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#### Correspondence to

Jian Zhang, MD, PhD

Heart Failure Center, Fuwai Hospital, Chinese Academy of Medical Sciences and Peking Union Medical College, 167 Beilishi Road, Beijing 100037, China. E-mail: fwzhangjian62@126.com

#### Yuhui Zhang, MD, PhD

Heart Failure Center, Fuwai Hospital, Chinese Academy of Medical Sciences and Peking Union Medical College, 167 Beilishi Road, Beijing 100037, China. E-mail: yuhuizhangjoy@163.com

\*Tianyu Xu and Yuli Huang contributed equally to this work.

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#### **ORCID** iDs

#### Tianyu Xu 匝

https://orcid.org/0000-0002-2929-6760

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# Heart Failure Is Associated with Increased Risk of Long-Term Venous Thromboembolism

Tianyu Xu (), MD<sup>1,\*</sup>, Yuli Huang, MD, PhD<sup>2,\*</sup>, Zuheng Liu, MD, PhD<sup>3</sup>, Yujia Bai, MD<sup>3</sup>, Zhuang Ma, MD<sup>3</sup>, Xiaoyan Cai, MD<sup>2</sup>, Yuhui Zhang, MD, PhD<sup>1</sup>, and Jian Zhang, MD, PhD<sup>1</sup>

<sup>1</sup>State Key Laboratory of Cardiovascular Disease, Heart Failure Center, Fuwai Hospital, National Center for Cardiovascular Diseases, Chinese Academy of Medical Sciences and Peking Union Medical College, Beijing, China

<sup>2</sup>Department of Cardiology, The Affiliated Hospital at Shunde, Southern Medical University (the First People's Hospital of Shunde), Foshan, China

<sup>3</sup>State Key Laboratory of Organ Failure Research, Department of Cardiology, Nanfang Hospital, Southern Medical University, Guangzhou, China

# **AUTHOR'S SUMMARY**

The risk of venous thromboembolism (VTE) in out-patients with heart failure (HF) in long-term period is still controversial, resulting in unclear recommendations for long-term treatment. In this analysis, we found that HF was an independent risk for VTE and pulmonary embolism but not deep vein thrombosis in long-term follow-up period. Patients with chronic HF were prone to have higher risk of VTE. This meta-analysis provided an evidence which is supportive for developing strategies for prevention of VTE in patients with HF.

## ABSTRACT

**Background and Objectives:** Venous thromboembolism (VTE), consisting of deep vein thrombosis (DVT) and pulmonary embolism (PE), is highly prevalent in in-hospital HF patients and contributes to worse prognoses. However, the risk of VTE in out-patients with HF in long-term period is controversial. This study aimed to evaluate the associations between HF and the risk of VTE in a long-term follow-up duration.

**Methods:** We searched for studies investigating the risk of VTE, PE, and DVT in patients with HF before April 15, 2020, in PubMed, MEDLINE, and Embase databases. Cohort studies and post hoc analysis of RCTs were eligible for inclusion if they reported relative risk of VTE, DVT or PE in patients with HF in more than 3-month follow-up period.

**Results:** We identified 31 studies that enrolled over 530,641 HF patients. Overall, patients with HF were associated with an increased risk of VTE (risk ratio [RR]=1.57, 95% confidence interval [CI]=1.34–1.84) and PE (RR=2.00, 95% CI=1.38–2.89). However, the risk of DVT was not significantly increased in HF patients (RR=1.33, 95% CI=0.67–2.63). Subgroup analysis showed that patients with chronic HF (RR=1.54, 95% CI=1.32–1.80) had a higher risk of VTE than those with acute HF (RR=0.95, 95% CI=0.68–1.32).

**Conclusions:** In conclusion, HF was an independent risk for VTE and PE but not DVT in a long-term follow-up period. Patients with chronic HF were prone to suffer from VTE than acute HF.

Keywords: Heart failure; Venous thromboembolism; Risk ratio



#### **Conflict of Interest**

The authors have no financial conflicts of interest.

#### **Data Sharing Statement**

The data generated in this study is available from the corresponding authors upon reasonable request.

#### **Author Contributions**

Conceptualization: Zhang Y, Zhang J; Funding acquisition: Zhang J; Investigation: Xu T, Huang Y; Methodology: Huang Y, Liu Z, Bai Y, Ma Z; Supervision: Cai X; Visualization: Cai X; Writing - original draft: Xu T; Writing - review & editing: Zhang Y, Zhang J.

### INTRODUCTION

Heart failure (HF) is a global public health problem that affects an estimated 26 million people worldwide,<sup>1)</sup> with an increasing prevalence and mortality rate.<sup>2)3)</sup> Due to immobility and bedridden status, the short-term risk of venous thromboembolism (VTE), including deep vein thrombosis (DVT), pulmonary embolism (PE), and thromboembolism in other veins, increased in patients with HF<sup>4)5)</sup> and may contribute to worse prognoses.<sup>6)7)</sup> A previous meta-analysis showed that the in-hospitalized incidence of VTE in patients with HF was 2.66% and the risk of VTE in in-hospitalized HF patients (<60 days) was almost 70% higher compared with non-HF patients.<sup>6)</sup> Clinical trials revealed that short-term thromboprophylaxis could be beneficial in HF patients.<sup>7)</sup> However, the risk of VTE in out-patients with HF in a long-term period is still controversial,<sup>840)</sup> which leads to unclear recommendations for long-term treatment in HF patients. Given these inconsistencies, we synthesized available data to quantify the risk of VTE in HF in long-term follow-up time.

## **METHODS**

#### Search strategy

Our search was performed according to the recommendations of the Meta-Analysis of Observational Studies in Epidemiology Group.<sup>11)</sup> We searched for records published up to April 15, 2020, in PubMed, MEDLINE, and Embase databases, using keywords "venous thromboembolism" or "vein thromboembolism" or "vein thrombosis" or "venous thrombosis" or "deep venous thrombosis" or "deep vein thromboembolism" or "deep venous thromboembolism" or "lung embolism" or "lung thromboembolism" or "pulmonary embolism" and "heart failure" or "cardiac dysfunction" or "cardiac failure" (**Supplementary Table 1**). The search was restricted to human studies, but there were no language or publication form restrictions. The strategies for other databases were similar but adapted where necessary. Reference lists were also manually checked to identify other potential studies.

#### **Selection criteria**

Cohort studies or post hoc analyses of randomized controlled trials (RCTs) were included in this analysis. Patients who diagnosed HF (chronic HF: with the combination of symptoms [such as dyspnea] and cardiac dysfunction proven by an echocardiogram [left ventricular ejection fraction [LVEF] <50%] or defined by International Classification of Diseases, Ninth Revision [ICD-9] code, acute HF: defined by ICD-9 code) with an over 3-month followed-up time were eligible. We included datum if they presented original data on rates (number of events per follow-up period) or relative risks like risk ratios (RRs), odds ratios, and hazard ratios of all VTE (primary outcome), or DVT or PE alone (secondary outcomes) in HF patients, compared with patients without HF. Diagnoses of VTE, DVT or PE were based on ICD, or imaging examination such as compression venous ultrasound, ventilation-perfusion scan, gray-scale, and Doppler sonography or venography. Animal studies, cross-sectional studies, case-control studies, case reports, studies with follow-up periods <3 months, or data derived from the same studies were excluded. We did not exclude patients with cancer or patients undergoing surgery, who are already at high risk for VTE. Therefore, we were able to include all studies in our meta-analysis and comprehensively investigate the risk of VTE, PE or DVT, and HF in long-term follow-up duration.

#### **Data extraction**

Two investigators independently conducted literature searches, reviewed the potential articles, and abstracted data from eligible studies. Discrepancies were adjudicated by discussions with other investigators.

All available data were extracted from included studies, including country or region, study design, patient's population with HF, type of HF, follow-up time, use of anticoagulation therapy, number of participants with HF, ages of participants, adjusted relatives risks with 95% confidence intervals (CIs) and diagnostic criteria for VTE, PE, and DVT.

#### **Quality assessment of studies**

We assessed the risk of bias with an adapted and modified Newcastle-Ottawa Scale for observational studies, recommended by previous researchers.<sup>6)</sup> There were 6 items and 1 point was scored for each item. The judgments are diagnosis of VTE, PE, and DVT, HF diagnoses, study population (whether are restricted to patients with surgery or cancer), adjustment for age and sex, adjustment for recent major surgery and active malignancy and adjustment for other risk factors. Studies that received one point in all 6 items were judged as high quality (**Supplementary Table 2**).

#### Synthesis and analysis

Relative risks of VTE, PE, or DVT from each study and 95% CIs were logarithmically transformed and the corresponding standard errors (SEs) were calculated to stabilize the variance and normalize the distribution. We used the inverse variance method to combine the calculated log RRs and SEs. We investigated statistical heterogeneity across studies with the I<sup>2</sup> statistic and did sensitivity analyses by omitting one cohort at a time. We assessed publication bias with Begg's test and inspecting funnel plots in which the natural log of RR was plotted against its SE.<sup>12)</sup> Meta-regression analysis was used to determine the impact of participants' age and follow-up duration upon the outcomes if data were reported in more than 10 studies according to Cochrane guidelines.<sup>13)</sup> We did subgroup analyses of primary outcomes based on study characteristics: type of heart failure (acute, chronic, and not reported), follow-up duration (3-6 months, 6 months-1 year, >1 year), anticoagulation therapy (no anticoagulation therapy, anticoagulation therapy in clinical practice, not reported), age (<60 years old, 60–70 years old, >70 years old), surgery (underwent, not underwent, not mentioned) and, cancer (suffered, not suffered, not mentioned). The p values were 2-tailed, and statistical significance was set at 0.05. All analyses were conducted using RevMan (version 5.3; The Cochrane Collaboration, Copenhagen, Denmark) and Stata software (version 15.0; Stata Corp LP, College Station, TX, USA).

## RESULTS

#### **Studies retrieved and characteristics**

The search retrieved 4,242 manuscripts initially, and 621 duplicated articles were removed. After screening titles and abstracts, we took 105 records to be potentially eligible and gave an in-depth review of each full-text article. Finally, we included 31 (**Supplementary Reference 1**) studies, with over 530,641 patients with HF (**Figure 1**). The median quality of the included studies was 4.3 points. The sample size ranged from 34 to 270,535 and the mean age of patients of included studies was from 39.9 to 85.7 years. The follow-up period ranged from 3 months to 26 years, and the mean follow-up was 35.5 months (**Table 1**).



Figure 1. Flow of papers through review.

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DVT = deep vein thrombosis; HF = heart failure; PE = pulmonary embolism; VTE = venous thromboembolism.
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#### Table 1. Characteristics of studies

First author and publication date	Region	Study design	Follow-up period (months)	Type of HF	Definition of VTE/DVT	Anticoagulation therapy	No. of HF patients	Mean age (years)	RR (95% CI)
J. D. Mitchell, 2012	USA	Retrospective cohort study	6	CHF	ICD-9	No use	271	56.1	VTE: 0.44 (0.06-3.18)
Marco Pahor, 1996	USA	Prospective cohort study	24	CHF	ICD-9	NR	NR	76.6	VTE: 2.3 (1.6-3.4)
Yi-Hao Peng, 2014	Taiwan	Retrospective cohort study	144	CHF	ICD-9	NR	488	42.4	VTE: 2.30 (1.60-3.31)
Barry Greenberg, 2019	USA	Post hoc analysis of RCT	50.3	CHF	<ul> <li>PE: High probability ventilation/perfusion lung scan, intermediate probability ventilation/ perfusion lung</li> <li>DVT: Positive compression ultrasonography or venogram</li> </ul>	Rivaroxaban (low dose)	5,022	66.4	PE: 1.24 (0.51–2.99) DVT: 0.71 (0.23–2.24)
Ricardo Guijarro, 2014	Spain	Retrospective cohort study	3	AHF, CHF	ICD-9	NR	124,354	69	VTE • AHF: 0.97 (0.90-1.04) • CHF: 1.13 (1.07-1.19)
Trudy Pendergraft, 2013	USA	Retrospective cohort study	6	CHF	ICD-9	No use of anticoagulation	8,312	67	VTE: 1.44 (1.18–1.76)
Cynthia Wei- Sheng Lee, 2015	Taiwan	Retrospective cohort study	144	NR	NR	NR	2,822	48	VTE: 1.95 (1.33-2.85)
Julia Hippisley- Cox, 2011	UK	Prospective open cohort study	12	CHF	ICD-9 or ICD-10	No use of anticoagulation	15,081	47.6	VTE • Women: 1.40 (1.2–1.62) • Men: 1.33 (1.13–1.57)
Alpesh Amin, 2019	USA	Retrospective cohort study	6	AHF	ICD-9	NR	1,507	74.1	VTE: 0.77 (0.43-1.38)
Craig R. Keenan, 2007	USA	Prospective cohort study	3	CHF	ICD-9	NR	136,665	NR	VTE: 9.1 (6.4–12.9)

(continued to the next page)



#### Table 1. (Continued) Characteristics of studies

First author and publication date	Region	Study design	Follow-up period (months)	Type of HF	Definition of VTE/DVT	Anticoagulation therapy	No. of HF patients	Mean age (years)	RR (95% CI)
Cheng-Han Lee, 2015	Taiwan	Retrospective cohort study	24	CHF	ICD-9	Warfarin	6,065	60.7	VTE: 1.256 (1.120-1.407)
Renée L. Schiff, 2005	Canada	Retrospective cohort study	12	CHF	Compression venous ultrasound, ventilation- perfusion scan	Dalteparin and enoxaparin	310	72	VTE: 1.12 (0.38-3.29)
Joshua D. Brown, 2016	USA	Retrospective cohort study	12	CHF	ICD-9	NR	933	63.9	VTE: 1.7 (1.4-2.1)
G.C. Connolly, 2012	USA	Retrospective cohort study	12	CHF	ICD-9	No use of anticoagulation	1,151	63.7	VTE: 1.29 (1.01–1.66)
Christina L. Fanola, 2019	USA	Retrospective cohort study	312	CHF	ICD-9	NR	13,728	56.1	VTE: 3.13 (2.58–3.80) DVT: 3.90 (2.96–5.13) PE: 2.57 (1.95–3.39)
Yun-Ping Lim, 2015	Taiwan	Prospective cohort study	144	CHF	ICD-9	NR	2,323	53.9	PE: 0.75 (0.30-1.92) DVT: 0.99 (0.53-1.84)
Hung-Kai Huang, 2018	Taiwan	Retrospective cohort study	12	CHF	ICD-9	NR	947	64.05	VTE: 6.172 (1.78-21.43)
Niels Mejer, 2014	Denmark	Retrospective cohort study	12	CHF	ICD-8 or ICD-10	NR	8,762	NR	VTE: 0.90 (0.50-1.62)
O. Königsbrügge, 2016	Austria	Prospective cohort study	24	CHF	NR	NR	34	61	VTE: 3.07 (1.15-8.19)
Sébastien Weill- Engerer, 2004	France	Prospective cohort study	15.8	AHF, CHF	Gray-scale and Doppler sonography or venography	Oral anticoagulants	NR	85.7	DVT • AHF: 2.52 (1.04-6.12) • CHF: 0.69 (0.44-1.07)
Nathaniel R. Smilowitz, 2019	USA	Retrospective cohort study	25.2	AHF	ICD-9	NR	207,535	81.3	VTE: 2.31 (2.18-2.45)
Judd S. Day, 2015	USA	Retrospective cohort study	3	CHF	ICD-9	NR	NR	NR	VTE • TSA: 0.92 (0.61-1.37) • SHA: 1.48 (1.11-1.99)
John Edelsberg, 2006	USA	Retrospective cohort study	3	CHF	ICD-9	No use of anticoagulation	17,885	70.53	VTE: 1.72 (1.52–1.95)
Jérémie Thereaux, 2017	France	Retrospective cohort study	3	NR	ICD-10	No use of anticoagulation	779	44.9	VTE: 2.45 (1.48-4.06)
Cheng-Han Lee, 2012	Taiwan	Retrospective cohort study	3	NR	ICD-9	Warfarin	19,588	69	VTE: 1.41 (1.09–1.81)
Alok Kapoor, 2013	USA	Retrospective cohort study	3	CHF	ICD-9	Fondaparinux, low molecular weight heparin	1,223	NR	VTE: 1.30 (0.52–3.27)
Henrik T. Sørensen, 2011	Denmark	Retrospective cohort study	36	CHF	NR	NR	16,972	NR	PE: 3.6 (3.3–3.9) DVT: 2.4 (2.2–2.6)
Uwe Müller-Bühl, 2012	Germany	Retrospective cohort study	6	CHF	ICD-10	NR	NR	59.7	DVT: 1.02 (0.82-1.25)
Sahnghoon Lee, 2016	Korea	Retrospective cohort study	3	CHF	ICD-10	Fondaparinux, rivaroxaban, and low molecular weight heparin	5,658	69	VTE • HRA: 2.1 (1.7-2.6) • KRA: 1.3 (1.1-1.5) PE • HRA: 2.8 (2.1-3.8) • KRA: 2.7 (2.0-3.6) DVT • HRA: 1.5 (1.2-2.0) • KRA: 1.1 (0.9-1.3)
Olav R. de Peuter, 2011	Netherlands	Retrospective cohort study	24	CHF	ICD-9	Vitamin K antagonists	20,870	75	PE: 1.33 (0.66-2.71)
I-Kuan Wang, 2017	Taiwan	Retrospective cohort study	12	CHF	ICD-9	NR	34,356	61.9	PE: 1.32 (1.07–1.62)

AHF = acute heart failure; CHF = chronic heart failure; CI = confidence interval; DVT = deep vein thrombosis; HF = heart failure; HRA = hip replacement arthroplasty; ICD = International Classification of Diseases; KRA = knee replacement arthroplasty; NR = no record; PE = pulmonary embolism; RR = risk ratio; SHA = shoulder hemiarthroplasty; TSA = total shoulder arthroplasty; VTE = venous thromboembolism.

# Association of heart failure and risk of venous thromboembolism, pulmonary embolism, and deep vein thrombosis

Among included studies, 24 (**Supplementary Reference 2**) articles reported rate or relative risks of VTE, 7 (**Supplementary Reference 3**) studies calculated about DVT, 7 (**Supplementary Reference 4**) manuscripts analyzed PE, and 5 (**Supplementary Reference 5**) recorded about three or 2 relative statistics of VTE, DVT or PE. The risk of VTE and PE were increased in patients with HF (VTE: RR=1.57, 95% CI=1.34–1.84, I<sup>2</sup>=95%; PE: RR=2.00, 95% CI=1.38–2.89, I<sup>2</sup>=93%) in the above 3 months follow-up period, but there was no a significant association between DVT and HF (RR=1.33, 95% CI=0.67–2.63, I<sup>2</sup>=94%) (**Figure 2**). No evidence of publication bias for all outcomes (VTE, PE, and DVT) was identified by Begg's test (all *P*>0.1) (**Supplementary Figure 1**). The sensitivity analyses confirmed that the association between HF and risk of endpoint events did not change with recalculation of the RRs by omitting one study at a time.

# Subgroup analysis of venous thromboembolism in patients with heart failure and chronic heart failure

We did additional analyses on the associations between VTE in patients with HF, based on types of HF, follow-up duration, anticoagulation therapy, and age. We noted that patients with chronic HF (RR=1.54, 95% CI=1.32–1.80) had higher risk of VTE than those with acute HF (RR=0.95, 95% CI=0.68–1.32) (p for heterogeneity=0.009, I<sup>2</sup>=85.4%) (**Figure 3**). The risk of VTE in patients with HF did not show meaningful changes when analysis of follow-up period (p=0.09), with or without anticoagulation therapy (RR: 1.57 vs. 1.52, p=0.60), or ages (p=0.60). Also, surgery (R: 1.50 vs. 1.95, p=0.68) or cancer (R: 1.74 vs. 1.63, p=0.94) did not increase the risk of VTE in patients with HF. There were no significant differences when the subgroup analyzed in patients with chronic HF, neither did after 1-year follow-up (**Tables 2** and **3**, **Supplementary Figures 2-20**). Meta-regression indicated that the risk of VTE was not modified by age and follow-up duration (**Supplementary Figure 21**).

## DISCUSSION

In this study, we noted that HF was an independent risk factor for VTE and PE in longterm follow-up duration, with an RR of about 1.57 and 2.00, respectively, while it was not significant for DVT. Patients with chronic HF had a higher risk of VTE. Subgroup analysis showed that after stratified by follow-up time, anticoagulation therapy, age or surgery, chronic HF was still a risk factor for VTE.

Links between HF and VTE are not well reported. Several mechanisms, such as stasis of blood because of dilatation of cardiac chambers, reduced myocardial contractility and increased intracardiac and central venous pressures, increased viscosity and coagulability of plasma, inflammation, neurohormonal activation, and endothelial dysfunction could contribute to the hypercoagulable state in patients with HF, raising the risk of VTE.<sup>14</sup>)

Our findings have several clinical implications. First, we found that HF could be a risk for VTE, and PE, but not for DVT in extended follow-up duration. It may be caused by several reasons. One is that much attention was paid to screen the lower extremity DVT (LEDVT), without realizing DVT in other location such as upper extremity, pelvis, and abdominal organs.<sup>15)</sup> Studies showed that upper extremity DVT (UEDVT) had a higher prevalence of HF when compared to patients with LEDVT (20% vs. 6.6%).<sup>16)</sup> Hospitalized HF patients



#### Risk of VTE in patients with HF

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				Risk Ratio	Risk Ratio
Study or Subgroup	log[Risk Ratio]	SE	Weight	IV, Random, 95% Cl	IV, Random, 95% Cl
Amin 2019	-0.2614	0.2973	2.3%	0.77 [0.43, 1.38]	
Barry Greenberg 2019	-0.3425	0.5751	1.2%	0.71 [0.23, 2.19]	
Brown 2016	0.5306	0.0991	3.3%	1.70 [1.40, 2.06]	+
C.H. Lee 2015	0.2279	0.0585	3.5%	1.26 [1.12, 1.41]	+
Connolly 2012	0.2546	0.1248	3.2%	1.29 [1.01, 1.65]	
Day 2015	0.392	0.1514	3.1%	1.48 [1.10, 1.99]	
EDELSBERG 2006		0.0631	3.4%	1.72 [1.52, 1.95]	-
Fanola 2019		0.0986	3.3%	3.13 [2.58, 3.80]	+
Greenberg 2019	0.2151	0.4533	1.5%	1.24 [0.51, 3.01]	
Guijarro 2014		0.0278	3.5%	1.13 [1.07, 1.19]	•
Hippisley 2011	0.3365	0.0786	3.4%	1.40 [1.20, 1.63]	+
Huang 2018	1.82	0.6344	1.0%	6.17 [1.78, 21.40]	
Judd S. Day 2015	-0.0834	0.2097	2.8%	0.92 [0.61, 1.39]	
Julia Hippisley 2011	0.2852	0.0831	3.4%	1.33 [1.13, 1.57]	+
Kapoor 2013		0.4675	1.5%	1.30 [0.52, 3.25]	
KEENAN 2007		0.1796	2.9%	9.10 [6.40, 12.94]	
Königsbrügge 2016	1,1217	0.501	1.4%	3.07 [1.15, 8.20]	
LEE 2012		0.1313	3.2%	1.41 [1.09, 1.82]	
Lee 2015	0.6678	0.1952	2.9%	1.95 [1.33, 2.86]	
Lee 2016		0.1078	3.3%	2.10 [1.70, 2.59]	+
Lim 2015	-0.2877		1.5%	0.75 [0.30, 1.87]	
Mejer 2014	-0.1054		2.3%	0.90 [0.50, 1.62]	<u> </u>
MITCHELL 2012		1.0166	0.5%	0.44 [0.06, 3.23]	
Müller-Bühl 2012		0.1114	3.3%	1.02 [0.82, 1.27]	+
Pahor 1996		0.1852	2.9%	2.30 [1.60, 3.31]	
Pendergraft 2013		0.1016	3.3%	1.44 [1.18, 1.76]	
Peng 2014		0.1852	2.9%	2.30 [1.60, 3.31]	
Peuter 2011		0.3575	2.0%	1.33 [0.66, 2.68]	
Ricardo Guijarro 2014	-0.0305		3.5%	0.97 [0.90, 1.05]	+
Sahnghoon Lee 2016		0.0852	3.4%	1.30 [1.10, 1.54]	-
Schiff 2005		0.5515	1.2%	1.12 [0.38, 3.30]	
Se'bastien Weill-Engerer 2004		0.4516	1.6%	2.52 [1.04, 6.11]	
Smilowitz 2019		0.0296	3.5%	2.31 [2.18, 2.45]	•
Sørensen 2011		0.0444	3.5%	2.40 [2.20, 2.62]	+
Thereaux 2017		0.2572	2.5%	2.45 [1.48, 4.06]	
Wang 2017		0.1071	3.3%	1.32 [1.07, 1.63]	<b>↓</b>
Weill-Engerer 2004	-0.3711		2.7%	0.69 [0.44, 1.08]	
Yveni-Engerer 2004 Yun-Ping Lim 2015	-0.0101		2.2%	0.99 [0.53, 1.85]	
ran ring Lini 2010	-0.0101	0.0100	2.290	0.00 [0.00, 1.00]	
Total (95% CI)			100.0%	1.55 [1.34, 1.79]	•
Heterogeneity: Tau <sup>2</sup> = 0.16; Chi <sup>2</sup> =	= 816.35, df = 37 (	P ≺ 0.000	001); I <sup>2</sup> = !	95%	0.01 0.1 1 10 100
Test for overall effect: Z = 5.82 (P	< 0.00001)				Decreased Risk Increased Risk
					Decreased Misk Increased MISK

Risk of PE in patients with HF

				Risk Ratio		Risk Ratio
Study or Subgroup	log[Risk Ratio]	SE	Weight	IV, Random, 95% Cl		IV, Random, 95% Cl
Fanola 2019	0.9439	0.1409	14.5%	2.57 [1.95, 3.39]		-
Greenberg 2019	0.2151	0.4533	8.2%	1.24 [0.51, 3.01]		<del></del>
Lee 2016	1.0296	0.1468	14.4%	2.80 [2.10, 3.73]		-
Lim 2015	-0.2877	0.4675	8.0%	0.75 [0.30, 1.87]		
Peuter 2011	0.2852	0.3575	10.1%	1.33 [0.66, 2.68]		- <b>+</b>
Sahnghoon Lee 2016	0.9933	0.1531	14.3%	2.70 [2.00, 3.65]		-
Sørensen 2011	1.2809	0.0444	15.6%	3.60 [3.30, 3.93]		-
Wang 2017	0.2776	0.1071	15.0%	1.32 [1.07, 1.63]		-
Total (95% CI)			100.0%	2.00 [1.38, 2.89]		◆
Heterogeneity: Tau <sup>2</sup> = 0.	23; Chi <sup>2</sup> = 95.11, (	0.01				
Test for overall effect: Z	= 3.66 (P = 0.0002	0.01	Decreased Risk Increased Risk			

#### Risk of DVT in patients with HF

				Risk Ratio		Risk Ratio	
Study or Subgroup	log[Risk Ratio]	SE	Weight	IV, Random, 95% Cl		IV, Random, 95% Cl	
Barry Greenberg 2019	-0.3425	0.5751	6.0%	0.71 [0.23, 2.19]			
Fanola 2019	1.361	0.1407	12.6%	3.90 [2.96, 5.14]			
Lee 2016	0.4055	0.1139	13.0%	1.50 [1.20, 1.88]		-	
Lim 2015	-0.0101	0.3188	9.8%	0.99 [0.53, 1.85]		_ <del></del>	
Müller-Bühl 2012	0.0198	0.1114	13.0%	1.02 [0.82, 1.27]		+	
Sahnghoon Lee 2016	0.0953	0.1024	13.1%	1.10 [0.90, 1.34]		+	
Se'bastien Weill-Engerer 2004	0.9243	0.4516	7.7%	2.52 [1.04, 6.11]			
Sørensen 2011	0.8755	0.0444	13.5%	2.40 [2.20, 2.62]		•	
Weill-Engerer 2004	-0.3711	0.2296	11.3%	0.69 [0.44, 1.08]			
Total (95% CI)			100.0%	1.44 [0.99, 2.09]		•	
Heterogeneity: Tau <sup>2</sup> = 0.27; Chi <sup>2</sup> = 144.70, df = 8 (P < 0.00001); l <sup>2</sup> = 94% Test for overall effect: Z = 1.92 (P = 0.05)				196	<u> </u>		4.00
					0.01	0.1 1 10	100
,	-					Decreased Risk Increased Risk	

**Figure 2.** Risk of VTE, PE and DVT in patients with HF. CI = confidence interval; DVT = deep vein thrombosis; HF = heart failure; PE = pulmonary embolism; RR = risk ratio; SE = standard error; VTE = venous thromboembolism.



				Risk Ratio	Risk Ratio
Study or Subgroup	log[Risk Ratio]	SE	Weight	IV, Random, 95% CI	
1.1.1 Risk of VTE in patients with		01	Trongine		
Barry Greenberg 2019	-0.3425	0.5751	1.2%	0.71 [0.23, 2.19]	
Brown 2016		0.0991	3.7%	1.70 [1.40, 2.06]	+
C.H, Lee 2015		0.0585	3.9%	1.26 [1.12, 1.41]	-
Connolly 2012		0.1248	3.6%	1.29 [1.01, 1.65]	+-
Day 2015		0.1514	3.4%	1.48 [1.10, 1.99]	
EDELSBERG 2006		0.0631	3.9%	1.72 [1.52, 1.95]	+
Fanola 2019	1.141	0.0986	3.7%	3.13 [2.58, 3.80]	-
Greenberg 2019	0.2151	0.4533	1.6%	1.24 [0.51, 3.01]	
Guijarro 2014	0.1222	0.0278	4.0%	1.13 [1.07, 1.19]	•
Hippisley 2011	0.3365	0.0786	3.8%	1.40 [1.20, 1.63]	+
Huang 2018	1.82	0.6344	1.0%	6.17 [1.78, 21.40]	
Judd S. Day 2015	-0.0834	0.2097	3.0%	0.92 [0.61, 1.39]	
Julia Hippisley 2011	0.2852	0.0831	3.8%	1.33 [1.13, 1.57]	+
Kapoor 2013	0.2624	0.4675	1.6%	1.30 [0.52, 3.25]	
KEENAN 2007	2.2083	0.1796	3.3%	9.10 [6.40, 12.94]	
Königsbrügge 2016	1.1217	0.501	1.4%	3.07 [1.15, 8.20]	
Lee 2016	0.7419	0.1078	3.7%	2.10 [1.70, 2.59]	+
Lim 2015	-0.2877	0.4675	1.6%	0.75 [0.30, 1.87]	
Mejer 2014	-0.1054	0.2999	2.4%	0.90 [0.50, 1.62]	
MITCHELL 2012	-0.821	1.0166	0.5%	0.44 [0.06, 3.23]	
Müller-Bühl 2012	0.0198	0.1114	3.7%	1.02 [0.82, 1.27]	+
Pahor 1996	0.8329	0.1852	3.2%	2.30 [1.60, 3.31]	
Pendergraft 2013	0.3646	0.1016	3.7%	1.44 [1.18, 1.76]	+
Peng 2014	0.8329	0.1852	3.2%	2.30 [1.60, 3.31]	
Peuter 2011		0.3575	2.1%	1.33 [0.66, 2.68]	
Sahnghoon Lee 2016		0.0852	3.8%	1.30 [1.10, 1.54]	+
Schiff 2005		0.5515	1.3%	1.12 [0.38, 3.30]	
Sørensen 2011		0.0444	3.9%	2.40 [2.20, 2.62]	•
Wang 2017		0.1071	3.7%	1.32 [1.07, 1.63]	-
Weill-Engerer 2004	-0.3711		2.9%	0.69 [0.44, 1.08]	
Yun-Ping Lim 2015	-0.0101	0.3188	2.3%	0.99 [0.53, 1.85]	
Subtotal (95% CI)			89.0%	1.54 [1.32, 1.80]	•
Heterogeneity: Tau <sup>2</sup> = 0.14; Chi <sup>2</sup>		P < 0.000	001); I <sup>2</sup> = 9	33%	
Test for overall effect: Z = 5.44 (F	r < 0.00001)				
1.1.2 Risk of VTE in patients with					
Amin 2019	-0.2614		2.5%	0.77 [0.43, 1.38]	
Ricardo Guijarro 2014	-0.0305		3.9%	0.97 [0.90, 1.05]	1
Se'bastien Weill-Engerer 2004		0.4516	1.6%	2.52 [1.04, 6.11]	
Smilowitz 2019	-0.3711	0.2296	2.9%	0.69 [0.44, 1.08]	
Subtotal (95% CI)			11.0%	0.95 [0.68, 1.32]	<b>T</b>
Heterogeneity: Tau <sup>2</sup> = 0.06; Chi <sup>2</sup>		0.06); I* =	: 59%		
Test for overall effect: Z = 0.33 (F	' = 0.74)				
Total (95% CI)			100.0%	1.47 [1.26, 1.70]	<b>♦</b>
Heterogeneity: Tau <sup>2</sup> = 0.14; Chi <sup>2</sup>	= 572.92, df = 34 (	P < 0.000	001); I <sup>2</sup> = 9	34%	
Test for overall effect: Z = 5.06 (F	< 0.00001)				Decreased Risk Increased Risk
Test for subaroup differences: C	hi² = 6.84. df = 1 (F	P = 0.009	). <b>I</b> ² = 85.4	4%	Devicased Mark Indicased Mark

Figure 3. Risk of VTE in patients with acute HF and chronic HF.

CI = confidence interval; HF = heart failure; SE = standard error; VTE = venous thromboembolism.

often undergo central venous puncture and catheterization to receive therapy, most of which are through the upper extremity such as internal jugular vein, and above 10% of them would develop DVT.<sup>17</sup> Also, some patients with undefined cardiomyopathy or who needed pulmonary artery pressure monitoring often undergo right heart catheterization, which may cause right ventricular thrombus<sup>18)</sup> and lead to severe PE.<sup>19)</sup> Moreover, patients who were treated with left ventricular assist devices or suffered from cardiomyopathy, like arrhythmogenic right ventricular cardiomyopathy, and dilated cardiomyopathy, were prone to develop right ventricular thrombus.<sup>20)21)</sup> Studies demonstrated that the risk of PE was at least twice in patients with HF and the risk increased as LV systolic function declined,<sup>22)</sup> especially in those severe decompensated HF patients.<sup>23)</sup> These results remind us that we should not neglect the risk of those patients with uncommon venous thrombus especially for those who are frequently rehospitalized, with long-term in-hospitalized stay or receiving catheter therapy. Another explanation is that DVT is hard for some patients to recognize and is often neglected by physicians because it could be asymptomatic. Nonetheless, symptoms of DVT are similar to symptoms of HF,<sup>24</sup> so physicians may mistake them as worsening HF without realizing DVT.

Second, we noted that patients with chronic HF were more prone to have VTE than acute HF in the long-term period. Acute HF consists of new-onset HF, caused by acute primary

Cubarouse		HF			Chronic HF					
Subgroups	No. of studies	RR (95% CI)	р	<b>1</b> <sup>2</sup>	No. of studies	RR (95% CI)	р	<sup>2</sup>		
Participant's average age			0.60	0%		0.007	0.85	0%		
≤60 years	8	1.57 (1.17–2.11)			6	1.44 (1.02–2.02)				
60-70 years	11	1.37 (1.20–1.56)			10	1.45 (1.24–1.69)				
≥70 years	7	1.52 (1.16-2.00)			5	1.40 (1.04–1.90)				
NR	5	1.87 (1.05–3.34)			5	1.87 (1.05-3.34)				
Anticoagulation therapy			0.30	17.1%						
Yes	8	1.34 (1.10–1.62)			7	1.28 (1.02–1.60)	0.12	53.6%		
No	6	1.48 (1.30–1.68)			5	1.44 (1.28–1.62)				
NR	17	1.69 (1.35-2.13)			14	1.86 (1.41-2.45)				
Follow-up duration			0.09	57.8%			0.25	28%		
3–6 months	8	1.65 (1.31–2.08)			6	1.79 (1.23-2.59)				
6 months-1 year	4	1.09 (0.80-1.49)			3	1.18 (0.85–1.65)				
≥1 year	19	1.55 (1.31-1.85)			17	1.51 (1.25-1.82)				
Surgery			0.91	0%			0.98	0%		
Yes	5	1.50 (1.16–1.95)			4	1.54 (1.22–1.94)				
No	3	1.95 (0.58-1.92)			3	1.95 (0.58-1.92)				
NR	23	1.49 (1.26-1.76)			19	1.48 (1.25-1.76)				
Cancer			0.94	0%			0.84	0%		
Yes	2	1.74 (0.78-3.89)			2	1.74 (0.78-3.89)				
No	3	1.63 (0.35-7.57)			2	2.12 (0.37-12.10)				
NR	26	1.50 (1.29–1.75)			22	1.46 (1.25–1.70)				

#### Table 2. Subgroup analyses of the association between HF and VTE

CI = confidence interval; HF = heart failure; NR = no record; RR = risk ratio; VTE = venous thromboembolism.

Table 3. Subgroup analyses of the association	between HF and VTE above	1 year follow-up period
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Subgroups		HF		Chronic HF					
Sungroups	No. of studies	RR (95% CI)	р	<sup>2</sup>	No. of studies	RR (95% CI)	p 0.90 <0.05	<sup>2</sup>	
Participant's average age			0.87	0%			0.90	0%	
≤60 years	7	1.65 (1.18–2.30)			6	1.60 (1.10-2.32)			
60–70 years	8	1.42 (1.19–1.70)			8	1.42 (1.19–1.70)			
≥70 years	6	1.59 (1.02-2.47)			4	1.27 (0.79–2.05)			
NR	2	1.54 (0.59-4.00)			2	1.54 (0.59–4.00)			
Anticoagulation therapy			<0.05	88.4%			<0.05	78.5%	
Yes	7	1.14 (0.86–1.51)			6	1.17 (1.00–1.38)			
No	3	1.35 (1.22–1.50)			3	1.35 (1.22–1.50)			
NR	13	1.94 (1.65-2.27)			11	1.85 (1.45-2.34)			

CI = confidence interval; HF = heart failure; NR = no record; RR = risk ratio; VTE = venous thromboembolism.

cardiac dysfunctions (such as acute myocardial dysfunction and acute valve insufficiency), and decompensated chronic HF triggered by precipitant factors like infection.<sup>25)</sup> For those patients with new-onset HF, part of them can reverse with timely treatment,<sup>26)</sup> thus might not have the risk for VTE. Moreover, acute HF patients who should receive surgery such as myocardial infarction, are often prescribed thromboprophylaxis therapy to prevent thrombotic events,<sup>25)26)</sup> which may further reduce the occurrences of VTE. As for chronic HF, different factors are prone to result in different types of HF. An epidemiological study suggested that myocardial infarction more often causes HF with reduced ejection fraction (HFrEF), while atrial fibrillation is likely to cause HF with preserved ejection fraction (HFpEF).<sup>25)</sup> However, we still do not have studies on differential effect on VTE depending on HF type.

Third, we identified that the risk for VTE still existed in prolonged follow-up period (above 1 year). It reminds us that we should not ignore the risk of VTE in patients even after discharge from hospitals. Anticoagulation therapy is more prone to be prescribed in-hospitalization<sup>27)</sup> as most hospitalized HF patients in hospitalized are immobile and bedridden or are applied

central venous puncture and catheterization<sup>17)</sup> and few patients will continue to receive anticoagulation therapy after discharge.<sup>28)</sup> This study implies that we should focus more on thromboprophylaxis in HF patients to prevent VTE out-of-hospitalization. However, we noticed that anticoagulation therapy could reduce the risk of VTE, but the effect was not significant. Another study showed that low-dose (2.5 mg rivaroxaban) did not reduce the incidence of VTE.<sup>9)</sup> It prompts us that a higher dosage and an extended duration might benefit patients with HF.<sup>28)</sup>

Our study had several limitations. First, we were unable to analyze the impact of severities and types of chronic HF on VTE to provide more insights into the risk of VTE in sub-group patients, as data (such as level of plasma N-terminal pro-brain natriuretic peptide, LVEF and etiology like atrial fibrillation) were not available in most of the included studies. Second, there were still few studies about the risk of DVT in patients with HF, so our analysis may underestimate the risk of DVT in HF patients. Third, our analysis only included 4 studies about acute HF and only 1 reported the risk of VTE in patients with new-onset HF. Thus, we lack enough data of acute HF patients, especially those new-onset HF patients, to distinguish whether acute HF or chronic HF would have a noticeable effect on the VTE risk. Forth, there was substantial heterogeneity among most analyses due to their different follow-up durations, types of diseases and complications, population, etc. Therefore, there may be large uncertainty of VTE rates overall and in subgroups. Fifth, we was not able to show the associations of activity level and the risks of VTE, PE, and DVT, since the data of included studies was limited. Finally, most of our studies were retrospective studies, and prospective studies with high quality such as RCTs are needed to testify our results.

In conclusion, HF was an independent risk for VTE and PE but not DVT in the long-term follow-up period. Patients with chronic HF were prone to have a higher risk of VTE. Further designated and prospective studies are needed for our better understandings of impacts of HF on the risk of VTE, PE and, DVT. RCTs about anticoagulation therapy in HF patients to prevent VTE, PE, and DVT are also needed for better management of HF patients.

## SUPPLEMENTARY MATERIALS

Supplementary Reference 1 Studies included in the analysis

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#### Supplementary Reference 2

Studies reported risk of venous thromboembolism in heart failure patients in the analysis

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#### **Supplementary Reference 3**

Studies reported risk of deep vein thrombosis in heart failure patients in the analysis

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#### **Supplementary Reference 4**

Studies reported risk of pulmonary embolism in heart failure patients in the analysis

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#### **Supplementary Reference 5**

Studies reported two or three relative risk of venous thromboembolism, deep vein thrombosis or pulmonary embolism in heart failure patients in the analysis

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#### **Supplementary Table 1**

Literature search strategy for PubMed

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#### **Supplementary Table 2**

Quality assessment of article

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#### Supplementary Figure 1

Publication biases of HF and VTE, PE and DVT.

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#### **Supplementary Figure 2**

Subgroup analysis of risk of VTE in patients with HF according to type of HF.

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#### **Supplementary Figure 3**

Subgroup analysis of risk of VTE in patients with HF according to follow-up time.

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#### **Supplementary Figure 4**

Subgroup analysis of risk of VTE in patients with HF according anticoagulation therapy.

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#### **Supplementary Figure 5**

Subgroup analysis of risk of VTE in patients with HF according anticoagulation therapy (with anticoagulation vs. without anticoagulation).

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#### **Supplementary Figure 6**

Subgroup analysis of risk of VTE in patients with HF according age.

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#### **Supplementary Figure 7**

Subgroup analysis of risk of VTE in patients with HF according to age above 1 year follow-up.

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#### **Supplementary Figure 8**

Subgroup analysis of risk of VTE in patients with HF according to anticoagulation therapy above 1 year follow-up.

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#### **Supplementary Figure 9**

Subgroup analysis of risk of VTE in patients with HF according to anticoagulation therapy above 1 year follow-up (with anticoagulation vs. without anticoagulation).

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#### Supplementary Figure 10

Subgroup analysis of risk of VTE in patients with chronic HF according to follow-up time.

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#### **Supplementary Figure 11**

Subgroup analysis of risk of VTE in patients with chronic HF according to age.

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#### **Supplementary Figure 12**

Subgroup analysis of risk of VTE in patients with chronic HF according to anticoagulation therapy.

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#### **Supplementary Figure 13**

Subgroup analysis of risk of VTE in patients with chronic HF according to anticoagulation therapy (with anticoagulation vs. without anticoagulation).

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#### **Supplementary Figure 14**

Subgroup analysis of risk of VTE in patients with chronic HF according to anticoagulation therapy above 1 year follow-up.

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#### **Supplementary Figure 15**

Subgroup analysis of risk of VTE in patients with chronic HF according to anticoagulation therapy above 1 year follow-up (with anticoagulation vs. without anticoagulation).

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#### **Supplementary Figure 16**

Subgroup analysis of risk of VTE in patients with chronic HF according to age above 1 year follow-up.

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#### **Supplementary Figure 17**

Subgroup analysis of risk of VTE in patients with HF according to surgery.

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#### **Supplementary Figure 18**

Subgroup analysis of risk of VTE in patients with HF according to cancer.

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#### Supplementary Figure 19

Subgroup analysis of risk of VTE in patients with chronic HF according to surgery.

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#### **Supplementary Figure 20**

Subgroup analysis of risk of VTE in patients with chronic HF according to cancer.

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#### **Supplementary Figure 21**

Meta regressions about age and follow-up time in risk of VTE in patients with HF.

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