## 1 Original Contribution

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- 3 Spatiotemporal Trends of Birth Defects in North Carolina, 2003-2015
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#### 34 ABSTRACT

35 Birth defects are a leading cause of infant mortality in the United States, but little is known about causes of many types of birth defects. Spatiotemporal disease mapping to identify high-36 37 prevalence areas, is a potential strategy to narrow the search for potential environmental and 38 other causes that aggregate over space and time. We described the spatial and temporal trends of the prevalence of birth defects in North Carolina during 2003-2015, using data on live births 39 obtained from the North Carolina Birth Defects Monitoring Program. By employing a Bayesian 40 41 space-time Poisson model, we estimated spatial and temporal trends of non-chromosomal and chromosomal birth defects. During 2003-2015, 52,524 (3.3%) of 1,598,807 live births had at 42 least one recorded birth defect. The prevalence of non-chromosomal birth defects decreased 43 from 3.8% in 2003 to 2.9% in 2015. Spatial modeling suggested a large geographic variation in 44 45 non-chromosomal birth defects at census-tract level, with the highest prevalence in south-46 eastern North Carolina. The strong spatial heterogeneity revealed in this work allowed to identify geographic areas with higher prevalence of non-chromosomal birth defects in North 47 Carolina. This variation will help inform future research focused on epidemiologic studies of 48 49 birth defects to identify etiologic factors.

50 Key Words: birth defects; Bayesian disease mapping; spatiotemporal analysis;

Birth defects are a leading cause of infant mortality in the US.<sup>1,2</sup> In North Carolina, about 3% of 52 all births are affected by birth defects each year.<sup>3</sup> In spite of the substantial health impact, with 53 a few exceptions, little is known about modifiable causes or prevention of birth defects. Over 54 60% of birth defect cases have no known cause.<sup>4</sup> Some factors such as chemical exposures, 55 radiation, and medications have been associated with birth defects, leaving open the possibility 56 that an important proportion of birth defects may be attributable to environmental causes.<sup>5,6</sup> 57 Environmental exposures to persons often occur due to emission by fixed or mobile sources. 58 59 thus leading to correlated exposures of individuals who are in spatiotemporal proximity. Synthesizing spatial information and exploring spatiotemporal patterns of the occurrences of 60 61 birth defects may help to identify high-risk areas and populations and narrow the search for potential environmental and other spatially situated causes. 62

63

64 Disease mapping, a visual representation of disease outcomes across geographic areas, has long been undertaken to facilitate description and investigation of disease outcomes and to address 65 disease priorities. Disease mapping can also provide additional insights in highlighting high-risk 66 populations, identifying modifiable causes of diseases, and explaining and predicting disease 67 patterns. One barrier to progress in describing the spatial distribution of birth defect 68 69 occurrence is disease rarity, especially within small areas (e.g., census tract), which leads to large uncertainty in area estimates of prevalence. Bayesian spatiotemporal modeling, which has 70 become increasingly popular in public health research<sup>7</sup> can reduce this concern under the 71 assumption that areas and times in close proximity will have prevalence more similar to each 72 other than to more distal areas and times. This technique reduces estimation uncertainty in a 73

- given area/time by borrowing information from neighboring areas and adjacent times, which
   can improve prevalence estimates of rare diseases.<sup>8</sup>
- 76

77	In this study, we applied Bayesian disease mapping techniques to analyze data from the North
78	Carolina Birth Defects Monitoring Program which included birth defects diagnosed to North
79	Carolina resident live births between 2003 and 2015. Our goals were to: (1) describe broad
80	spatial and temporal trends in the prevalence of birth defects in North Carolina, and (2) assess
81	deviations from the state-wide spatiotemporal trends in prevalence to highlight local space-
82	time regions of concern. This descriptive analysis will help better understand existing
83	spatiotemporal patterns as well as inform future investigations by identifying high-risk
84	populations and priority regions in the search for environmental causes of birth defects.
85	

#### 86 METHODS

#### 87 Study Population and Data

Data on liveborn infants with birth defects were obtained from the North Carolina Birth Defects 88 Monitoring Program (NCBDMP). The NCBDMP is an active, statewide, population-based 89 surveillance system operated by the State Center for Health Statistics that collects information 90 about all medically diagnosed birth defect cases among North Carolina resident infants. Birth 91 defect cases were identified through systematic review and abstraction of medical records by 92 trained NCBDMP field staff. Diagnoses were confirmed by the supporting documentation in 93 medical records (e.g., medical imaging, physical exams, autopsy reports). During the same 94 years, birth certificate records were used to identify all live births in North Carolina. The 95

96	affected and unaffected births serve as the base population of pregnancies from which affected
97	fetuses are assumed to arise. Each record included demographic information such as maternal
98	age at delivery and education, and infant sex, race, birth weight, multiplicity (singleton vs.
99	other), delivery type (vaginal vs. cesarean) and gestational age at delivery. GPS-based latitude
100	and longitude of maternal residence at delivery was recorded for all births.
101	
102	In the present study, we included data on all North Carolina resident births between 2003 and
103	2015. The latitude and longitude coordinates for each birth were then matched to census tracts
104	using the R tigris package. $^{9}$ For each census tract, birth defect cases and unaffected births were
105	aggregated to annual counts. Sixteen of 2,195 census tracts (0.7%) with zero births across 2003
106	to 2015 were excluded from analysis. For subsequent modeling purposes, we created an
107	adjacency matrix, which characterizes all bordering census tracts for each census tract in North
108	Carolina, using the R spdep package. <sup>10</sup> This study was approved by the University of North
109	Carolina at Chapel Hill Institutional Review Board under a waiver of informed consent.
110	
111	Outcomes
112	The primary outcome was diagnosis of any non-chromosomal birth defect. In addition, based
113	on previous work into associations between birth defects and exposures from well water in
114	North Carolina <sup>6</sup> , several individual major non-chromosomal birth defects were evaluated: 1)
115	Anotia and microtia; 2) Conotruncal heart defects including common truncus, tetralogy of Fallot,
116	and transposition of the great arteries; 3) Atrioventricular septal defects and endocardial
117	cushion defects; 4) Cleft lip with or without cleft palate; 5) Cleft palate; 6) Hypospadias; 7)

Gastroschisis. CDC/BPA codes for each defect are given in S1 Appendix Table 1. The prevalence
of overall birth defects and chromosomal birth defects was also examined.

120

121 Target parameters

122 The current analysis focuses on description, rather than causal inference, so we seek to estimate the crude (i.e., unadjusted for covariates) prevalence of non-chromosomal birth 123 defects within each census tract-year in North Carolina. The crude prevalence is calculated by 124 125 taking the number of non-chromosomal birth defects and dividing by the total number of live births. We use this crude prevalence as input into our spatiotemporal mapping scheme to 126 estimate the annual prevalence of non-chromosomal birth defects among births with the 127 potential to be affected and recorded by NCBMDP. This approach estimates a hypothetical 128 129 "underlying" prevalence of non-chromosomal birth defects from which our data are only a 130 single realization. The target parameter we wish to estimate is the prevalence ratio which contrasts the prevalence in a specific area and time with the average prevalence across the 131 entire study period. Thus, a prevalence ratio > 1.0 for a given census-tract-year indicates higher 132 prevalence than the North Carolina average over the study period. This approach can be 133 considered an approximation of a fetuses-at-risk approach<sup>11</sup> (see S2 Appendix), where we 134 135 deviate from such an approach by missing information on fetal losses, and timing for each birth is defined by date of delivery rather than date of conception. Estimated crude prevalence ratios 136 137 will be approximately unbiased if the proportion of fetal losses to total pregnancies is approximately constant over the study area and period. 138

#### 140 Statistical Analyses

141	We estimated annual prevalence of non-chromosomal birth defects by census tract in North
142	Carolina using a Bayesian space-time model that is widely used in spatial epidemiology. <sup>7,12</sup> We
143	opted for this approach because birth defects are rare, and we would thus expect crude
144	prevalence estimates within a given census tract and year to be unstable, or highly variable.
145	With such highly variable prevalence estimates, it may be difficult to intuit spatiotemporal
146	patterns, if they exist. Our Bayesian approach overcomes this instability by using carefully
147	constructed priors that allow partial pooling of information across adjacent census tracts within
148	a given calendar year, as well as by partial pooling of information across time within a given
149	census tract. Thus, the approach assumes that the underlying prevalence of non-chromosomal
150	birth defects varies smoothly over adjacent census tracts and years. Our general approach is to
151	do this information borrowing without imposing strong modeling assumptions for spatial or
152	temporal trends, which could potentially obscure important patterns.
153	

Our modeling approach can be expressed as a multi-level model.<sup>13</sup> For each non-chromosomal birth defect considered, we modelled the number of affected births  $y_{it}$  in census tract i during year t as conditionally independent and identically Poisson distributed variables with mean given by  $\lambda_{it}$ ,

$$y_{it} \sim Poisson(\lambda_{it} = e_{it}\theta_{it})$$

158 Where the mean  $\lambda_{it}$  consists of two components,  $e_{it}$  representing expected counts of non-159 chromosomal birth defects (described below) in the *i*th census tract during year *t*, and  $\theta_{it}$ 

#### 160 representing the prevalence ratio for the *i*th census tract during year *t*. Then, the natural

161 logarithm of  $\theta_{it}$  was modelled as

$$\ln(\theta_{it}) = \alpha_i + \varphi_t + \delta_{it}$$

162 Where  $\alpha_i$  is census tract level spatial main-effect,  $\varphi_t$  is a temporal main-effect, and  $\delta_{it}$  is an interaction term between space (census tract level) and time. 163 164 We computed the expected counts  $(e_{it})$  as the product of the number of live births in the *i*th 165 census tract during year t and the average prevalence across the entire study period in North 166 167 Carolina. Thus, the expected count estimates the number of non-chromosomal birth defects in a given census tract-year, had that census tract-year been subject to the same average 168 169 prevalence as all of North Carolina from 2003 – 2015. This construction implies that  $\theta_{it}$ 170 estimates a prevalence ratio comparing a census-tract-year prevalence to the average prevalence in North Carolina over the study period, such that values > 1 imply prevalence 171 higher than the state average that can be used to locate potentially high-risk groups. 172 173 174 The spatial, temporal, and spatiotemporal interaction terms are parameterized to provide 175 structure to the prevalence estimates without making strong modeling assumptions that might 176 otherwise smooth over key spatial or temporal trends. The spatial term  $\alpha_i$  is a random effect that follows the conditional autoregressive model proposed by Besag, York and Mollie.<sup>14</sup> This 177 random effect can be further decomposed into two components, an intrinsic conditional 178 autoregressive term that smooths each census tract estimate by forming a weighted average 179 180 with all adjacent census tracts, plus a spatially unstructured component that models

181	independent location-specific error and is assumed to be independently, identically, and
182	normally distributed across census tracts. The temporal trend $arphi_t$ , is modeled by the sum of two
183	components, a first-order random walk-correlated time component (which is conceptualized as
184	a prior in which the temporal term in year $t$ is given a normal prior centered on the value of the
185	temporal term in year $t-1$ ), and a temporally unstructured component that models
186	independent year-specific error and is independently, identically, and normally distributed
187	across years. The space-time interaction term $\delta_{it}$ , is modelled as an independent noise term for
188	each census tract and time period, and allows for temporal trends in a given census tract to
189	deviate from the overall trend, such that spatiotemporally local patterns can emerge by
190	reducing the amount of smoothing done by the model. Penalized complexity (PC) priors <sup>15,16</sup>
191	were applied to the precision hyperparameters in our models. Details of model specification are
192	described in S3 Appendix.
193	
194	To estimate Bayesian model parameters, we employed integrated nested Laplace
195	approximations (INLAs) which approximate the full posterior distribution and are a
196	computationally efficient alternative to Markov Chain Monte Carlo (MCMC) for certain model
197	structures (latent Gaussian models). INLA does not use iterative computation techniques like
198	MCMC and is thus highly efficient at the cost of possible approximation error. <sup>17</sup> We used the R-
199	INLA package for model fitting. <sup>8</sup> Model comparison was performed, and details can be found in
200	S4 Appendix Table 2.

- 202 The prevalence of individual non-chromosomal birth defects, any birth defect (including non-
- 203 chromosomal birth defects and chromosomal birth defects), and chromosomal birth defects in
- 204 North Carolina was estimated using the same Bayesian approach.
- 205
- 206 **RESULTS**
- 207 Of 1,600,409 affected and unaffected births recorded in NCBDMP during the study period 2003-
- 208 2015, 758 had maternal residence outside North Carolina, and 844 had inaccurate geographic
- 209 information that prevented precise geocoding. After excluding these records, a total of
- 1,598,807 live births were included in the analyses. Among these, 52,524 (3.3%) had at least
- one recorded birth defect. The prevalence of any birth defect decreased from 4.0% in 2003 to
- 3.2% in 2015, as shown in Table 1. The prevalence of non-chromosomal birth defects decreased
- from 3.8% in 2003 to 2.9% in 2015. The numbers of individual structural birth defects (i.e.,
- anotia/microtia, conotruncal heart defects, atrioventricular septal defects and endocardial
- cushion defects, cleft lip, cleft palate, hypospadias, and gastroschisis) are also presented in

216 Table 1.

217

The posterior geometric means of spatial random effect for the prevalence ("spatial prevalence
ratio" – holding temporal terms constant) of any non-chromosomal birth defect are
summarized in Figure 1. This map reveals a large variability of the spatial term of the model, as
shown with prevalence ratio varying geographically from a low of below 0.6 to a high of about
2.0 across the state. The spatial prevalence ratio identifies areas at heightened prevalence of
birth defects in North Carolina throughout the 2003-2015 period. Of note, the southeastern

224	region of North Carolina had the highest prevalence of birth defects, though higher prevalence
225	was also noted in the Appalachian and Northern Piedmont areas.

226

227 Posterior geometric means of the temporal random effect ("temporal prevalence ratio" -

holding spatial terms constant) is depicted in Figure 2. The temporal prevalence ratio was

highest during the first two years (2003 and 2004), and then dropped. While there was a slight

spike during 2009-2010, the overall prevalence appeared constant over time since 2005.

231

Posterior geometric means of the independent yearly space-time interaction term are 232 presented for four of the study period years in Figure 3. These interactions capture local 233 deviations from overall spatial and temporal trends. As shown in Figure 3, there are some 234 235 census tracts with elevated prevalence of any birth defect in 2004. But generally, the space-236 time interaction term varies only from about 0.88 to 1.14 (Figure 3), which is a narrower range of variability than that of the spatial term (Figure 1). This result suggests that birth defects 237 238 might be associated with factors that are purely geographical, or factors that have a stronger 239 variation over space than time.

240

The spatial and temporal patterns of individual birth defects (i.e., anotia/microtia, conotruncal heart defects, atrioventricular septal defects and endocardial cushion defects, cleft lip, cleft palate, hypospadias, gastroschisis) are depicted in S5 Appendix. Generally, the prevalence of these individual birth defects remains constant across the 2003-2015 period, suggesting that the temporal trend observed in all birth defects combined was not solely attributable to any of

246	these specific defects. In terms of spatial heterogeneity, there was some variation in patterns
247	for defect groups. The central and southern regions of North Carolina experienced the highest
248	prevalence of conotruncal heart defects; the west and south parts of North Carolina had
249	increased prevalence of cleft lip and cleft palate as well as gastroschisis; the areas with higher
250	prevalence ratios for hypospadias were strongly concentrated in the middle (Raleigh) and
251	southern (Wilmington) urban parts of North Carolina (see S5 Appendix).
252	
253	The spatial and temporal patterns of any birth defect (including non-chromosomal birth defects
254	and chromosomal birth defects) are depicted in S6 Appendix. The geographic distributions and
255	temporal trends of any birth defect are similar to those of non-chromosomal birth defects. The
256	spatial and temporal patterns of chromosomal birth defects are depicted in S7 Appendix. For
257	chromosomal birth defects, the prevalence was higher in the middle part of North Carolina,
258	compared with other regions. The spatial trends suggest that the prevalence of chromosomal
259	birth defects increased after 2008.
260	
261	DISCUSSION
262	In the present study we examined the spatial and temporal patterns of birth defects in North
263	Carolina during 2003-2015 using small-area Bayesian spatiotemporal models. To our knowledge,

- it is among the first studies to map the distributions of non-chromosomal birth defects,
- 265 chromosomal birth defects, and individual birth defects over time in North Carolina. We
- identified some regions of North Carolina, particularly in the Southern Coastal region to have
- 267 relatively high prevalence of non-chromosomal birth defects compared to the average

prevalence across the state. We also found that, while the prevalence of non-chromosomal 268 269 birth defects was relatively high during 2003-2004 with approximately 4% among all livebirths, the prevalence dropped down and stayed constant at about 3% in the subsequent years. 270 271 Furthermore, spatial heterogeneity was also apparent for several individual birth defect groups 272 including conotruncal heart defects, cleft lip, cleft palate, hypospadias, and gastroschisis. Although there is some commonality in relatively high prevalence of several birth defects (e.g., 273 cleft lip, cleft palate, gastroschisis) in western and southern parts of North Carolina, the spatial 274 275 patterning generally appeared to differ according to each defect. 276 Geographic variation in birth defects has been described in previous studies.<sup>18-21</sup> We employed 277 small-area statistical techniques and identified some areas with higher prevalence (relative to 278 279 the state average) of birth defects (particularly non-chromosomal birth defects) at census-tract 280 level. Because our analysis was descriptive in nature, we did not directly assess etiologic hypotheses. In addition, our model only included spatiotemporal terms and no terms for 281 282 previously studied factors such as socioeconomic status and environmental exposures. However, our mapping result could be used to integrate with other spatiotemporal data to 283 284 inform further research on potential causes for birth defects in North Carolina. For example, a 285 previous study of toxic metals in private wells and birth defects prevalence in North Carolina in 286 2003-2008, showed that the elevated manganese levels in the central part of the state were associated with a higher prevalence of conotruncal heart defects.<sup>6</sup> This study was consistent 287

with our finding that the central region of North Carolina has heightened prevalence of

conotruncal heart defects. We have identified some regions that have a higher prevalence of

non-chromosomal birth defects and some individual birth defects including conotruncal heart
defects, cleft lip, cleft palate, hypospadias and gastroschisis, compared with other regions.
Since we found that the spatial term of the birth defect model is significantly greater than the
space-time interaction term, future work should focus on associations between birth defect
prevalence and geographical factors, such as well water contamination that persists over long
durations.

296

Following global trends, fewer births were recorded in the years immediately prior to the 2008 297 financial crash relative to the years immediately following.<sup>22</sup> We estimated higher prevalence in 298 299 birth defects occurring after 2008 relative to birth defects occurring 2005-2008. This pattern suggests that economic shocks may also play a role in the temporal patterns of birth defects 300 301 across the state, especially if fertility patterns shift such that pregnancy becomes relatively 302 more common among women with higher risk of affected offspring (e.g. older mothers due to delayed childbearing).<sup>23,24</sup> The average maternal age at birth in our data was relatively steady 303 between 2003 and 2009 (26.9-27.0) but rose steadily thereafter to 28.0 by 2015, which closely 304 mirrors the patterns of chromosomal defects we observed and supports a maternal age 305 hypothesis. 306

307

308 Our study had several limitations. Outcome ascertainment and classification may be a source of 309 measurement error. Although we found that in 2003 and 2004 North Carolina experienced 310 relatively high prevalence of non-chromosomal birth defects compared with other years, this 311 might be due to changes in ascertainment and classification of birth defects over time. This

could also apply to individual birth defects. It is likely that we captured some birth defects 312 313 better than others, which can result in loss of information when identifying the regions at high prevalence of certain individual birth defects. Cleft lip and cleft palate, which are easily clinically 314 assessed, both demonstrated spatial patterning without strong temporal trends, suggesting 315 316 that measurement may underly the temporal trends observed in any birth defect. In addition, since we only adopted the information of maternal residence at delivery for geocoding, it is 317 possible that non-differential misclassification may be introduced by the likelihood of maternal 318 319 mobility during pregnancy. We also recognize that an any birth defect group that combines 320 individual defects with different embryologic mechanisms and potential risk factors introduces etiologic heterogeneity. 321

322

323 Using Bayesian disease mapping techniques, our descriptive study examined the spatial and 324 temporal patterns of birth defects in North Carolina during 2003-2015. We identified some geographic areas with increased prevalence of non-chromosomal birth defects and some 325 individual birth defect groups at census tract level. The etiology of birth defects is multifactorial, 326 327 and the causes for most defects remain unknown. Given the potential geographic variation in toxic environmental contaminants in North Carolina that are likely tied to the birth defects<sup>6</sup>, 328 329 further studies are warranted to explore the potential environmental causes (e.g., well water contamination) for each type of birth defects. 330

331

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- 341 **Conflicts of Interest**: None.
- 342

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410		

Year	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015
No. of births	118192	119658	122949	127543	130604	130444	126620	122008	120340	119751	118979	120915	120804
Male <sup>ª</sup>	60784	61018	62789	65370	67016	66878	64884	62343	61473	61129	60560	61786	61798
	(51.4)	(51.0)	(51.1)	(51.3)	(51.3)	(51.3)	(51.2)	(51.1)	(51.1)	(51.0)	(50.9)	(51.1)	(51.2)
Female	57407	58639	60157	62173	63586	63565	61734	59665	58866	58620	58417	59124	59005
	(48.6)	(49.0)	(48.9)	(48.7)	(48.7)	(48.7)	(48.8)	(48.9)	(48.9)	(49.0)	(49.1)	(48.9)	(48.8)
Missing	1	1	3	0	2	1	2	0	1	2	2	5	1
No. (%) of birth defects <sup>b</sup>	4714	5291	3513	3661	3832	3727	4229	4260	3995	3766	3724	4003	3809
	(4.0)	(4.4)	(2.9)	(2.9)	(2.9)	(2.9)	(3.3)	(3.5)	(3.3)	(3.1)	(3.1)	(3.3)	(3.2)
Non-chromosoma	4480	5049	3244	3415	3598	3480	3945	3943	3667	3493	3450	3640	3503
Chromosoma	234	242	269	246	234	247	284	317	328	273	274	363	306
Individual non-													
chromosoma  birth													
defects													
Anotia/Microtia	20	11	32	23	19	19	32	14	22	20	18	20	13
Conotruncal heart	116	118	94	116	94	87	98	119	91	83	78	108	96
defects													
AVSD/ECD	63	53	53	65	76	68	73	89	78	65	57	58	67
Cleft lip	107	105	98	112	112	96	107	100	110	77	105	100	89
Cleft palate	65	70	62	73	88	75	81	67	72	68	70	56	62
Hypospadias	387	443	340	367	375	374	327	384	340	351	301	386	436
Gastroschisis	42	48	37	37	52	42	63	60	44	52	36	57	44

Table 1. Number of births by year among 1,598,807 live births (52,524 birth defects) in North Carolina in 2003-2015

412 Abbreviations: AVSD: Atrioventricular septal defects; ECD, endocardial cushion defects

413 <sup>a</sup> Number (percentage among all live births in each year) of male and female births is presented.

414 <sup>b</sup> Percent to birth defects means the percentage of birth defects among all live births in each year.

415

- 417 Figure 1. Posterior geometric mean prevalence ratio for any non-chromosomal birth defect across North Carolina, spatiotemporal
- 418 model of North Carolina census tracts, 2003-2015. It represents the autoregressive spatial term.







424 Figure 3. Posterior means of the independent space-time interaction term, spatio-temporal model of North Carolina census tracts,

- 425 2003-2015. Note that posterior mean log-prevalence ratios are exponentiated to represent posterior geometric mean prevalence
- ratios. Regions with lighter color suggest no space-time interaction and no local deviations from overall spatial and temporal trends,
- 427 while regions with deeper color suggest there is space-time interaction and local shock that deviates from overall spatial and
- 428 temporal trends.



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- 430 **APPENDIX**
- 431 S1 Appendix
- 432 Appendix Table 1: CDC/BPA codes for individual non-chromosomal birth defects.

Anotia/microtia: 741.01, 741.21

Conotruncal heart defects

Common truncus: 745.00

TOF: 745.20-745.21, 747.31

TGA: 745.10-745.12, 745.18-745.19

AVSD/endocardial cushion defects: 745.60-745.69, 745.487

Cleft lip: 749.10-749.19

Cleft palate: 749.00-749.09

Hypospadias: 752.60-752.62 (excluding 752.61 and 752.621)

Gastroschisis: 756.71

433

#### 435 S2 Appendix

#### 436 Approximation to fetuses-at-risk approach

437 The crude census-tract-year specific prevalence ratios estimated in the study can be expressed as the quantity

438  $r_{jk} = \left(\frac{D_{jk}}{D_{jk}+N_{jk}}\right) / \left(\frac{D}{D+N}\right)$ , where  $D_{jk}$  and  $N_{jk}$  are the (observed) counts of birth defects and unaffected births in the *j*th census tract 439 and the *k*th year, and *D* and *N* are the counts across the entire study period and area. In a fetuses-at-risk approach, the prevalence 440 ratio would instead equal =  $\left(\frac{D_{jk}}{D_{jk}+N_{jk}+L_{jk}}\right) / \left(\frac{D}{D+N+L}\right)$ , where  $L_{jk}$  is the (unobserved) count of fetal losses *j*th census tract and the *k*th 441 year (and, similarly *L* is the total summed over the study period and area). The prevalence of fetal losses in a given census-tract year 442 can be expressed as  $q_{jk} = \frac{L_{jk}}{D_{jk}+N_{jk}+L_{jk}}$ , and we denote the average prevalence over the entire study period and area as *q*. Note that 443 L = q/(1-q) \* (D+N), so that we can express the prevalence ratio as a function of observed data and the odds of fetal loss 444 o = q/(1-q)

$$\frac{\left(\frac{D_{jk}}{(D_{jk} + N_{jk}) * (1 + o_{jk})}\right)}{\left(\frac{D}{(D + N) * (1 + o)}\right)} = r_{jk} \frac{1 + o}{1 + o_{jk}}$$

445

which reduces to our crude prevalence ratio in the case where the prevalence odds of fetal loss are constant across all study censustract-years ( $o = o_{jk}$ , which is implied by  $q = q_{jk}$ , for all j, k). We note that, for this condition to hold, the census-tract-year specific probability of fetal loss would necessarily be inversely related to the census-tract-year specific probability of a birth defect. We expect it is more likely that the opposite is true and that some spatially related causes of birth defects will also be causes of fetal loss. In this case, higher values of  $r_{jk}$  would generally imply higher values of  $o_{jk}$ , so that, had we been able to include fetal losses in the data, our estimates of  $r_{jk}$  would in general be smaller than those reported in our analysis. Thus, shared causes of fetal loss and fetal death likely result in bias away from the null of census-tract-year specific prevalence ratios.

#### 454 S3 Appendix

455 **Priors on random effects in Bayesian space-time Poisson model for overall opioid-detected overdose deaths** 

 $\ln(\theta_{it}) = \alpha_i + \varphi_t + \delta_{it}$  $\alpha_i = u_i + v_i, \quad u_i \sim N(0, \tau_u^{-1}Q^{-}), \quad v_i \sim N(0, \tau_v^{-1}I)$  $\varphi_t = \Delta \pi_i + \rho_t, \quad \Delta \pi_i = \pi_i - \pi_{i-1} \sim N(0, \tau_\pi^{-1}), \quad \rho_t \sim N(0, \tau_\rho^{-1}I),$ 

456 where  $u_i \sim N(0, \tau_u^{-1}Q)$  represents the spatial structured random effect and is modeled under the class of intrinsic Gaussian Markov 457 random fields models. Q denotes the precision matrix (neighboring matrix), and  $Q^-$  is the generalized inverse of the matrix Q. The 458 marginal variances are  $\tau_u^{-1}[Q^-]_{ii}$ , which are dependent on the matrix Q.  $v_i \sim N(0, \tau_v^{-1}I)$  is the spatial unstructured random effect 459 and  $\tau_v^{-1}$  is the marginal variance. Penalized complexity (PC) priors are assigned to  $\tau_u$  and  $\tau_v$ . Here, we let  $\tau_u, \tau_v \sim PC(0.2/$ 460 0.31, 0.01), which corresponds to  $Pr(1/\sqrt{\tau} > 0.2/0.31) = 0.01$ . 461 462  $\Delta \pi_i = \pi_i - \pi_{i-1} \sim N(0, \tau_\pi^{-1})$  is first order random walk temporal random effect defined as a random step at each point in time ( $\Delta \pi_i$ ). 463 All random steps are independent and identically distributed.  $\rho_t \sim N(0, \tau_o^{-1}I)$  is the temporal unstructured random effect and  $\tau_o^{-1}$  is

the marginal variance. Penalized complexity (PC) priors are assigned to  $\tau_{\pi}$  and  $\tau_{\rho}$ . Here, we let  $\tau_{\pi}$ ,  $\tau_{\rho} \sim PC(0.2/0.31, 0.01)$ , which corresponds to  $Pr(1/\sqrt{\tau} > 0.2/0.31) = 0.01$ .

# 468 S4 Appendix

# 469 Appendix Table 2: Results of model comparison

	Deviance Information Criterion (DIC)									
		Defect								
Model	Anotia	AVSD/E CD	Cleft lip w/wo Cleft palate	Cleft palate	Conotru ncal heart defects	Gastros chisis	Hyposp adias	Non- chromo somal	Chromo somal	Any defect
Conditional autoregressive term for census tract + linear calendar year + unstructured temporal term for calendar year	2872.5	7847.5	10351.1	7836.8	10212.8	5757.8	25911.7	85803.3	21303.6	88111.8
Conditional autoregressive term for census tract + first order random walk term for calendar year	2871.1	7486.3	10346.7	7834.7	10213.8	5757.3	25879.7	85803.3	21302.5	88111.7
Conditional autoregressive term for census tract + first order random walk term for calendar year + unstructured temporal term for calendar year	2871.1	7486.2	10346.8	7834.8	10213.6	5757.3	25875.0	85803.2	21302.4	88112.4
Conditional autoregressive term for census tract + first order random walk term for calendar year + space-time interaction term	2872.3	7486.2	10349.4	7835.7	10211.5	5757.8	25886.9	85784.5	21301.4	88096.7
Conditional autoregressive term for census tract + first order random walk term for calendar year + unstructured temporal term for calendar year + space- time interaction term	2871.1	7486.2	10349.0	7834.7	10213.5	5757.2	25877.4	85780.2	21303.5	88094.2

470 Abbreviations: AVSD/ECD, atrioventricular septal defect/endocardial cushion defect.

## 472 S5 Appendix: Spatial and temporal patterns of individual non-chromosomal birth defects

473 Appendix Figure 5.1. Spatial and temporal patterns of individual birth defect – anotia/microtia, North Carolina, 2003-2015







### 477 Appendix Figure 5.2. Spatial and temporal patterns of individual birth defect – conotruncal heart defects, North Carolina, 2003-2015

Appendix Figure 5.3. Spatial and temporal patterns of individual birth defect – atrioventricular septal defects and endocardial
 cushion defects, North Carolina, 2003-2015













### 489 Appendix Figure 5.5. Spatial and temporal patterns of individual birth defect – cleft palate, North Carolina, 2003-2015







### 496 Appendix Figure 5.7. Spatial and temporal patterns of individual birth defect – gastroschisis, North Carolina, 2003-2015

- 499 S6 Appendix: Spatial and temporal patterns of any birth defect including non-chromosomal and chromosomal birth defects
- 500 Appendix Figure 6.1. Spatial and temporal patterns of any birth defect, North Carolina, 2003-2015
- 501



# 504 S7 Appendix: Spatial and temporal patterns of chromosomal birth defects

505 Appendix Figure 7.1. Spatial and temporal patterns of chromosomal birth defects, North Carolina, 2003-2015

