

Cough peak flow to predict the extubation outcome: Comparison between three cough stimulation methods

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Objectives: The purpose of this study was to compare the predictive value of three cough peak flow (CPF) maneuvers in predicting the extubation outcome in a cohort of mechanically ventilated subjects.

Methods: Eighty-one mechanically ventilated subjects who succeeded in the spontaneous breathing trial were included. In a randomized order, CPF was stimulated and measured using three methods: voluntary command (V_CPF), tracheal saline instillation (S_CPF), and mechanical stimulation with a catheter (C_CPF). Additionally, CPF was measured 20 min after the extubation (PE_CPF). The diagnostic accuracy of the CPF methods in relation to the extubation outcome was measured using the receiver operating characteristic (ROC) curve. ROC curve results were compared using the Hanley and McNeil method.

Results: The three methods presented high accuracy in predicting the extubation outcome (V_CPF = 0.89, S_CPF = 0.93, and C_CPF = 0.90), without statistically significant differences between them (V_CPF vs. S_CPF, $p = 0.14$; V_CPF vs. C_CPF, $p = 0.84$; S_CPF vs. C_CPF, $p = 0.13$). The optimum cutoff values were V_CPF = 45 L/min, S_CPF = 60 L/min, and C_CPF = 55 L/min. PE_CPF also showed high accuracy in predicting the extubation outcome (AUC = 0.95; cutoff = 75 L/min).

Conclusions: In mechanically ventilated and cooperative subjects, there is no difference in the accuracy of CPF measured voluntarily, with stimulation using saline or by catheter stimulation in predicting the reintubation. CPF recording after endotracheal tube removal has high accuracy to predict the extubation outcome.

Key Words: cough; peak expiratory flow rate; airway extubation; ventilator weaning; mechanical ventilation; risk assessment

INTRODUCTION

Mechanical ventilation (MV) is associated with significant adverse consequences, which worsen over time. Unnecessary delays in the weaning and extubation process can lead to complications such as ventilator-associated pneumonia, ventilator-induced lung injury, atelectasis, pneumothorax, stress gastropathy, arrhythmias, volume retention, and malnutrition [1]. Conversely, if MV discontinuation is performed prematurely, this can lead to reintubation, which is associated with increased morbidity and mortality [2]. Therefore, the accurate assessment of subjects' readiness for weaning and extubation is of great clinical interest. The most recommended method for assessing the capacity of subjects to sustain spontaneous ventilation is a 30- or 120-min spontaneous breathing trial (SBT) [3]. However, even after passing the SBT, the reintubation of subjects with low risk of extubation failure occurs in 10% to 15% of cases, increasing to 25% to 30% in subjects with high risk [4]. One possible reason for these false-positive results is that the SBT does not demonstrate whether subjects can protect their lower airways after extubation. In this way, considering that ineffective cough and secretion retention can determine or contribute to extubation failure [5], measuring cough peak flow before extubation has been proposed as an alternative to evaluating a subject's ability in clearing secretions. The cough peak flow can be obtained voluntarily, when the patient is verbally asked to cough as hard as possible or involuntary when the reflex cough is induced by saline instillation or with a catheter. Many authors demonstrated that voluntary [6–8] or involuntary [9–11] CPF has high accuracy in predicting the extubation outcome; however, only Duan et al. [10] compared these two forms of stimulation regarding their predictive

power. They found that, in a sample of cooperative subjects, voluntary is better than involuntary cough in predicting the extubation outcome. Voluntary CPF can result in underestimated values because it depends on the motivation of the subjects. On the other hand, the magnitude of the reflex stimulation highly influences the involuntary cough response and, therefore, the resulting CPF. It is currently unknown which type of cough (voluntary or involuntary) would be more accurate in assessing readiness for extubation. Moreover, we found no studies assessing the predictive power of CPF measured after extubation. Therefore, we aimed to investigate if verbal-voluntary cough stimulation, cough stimulation with saline instillation, and mechanical cough stimulation by catheter are equivalent in predicting the extubation outcome. Additionally, we also examined if CPF measured after endotracheal tube removal can predict the extubation outcome.

METHODS

Study design and sample characteristics

This was a prediction, observational, prospective study, including a convenience sample of mechanically ventilated subjects. Data were collected at the Dr. Mozart Teixeira Hospital (HPS) in the city of Juiz de Fora, MG, in the Emergency Room and Intensive Care Unit. Any subjects under mechanical ventilation for more than 24 h, considered ready for weaning defined by improvements in underlying medical conditions, withdrawal or low-dose of pressors or inotropes, $\text{PaO}_2 \geq 60$ mmHg with $\text{FIO}_2 \leq 0.5$, $\text{PEEP} \leq 5$ cmH₂O, temperature $\leq 38^\circ\text{C}$, systolic blood pressure between 90 and 180 mmHg, heart rate < 140 beats/min, and hemoglobin > 7 g/dL, and who succeeded in the SBT were included. Subjects who

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were unable to respond to the coughing command or who had post-extubation laryngospasm were excluded from the study. The study was approved by the ethics committee of the University Augusto Motta (CAAE: 54341716.4.0000.5235), and informed consent was obtained from subjects or their relatives. The sample size was estimated according to the method described by Lu et al. [12]. Considering the results of Duan et al. [10], type I error of 5%, type II error of 20%, expected mean of differences of 7.8 L/min, and expected standard deviation of differences of 40 L/min, a required sample size of 75 subjects was necessary for Bland-Altman agreement assessment.

Procedures and outcome measures

Weaning readiness was assessed daily by the attending physician and a physical therapist. The maximal inspiratory and expiratory pressures (MIP and MEP) were measured with a previously calibrated manovacuometer (M120 healthcare; São Paulo, Brazil) coupled to a unidirectional valve through a T-piece. The patients were disconnected from the ventilator, and the T-piece was connected to the endotracheal tube. The recordings were done with the patient in a 45° Fowler position with an occlusion time of 30 s. Two measurements were performed with a 2-min interval between them, considering the highest value as representative. A ventilometer (FERRARIS Wright® MK 8. Louisville, USA) was used to record the minute ventilation and tidal volume in spontaneous ventilation, allowing for the calculation of the rapid shallow breathing index (RSBI) before extubation.

Subjects who met the weaning criteria underwent an SBT via a T-piece or with pressure support of 7 cmH₂O for 30 min. The subjects were considered unsuccessful in the SBT if they presented any sign of poor tolerance, such as a respiratory rate ≥ 35 breaths/min, arterial oxygen saturation $< 90\%$ (while breathing 50% of oxygen), heart rate > 140 beats/min, systolic blood pressure > 180 mmHg or < 90 mmHg, agitation, diaphoresis, or anxiety. When subjects passed the SBT, before extubation, we measured the CPF using three methods: involuntary CPF with saline instillation (S_CPF), involuntary CPF with catheter stimulation (C_CPF), and voluntary CPF (V_CPF). The subjects were suctioned, oxygenated with 100% oxygen for 2 min, and positioned at 45°, and a portable peak flow meter (PiKo-1 spirometer, nSpire Health, Hertford, UK) was used for CPF measurements. The peak flow meter was connected to the endotracheal tube by a filter and via a T-piece with a one-way valve to enable free inhalation and exhalation. A closed suction catheter was connected to this system, allowing for the saline instillation, and the subjects were under continuous vital signs monitoring throughout the procedure. Two measures were used for every CPF method with a minimum 3-min interval, and the highest value was computed. The order of CPF recordings was determined by block randomization, and a recovery period of 15 min was allowed between the different CPF methods. Additionally, we measured the voluntary cough peak flow 20 min after the extubation (PE_CPF) using a mask connected to the spirometer.

These recordings were done twice and provided that the patient was stable and without signs of respiratory failure. To measure V_CPF and PE_CPF, the subjects were instructed to breathe in deeply, and then cough as hard as possible (e.g., the clinician would state “when I say go, take a deep breath and cough as hard as possible”). For S_CPF, the stimulation consisted of 3 mL of normal saline instilled by the closed suction catheter port, and, for C_CPF, a lubricated suctioning catheter (8F) was inserted through the patient’s nasal pathway, reaching the reflex area above the glottis [11]. Extubation was considered unsuccessful when reintubation was required in less than 48 h. The criteria for reintubation were as follows: RR > 30 bpm, SpO₂ $< 90\%$ with FiO₂ ≥ 0.5 , pH < 7.3 , persistent tachycardia, diminished consciousness, diaphoresis, clinical signs of respiratory muscle fatigue, and persistent activation of accessory respiratory muscles [13].

Statistical analysis

The patients’ characteristics were expressed as mean and standard deviation, as well as absolute and relative frequency. The data distribution was tested using the Kolmogorov-Smirnov test. Student’s *t*-test for independent samples and the chi-square test were used to assess differences between groups (success and failure in extubation). Effect size was evaluated by Cohen’s *d* (effect sizes above 0.8 and 1.3 are considered large and very large, respectively) [14]. A receiving operating characteristic (ROC) curve assessed the sensitivity and specificity of diagnostic accuracy of the CPF methods (S_CPF, V_CPF, PE_CPF, and C_CPF), RSBI, and MIP in relation to the extubation outcome. The method of Hanley and McNeil [15] was used to compare the areas under the ROC curves, and the optimal cutoff point was chosen using the maximum Youden index [16]. Additionally, S_CPF, V_CPF, and C_CPF were compared using the Altman-Bland plots, and the Intraclass Correlation Coefficient (ICC) was calculated to assess reliability. Analyses were done using MedCalc Statistical Software version 17.2 (MedCalc Software bvba, Ostend, Belgium) and IBM SPSS version 21.0 (IBM Corp., Armonk, NY). The value of $\alpha \leq 0.05$ was adopted for statistical significance.

RESULTS

One hundred and thirteen patients were assessed for weaning. From these, 31 did not pass in SBT, and one presented laryngospasm post-extubation. Thus, the study included 81 subjects who were extubated between May 2016 and February 2017. The general characteristics of the subjects are presented in Table 1. The mean age of the subjects was 46 ± 20 years, ranging from 14 to 94 years. There was a predominance of male subjects (78%). The most common clinical diagnoses were polytrauma ($n = 13$, 16%), traumatic brain injury ($n = 13$, 16%), firearm injuries ($n = 8$, 10%), and postoperative laparotomy ($n = 7$, 9%). Of the reintubated subjects, 48.5% were due to acute respiratory failure, 24.2% due to lowering of consciousness level, 9.1% due to agitation, and 18.2% due to other causes (e.g. bronchospasm, hypotension, secretion retention, etc.).

Table 1
Patients’ characteristics

Variables	Total (n = 81)	Extubation success (n = 48)	Extubation failure (n = 33)	P	Effect size
Age, years	46.0 \pm 20.0	37.5 \pm 16.7	58.4 \pm 18.0	<0.0001	1.16
Sex (male, %)	77.8%	81.3%	72.7%	0.36	0.10
Duration of MV, days	3.6 \pm 2.6	3.2 \pm 2.1	4.2 \pm 3.0	0.11	0.33
APACHE II	8.0 \pm 0.3	8.4 \pm 5.0	21.7 \pm 7.2	<0.0001	1.85
MIP (cmH ₂ O)	44.7 \pm 11.0	50.5 \pm 9.7	36.4 \pm 6.5	<0.0001	2.17
MEP (cmH ₂ O)	38.5 \pm 9.2	43.1 \pm 7.8	31.7 \pm 6.6	<0.0001	1.73
RSBI (cycles/min/L)	48.9 \pm 10.2	44.8 \pm 8.5	54.9 \pm 9.6	<0.0001	1.05
S_CPF (L/min)	68.7 \pm 17.3	79.2 \pm 13.0	53.5 \pm 9.7	<0.0001	2.65
C_CPF (L/min)	61.1 \pm 15.5	69.5 \pm 13.4	49.0 \pm 9.1	<0.0001	2.25
V_CPF (L/min)	55.5 \pm 15.3	63.3 \pm 14.4	44.1 \pm 7.6	<0.0001	2.53
PE_CPF (L/min)	80.7 \pm 24.8	96.2 \pm 18.2	58.2 \pm 12.8	<0.0001	2.97

Note: Values are mean \pm SD. APACHE II, Acute Physiology and Chronic Health Disease Classification System II; MIP, maximal inspiratory pressure; MEP, maximal expiratory pressure; RSBI, Rapid Shallow Breathing Index; S_CPF, cough peak flow recorded using stimulation with saline instillation before extubation; C_CPF, cough peak flow recorded using catheter stimulation before extubation; V_CPF, voluntary cough peak flow before extubation; PE_CPF, voluntary cough peak flow recorded 20 min after the extubation.

TABLE 2

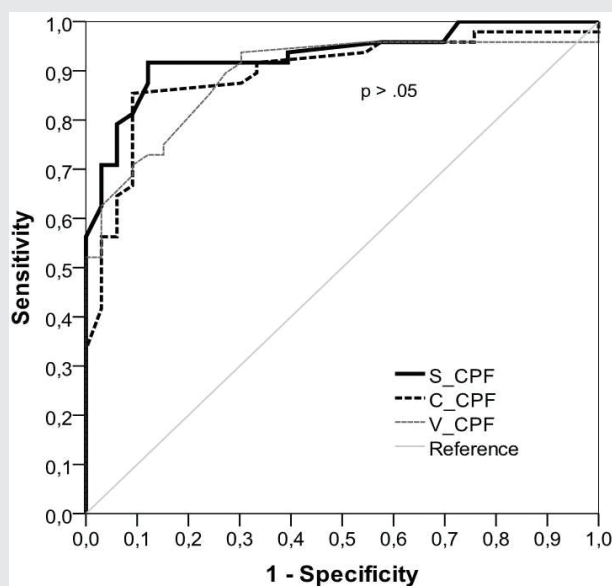
Predictive accuracy of cough peak flow measurements, maximal inspiratory pressure, and rapid shallow breathing index

Variable	Cutoff	Sensitivity (%)	Specificity (%)	AUC (CI 95%)	P
S_CPF (L/min)	>60	91.7	87.9	0.93 (0.85 – 0.97)	<0.0001
C_CPF (L/min)	>55	85.4	90.9	0.90 (0.81 – 0.95)	<0.0001
V_CPF (L/min)	>45	93.7	69.7	0.89 (0.80 – 0.95)	<0.0001
PE_CPF (L/min)	>75	85.4	97.0	0.95 (0.88 – 0.98)	<0.0001
MIP (cmH ₂ O)	>45	62.5	100.0	0.87 (0.77 – 0.93)	<0.0001
RSBI (cycles/min/L)	≤50	77.1	69.7	0.79 (0.69 – 0.87)	<0.0001

Note: S_CPF, cough peak flow recorded using stimulation with saline instillation before extubation; C_CPF, cough peak flow recorded using catheter stimulation before extubation; V_CPF, voluntary cough peak flow before extubation; PE_CPF, voluntary cough peak flow recorded 20 min after the extubation; MIP, maximal inspiratory pressure; RSBI, Rapid Shallow Breathing Index; AUC, area under the ROC curve.

FIGURE 1

Comparison between receiver operating characteristics curves of cough peak flow measures to predict the extubation outcome. S_CPF, cough peak flow stimulated with 3 mL of saline instillation, C_CPF = cough peak flow stimulated with catheter, V_CPF, voluntary (coached) cough peak flow.



Subjects who succeeded in extubation were younger and had lower scores on APACHE II and higher values of MIP, MEP, RSBI, and RR (Table 1). There was no statistically significant difference between the diagnostic accuracy of the CPF methods (S_CPF vs. C_CPF: $p = 0.13$; S_CPF vs. V_CPF: $p = 0.14$; C_CPF vs. V_CPF: $p = 0.84$). There was also no statistically significant difference in the accuracy when comparing RSBI and MIP ($p = 0.20$). The predictive power of CPF (S_CPF, V_CPF, PE_CPF, and C_CPF), RBSI, and MIP in relation to the successful outcome of the extubation is presented in Table 2 and Figures 1 and 2. Bland-Altman plots (Figures 3–5) showed agreement between the CPF methods, with a relatively small systematic bias. The intra-rater reliability considering the three methods was excellent (ICC = 0.914).

DISCUSSION

The findings of this study are in agreement with those of previous authors who demonstrated the strong power of CPF in predicting the extubation outcome in subjects who passed an SBT [6, 7, 9–11, 17]. Cough peak flow was significantly lower in patients with failed extubation ($P < 0.0001$; effect size > 2.2 in all cough stimulation

methods). To identify the best way to obtain the CPF in intubated subjects, we compared three forms of cough stimulation: with instillation of saline, with mechanical stimulation by catheter, and by means of verbal stimulation. There was no difference between these methods with respect to the diagnostic accuracy; however, the saline stimulation presented the best combination of sensitivity and specificity. Moreover, a measure of CPF done after extubation also had high accuracy. The Bland-Altman analysis showed that the three methods are interchangeable, as there were no proportional or systematic errors, and the percentage of points outside the agreement limits was very low: S_CPF versus C_CPF (7.4%), S_CPF versus V_CPF (4.9%) and C_CPF versus V_CPF (7.4%). It is likely that in the real clinical context these percentages are even lower, since a smaller number of measures are performed, thus avoiding the occurrence of fatigue and progressive reduction of CPF in some patients. Moreover, the agreement between methods was corroborated by the ICC (0.914).

As in our study, Duan et al. [10] compared the predictive power of CPF using voluntary and involuntary (reflex) maneuvers. They observed that voluntary was better than involuntary CPF in predicting the

FIGURE 2

Receiver operating characteristics curve of cough peak flow measure to predict the extubation outcome. The measure was performed after extubation using a spirometer connected to a facemask.

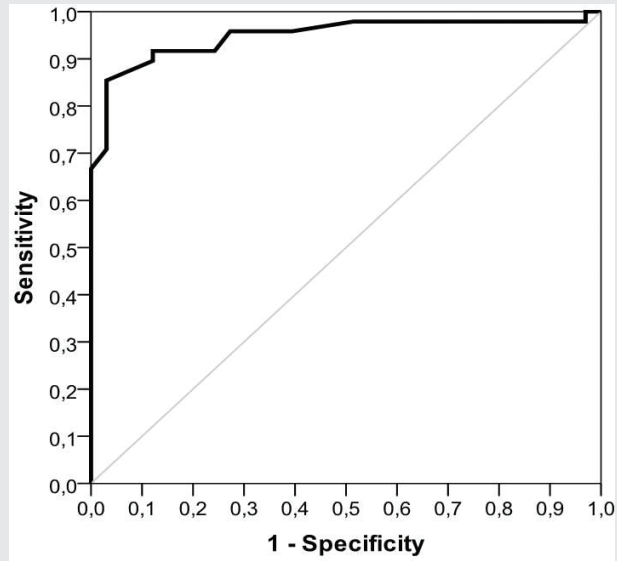


FIGURE 3

Bland-Altman plot showing the bias and the limits of agreement (bias ± 1.96 SD); the horizontal axis shows the mean determinations using the 2 methods and the vertical axis shows the difference between the methods. S_CPF, cough peak flow stimulated with 3 mL of saline instillation, C_CPF = cough peak flow stimulated with catheter.

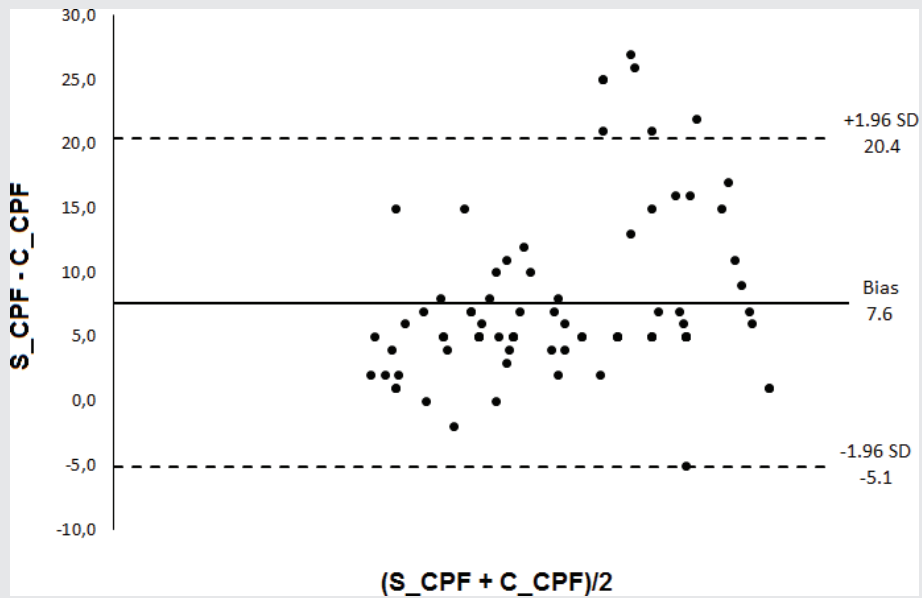


FIGURE 4

Bland-Altman plot showing the bias and the limits of agreement (bias \pm 1.96 SD); the horizontal axis shows the mean determinations using the 2 methods and the vertical axis shows the difference between the methods. S_CPF, cough peak flow stimulated with 3 mL of saline instillation, V_CPF = voluntary (coached) cough peak flow.

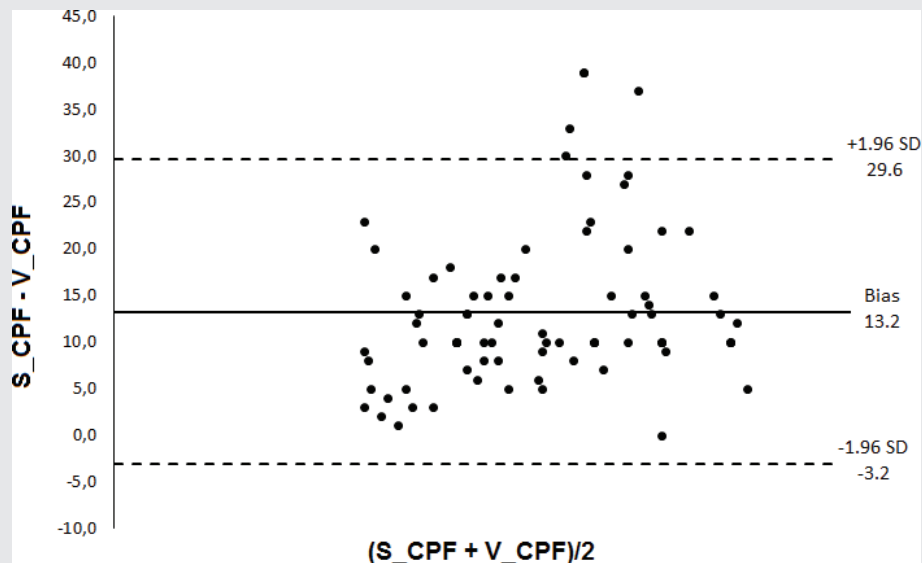
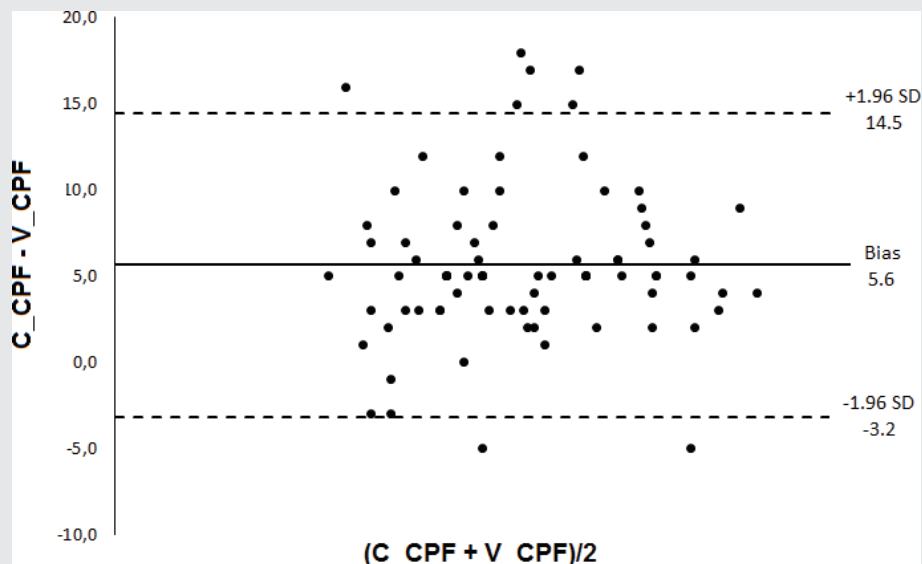


FIGURE 5

Bland-Altman plot showing the bias and the limits of agreement (bias \pm 1.96 SD); the horizontal axis shows the mean determinations using the 2 methods and the vertical axis shows the difference between the methods. C_CPF = cough peak flow stimulated with catheter, V_CPF, voluntary (coached) cough peak flow.



extubation outcome in a sample of cooperative subjects. Differently, in our study, we found no significant accuracy differences between voluntary (coached) and involuntary (saline) maneuvers. Because the magnitude of the cough response is stimulus dependent, it is likely that the higher volume of saline used in our study (3 mL vs. 2 mL) determined these differences. The fact that the S_CPF observed in our study was higher than the V_CPF seems to corroborate the hypothesis of understimulation in Duan et al. [10] because they found lower V_CPF values. In this way, we can assume that the reflex stimulation would be more reliable than the verbal one because it does not depend on the patient's motivation. However, to be reliable, one must ensure that the magnitude of the reflex stimulus is sufficient to elicit a maximal response.

Likewise, we tested the involuntary cough using the catheter stimulation and found no accuracy differences in relation to the other methods, confirming its utility for CPF measurement in intubated subjects. In this regard, we observed an AUC of 0.9, which is higher than that from Kutchak et al. [11] (0.81) who assessed a sample of subjects with varied neurological conditions, which might have presented with other extubation failure determinants, such as dysphagia and lowered level of consciousness. The fact that only 31% of their subjects required reintubation because of retained secretions, in contrast with 62% of subjects who failed in extubation due to incapacity to protect their airways, corroborates this hypothesis.

Regarding the cutoff values for predicting the extubation outcome, our S_CPF and C_CPF results (60 and 55 L/min, respectively) are similar to those previously reported [6, 8–10]. On the other hand, we found a cutoff of only 45 L/min for V_CPF, which is lower than that observed by other authors when using verbal stimulation. This is an expected result because in our study the values of CPF obtained voluntarily were lower than those obtained using the reflex stimulation methods. A reduced cutoff of 35 L/min when assessing V_CPF was also found in Beuret et al. [7]. Although methodological differences between studies might have influenced the optimal cutoff value, these results suggest that voluntary coughing may underestimate the true CPF of subjects. Thus, based on this premise, V_CPF may be the first choice for evaluating the CPF in cooperative subjects because it is less stressful for the patient. However, to avoid underestimating results, a cutoff of 55–60 L/min should be considered a clinical reference, as reported in the literature [6, 8–10] and this study's results. If values below 55–60 L/min are measured with voluntary cough, only then a counterproof measurement using reflex stimulation might be performed. This stimulation can be done using at least 3 mL of saline instillation; however, this procedure might dislodge bacteria-laden biofilm from the endotracheal tube, potentially causing more pulmonary infections [18]. Alternatively, the catheter stimulation is not associated with any potential risk of bacterial translocation and has good predictive power, as demonstrated by Kutchak et al. [11] (accuracy of 0.8) and in our study (AUC = 0.90).

In addition to the pre-extubation measures, we evaluated the predictive power of CPF after endotracheal tube removal. Obviously, this measure does not help in the extubation decision-making process; however, because its accuracy was high, it seems to be a promising way to evaluate subjects without artificial airway regarding the risk of potential intubation. It is likely that intubated subjects with CPF < 55–60 L/min or extubated with CPF < 75 L/min can benefit from cough-enhancement strategies in the post-extubation period. Although there is a clear theoretical rationale in this regard, a recent meta-analysis concluded that the effect of cough augmentation techniques to facilitate extubation and prevent post-extubation respiratory failure is unclear [19]. A possible bias in the clinical trials about the use of cough augmentation techniques, such as mechanical insufflation–exsufflation, is the inclusion of subjects who did not present with weak cough strength and, therefore, do not benefit from this kind of therapy. This is corroborated by Duan et al. [20], who found that post-extubation noninvasive ventilation (NIV) reduced the intubation rate and post-extubation 90-day mortality in subjects with CPF < 70 L/min, but without any benefit in subjects with strong cough (>70 L/min) [20]. If these authors found this positive result with NIV, which is not intended to increase cough efficiency, it is possible that cough-augmenting techniques

could prove to be even more effective in avoiding intubation in subjects with reduced CPF in future studies.

Like previous authors, we found significant differences (with large or very large effect size) between subjects who succeeded and failed in the extubation in the parameters of age, severity, RSBI, MIP, and MEP [9, 11]. Among these, RSBI and MIP were tested regarding their predictive power, showing good accuracy. Despite this positive result regarding RSBI, researchers have found important differences in its accuracy depending on the application method and target population. Even so, it remains a practical method of predicting the patient's integrated capacity to sustain spontaneous ventilation. However, to predict subjects' ability to protect their airways and eliminate secretions in the post-extubation period, the assessment of other parameters such as cough adequacy and the amount of secretions is necessary [21]. CPF is a promising tool because it can predict the outcome of extubation in subjects who have already been successful in the SBT. Even if the CPF test results are not decisive enough to extubate, it may be used in the future to help in the risk stratification, indicating which subjects might require further monitoring care and prophylactic procedures in the post-extubation period, such as NIV or coughing augmentation techniques [22]. Alternatively, the performance of weaning predictive indexes in patients with neuromuscular diseases has been found to be poor [13]. As we investigated a general sample of mechanically ventilated patients, future studies should address the predictive power of CPF in patients with neurologic/neuromuscular conditions.

CONCLUSION

In conclusion, in mechanically ventilated and cooperative subjects, there is no difference in the accuracy of the CPF measured voluntarily, with stimulation using 3 mL of saline, or by catheter stimulation in predicting the reintubation. CPF recording after the endotracheal tube removal also demonstrated high accuracy to predict the extubation outcome.

DISCLOSURES

Competing interests

All authors have completed the ICMJE uniform disclosure form at www.icmje.org/coi_disclosure.pdf and declare: no financial relationships with any organizations that might have an interest in the submitted work in the previous 3 years; no other relationships or activities that could appear to have influenced the submitted work.

Ethical approval

The study was approved by the ethics committee of the University Augusto Motta (CAAE: 54341716.4.0000.5235), and informed consent was obtained from subjects or their relatives.

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