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## Medroxyprogesterone acetate and levonorgestrel increase genital mucosal permeability and enhance susceptibility to genital herpes simplex virus type 2 infection

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## Abstract

Depot-medroxyprogesterone acetate (DMPA) is a hormonal contraceptive especially popular in areas with high prevalence of HIV and other sexually transmitted infections (STI). While observational studies identify DMPA as an important STI risk factor, mechanisms underlying this connection are undefined. Levonorgestrel (LNG) is another progestin used for hormonal contraception, but its effect on STI susceptibility is much less explored. Using a mouse model of genital HSV-2 infection, we herein found DMPA and LNG similarly reduced genital expression of the desmosomal cadherin desmoglein-1a (DSG1a), enhanced access of inflammatory cells to genital tissue by increasing mucosal epithelial permeability, and increased susceptibility to viral infection. Additional studies with uninfected mice revealed DMPA-mediated increases in mucosal

Disclosure

#### Author contributions

Supplementary material Figures S1–S5.

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permeability promoted tissue inflammation by facilitating endogenous vaginal microbiota invasion. Conversely, concomitant treatment of mice with DMPA and intravaginal estrogen restored mucosal barrier function and prevented HSV-2 infection. Evaluating ectocervical biopsy tissue from women before and 1 month after initiating DMPA remarkably revealed inflammation and barrier protection were altered by treatment identically to changes seen in progestin-treated mice. Together, our work reveals DMPA and LNG diminish the genital mucosal barrier; a first-line defense against all STI, but may offer foundation for new contraceptive strategies less compromising of barrier protection.

#### Keywords

genital mucosal barrier protection; medroxyprogesterone; levonorgestrel; estrogen

### Introduction

The long-term preponderance of women living with HIV in sub-Saharan Africa provided strong impetus to determine the factors promoting male-to-female sexual transmission of the virus<sup>1</sup>. While numerous observational studies identified the injectable hormonal contraceptive depot-medroxyprogesterone acetate (DMPA) as a significant risk factor for acquisition of HIV and other sexually transmitted infections (STI), other studies failed to detect this association<sup>2–5</sup>. However, close connections between hormonal contraceptive use and behavior (i.e., the higher frequency of unprotected sex among individuals using hormonal contraception) may have confounded data interpretation from these studies and thus contributed to the inconsistency of their conclusions<sup>6–8</sup>. Levonorgestrel (LNG) is a progestin used in intrauterine devices (IUD) that provide long-acting reversible contraceptives (LARC), but its effect on STI susceptibility is much less explored than DMPA, both experimentally and clinically.

Because of the limitations of observational studies, there is interest in conducting randomized controlled trials (RCT) to more precisely define the relationships between STI susceptibility and use of DMPA, LNG, and other LARC<sup>9</sup>. However, accrued evidence already substantiating the connection between DMPA use and STI acquisition raises concerns for random assignment of women to specific LARC therapies<sup>10</sup>, while prior studies randomizing women to receive DMPA reported suboptimal rates of participation and retention<sup>11, 12</sup>. Moreover, it will be challenging for any type of clinical investigation, including RCT, to identify the biological mechanisms by which LARC increase susceptibility for STI acquisition<sup>13, 14</sup>. While genital mucosal epithelium thinning, enhanced tissue inflammation, suppressed cell-mediated immune responses, and altered vaginal microbiota were proposed to connect DMPA with enhanced STI acquisition, these putative mechanisms remain experimentally unproven<sup>15, 16</sup>. The objective of this investigation was therefore to perform a series of experiments using murine models and clinical genital tract specimens that identified specific host antiviral defense mechanisms that are compromised by 2 progestins currently utilized in LARC.

## Results

# DMPA and LNG increased mouse susceptibility to herpes simplex virus type 2 (HSV-2) infection

Antecedent DMPA treatment enhances infectivity of viruses and bacteria in various rodent and nonhuman primate models of female genital tract infection<sup>17–20</sup>. In order to define mechanisms responsible for these experimental observations, in the current investigation we utilized a system in which DMPA makes mice highly susceptible to intravaginal (ivag) HSV infection. The herpes simplex viruses (HSV-1 and HSV-2) are human pathogens that infect mucocutaneous surfaces. Initial replication of these viruses in epithelial cells causes a productive lytic infection, which quickly disseminates to the central nervous system. In the murine model of genital HSV-2 infection, lytic infection specifically causes extensive genital pathology that is soon followed by the development of fatal encephalopathic changes.

Because antecedent DMPA administration makes mice uniformly susceptible to genital HSV acquisition<sup>21, 22</sup>, we used a fluorescently tagged HSV-1<sup>23</sup> to ivag infect C57BL/6J mice that were in estrus (i.e., the estrous cycle stage with lowest endogenous progesterone levels) or 5 days after DMPA treatment. Using confocal microscopy, we saw that HSV-1 had spread precipitously throughout the vaginal mucosa of DMPA-treated mice by 24 hours after infection, whereas virus was undetectable at this same time point after infection in mice infected during estrus (Figure 1a). We then assessed the development of external genital pathology and fatal encephalopathy after ivag HSV-2 infection of mice in estrus, diestrus (i.e., the estrous cycle stage with highest levels of endogenous progesterone), or 5 days after DMPA administration. And as predicted by enhanced dissemination of fluorescent HSV-1 in DMPA-treated mice, infection was uniformly lethal after DMPA treatment, while morbidity and mortality were absent or more incrementally increased among mice infected during estrus, respectively (Figure 1b and 1c).

While MPA binds the progesterone receptor (PR) and the glucocorticoid receptor (GR), LNG (the progestin commonly used in IUD providing LARC<sup>24</sup>) more specifically binds the PR<sup>25, 26</sup>. Utilizing the same mouse model of HSV-2 infection (but treating with LNG rather than DMPA), we thus could newly explore the role of a more specific PR agonist in altering susceptibility to a genital viral pathogen. In this study, we found that LNG enhanced dissemination of fluorescently tagged HSV-1 and susceptibility to ivag HSV-2 infection in ways identical to results seen with DMPA (Figure 1a and 1c). We then used a similar strategy in this mouse model to evaluate the effects of administering methylprednisolone (MePRDL) (a pure GR agonist), progesterone (Pg) (a PR agonist with negligible GR binding), or DMPA and mifepristone (RU486) (a GR and PR antagonist) prior to infection. Analogous to the effects of DMPA or LNG, infection was uniformly lethal in Pg-treated mice (Figure 1b and 1c). Conversely, no morbidity or mortality developed in mice treated with DMPA and RU486 or MePRDL (Figure 1b and 1c). Interestingly, separate analyses of vaginal tissues from mice in estrus, and mice treated with DMPA, LNG or MePRDL, showed that MePRDL alone modulated expression of multiple glucocorticoid-regulated genes<sup>27</sup> (specifically, increased expression of glucocorticoid-induced leucine zipper, glucocorticoid-regulated kinase 1, dual specificity protein phosphatase 1, and decreased

expression of the glucocorticoid receptor) (Figure S1). Taken together, these initial studies newly revealed that enhanced susceptibility of mice to ivag HSV-2 infection is a class effect of progestins (including MPA and LNG), but not an effect of the GR agonist MePRDL.

#### DMPA and LNG increased permeability of mouse genital mucosal epithelium

Because external genital pathology developed within 3 days after DMPA- or LNG-treated mice were genitally infected (i.e., before formation of HSV-specific adaptive immunity), we next focused on the effects of these progestins on innate immunity in the female genital tract, specifically the mucosal barrier. In initial studies, we explored the possibility that the sizeable increases in mortality among progestin-treated mice compared to mice infected in diestrus (100% vs. 25%, respectively) (Figure 1c) were associated with increased genital mucosal thinning. However, we found vaginal mucosal thickness was comparable between mice in diestrus and after progestin treatment (Figure 2a and Figure S2), indicating mucosal thinning was not solely responsible for the conspicuously higher mortality in progestin-treated mice.

Based on these results, we next explored progestin-mediated effects on genital mucosal permeability by intravaginally administering 457 Da and 70 KDa fluorescent molecules to estrus-stage, diestrus-stage, and DMPA- or LNG-treated mice. Forty-five minutes later, mice were euthanized and the genital tracts excised to assess fluorescent molecule penetration into the vaginal mucosa by confocal microscopy. In results wholly consistent with mouse survival after HSV-2 infection (Figure 1c), these images showed that permeability to the 457 Da fluorescent molecule was moderately increased in diestrus-stage mice but dramatically increased by DMPA or LNG treatment (Figure 2b). Conversely, permeability to these same molecules was absent in untreated mice in estrus and mice treated with MePRDL or both DMPA and RU486 (Figure 2b). Following-up on these results, we evaluated if progestin treatment similarly facilitated tissue penetration of leukocytes, immune cells involved in the transmission of HIV and other STI<sup>28</sup>. And congruent with enhanced penetration of the lowmolecular weight (LMW) molecules, we saw that fluorescently labeled syngeneic splenocytes readily infiltrated vaginal mucosa tissue of DMPA-treated mice but not mice in estrus (Figure 2c). These latter results demonstrated that progestin-mediated increases in genital mucosal permeability were not restricted to facilitating diffusion of LMW molecules across the mucosal barrier, but extended to increasing genital epithelial surface permeability to considerably larger-sized cells that enter mucosal tissue by active processes.

To further explore progestin-mediated increases in genital mucosal permeability, we harvested vaginal tissue from mice in estrus, diestrus, and DMPA- or LNG-treated mice, and measured expression of the desmosomal cadherins desmoglein-1a (DSG1a) and desmocollin (DSC1). These cell-cell adhesion molecules are present in female genital tract epithelium<sup>29, 30</sup>, and shown important for maintaining the integrity and barrier function of cutaneous and intestinal epithelium<sup>31, 32</sup>. Compared to vaginal tissue from estrus-stage mice, we found that levels of DSG1a and DSC1 gene expression were significantly downregulated in diestrus-stage and progestin-treated mice (Fig. 3a), whereas detection of DSG1a protein was most significantly reduced by progestin treatment (Figure 3b and 3c). On the other hand, DMPA and LNG did not affect the levels of other cell-cell adhesion molecules

expressed in genital mucosa, including occludin, claudin-1, or tight junction protein 1 (Figure 3a), while vaginal expression of DSG1a and DSC1 was unaffected by MePRDL treatment (Figure S3). Together, these experiments thus revealed that both DMPA and LNG diminish the genital mucosal barrier; specifically diminishing genital tissue expression of the cell-cell adhesion molecules DSG1a and DSC1.

#### DMPA facilitated invasion of endogenous vaginal microbiota into genital tissue

While multiple clinical studies reported increased genital tissue inflammation in women using DMPA<sup>33, 34</sup>, no explanation has been provided for this observation. Because of the profound increases in genital mucosal permeability seen in DMPA-treated mice, we posited that DMPA promotes tissue inflammation by facilitating infiltration of the endogenous vaginal microbiota. To test this hypothesis, we utilized a Lillie-Twort tissue Gram stain and a peptide nucleic acid fluorescence in situ hybridization assay to detect bacteria in vaginal tissues of uninfected mice in estrus or diestrus or uninfected, DMPA-treated mice (PNA FISH). As hypothesized, we saw greater numbers of Gram-negative bacteria in the submucosal tissues of diestrus-stage and DMPA-treated mice, with the latter providing the higher intensity signal (Figure S4 and Figure 4A). Moreover, vaginal tissues from these mice displayed significantly greater gene expression levels of the proinflammatory cytokine interleukin-1ß (IL-1ß), the neutrophil chemoattractant pro-platelet basic protein (PPBP) (also known as CXCL7), the chemokine receptors CXCR1 and CXCR2, and colony stimulating factor 3 receptor (CSF3R) (also known as G-CSF) (Figure 4b). Confirming this inflammatory response was sequelae to endogenous microbiota infiltration, no DMPAmediated increases in genital inflammation were seen when germ-free mice (i.e., mice without vaginal microbiota) were treated with DMPA (Figure 4b). Conversely, LMW molecules readily penetrated into the vaginal mucosa of uninfected, DMPA-treated germfree mice (Figure 4c), indicating that DMPA-mediated increases in mucosal permeability were not a consequence of DMPA-mediated increase in vaginal inflammation. Combined, these studies showed DMPA facilitated endogenous microbiota invasion into genital tissue, and that increases in genital inflammation occurred downstream of DMPA-mediated impairment of the mucosal barrier.

#### Exogenous estrogen rescued DMPA-treated mice from lethal HSV-2 infection

As mice in estrus (i.e., the estrous cycle stage with peak endogenous estrogen levels) displayed elevated DSG1a tissue expression, greater mucosal barrier protection, and imperviousness to ivag HSV-2 infection, we posited mice concomitantly treated with DMPA and a commercially available vaginal cream containing conjugated estrogens would demonstrate reduced HSV-2 susceptibility. And as hypothesized, we detected 100% survival in concomitantly treated mice after lethal HSV-2 challenge (Figure 5a and 5b). DMPA-treated mice treated systemically with 17- $\beta$  estradiol (E<sub>2</sub>) were similarly resistant to ivag HSV-2 infection (Figure 5a and 5b), indicating that the increased survival produced by ivag estrogen treatment was not an artifact of the cream blocking virus access to the vaginal mucosa. Vaginal mucosal permeability was also decreased in mice treated with DMPA and estrogen, compared to mice receiving DMPA alone (Figure 5d). Conversely, while both systemic and local estrogen treatments increased DSG1a expression, only estrogen cream affected DSC1 expression (Figure 5c – 5e), results that imply a larger role for DSG1a in

maintaining genital mucosal integrity. While progesterone-mediated decreases in vaginal epithelial keratinization were postulated as a mechanism by which DMPA increases genital infection susceptibility<sup>16, 17</sup>, in our studies, keratinization of the vaginal epithelial was increased in estrus-stage mice only, whereas both estrus-stage mice and mice treated with DMPA and estrogen were resistant to HSV-2 infection (Figure S5). Taken together, our results thus suggested that epithelial barrier function plays a more important role than keratinization in protecting mice from ivag HSV-2 infection.

#### DMPA treatment increased permeability of human ectocervical mucosal tissue

By designing experiments analogous to those performed in mice, we sought to provide clinical relevance for the increased genital mucosal permeability seen in DMPA-treated mice. For these studies, we obtained ectocervical biopsy tissue from women using no hormonal contraceptive and 1 month after these women elected to initiate use of DMPA. Remarkably, in comparison to biopsy tissue collected from women at enrollment, we saw significantly decreased expression of DSG1 in ectocervical tissue collected after DMPA was initiated (Figure 6a). In results consonant with the increased genital tissue inflammation detected after uninfected mice were treated with DMPA (Figure 4b), ectocervical tissue from women at the follow-up visit displayed significantly elevated expression of numerous proinflammatory molecules, including IL-1β, CD14 (the lipopolysaccharide co-receptor), and CD177 (the human neutrophil-specific PECAM-1 ligand) (Figure 6b). And in results consistent with decreased expression of DSG1 and increased levels of proinflammatory molecules, mucosal permeability was significantly increased in ectocervical tissue collected from women 1 month after DMPA injection (Figure 6c and 6d). Interestingly, this increased mucosal permeability positively correlated with serum MPA levels that were detected in women at the follow-up visit (Figure 6e and 6f). Combined, our clinical data newly revealed alterations in genital tissue inflammation, cell-cell adhesion molecule expression, and mucosal permeability in ectocervical tissue of women initiating DMPA use that were exactly analogous to changes found in genital tissue of DMPA-treated mice.

## Discussion

STI and unplanned pregnancy are highly interconnected problems that need solved in tandem, as countries with largest STI prevalence often have higher infant and maternal mortality rates and greater need for effective contraception. More than 2 decades ago, DMPA was identified as a risk factor for STI acquisition, but this result was not always seen in subsequent studies, and there is persistent concern that data interpretation from clinical studies is confounded by higher frequencies of unprotected sex among couples using hormonal contraception. While most prior studies focused on the relationship between DMPA use and HIV acquisition, DMPA was also recently identified as a risk factor for male-to-female sexual transmission of HSV-2<sup>35</sup>. To define DMPA-mediated effects on antiviral host defense in the female genital tract, herein we utilized an experimental system in which DMPA-treated mice are uniformly susceptible to genital HSV-2 infection. Using this system, we discovered that treatment greatly decreased genital tissue cell-cell adhesion molecule expression, and dramatically increased genital mucosal permeability to LMW molecules, infectious virus particles, inflammatory cells, and endogenous microbiota.

These DMPA-mediated changes in genital mucosal permeability may have particular relevance for viral pathogenesis, as recent work in nonhuman primates showed depth of virus penetration into squamous epithelium (e.g., ectocervix) is directly proportional to epithelial permeability<sup>36</sup>. While HSV-2 induced 100% mortality in DMPA-treated mice in our study, mice infected during diestrus were only slightly more susceptible to infection than mice infected during estrus. Of note, differential susceptibility was also observed when nonhuman primates were infected with simian immunodeficiency virus (SIV) after treatment with DMPA or during the luteal stage of their menstrual cycle<sup>37</sup>. These experimental findings imply that higher levels of endogenous progesterone enhance susceptibility to viral genital pathogens, but that more prolonged exposure to unopposed exogenous progestin represents the greater risk for virus acquisition. They also spotlight a significant obstacle for clinical studies seeking to discriminate between exogenous progestin-mediated risks for virus acquisition vs. those associated with menstrual cycle stages, and highlight the importance of animal models for precisely defining the strength of association between specific LARC use and STI susceptibility.

Whereas vaginal mucosa thickness was equivalent among mice in diestrus and after progestin treatment in our investigation, HSV-2-induced mortality was highest among progestin-treated mice. Such results mirror clinical findings in which comparable vaginal mucosal thicknesses were seen between women in their luteal phase and women using  $DMPA^{38-40}$ , and provide further support that progestin-mediated increases in genital mucosal permeability play an important role in promoting uniform susceptibility of mice to ivag HSV-2 infection. In conjunction with our use of a mouse model of HSV-2 infection, we performed studies with uninfected germ-free mice that showed DMPA-mediated increases in tissue inflammation were a consequence of DMPA-mediated weakening of barrier function. Because prior clinical studies reported similar increases in genital tissue inflammation after women initiated use of DMPA<sup>33, 34</sup>, we anticipated other findings from our mouse model would recapitulate upon interrogation of the ectocervical biopsy tissues collected from women in our investigation. And as predicted by the mouse data, our clinical findings revealed DMPA use was associated with significantly decreased cell-cell adhesion molecule expression and significant increases in ectocervical mucosal permeability and inflammation. Interestingly, both our animal model and clinical findings are supported by recent studies in which women using DMPA displayed significantly higher frequencies of T cells, macrophages, and HLA-DR<sup>+</sup> and CCR5<sup>+</sup> cells in vaginal mucosal tissue<sup>41</sup>, and CCR5<sup>+</sup> T cells in the peripheral  $blood^{42}$ .

Diminished barrier protection in DMPA-treated mice in our investigation was produced with pharmacologically relevant doses of the drug<sup>43, 44</sup>, and we detected DMPA-mediated effects in human ectocervical tissue strikingly similar to the changes seen in mice. However, we do not know if the progestin-mediated increases in virus susceptibility seen in mice are also produced in women. Likewise, our findings do not exclude the possibility that DMPA weakens other mechanisms of innate host defense such as mucus or antimicrobial peptide production or macrophage or natural killer cell function. On the other hand, our mouse data minimizes the possibility that greater activation of glucocorticoid signaling pathways increased susceptibility of progestin-treated mice to HSV-2 infection. Specifically, while we detected 100% mortality and no changes in vaginal tissue expression of glucocorticoid-

specific genes in mice treated with the PR agonist LNG, MePRDL treatment significantly modulated glucocorticoid signaling pathways without enhancing susceptibility to genital infection. Therefore, our mouse studies provide novel indication that DMPA- and LNG-containing LARC similarly impair mucosal barrier protection. This possibility is especially provocative as use of LNG-IUD in the United States has doubled in the last 5 years<sup>45</sup>, and the American Academy of Pediatrics now recommends this LARC as a first choice for adolescent women<sup>46</sup>; individuals particularly vulnerable for STI acquisition. While implicational basic, translational, and clinical studies are needed to define the effects of DMPA and LNG-IUD on susceptibility to genital tract pathogens.

In addition to newly defining progestin-mediated effects on genital mucosal permeability, our rescue of DMPA-treated mice with local estrogen administration offers indication that combined use of DMPA and an estradiol-releasing vaginal device may provide the basis for contraceptive strategies less likely to enhance susceptibility to genital pathogens. Interestingly, our findings are congruent with earlier reports that showed estrogen promoted epithelial repair<sup>47</sup>, and that estrogen-treated non-human primates were more resistant to genital SIV infection<sup>48, 49</sup>. While current dogma maintains sexual intercourse is likely to diminish any enhanced barrier protection provided by estrogen-mediated increases in epithelial keratinization, our results imply estrogen-mediated increases in epithelial integrity and barrier function are the more vital anti-viral host defense. Therefore, the combined use of a progestin-containing injectable contraceptive and an estradiol-containing vaginal delivery device may offer a pragmatic approach for hormonal contraception. In one possible scenario, women would receive DMPA and an estradiol-releasing vaginal ring (both are commercially available and continuously release hormones for 3 months) that also releases an antiviral microbicide. While presently only a hypothetical alternative, determining the safety and efficacy of such an approach seems to warrant further investigation.

## **Materials and Methods**

#### Evaluation of human ectocervical biopsy tissue before and after initiating DMPA

Procedures were performed in accordance with the Helsinki Declaration and approved by The Ohio State University (OSU) Biomedical Sciences Institutional Review Board (ClinicalTrials.gov Identifier NCT02011711). Healthy, non-pregnant women 18–25 years of age with self-reported history of regular menses, no hormonal contraceptive use in the prior 6 months, and making the personal decision to initiate DMPA use were eligible to participate. After obtaining written consent, enrollment visits were scheduled to concur with participants' late follicular menstrual cycle stage. At the enrollment visit, 3 ectocervical biopsies were obtained from the 12 o'clock, 4 o'clock, and 8 o'clock positions on the ectocervix. Biopsy tissue was placed in RNAlater® (Life Technologies, Carlsbad CA) or transport media containing RPMI-1640 (Cellgro, Mediatech Inc. Manassas VA) supplemented with 10% AB human serum (Atlanta Biologicals, Flowery Branch GA), 2 mM L-glutamine, 1 mM sodium pyruvate, non-essential amino acids, 100 U/ml penicillin, 100 µg/ml streptomycin, 50 µg/ml gentamycin, and 50 µM 2-ME (all Cellgro). Women were scheduled to receive a 150 mg DMPA injection at the beginning of next menstrual cycle, and

asked to return to clinic 30–45 days after treatment. At this visit, 3 biopsies were collected from the 2 o'clock, 6 o'clock, and 10 o'clock positions of the ectocervix and processed identically as at enrollment. During follow-up visits, earlier biopsy sites were assessed, and without exception, these sites appeared fully healed. At each visit, peripheral blood was collected to obtain serum, which was used to measure serum MPA concentrations as previously described<sup>43</sup>, and genital specimens were collected that confirmed the absence of *Neisseria gonorrhoeae*, *Chlamydia trachomatis*, and *Trichomonas vaginalis* infections by nucleic acid amplification testing and the presence of normal vaginal flora by Gram stain evaluation.

#### Treatment of mice with exogenous sex steroids

The OSU Institutional Animal Care and Use Committee approved all mouse experiments. As indicated, Pg (Calbiochem, La Jolla CA), LNG, mifepristone, or estradiol (E2) (all Sigma-Aldrich, St. Louis MO) underwent glass-to-glass homogenization for suspension in PBS 15 minutes before in vivo administration. Likewise, sustained-release injectable DMPA (Depo-Provera®) and MePRDL (Depo-Medrol®) (both Pharmacia and Upjohn Co., New York NY) were diluted in PBS prior to injection. Conjugated estrogen vaginal cream (Premarin®) (Wyeth Pharmaceuticals Inc., Philadelphia PA) was ivag administered. As indicated, 6-8 week-old female wild type (WT) C57BL/6J mice (The Jackson Laboratory, Bar Harbor ME) were subcutaneously (s.c.) injected with 1 mg DMPA or 2 mg MePRDL (i.e., a dose shown to be immunosuppressive in mice<sup>50</sup>) 5 days prior to infection or evaluated on the day of infection for estrous cycle stage, as previously described<sup>51</sup>. Also as indicated, mice were s.c. injected with 1 mg Pg daily for 5 days before and 3 days after infection. For LNG administration, mice were treated daily from 5 days before until 3 days after infection with 2.5 µg of LNG suspended in 10 µL of PBS (for ivag administration) or 1 mg LNG suspended in 100 µL of PBS (for s.c. injection). Where indicated, DMPA-treated mice were s.c. administered 1 mg RU486 or 1 mg  $E_2$  for 5 days before and 3 days after infection, or ivag treated with conjugated estrogen vaginal cream daily for 5 days prior to infection. Also as indicated, C57BL/6 female germ-free mice were assessed for estrous cycle stage, or injected s.c. with 1 mg DMPA 5 days prior to euthanasia.

#### **HSV-1 and HSV-2 infections**

Mice were sedated with 0.18Qmg xylazine (Lloyd Laboratories, Shenandoah IA) and 1.8Qmg ketamine hydrochloride (JHP Pharmaceuticals, LLC Rochester MI), and ivag infected with  $10^4$  plaque-forming units (pfu) of WT HSV-2 333 (provided by Dr. Robert Hendricks, University of Pittsburgh) in 10µL of RPMI. Genital pathology was evaluated daily using a previously described 5-point scale: 0, no pathology; 1, mild vulvar erythema; 2, moderate vulvar erythema; 3, severe vulvar erythema and perineal fur loss; 4, perineal ulceration; 5, extension of perineal ulceration and fur loss to surrounding tissue<sup>52</sup>, with mice euthanatized if scores 4 or encephalopathic changes identified. Other mice were similarly sedated and ivag infected with 3 x  $10^6$  pfu of HSV-1q-GPF<sup>23</sup> in 10µL of RPMI, and euthanized 1 h, 12 h, or 24 h later. This infectious inoculum was chosen to enable visualization of replicating virus by confocal microscopy. Genital tissues were harvested, fixed with 4% methanol-free formaldehyde (Thermo Scientific, Rockford IL), and embedded in 6% low melting point agarose (Invitrogen, Carlsbad CA). Next, 250–300 µm

sections were obtained using a vibratome, and permeabilized with 0.2% Triton X-100 (Sigma-Aldrich) solution in PBS, stained with 4,6-diamidino-2-phenylindole (DAPI) (Sigma-Aldrich), embedded in mounting media (Vector Laboratories, Burlingame CA), and evaluated for viral spread with a FV1000 spectral confocal system (Olympus, Center Valley PA). Confocal microscopy images were acquired by sequential scanning to prevent fluorescence crossover.

#### Immunofluorescence staining

As indicated, excised vaginas were placed in formaldehyde and paraffin embedded and 10µm tissue sections were mounted on glass slides and de-paraffinized by sequential immersion in 100% xylene, 100% ethanol, 96% ethanol, and sterile DEPC-treated water. Antigen retrieval was performed using 10mM sodium citrate buffer (pH 6.0) containing 0.05% Tween 20 (both Sigma-Aldrich) (20 minutes at 95°C). After 3 PBS washes, sections were incubated overnight with 10% normal donkey serum (Abcam, Cambridge MA) at 4°C, incubated 1 h at ambient temperate with rabbit anti-desmoglein-1 (clone EPR6766(B)), washed, and incubated 1 h with AlexaFluor® 488-labeled donkey anti-rabbit IgG labeled (both antibodies Abcam) (all antibodies were diluted in PBS with 1% BSA and 0.05% Tween 20). Sections were stained with DAPI, and evaluated by confocal microscopy for relative expression of DSG1a protein (defined by calculating pixel numbers per 100  $\mu$ m<sup>2</sup>) using ImageJ software<sup>53</sup>. To evaluate relative DSG1a protein expression, images were acquired using identical parameters and their contrast altered equally (investigators performing such measurements were blinded to study group designation).

#### Genital tract permeability assays

Mice were sedated, and administered 10 µL of a PBS solution containing 62.5 µg of dextran Texas-Red<sup>®</sup> (DR) (MW = 70,000 Da) and 50  $\mu$ g of Lucifer yellow CH, lithium salt (LY) (MW = 457.2 Da) (both Life Technologies). After 45 minutes, mice were euthanized, vaginas excised, and tissues fixed in formaldehyde, agarose-embedded, DAPI stained, and assessed by confocal microscopy. Confocal microscopy images were acquired by sequential scanning to prevent fluorescence crossover. In other studies, untreated syngeneic mice were euthanized, and spleens harvested and processed into single cell suspensions, as described elsewhere<sup>43</sup>. Splenocytes were labeled with 5 µM of carboxyfluorescein succinimidyl ester (CellTrace<sup>™</sup> CFSE from Life Technologies) and re-suspended in PBS at 2 x 10<sup>6</sup> cells/10 µL of PBS. These fluorescently labeled splenocytes were ivag administered to sedated mice (previously treated as indicated). After 12 hours, mice were euthanized and vaginas processed as above. Confocal image analysis was performed to determine depth of leukocyte infiltration into vaginal tissue. After collection, fresh human cervical biopsies were placed in transport media, transferred to sterile 96-well plates, and incubated 45 minutes in 20µL of PBS containing LY and DR at the concentrations utilized in the mouse studies. Likewise, biopsies were fixed and embedded in agarose as described for murine tissue. For microscopic evaluation, stratified squamous epithelium, lamina propria, and connective tissue were identified in each ectocervical sample under 100X magnification. Images were obtained at 400X magnification and used to quantify penetration of LY into ectocervical tissue.

#### Light microscopy

Fixed, paraffin-embedded mouse vaginal tissue was sectioned and de-paraffinized for hematoxylin and eosin (H&E) or Lillie-Twort (Gram) staining. Light microscopy was used to calculate epithelial thickness (excluding cornified layers) by evaluating 5 random fields (with 5 measurements per field) using CellSens Software (Olympus) (investigators performing these measurements were blinded to study group designations).

#### Peptide nucleic acid fluorescence in situ hybridization assay (PNA FISH)

As described above, paraffin-embedded vaginal tissues from WT or germ-free (GF) mice were sectioned and de-paraffinized. For positive controls, WT mice were sedated for *Salmonella enterica subsp. enterica* (ATCC 14028) injection into vaginal tissue, and euthanized 1 h later to harvest vaginal tissue. To provide negative controls, vaginal tissues were harvested from GF mice. BacUni PNA (universal rRNA bacteria sequence probe) kits (AdvanDx, Inc. Woburn MA) were used to identify bacteria using manufacturer's instructions (standardized controls provided in kits were run for quality assurance), sections were counterstained with DAPI, and evaluated by confocal microscopy (investigators performing evaluations were blinded to study group designations).

#### **RNA** isolation

Human ectocervical biopsy tissues and murine vaginal tissues were immediately immersed in 1 mL of RNAlater®, stored for 24h at 4°C, and then transferred to -80°C freezer. RNA was isolated using the RNeasy Lipid Tissue Kit (Qiagen, Hilden, Germany) using manufacture's instructions, and re-suspended in nuclease-free water. RNA was quantified using a NanoDrop spectrophotometer (Thermo Scientific) (all samples displayed 260/280 ratios > 1.80).

#### Quantitative real time (qRT)-PCR

In mouse vaginal tissue, desmocollin 1 (Mm00496525 m1), desmoglein-1a (Mm00809994 s1), tight junction protein 1 (Mm00493699 m1), occludin (Mm00500912\_m1), claudin-1 (Mm00516701\_m1), CXCR1 (Mm00731329\_s1), CXCR2 (Mm99999117 s1), CSF3R (Mm00432735 m1), pro-platelet basic protein (CXCL7) (Mm01347901\_g1), IL-1ß (Mm00434228\_m1), glucocorticoid regulated kinase 1 (Mm00441380 m1), glucocorticoid-Induced leucine zipper (Mm00726417 s1), dual specificity protein phosphatase 1 (Mm00457274\_g1), glucocorticoid receptor (Mm00433832 m1) relative gene expression was evaluated using pyruvate carboxylase (Mm00500992\_m1) as a housekeeping reference gene. In human ectocervical tissue, IL-1β (Hs01555410 m1), desmoglein-1 (Hs00355084 m1), CD14 (Hs02621496 s1), and CD177 (Hs00360669 m1) relative gene expression was evaluated using glucose-6-phosphate isomerase (Hs00976711\_m1) as a housekeeping reference gene<sup>54</sup> (all primers, Life Technologies). To determine relative gene expression, 50 ng of RNA were mixed with TaqMan® RNA-to-Ct<sup>™</sup> 1-Step Kit and adequate primer in a final volume of 10µL/well following manufacture's instructions (Life Technologies). qRT-PCR was performed using a CFX96<sup>™</sup> Thermal Cycler, and data analyzed using CFX Manager<sup>™</sup> Software (Bio-Rad Laboratories Inc., Hercules CA).

#### Statistical considerations

Statistical analyses were performed using Prism 6 software (GraphPad, La Jolla, CA). For each experiment, normal distribution was tested by D'Agostino & Pearson omnibus test or evaluation of the residuals (when experimental sample numbers were < 8). When comparing 2 groups, differences for paired samples were compared using the paired Student *t* test, and unpaired samples were compared using the unpaired Student *t* tests. For multiple comparisons, 1-way ANOVA with Dunnett's or Tukey's post hoc test were used for parametric distributions, and Kruskal-Wallis test with Dunn's post hoc test were used for nonparametric distribution (i.e., depending on data distribution). To compare scores for the development of genital pathology, the area under the curve was calculated for each mouse and then multiple group comparisons performed, as indicated above. Kaplan-Meier survival curves and log-rank test were used to compare cumulative survival incidence after ivag HSV-2 infection. Linear regression analysis was performed between MPA serum concentrations and depth of LY tissue penetration into human ectocervical tissue (R<sup>2</sup> value reported and residuals evaluated<sup>55</sup>). For all presented comparisons, *P* values < 0.05 were considered statistically significant.

### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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#### Fig 1. MPA and LNG increased mouse susceptibility to ivag HSV-2 infection

(a) Untreated mice in estrus and DMPA- or LNG-treated mice were ivag infected with 3 x 10<sup>6</sup> pfu HSV-1q-GPF, and euthanized 1 h, 12 h, or 24 h later. Examination of excised vaginal tissue by confocal microscopy showed widespread viral dissemination in the mucosa of DMPA- and LNG-treated mice, while virus failed to extend beyond superficial mucosal surfaces of mice infected during estrus (L indicates vaginal lumen); HSV-1q-GPF (green); DAPI (blue). (b, c) In separate studies, untreated mice in estrus or diestrus; DMPA-treated, Pg-treated, DMPA and RU486-treated mice; mice treated with LNG (s.c. or ivag); or MePRDL-treated mice were infected with 10<sup>4</sup> pfu HSV-2 and observed daily for genital pathology and mortality. Consistent with fluorescent HSV data (a), Kaplan-Meier survival curve showed DMPA, LNG s.c., LNG ivag, and Pg treatments produced 100% mortality, while no mice infected in estrus, treated with DMPA and RU486, or treated with MePRDL developed morbidity or mortality (data representative of 2 independent experiments containing 5 mice per group). To compare scores for the development of genital pathology,

areas under the curve were calculated for individual mice, and multiple group comparisons performed using Kruskal-Wallis test with Dunn's post hoc test (diestrus compared to estrus, p = 0.0243; for all other groups compared to estrus, p < 0.0001). For Kaplan-Meier survival curve data, log-rank tests were used to compare cumulative survival incidence after ivag HSV-2 infection (diestrus compared to estrus, p = 0.0165; for all other groups compared to estrus, p < 0.0001).



#### Fig 2. DMPA and LNG similarly increased genital mucosal permeability in mice

(a) Vaginal mucosal thicknesses were equivalent in mice in diestrus and DMPA- or LNGtreated mice, indicating differential epithelial thinning had not cause the sizeable differences in mortality after ivag HSV-2 infection (Fig. 1b and 1c) (H&E-stained vaginal tissue sections from 4 mice were assessed per condition and 5 fields per section evaluated by light microscopy at 200X magnification). (b) Groups of mice treated as described in Fig. 1b were anesthetized and ivag administered PBS containing Lucifer yellow (LY) (457.2 Da) (green) and 70 KDa dextran-Texas Red® (DR) (red). Forty-five minutes later, mice were euthanized and vaginal tissue processed and counterstained with DAPI (blue) for confocal microscopic analysis. While neither fluorescent molecule entered vaginal tissue of mice in estrus or mice treated with DMPA and RU486 or MePRDL, LY entered the vaginal mucosa of mice in diestrus but entry was more pervasive in the vaginal mucosa of DMPA- or LNG-treated mice (representative images from 2 independent experiments with 5 animals per condition). (c) Anesthetized mice in estrus and DMPA-treated mice were ivag administered 2 x  $10^{6}$  CFSElabeled syngeneic splenocytes (green), and 12 hours later vaginal tissue treated as detailed in Material and Methods. Confocal microscopy analysis showed significantly deeper penetration of CFSE-labeled splenocytes into the vaginal mucosa of DMPA-treated mice vs.

mice in estrus. Representative images and data quantification from 2 independent experiments with 5 animals per condition are shown (L denotes vaginal lumen). Betweengroup comparisons of vaginal mucosal thickness were made with the Kruskal-Wallis and Dunn's post hoc tests, and differences in splenocyte entry into vaginal mucosa were made with the unpaired Student t test.



## Fig 3. DMPA and LNG reduced vaginal tissue expression of the desmosomal cadherins DSG1a and DSC1 $\,$

(a) Vaginal tissue was excised from uninfected, untreated mice in estrus or diestrus, and uninfected mice treated with DMPA or LNG, and processed to assess the gene expression levels of the desmosomal cadherins DSG1a and DSC1. Mice in diestrus and mice treated with MPA or LNG showed significantly lower expression DSG1a and DSC1, but not tight junction protein 1, occludin, or claudin-1 (mean  $\pm$ SD) (data from 2 independent experiments with 6 mice per group). (b) Immunofluorescence staining of vaginal tissue from mice treated in same manner as (a) assessed levels of DSG1a protein expression; (representative images from 2 independent experiments with 5 animals per condition); DSG1a (green); DAPI (blue) (L denotes vaginal lumen). (c) Quantification of data displayed in (b) showed LNG and DMPA significantly reduced DSG1a protein expression compared to mice in estrus or diestrus. One-way ANOVA and Tukey's post hoc tests provided statistical comparisons in (a) and (c).



## Fig 4. DMPA promoted vaginal tissue inflammation by enhancing tissue invasion of endogenous vaginal microbiota

(a) Representative PNA FISH images showed dramatic increase of endogenous bacterial microbiota in the vaginal submucosa of uninfected, DMPA-treated mice (injection of Salmonella into vaginal tissue 1 h before euthanasia provided positive controls and germfree (GF) mice provided negative controls); bacteria (green); DAPI (blue) (representative images from 2 independent experiments with 3 animals per condition). (b) qRT-PCR analysis of various proinflammatory cytokines and chemokines levels in the vaginal tissue of uninfected WT mice in estrus or diestrus, DMPA-treated mice, GF mice in estrus, or DMPAtreated GF mice showed genes related to neutrophil infiltration and inflammation were elevated in WT mice in diestrus or after DMPA treatment. However, similar responses were not seen in DMPA-treated GF mice, indicating inflammatory changes were sequelae to tissue incursion by endogenous microbiota (mean  $\pm$ SD) (data displayed from 2 independent experiments with 6 mice per group). (c) Confocal microscopy images showed that the increases in vaginal mucosal permeability displayed in uninfected, DMPA-treated GF mice were not detected in untreated GF mice in estrus, implying DMPA-mediated disruption of the mucosal barrier was not sequelae to increased tissue inflammation. Representative images from 2 independent experiments with 3 animals per condition (L denotes vaginal lumen). Levels of the proinflammatory cytokines and cytokines in (b) were compared using one-way ANOVA and Dunnett's post hoc test.



## Fig 5. Exogenous estrogen (E) restored DSG1a expression and reduces susceptibility of DMPA-treated mice to ivag HSV-2 infection

(a, b) DMPA-treated mice or DMPA-treated mice concomitantly administered ivag E or systemic  $E_2$  were infected with 104 pfu HSV-2, and assessed for external genital pathology and mortality. Pathology and survival curve data demonstrated both E treatments abrogated development of morbidity and mortality seen in DMPA-treated mice (data shown representative of 2 independent experiments containing 5 mice per group). (c) Consistent with the effects of E treatment on mouse survival after ivag HSV-2 infection, DMPAmediated decreases in vaginal tissue DSG1a expression were restored by local E and systemic  $E_2$  treatments (mean  $\pm$ SD) (data from 2 independent experiments with 6 mice per group). (d) Both DSG1a protein expression and epithelial permeability were reestablished by E treatment of DMPA-treated mice (representative figures from 2 independent experiments and 3 mice per condition), for left panels: LY (green); DR (red); DAPI (blue), for right panels, DSG1a (green); DAPI (blue); (L denotes vaginal lumen). (e) DSG1a protein expression shown in (d) was quantified as defined in Materials and Methods (mean  $\pm$ SD). Results from 2 independent experiments with 3 mice per group. Kruskal-Wallis test

with Dunn's post hoc tests were used for (a); one-way ANOVA and Tukey's post hoc tests were used for comparisons in (c) and (e); and log-rank tests were used to compare cumulative survival incidence after ivag HSV-2 infection in (b).



Fig 6. Women initiating DMPA use displayed significant increases in ectocervical mucosal permeability

(a) Ectocervical biopsy tissues collected from women using no form of hormonal contraceptive (enrollment visit) and 1 month after initiating DMPA (follow-up visit) revealed significantly reduced DSG1 expression in tissues obtained after treatment (panel displays relative gene expression levels before and after DMPA initiation for 7 women. (b) Analogous to changes seen in uninfected mice after DMPA treatment, DMPA use correlated with increased ectocervical tissue inflammation at the follow-up visit (panel displays relative expression levels of genes related to inflammation and neutrophil migration for 7 women before and after initiating DMPA use). (c) Representative images from ectocervical biopsy tissue samples incubated with LY and DR revealed DMPA-mediated loss of mucosal barrier function that was notably similar to that seen in DMPA-treated mice (n=7) (L denotes the vaginal lumen). (d) Quantification of LY penetration depth into ectocervical mucosa of tissue biopsies from women before and after their initiation of DMPA use (n=7). (e) MPA serums levels detected in women at the enrollment and follow-up visits. f) Linear regression analysis identified a positive correlation between MPA serum concentration and LY

penetration depth into ectocervical mucosal tissue ( $\mathbb{R}^2$  value reported). Paired Student *t* tests were used to provide statistical comparisons in (a), (b), (d), and (e).