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# **REVIEW ARTICLE**

# The Mechanisms and Functions of GDF-5 in Intervertebral Disc Degeneration

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Intervertebral disc degeneration (IDD) is widely recognized as the main cause of low back pain, which leads to disability in aging populations and induces great losses both socially and economically worldwide. Unfortunately, current treatments for IDD are aimed at relieving symptoms instead of preserving disc structure and function. Researchers are forged to find new promising biological therapeutics to stop, and even reverse, IVD degeneration. Recently, the injection of growth factors has been shown to be a promising biological therapy for IDD. A number of growth factors have been investigated to modulate the synthesis of the extracellular matrix (ECM) through a variety of pathogenetic biological mechanisms, including suppressing inflammatory process and down-regulating degrading enzymes. However, growth factors, including Transforming Growth Factor- $\beta$  (TGF- $\beta$ ), Fibroblast Growth Factor (FGF), and Insulin-like Growth Factor-1 (IGF-1), may induce unwanted blood vessel in-growth, which accelerates the process of IDD. On the contrary, studies have demonstrated that injection of GDF-5 into the intervertebral disc of mice can effectively alleviate the degeneration of the intervertebral disc, which elicits their response *via* BMPRII and will not induce blood vessel ingrowth factors. Substantial evidence has suggested that GDF-5 may maintain the structure and function of the intervertebral disc (IVD). GDF-5 plays an important role in IDD and is a very promising therapeutic agent for IDD. This review is focused on the mechanisms and functions of GDF-5 in IDD.

**Key words:** Biological therapy; Disc regeneration; Extracellular matrix metabolism; Growth and differentiation factor-5; Intervertebral disc degeneration

#### Introduction

L ow back pain (LBP) is a very common symptom worldwide. Most people have suffered from LBP at some time in their lives<sup>1</sup>. In America, according to statistics from 2008, about 25% of Americans have had back pain, and the related costs are estimated to be as high as US\$85bn per year<sup>2</sup>. Therefore, LBP is becoming a great threat to human health. Studies have shown that intervertebral disc degeneration (IDD) is related to LBP, and there is a significant correlation between the degree of degenerated disc and the severity of lower back pain. IDD is characterized by apoptosis of function cells and breakdown of the extracellular matrix (ECM), especially in the nucleus pulposus (NP)<sup>3</sup>.

Intervertebral disc degeneration (IDD) is recognized as the main cause of LBP<sup>4</sup>. The intervertebral disc is composed of the nucleus pulposus, the annulus fibrosus, and the cartilage endplate, whose biochemical composition is mainly collagen and proteoglycan, providing the disc with its critical characteristics. IDD is defined as a progressive structural failure<sup>5</sup>, and is characterized by an imbalance between

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anabolism and catabolism of disc tissue. Its pathogenesis is very complicated and it involves a variety of pathological processes, including apoptosis, cell proliferation, degradation of the extracellular matrix, inflammation, and degeneration of cartilage endplates, which will lead to complex biochemical and molecular changes in the intervertebral disc, including the reduction of proteoglycan content, the conversion of collagen type II to collagen type I, and the decrease of NP cell density. These changes directly lead to the abnormity of IVD mechanical function and eventually cause structural damage, such as fibrous annulus rupture, NP cells protruding, etc.<sup>6</sup>. Unfortunately, current treatment methods for IDD are aimed at treating symptoms instead of regenerating disc structure and function<sup>7</sup>. Prevention or reversal of IDD is a potential treatment for LBP (Fig. 1).

GDF-5 is known as cartilage-derived morphogenetic protein-1<sup>8</sup> and plays a significant role in various musculoskeletal processes<sup>9</sup>, such as endochondral ossification<sup>10</sup>, joint formation, and ligament maintenance. A number of studies suggested that GDF-5 was closely related to IDD. An association between LDD and the SNP rs143383 (GDF-5 gene) was identified, with the same risk allele as in knee and hip OA<sup>11</sup>. In degenerated intervertebral disc tissue, especially NP and AF, they found that the expression of GDF-5 decreased. It is investigated that the extracellular matrix of discs from GDF-5-deficient mice is anomalous, and *in vitro* treatment with recombinant GDF-5 can increase the expression of type II collagen and aggrecan genes<sup>12</sup>. In addition, the researchers also found that in the degenerated animal vertebral body model injected with GDF-5 or transduced with GDF-5 gene, the height of the intervertebral disc was obviously restored, and the synthesis of GAGS extra matrix increased after a few weeks. Various results have shown that GDF-5 can repair degenerated intervertebral discs, slow and reverse IDD. It is imperative for people to clarify the mechanisms about GDF-5 in the pathogenesis of IDD and find effective targeted drugs in treating IDD.

#### Search Strategy

(i) Searching platforms: Articles were hand-searched from PubMed, a retrieval biomedical literature database.
(ii) Databases: MEDLINE, OLDMEDLINE. (iii) Key words: GDF-5, intervertebral disc degeneration. (iv) Boolean algorithm: GDF-5 AND intervertebral disc degeneration.
(v) Retrieving time: Issues of the selected journals published from 1999 to 2020 were hand-searched by us. (vi) Inclusion



**Fig 1** Imbalance between anabolism and catabolism in IVD cells leads and accelerates the process of IDD, which is shown by magnetic resonance imaging above. and exclusion criteria: Articles were included if the study design was identified as an experimental or review article related to GDF-5 and intervertebral disc degeneration. The search process was performed as presented in Fig. 2.

#### **GDF-5 Affects ECM Metabolism in IVD**

atrix metalloproteinases (MMPs), members of the matrix-degrading enzymes, were considered to play a pivotal role in disc tissue degradation and re-absorption. A correlation was found between the increasing levels of matrix metalloproteinases 2 and 9, and the grade of degenerative disc disease<sup>13</sup>. By detecting the expression of MMPs, researchers determined that a leading function for MMPs in IVD degeneration, with the resulting loss of normal disc function, eventually led to low-back pain<sup>14</sup>. In addition, studies indicated that miR-155 is down-regulated in degenerative nucleus pulposus, and more severe degeneration is correlated with higher matrix metallopeptidase 16 expression<sup>15</sup>. MMP-16 may lead to the dehydration and degeneration of discs through degrading aggrecan and collagen type II. Related experiments have also proved that MMP-3 has the same effect. Recently, mouse disc cells in vitro were treated with recombinant GDF-5 protein, and therapy, with GDF-5 protein and cDNA, was assessed by detecting cell proliferation,

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proteoglycan production, and extracellular matrix gene expression. Real-time reverse transcription-polymerase chain reaction (RT-PCR) demonstrated that the mGDF-5 can suppress the expression of matrix metalloproteinase (MMP)-3<sup>16</sup>. In other words, GDF-5 can slow down the decomposition of ECM by inhibiting the expression of MMPs.

In the treatment of degenerative intervertebral discs, researchers used adenovirus-mediated transduction of the GDF-5 gene into the degenerated intervertebral disc tissue. The GDF-5 gene was successfully expressed. The level of sGAG in the Ad-GDF-5 group was more than that in the BM or Ad-GFP controls, and the level of sGAG gradually increased. NP cells treated with Ad-GDF-5 demonstrated robust staining for collagen II, whereas the cells infected by Ad-GFP or those that were non-infected showed weaker staining. Typically, the immunofluorescent staining intensity of aggrecan was higher toward the cytoplasm and lower in the nucleus regions of all cells. The results showed that Ad-GDF-5 is effective in promoting NP cell ECM secretion<sup>17</sup> (Table 1). In addition to promoting the synthesis of collagen and proteoglycan, Ad-GDF-5 can also up-regulate the gene expression of type II collagen and aggrecan. However, by directly injecting GDF-5 into the degenerated intervertebral disc, the researchers obtained similar experimental results.



**Fig 2** Flow chart of the search for published reports showing the process of inclusion and exclusion.

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TABLE 1 GDF-5 promotes the synthesis of the ECM								
	MMPs	Collagen I	Collagen II	Aggrecan	sGAG	Нур		
rhGDF-5 Ad-GDF-5	$\downarrow$	$\downarrow$	↑↑↑ ↑↑↑	↑↑ ↑↑	↑↑ ↑↑	↑↑↑ ↑↑↑		

TABLE 2 Gene expression patterns in human NP cells							
	MMPs mRNA	Collagenl/β-actin	Collagenli/β-actin	Aggrecan/β-actin	sGAG/DNA	Hyp/DNA	
rhGDF-5 Ad-GDF-5	Ļ	Ļ	↑↑↑ ↑↑↑	↑↑ ↑↑	↑↑ ↑↑	↑↑↑ ↑↑↑	
sGAG: The total proteoglycan level. Hyp: The total collagen content. Red arrows indicate important material changes and the number of arrows is positively corre-							

sGAG: The total proteoglycan level. Hyp: The total collagen content. Red arrows indicate important material changes and the number of arrows is positively correlated with the degree of change.

Researchers injected rhGDF-5 loaded by PLAG into the degenerated intervertebral discs of mice and found that the height of the intervertebral discs was significantly restored, and the expression of type II collagen and proteoglycan genes were significantly up-regulated<sup>18</sup>. Moreover, increases in glycosaminoglycan (GAG) and DNA content and an improvement in the differentiation index (the ratio of collagen type II to collagen type I) were also observed. (Table 2). Numerous experimental results show that GDF-5 increases the synthesis of type II collagen and proteoglycan in order to alter the extracellular matrix metabolism of intervertebral disc cells into a synthetic state and enhances the anabolism of ECM.

Vascular Endothelia Growth Factor (VEGF) is an important angiogenesis stimulating factor, which plays an important role in regulating tissue growth and regeneration. Therefore, the growth factor may be a pivotal factor in the development, maturation, and degeneration of human lumbar intervertebral discs in different stages and different states. People found the expression of VEGF in the intervertebral discs of the fetus and infants, indicating that VEGF may play a pivotal role in maintaining the presence of capillaries in the intervertebral disc during this period. Researchers did not find this factor in adult intervertebral discs. It is suggested that the disappearance of blood vessels caused by the disappearance of VEGF is an important cause of intervertebral disc degeneration. This would lead to a decrease in the nutrient content and oxygen tension in the intervertebral disc, and a significant down-regulation in the level of cell metabolism, which leads to a decrease in collagen, proteoglycan, and water that maintain the biomechanical characteristics of the intervertebral disc, and ultimately causes the degeneration of the intervertebral disc<sup>19</sup>. Recently, studies have found that GDF-5 can up-regulate the expression of vascular endotheli, a growth factor that promotes

angiogenesis of stromal cells and contributes to the synthesis of ECM.

## **GDF-5 Influences The Nutritional Pathway**

The nutritional metabolism of intervertebral disc is different from other tissues because of the absence of vascular. Annulus pathway and the endplate pathway are two main nutritional pathways in the intervertebral disc. The annulus fibrosus pathway is a secondary pathway, which mainly nourishes the outer tissues of the AF and has little nutritional effect on the inner fibrosus and nucleus pulposus. The cartilage endplate is the main way of nourishing the intervertebral disc<sup>20</sup> as this is how nutrients enter the nucleus pulposus and the internal fibrous annulus. The cartilage endplate is composed of a hyaline cartilage layer and a calcified cartilage layer<sup>21</sup>. In addition to delivering nutrients, the normal cartilage endplate can also relieve high-load pressure, maintain the normal nutrient metabolism structure in the vertebral body, and ensure the inner fibrous ring and nucleus pulposus' nutritional supply.

The cartilage endplate is mainly composed of proteoglycan, collagen, and water, and the water content is slightly more than that of ordinary cartilage. The biochemical composition of the cartilage endplate is very important to maintain the integrity of the intervertebral disc. Its proteoglycan concentration has the ability to regulate material transport. The reduction of the proteoglycan of the cartilage endplate can cause the content of nucleus pulposus to decrease. One of the important mechanisms of IDD is cartilage endplate degeneration, which reduces nutrient supply<sup>22</sup>, leads to cell senescence and apoptosis, and increases the activity of the degradative enzyme MMP, thereby destroying the metabolic balance of ECM. Related experiments show that GDF-5 can expand the AF fibrochondrocyte population to NP, and at the same time up-regulate the expression of PG



**Fig 3** The nutritional supplies of inner AF and NP are mainly through endplate pathway. The normal endplate contains proteoglycan, collagen, and water, which will decrease substantially in the degenerated endplate. As the arrows show, all nutrients can pass through the normal cartilage endplate. When the endplate degenerates, passing nutrients are greatly reduced. After an injection of GDF-5, there may be an increase in the delivery of nutrients.

(proteoglycan), promote the synthesis of  $PG^{23}$ , and inhibit the calcification of the cartilage endplate. By maintaining the nutritional pathways of the cartilage endplate, the process of IDD is inhibited (Fig. 3).

#### **GDF-5** Inhibits Inflammatory Factors

The process of degeneration is accompanied by an I increasing of inflammatory factors by IVD cells, such as TNF, IL-1 $\alpha$ , IL-1 $\beta$ , IL-6, and IL-17, which has been implicated in disc herniation, nerve irritation, and in-growth<sup>24</sup>. These cytokines trigger a range of pathogenic responses by the disc cells that can promote autophagy, senescence, and apoptosis<sup>25</sup>. They can not only up-regulate a variety of catabolic mediators that include ADAMTS-4/5, MMP-1, -2, -3, -13, -14, and suppress the expression of important matrix genes, but they also induce changes in IVD cell phenotypes. This will induce structural changes and spinal instability, which are important causes of herniation, sciatica, and possibly stenosis<sup>26</sup>. More specifically, they can disrupt the balance of IVD tissue anabolism and catabolism, promote the degradation of extracellular matrix, and cause intervertebral disc herniation. Chemokines can promote the infiltration and activation of immune cells, thereby expanding the inflammatory cascade. In addition, neurogenic factors produced by the intervertebral disc and immune cells induce the expression of pain-related cation channels of the dorsal root ganglion<sup>27</sup>, and the depolarization of these ion channels may promote discogenic and root-induced pain<sup>28</sup>. So inflammatory factors are closely related to IDD, but the mechanism is very complicated, which promotes the process of IDD in a variety of ways (Fig. 4).

Synthesis of cytokines, such as TNF- $\alpha$ , IL-1 $\beta$ , IL-6, and IL-8, are mediated by NF- $\kappa$ B, which is one of the most

important regulators of pro-inflammatory gene expression<sup>29</sup>. Related studies have shown that GDF-5 can inhibit the activation of the NF- kB signaling pathway, reduce the transcription of inflammatory factor genes, and down-regulate the expression of inflammatory factors. Compared with the control group, protein expression levels of TNF- $\alpha$ , IL-1 $\beta$ , PGE2, and NO concentration were significantly repressed by GDF-5 over expression (Table 3). Additionally, GDF-5 over expression reduced LPS-induced up-regulation of TNF-  $\alpha$ , IL-1β, PGE2, iNOS, COX-2, collagen-II, aggrecan, IκBα, and p-p65 expression levels in NP cells (Table 4). Taken together, these results demonstrated that GDF-5 attenuated LPS-induced IDD via inhibiting the production and release of inflammatory factors. However, the possible molecular mechanisms remain to be fully elucidated. As mentioned earlier, NP is mainly composed of collagen and proteoglycan, so the study also demonstrated that GDF-5 prevented the loss of collagen II and aggrecan by inhibiting the NF- KB pathway. Collagen and proteoglycan destroyed by inflammatory factors had been significantly restored.

### **GDF-5** Promotes The Proliferation Of IVD Cells

**S** everal studies have suggested using anabolic growth factors to regenerate the normal matrix of the IVD and to restore disc height, thereby reversing degenerative disc disease. As a promising targeted drug for GDF-5, we also need to find the receptor that binds to it. A related study investigated the expression and localization of four potentially beneficial growth factor receptors, suggesting that GDF-5 mainly binds to BMPII receptors in the IVD cells. Not only can it participate in up-regulating the proliferation of osteoblasts, periosteal cells, and fibroblasts, it can also promote the proliferation of NP cells, increase the number of functional cells

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TABLE 3 GDF-5 down-regulates the expression of inflammatory factors								
	NF- $\kappa B$ signaling pathway	TNF-α	IL-1β	PGE2	NO	collagen	Aggrecan	
rhGDF-5 Ad-GDF- 5	↓ ↓	$\downarrow$	$\downarrow$	$\downarrow$	$\downarrow$	↑ ↑	↑ ↑	

TABLE 4 GDF-5 inhibits relative mRNA level of inflammatory factors								
	NF- $\kappa$ B signaling pathway	TNF-α/mRNA	IL-1β/m RNA	COX2/mRN A	iNOS/mRN A	collagen/β-actin	Aggrecan/ β-actin	
rhGDF-5 Ad-GDF- 5	$\downarrow$	$\downarrow$	$\downarrow \\ \downarrow$	$\downarrow$	$\downarrow$	$\uparrow \\\uparrow$	↑ ↑	
The arrow indicates the change trend of the substance.								

in IVD, and inhibit the apoptosis of NP cells. However, a new treatment, a single injection of GDF-5, was used to treat degenerative murine discs induced by static compression. After 4 weeks of treatment, it was observed that isogenic groups of fibrochondrocytes within the inner AF and NP increased substantially. The annulus fibrochondrocytes tended to expand into the hypocellular, degenerated NP cells, in responding to exogenous GDF-5, which would express aggrecan and collagen type II genes and increase ECM synthesis of  $IVD^{23}$ . And a single injection of recombinant human GDF-5, in a rabbit model of disc degeneration, was also shown to improve the degenerated disc in both magnetic resonance imaging (MRI) findings and histological studies. MRI of NP of GDF-5-treated intervertebral discs showed stronger T2 signal intensity, indicating that the hydrophilicity of NP was maintained after GDF-5 injection. In addition, the number of chondrocytes in NP and round chondrocytes in AF increased significantly<sup>30</sup> in the intervertebral disc. These results suggest that GDF-5 can promote the expansion of annulus fibrochondrocytes and ECM synthesis, which increases cellularity, and the ECM content within the NP. As a mitogen for annulus fibrochondrocytes, GDF-5 eventually restored the height and the biomechanical stability of the degenerative disc (Fig. 5).

#### Discussion

Currently, it is concluded that GDF-5 is mainly involved in three processes of IDD. Firstly, GDF-5 is able to inhibit apoptosis of NP cells and promote the proliferation of IVD function cells. Some experiment results demonstrated that GDF-5 overexpression prevents NF-κB over-activation in LPS-stimulated nucleus pulposus cells. Previous studies have reported that the NF-κB signaling pathway is aberrantly activated in IDD and is deeply involved in the pathogenesis of IDD. Therefore, we supposed that GDF-5 confers protection against NPCs apoptosis through the regulation of NFκB signaling. But relative mechanisms have not been clarified. Secondly, GDF-5 can significantly promote the synthesis of the main components of the extracellular matrix and rebalance the metabolism of that, especially the synthesis of

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type II collagen and aggrecan, which increase the production of sGAG. It is beneficial to the repair of degenerated intervertebral disc tissue and restore its physiological and mechanical functions. Both the height of the intervertebral discs and the effective components of the matrix have been significantly restored. Thirdly, GDF-5 will down-regulate the expression of pro-inflammatory factors, reduce the inflammatory response, and thereby inhibit the inflammationinduced breakdown of the ECM. It is particularly worth mentioning that GDF-5 could inhibit the calcification of the endplates and the annulus fibrosus, through which the nutrient is delivered to the IVD, then prevent the degeneration of the intervertebral discs. Whether through in vitro or in vivo experiments, a large number of studies have shown that GDF-5 has a significant effect on repairing degenerated intervertebral discs, which strengthens researchers' confidence in further research on GDF-5. Research on the use of GDF-5 in the treatment of IDD is also a hotspot of IDD. Experiments have shown that both adenovirus-mediated GDF-5 or rhGDF-5 carried by PLGA can promote the synthesis of degenerative intervertebral disc matrix and restore the height of the intervertebral discs. Adenovirus successfully

transduced the GDF-5 gene into the degenerated intervertebral disc tissue. PLGA, as a carrier of rhGDF-5, can release GDF-5 for a long period of time, and sustainably maintain the concentration required for treatment. Moreover, as a targeted drug, GDF-5 has a clear target of BMPII, which is mainly concentrated in the important tissues of intervertebral discs, such as NP and AF. More importantly, the receptor still evidences high activity in moderate to severe degeneration of intervertebral discs. Considered from many aspects, GDF-5 as a targeted drug for the treatment of IDD has great research value and bright prospects. However, the current research also has a lot of limitations. The mechanisms of GDF-5 in IDD are very complicated, and many signal pathways have not been clearly studied. Both in vivo and in vitro experiments are based on animal models, while human intervertebral disc experiments have only been carried out in vitro. Compared with the complex human internal environment, GDF-5 may not ultimately work in the avascular intervertebral disc tissue as expected, and may even promote IDD. We do not know whether injection therapy is safe and reliable. Extensive clinical research is needed in the future (Fig. 6).

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