

Since January 2020 Elsevier has created a COVID-19 resource centre with free information in English and Mandarin on the novel coronavirus COVID-19. The COVID-19 resource centre is hosted on Elsevier Connect, the company's public news and information website.

Elsevier hereby grants permission to make all its COVID-19-related research that is available on the COVID-19 resource centre - including this research content - immediately available in PubMed Central and other publicly funded repositories, such as the WHO COVID database with rights for unrestricted research re-use and analyses in any form or by any means with acknowledgement of the original source. These permissions are granted for free by Elsevier for as long as the COVID-19 resource centre remains active.

# Journal Pre-proof

A DEEP LEARNING ALGORITHM WITH HIGH SENSITIVITY FOR THE DETECTION OF BASAL CELL CARCINOMA IN MOHS SURGERY FROZEN SECTIONS

Gabriele Campanella, MS, Kishwer S. Nehal, MD, Erica H. Lee, MD, Anthony Rossi, MD, Brandon Possum, HT, Genna Manuel, HT, Thomas J. Fuchs, PhD, Klaus J. Busam, MD

PII: S0190-9622(20)32597-4

DOI: https://doi.org/10.1016/j.jaad.2020.09.012

Reference: YMJD 15202

To appear in: Journal of the American Academy of Dermatology

Received Date: 26 June 2020

Revised Date: 4 September 2020

Accepted Date: 8 September 2020

Please cite this article as: Campanella G, Nehal KS, Lee EH, Rossi A, Possum B, Manuel G, Fuchs TJ, Busam KJ, A DEEP LEARNING ALGORITHM WITH HIGH SENSITIVITY FOR THE DETECTION OF BASAL CELL CARCINOMA IN MOHS SURGERY FROZEN SECTIONS, *Journal of the American Academy of Dermatology* (2020), doi: https://doi.org/10.1016/j.jaad.2020.09.012.

This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that, during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

© 2020 Published by Elsevier on behalf of the American Academy of Dermatology, Inc.



## A DEEP LEARNING ALGORITHM WITH HIGH SENSITIVITY FOR THE DETECTION OF BASAL CELL CARCINOMA IN MOHS SURGERY FROZEN SECTIONS

Gabriele Campanella<sup>1,2</sup>, MS; Kishwer S Nehal<sup>3</sup>, MD; Erica H Lee<sup>3</sup>, MD; Anthony Rossi<sup>3</sup>, MD; Brandon Possum<sup>3</sup>, HT; Genna Manuel<sup>3</sup>, HT; Thomas J Fuchs<sup>1,2</sup>, PhD; and Klaus J Busam<sup>2</sup>, MD.

Weill Cornell Graduate School of Medical Sciences<sup>1</sup> and Departments of Pathology<sup>2</sup> and Medicine<sup>3</sup>, Memorial Sloan Kettering Cancer Center, New York, NY

Corresponding Author: Klaus J Busam, MD Dept of Pathology, RmC530 Memorial Sloan Kettering Cancer Center 1275 York Ave, New York, NY 10065 Phone: 212 639 5679 Fax: 212 717 3203 busamk@mskc.org

**Funding source:** Research reported in this publication was supported in part by the Cancer Center Support Grant of the National Institutes of Health/National Cancer Institute under award number P30CA008748.

**Conflicts of Interest:** Dr. Fuchs owns equity of PAIGE.AI. All other authors have disclosed that they have no conflicts of interest to report.

IRB approval status: IRB-approved protocol 18-013.

Word count: 500 References: 4 Figures: 2 To the Editor:

Advances in digital pathology with rapid slide scanning of whole slide images (WSI) (1,2) and artificial intelligence (AI) offer opportunities for improved accuracy and real time quality assurance of histopathologic interpretations, which could be employed for intraoperative frozen section margin assessment of skin cancer. A recent study explored an AI algorithm for detecting basal cell carcinoma (BCC) in digital images of Mohs slides using a total of 100 cases, 60 for training, 20 for validation and 20 for testing (3). The final model's sensitivity was 70.6%; its specificity was 79.1%.

We also performed a retrospective study (under IRB protocol 18-013) on the feasibility of detecting BCC in Mohs sections, but used a deep-learning system under the multiple instance learning assumption that was trained on thousands of WSI (4).

6,252 WSI were used during the learning phase, 4,699 for training and 1,553 for validation and model selection. Of the entire cohort, 1,154 WSI were positive for BCC. An additional 200 Mohs sections were used for model finetuning. The final test set consisted of 100 frozen sections from 50 patients. 36 sections had BCC, 64 were benign. The model used was a ResNet34 convolutional neural network (CNN) pre-trained on natural images. We compared the algorithm's performance to that of three Mohs surgeons reading individually the same 100 WSI on a screen.

Our algorithm performed with high sensitivity (Figure 1). It identified correctly all sections with BCC. There were 4 false-positive results (specificity of 94%). The specificity of the surgeons ranged from 91.4% to 100%. Two of three surgeons achieved a specificity of 100% (all margins scored as positive contained BCC). The sensitivity of the surgeons in detecting BCC on

2

#### Journal Pre-proot

the scanned images varied from 90% -97.3% (failure to detect BCC in 1,2 and 4 cases, respectively). The AUC performance of the surgeons compared to the algorithm is illustrated in Figure 2.

When comparing the algorithm to the surgeons one needs to bear in mind that our test conditions don't mirror clinical practice. Scanned images, not slides were used. Furthermore, when a microscopic finding of uncertain significance is encountered in clinical practice, one is not limited to rendering a best guess based on one slide alone. Additional histologic sections can be obtained for further clues. Nonetheless, assistance from a second reader, during Mohs surgery, such as an AI algorithm, could have clinical value. A discordance between the algorithm and a physician could prompt a second look at a particular slide in real time to ensure that no positive margin is missed.

While our findings document clinical grade high sensitivity of our deep learning algorithm, a major limitation of the current study is the binary classification of BCC present versus absent. Further studies are needed to assess how the algorithm performs for the detection of other tumors, such as squamous cell carcinoma. It is possible that different models may be required for various diagnostic problems. Prospective studies are needed to assess the practicality of integrating AI in clinical practice and how it affects outcomes.

3

### **Figure Legends**

Figure 1:

Performance of the proposed algorithm. Receiver operating characteristics (ROC) curves for the final prediction and individual models trained at different magnifications. AUC: Area under the curve. MPP: Microns per pixel.

Figure 2

Comparison of the performance of the algorithm with that of three dermatologic surgeons.

AUC: Area under the curve.

# References

- 1. Hanna, MG, Reuter, VE, Hameed, MR. et al. Whole slide imaging equivalency and efficiency study: experience at a large academic center. Mod Pathol 2019;32, 916–928. doi: 10.1038/s41379-019-0205-0. Epub 2019 Feb 18
- Hanna MG, Reuter VE, Ardon O, et al. Validation of a digital pathology system including remote review during the COVID-19 pandemic [published online ahead of print, 2020 Jun 22]. Mod Pathol. 2020;10.1038/s41379-020-0601-5. doi:10.1038/s41379-020-0601-5
- Sohn GK, Sohn JH, Yeh J, Chen Y, Brian Jiang SI, A Deep Learning Algorithm to Detect the Presence of Basal Cell Carcinoma on Mohs Micrographic Surgery Frozen Sections, Journal of the American Academy of Dermatology (2020), doi: https://doi.org/10.1016/j.jaad.2020.06.080.
- Campanella G, Hanna MG, Geneslaw L, et al. Clinical-grade computational pathology using weakly supervised deep learning on whole slide images. Nat Med.2019 Aug; 25 (8): 1301-1309. doi: 10.1038/s41591-019-0508-1. Epub 2019 Jul 15

#### Acknowledgements:

The authors wish to express their gratitude to the Warren Alpert Center for Digital and Computational Pathology, in particular to Christina Virgo, for assistance with the slide scanning. We are also grateful to Yesenia Gonzalez for additional support with the slide management, preparation and submission of the manuscript.



