at 8% prevalence and in asymptomatic patients
48 342 at 3-4% prevalence. Importantly, missed cases of $CT < 33.0$, with presumably higher infectious
49 343 risk, were much lower for all assays.

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51 345 It should be noted that the values in Figure 4 represent estimated total missed cases for each
52 346 diagnostic, and not additional missed cases in comparison to the institutional standard. Also,
53 347 while missed cases by the institutional standard will be lower, even the highest sensitivity

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3 348 central laboratory assays will by definition miss samples with viral loads at and beyond their
4 349 95% LOD. It should also be mentioned that Simplexa was not estimated to detect 100% of
5 350 emergency services cases, even though the Simplexa was the assay that resulted the CT
6 351 distributions used for the modeling. This is once again because the assay is detecting cases at
7 352 and below the 95% LOD in routine practice and therefore is expected to be missing some
8 353 cases.
9 354

10 355 Finally, this modeling approach could be employed for other types of qualitative diagnostic
11 356 testing where there is an associated quantitative output (e.g. CT value) that acts as a surrogate
12 357 for the biomarker concentration and when the primary driver of sensitivity is thought to be the
13 358 biomarker's concentration in the specimen. This would likely apply to other infectious disease
14 359 testing such as influenza and RSV.
15 360

16 361 There are limitations to this study. First, to estimate the benefit of direct-swab testing compared
17 362 to VTM, we assumed nucleic acid doubling per PCR cycle. This is expected during the
18 363 exponential PCR phase, when reagents exceed template. At high CT values, this relationship
19 364 can degrade. Furthermore, there will be dispersion around this doubling, as can be seen in the
20 365 LOD study, where CT values ranged across ~7 CT when theoretically 5 doubling dilutions would
21 366 cover 5 CT. Second, PPAs are typically calculated against the highest sensitivity assay at an
22 367 institution. In our study, the emergency services CT distributions were generated by the highest
23 368 sensitivity *rapid* assay at our institution, but still with a sensitivity lower than the central
24 369 laboratory. The pPPAs are still valid, but should not be equated to diagnostic sensitivity. Had
25 370 CT-distributions of the same patients been generated by central laboratory instruments, pPPAs
26 371 of Figures 2 and 3 would be lower. Nevertheless, the pPPA is valuable as an index to compare
27 372 the relative performance of different assays in various specimen types and populations. Third,
28 373 estimation of total missed cases required another data manipulation step to quantify likely
29 374 missed cases not present in the electronic health record, thus creating additional uncertainty in
30 375 those results. Fourth, CTs are not specifically harmonized between instruments and therefore
31 376 CT distributions between different patient populations may not be directly comparable, to the
32 377 extent that CT values between two assays show bias. While one could consider mapping assay
33 378 CTs to viral load, this was not done as bias was deemed minimal and using CTs directly
34 379 reduced the burden of model deployment.
35 380

36 381 The impetus for this study came from our institutional need to develop a model to initially assess
37 382 the viability of several COVID assays, particularly those that cannot be evaluated with residual
38 383 specimens. Early in the pandemic we implemented the Simplexa COVID-19 assay where rapid
39 384 testing was critical (e.g., in emergency services), as it had a 90-minute turn-around time. As the
40 385 pandemic continued and the need for more rapid testing became critical, we sought to identify a
41 386 new assay with a shorter turn-around time but similar performance characteristics to the
42 387 Simplexa. The model presented here permitted an efficient evaluation of several
43 388 assay/specimen-type/population combinations and predicted the ID NOW using a direct
44 389 nasopharyngeal specimen would perform similarly to Simplexa. The predictive modeling data
45 390 provided us the evidence needed for the commitment of significant resources in a clinical
46 391 paired-swab study that ultimately demonstrated equivalence of the two assays in our patient
47 392 population.
48 393
49 394

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11 406 **Author Contributions:** *All authors confirmed they have contributed to the intellectual content of this*
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13 408 *design, acquisition of data, or analysis and interpretation of data; (b) drafting or revising the article for*
14 409 *intellectual content; (c) final approval of the published article; and (d) agreement to be accountable for*
15 410 *all aspects of the article thus ensuring that questions related to the accuracy or integrity of any part of*
16 411 *the article are appropriately investigated and resolved.*
17 412

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29 424

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3 509 **Figure 1.** Logistic regression for assay analytic sensitivity (dependent variable) by PCR cycle
4 510 threshold (independent variable) for ID NOW, Sofia 2, and Simplexa. X-axis PCR cycle
5 511 threshold determined by central laboratory instruments as described in methods (Abbott Alinity
6 512 or m2000). CT values were adjusted for ID NOW and Sofia 2 where regressions are fit with
7 513 VTM or LOD data, as described in methods. Source of data for fitting the logistic regression is
8 514 listed in parentheses; predictions are for use with direct specimens in the case of ID NOW and
9 515 Sofia 2 but VTM in the case of Simplexa, as per package insert. Orange circles represent assay
10 516 result (100=positive, 0=negative), thin grey lines represent 95% confidence intervals of logistic
11 517 regression.
12 518

13 519 **Figure 2.** Overlaying the analytic sensitivity curves and the distribution of CT values from
14 520 routine clinical testing in emergency department (ED) symptomatic patients. Curves are
15 521 reproduced from Figure 1. The CT-specific analytic sensitivity for any given bar in the histogram
16 522 of CT values is estimated by the logistic regression curve at the particular CT value. Source of
17 523 data for each assay model is listed in parentheses. Overall pPPA (predicted positive percent
18 524 agreement) with confidence interval is listed for each assay model.
19 525

20 526 **Figure 3.** Overlaying the analytic sensitivity curves and the distribution of CT values from
21 527 routine clinical testing in emergency department (ED) asymptomatic patients. Curves are
22 528 reproduced from Figure 1. The CT-specific analytic sensitivity for any given bar in the histogram
23 529 of CT values is estimated by the logistic regression curve at the particular CT value. Source of
24 530 data for each assay model is listed in parentheses. Overall pPPA (predicted positive percent
25 531 agreement) with confidence interval is listed for each assay model.
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27 533 **Figure 4.** Predicting missed cases per 1,000 patients tested in emergency department
28 534 symptomatic and asymptomatic patients (A, B) and limiting missed cases only to those patients
29 535 with cycle thresholds < 33.0 cycles (C, D).
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Table 1. Description of laboratory and clinical evaluations conducted

#	Study name	Study design	Index assay	Index assay specimen	Reference assay	Reference assay specimen	N*	Source
1	CT bias	CT bias assessment	Simplexa	Nasopharyngeal, VTM	Abbott m2000	Nasopharyngeal, VTM	14	This study
2	CT bias	CT bias assessment	Abbot Alinity	Nasopharyngeal, VTM	Abbott m2000	Nasopharyngeal, VTM	59	This study
3	ID NOW, direct-swab nasal	Paired-swab	ID NOW	Nasal swab, direct	Abbott m2000	Nasopharyngeal, VTM	24**	(7)
4	ID NOW, VTM nasopharyngeal	VTM	ID NOW	Nasopharyngeal, VTM	Abbott m2000	Nasopharyngeal, VTM	24**	(7)
5	ID NOW, LOD	LOD, viral concentrations adjusted to account for direct testing	ID NOW	Dilutions in VTM, with concentration in reaction buffer equal to concentration of VTM used for the reference swab.	Abbott m2000	Dilutions in VTM	30	(7)
6	Sofia, VTM nasopharyngeal	VTM	Sofia II	Nasopharyngeal, VTM	Abbott Alinity	Nasopharyngeal, VTM	32	This study
7	Simplexa, VTM nasopharyngeal	VTM	Simplexa	Nasopharyngeal, VTM	Abbott m2000	Nasopharyngeal, VTM	24**	(7)
8	Simplexa, LOD	LOD	Simplexa	Dilutions in VTM	Abbott m2000	Dilutions in VTM	30	(7)
9	ID NOW/Simplexa paired-swab study, nasopharyngeal	Paired-swab	ID NOW	Nasopharyngeal swab, direct	Simplexa	Nasopharyngeal, VTM	96	This study

LOD: limit of detection

*For all studies other than #9, only positive samples of the reference assay are included as only sensitivity is being evaluated

**There were 25 positive samples in Lephart et al, but only 24 were positive by m2000

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542 **Table 2. Summary of pPPAs with confidence intervals**

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	ED symptomatic pPPA (95% CI)	ED asymptomatic pPPA (95% CI)
ID NOW, nasal (direct-swab data)	77.8% (50.2%–89.1%)	64.5% (40.8%–79.8%)
ID NOW, np (VTM data)	94.9% (65.8%–98.5%)	88.4% (59.8%–95.5%)
ID NOW, np (LOD data)	97.4 (66%–98.8%)	89.6% (57.4%–94.7%)
Sofia 2, np (VTM data)	76.6% (56.4%–84.7%)	60.3% (45%–71.4%)
Simplexa, np (VTM data)	97.8% (2.7%–99.7%)	91.1% (6.2%–99%)
Simplexa, np (LOD data)	97.2% (83.3%–98.9%)	90.8% (75.2%–96.2%)

544 Abbr: pPPA (predicted positive percent agreement)

Figure 1

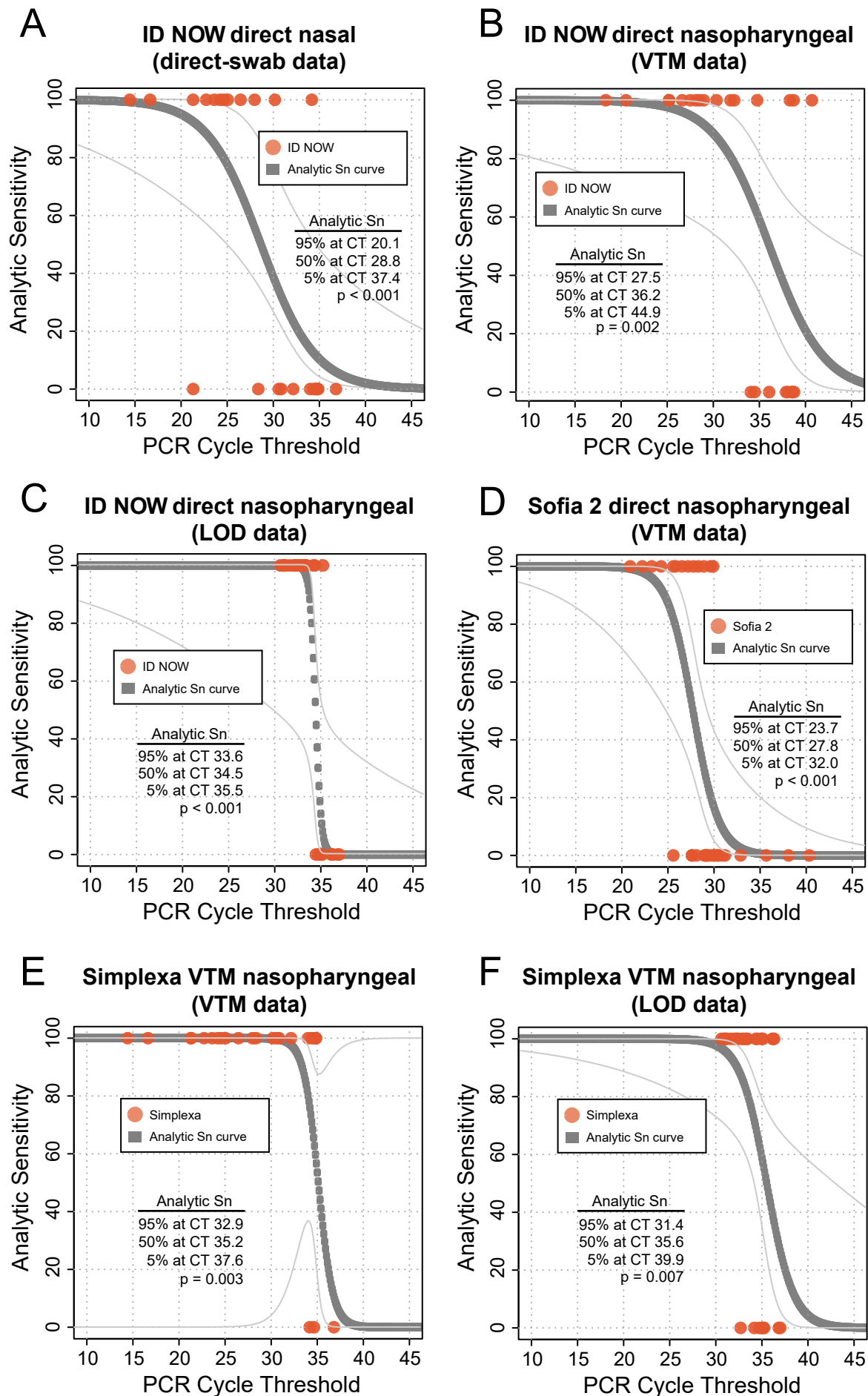


Figure 2

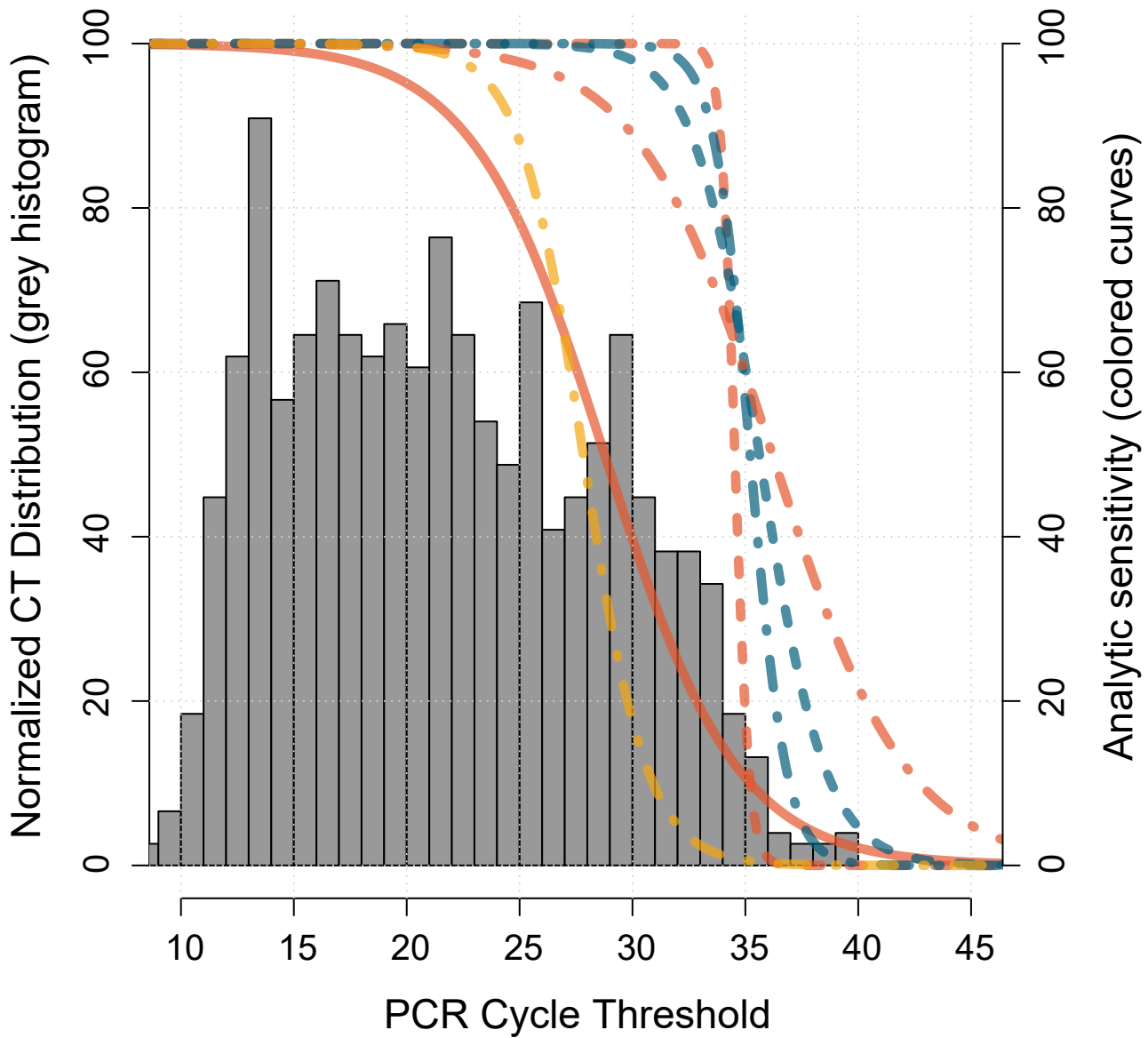
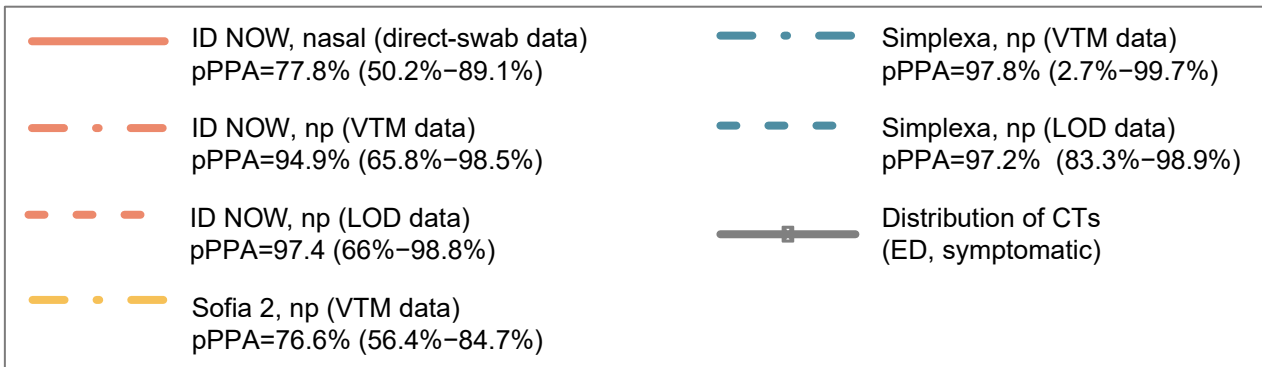


Figure 3

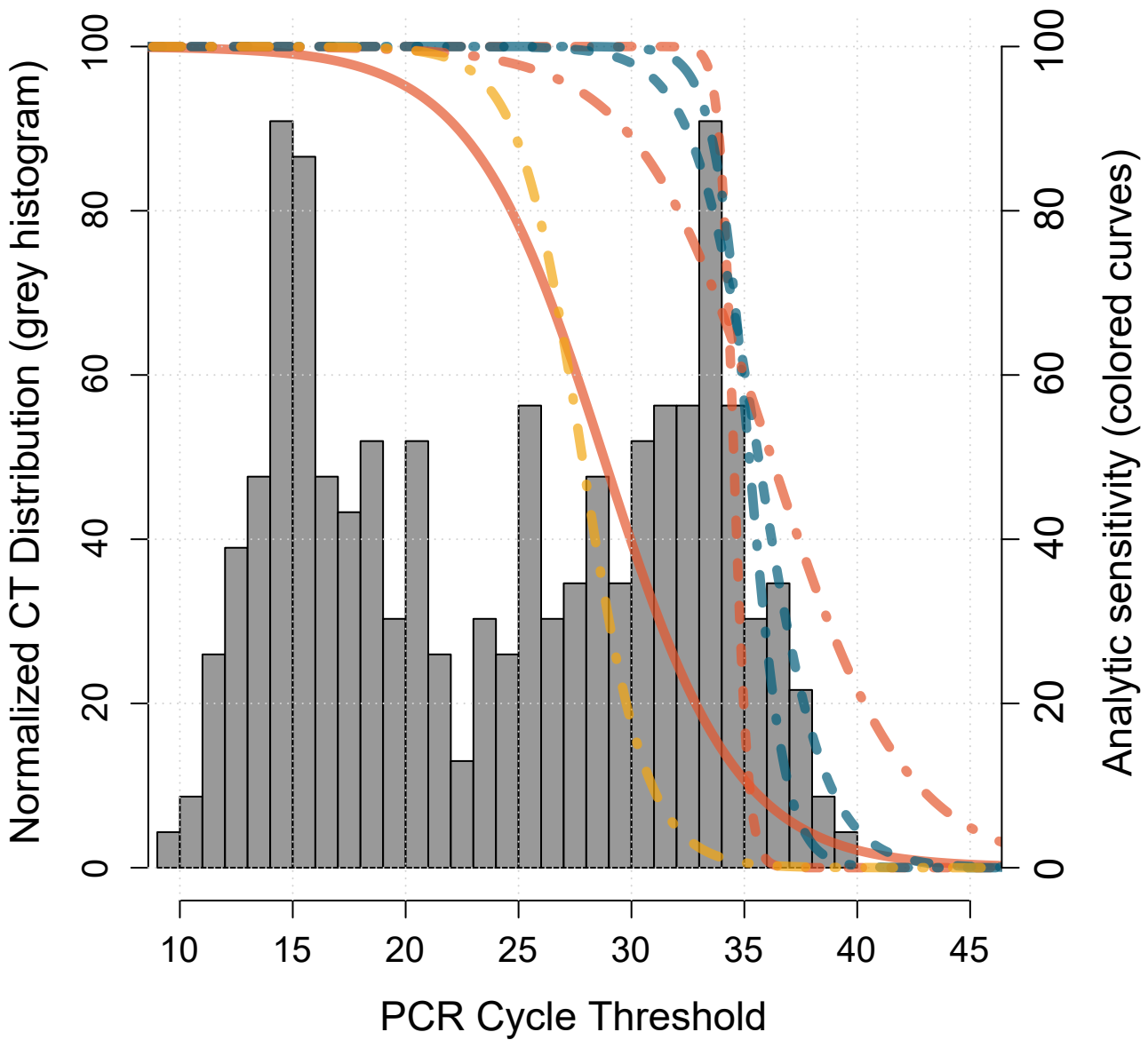
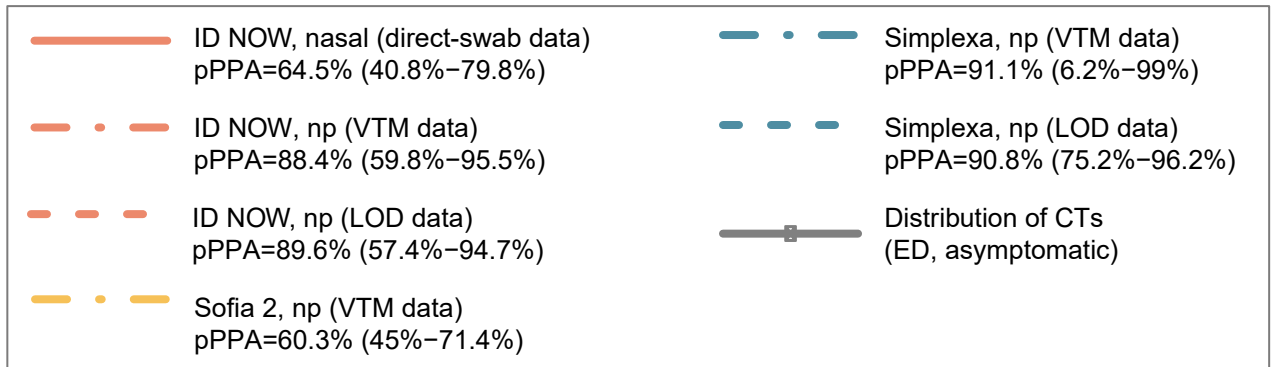


Figure 4

