

# ULK1 Depletion Protects Mice from Diethylnitrosamine-Induced Hepatocarcinogenesis by Promoting Apoptosis and Inhibiting Autophagy

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**Purpose:** The uncoordinated-51 like kinase 1 (ULK1) is an important serine/threonine protein kinase involved in autophagy, especially for the initiation stage. Previous studies have shown that ULK1 could be used as a prognostic marker in predicting poor progression-free survival and a therapeutic target for hepatocellular carcinoma (HCC) when treated with sorafenib; however, its role during hepatocarcinogenesis remains to be elucidated.

**Methods:** CCK8 and colony formation assay were used to detect cell growth ability. Western blotting was performed to determine expression level of protein. Data from public database were downloaded to analyze expression of ULK1 at mRNA level and predict survival time. RNA-seq was conducted to reveal disturbed gene profile orchestrated by ULK1 depletion. A diethylnitrosamine (DEN)-induced HCC mice model was used to uncover the role of ULK1 in hepatocarcinogenesis.

**Results:** ULK1 was up-regulated in liver cancer tissues and cell lines, and knockdown of ULK1 promoted apoptosis and suppressed proliferation of liver cancer cells. In in vivo experiments, *Ulk1* depletion attenuated starvation-induced autophagy in mice liver, reduced diethylnitrosamine (DEN)-induced hepatic tumor number and size, and prevented tumor progression. Further, RNA-seq analysis revealed a close relationship between *Ulk1* and immunity with significant changes in gene sets enriched in the interleukin and interferon pathways.

**Conclusion:** ULK1 deficiency prevented hepatocarcinogenesis and inhibited hepatic tumor growth, and might be a molecular target for the prevention and treatment of HCC.

**Keywords:** hepatocellular carcinoma, ULK1, RNA-sequencing, apoptosis, autophagy

## Introduction

Hepatocellular carcinoma (HCC) accounts for nearly 85% of primary liver cancer, which is the sixth most prevalent and the third leading lethal malignancy.<sup>1</sup> Despite increased global vaccine coverage which has substantially decreased hepatitis virus infection, the global burden from HCC remains challenging, probably due to the increasing population with obesity and diabetes mellitus.<sup>2</sup> Most HCC cases were diagnosed at advanced stage with limited therapeutic options, and the 5-year survival rate has been very low (~24.3%).<sup>3</sup> Thus, it is of great importance to better understand the underlying molecular mechanisms in hepatocarcinogenesis, which will help improve the current strategies for the prevention, early diagnosis, and treatment of HCC. HCC always arises from the sequence of liver injury, chronic inflammation, fibrosis, and cirrhosis, which can be caused by hepatitis virus infection, aflatoxin, alcohol consumption,

obesity, and type II diabetes mellitus.<sup>4</sup> During these processes, multiple genes/pathways including Ras/PI3K/mTOR, Wnt/ $\beta$ -catenin, and TP53 are involved,<sup>5</sup> many of which have been identified and considered as potential therapeutic targets. Nonetheless, more hepatocarcinogenesis-related genes remain to be identified.

The uncoordinated-51 like kinase 1 (ULK1) is the mammalian orthologue of yeast Atg1, a serine/threonine protein kinase that is important for autophagy.<sup>6</sup> There are five mammalian ULK homologues (ULK1, ULK2, ULK3, ULK4, and STK36 (serine/threonine kinase 36)), among which ULK1 and ULK2 are reported to be involved in conventional autophagy signaling while ULK3 participates in stress-induced autophagy.<sup>7</sup> ULK1 functions in a complex with autophagy-related 13 (ATG13), focal adhesion kinase family-interacting protein of 200 kDa (FIP200), and ATG101 to initiate autophagy in response to upstream signals such as mTORC1 and AMPK.<sup>8</sup> Though ULK2 has a higher degree of homology with ULK1 than others, it is ULK1 but not ULK2 that acts as the predominant isoform involved in inducing autophagy.<sup>9</sup> Besides the canonical role in autophagy, ULK1 also participates in other physiological processes. For example, ULK1 sustains glucose metabolic fluxes by directly phosphorylating key glycolytic enzymes during deprivation of amino acid and growth factors,<sup>10</sup> and promotes cell death via regulating the activity of PARP1 under oxidative stress.<sup>11</sup>

Accumulating evidences have also uncovered the relationship between ULK1 and cancer; however, the role of ULK1 in cancer remains to be carefully examined as it can either promote or suppress tumor growth depending on the type of cancer investigated. For example, ULK1 was significantly down-regulated in breast cancer,<sup>12</sup> and ULK1 inhibited breast cancer metastasis.<sup>13</sup> On the other hand, ULK1 inhibition could suppress cell growth in lung cancer, colon cancer, and ovarian cancer.<sup>14–16</sup> For HCC, it has been reported that ULK1 was overexpressed in clinical samples, silencing ULK1 inhibited liver cancer cell growth while increasing the therapeutic effects of sorafenib,<sup>17</sup> and it was suggested that ULK1 could be used as a potential prognostic biomarker for HCC.<sup>18</sup> However, the exact role and function of ULK1 in hepatocarcinogenesis remains to be elucidated.

Therefore, in the current study, we first examined the expression of ULK1 in liver cancer cell lines and human HCC samples, as well as clinical tissues from public databases. Then, using an *Ulk1*-knockout (*Ulk1KO*) mouse strain, the development of tumor was evaluated in the diethylnitrosamine (DEN)-induced HCC model. As reported here, we found ULK1 was overexpressed in liver cancer cell lines and clinical samples, and *Ulk1* deficiency inhibited DEN-induced hepatocarcinogenesis, probably through the induction of apoptosis along with the inhibition of autophagy.

## Materials and Methods

### Database Search

A gene expression profile of 424 LIHC (liver hepatocellular carcinoma) patients was downloaded from UCSC Xena datahub ([https://gdc-hub.s3.us-east-1.amazonaws.com/download/TCGA-LIHC.htseq\\_fpkm.tsv.gz](https://gdc-hub.s3.us-east-1.amazonaws.com/download/TCGA-LIHC.htseq_fpkm.tsv.gz)). Then, normalized mRNA expression of ULK1 in liver cancer and normal liver tissues was analyzed by GraphPad Prism 7.0 (GraphPad Prism Software, La Jolla, CA). Comparison of ULK1 expression among cancer cell lines was performed using the online tool Cancer Cell Line Encyclopedia (<https://sites.broadinstitute.org/ccle/>). Tumor tissues from patients diagnosed with HCC accompanied by hepatitis B virus infection and paired adjacent non-tumor tissues were used to detect ULK1 protein expression in liver cancer.

### Cell Lines

The human HCC cell lines Huh-7, Hep3B, and HCCLM3, as well as the normal human liver cell line HL7702, were obtained from The Cell Bank of the Type Culture Collection of the Chinese Academy of Sciences (Shanghai, China). The cells were maintained in DMEM medium (Cienry, China) supplemented with 10% fetal bovine serum (Gibco, USA) at 37°C with 5% CO<sub>2</sub> in a humid atmosphere.

### Animals and DEN Treatment

The *Ulk1KO* mice and wild-type C57BL/6J mice were bred by the Laboratory Animal Center, Zhejiang Chinese Medical University and maintained in a specific pathogen-free environment. Animals were housed in individual ventilated cages

(no more than five animals per cage) under standard laboratory conditions (temperature  $24 \pm 0.5^\circ\text{C}$ , relative humidity  $55 \pm 5\%$ , and a 12-h dark/light cycle).

The *Ulk1*KO mouse strain was originally generated as previously described<sup>19</sup> and was a generous gift from Dr. Toshifumi Tomoda (University of Toronto) by way of Dr. Hanming Shen (National University of Singapore). And the use of *Ulk1*KO mouse strain was approved by Ethics Committee of Laboratory Animal Care and Welfare, Zhejiang University School of Medicine.

Both *Ulk1*KO and wild-type male mice were divided randomly into control ( $n=5$  (WT);  $n=7$  (*Ulk1*KO)), and DEN groups ( $n=7$  (WT);  $n=12$  (*Ulk1*KO)), respectively. Mice in DEN groups were injected intraperitoneally with 25 mg/kg body weight of DEN (Sigma-Aldrich, Cat# N0756), while mice of control groups received equal volume of saline at the age of 2 weeks and were allowed to grow for 30 weeks. All experiments were performed under the Guide for the Care and Use of Laboratory Animals (The National Academy Press, 2011) and approved by both Ethics Committee of Laboratory Animal Care and Welfare, Zhejiang University School of Medicine and Animal Committee of Zhejiang Chinese Medical University.

## Genotyping of *Ulk1*KO Mice

Mice were genotyped by using tail DNA and PCR as described below: an initial denaturation at  $94^\circ\text{C}$  for 3 min; followed by 40 cycles of denaturation at  $94^\circ\text{C}$  for 40 s, annealing at  $61^\circ\text{C}$  for 40 s, and extension at  $72^\circ\text{C}$  for 40 s; ending with a final extension at  $72^\circ\text{C}$  for 5 min. A common primer (5'-CCT TCC CAT GCA GGC AAC ATA TAA GC-3') and a wild-type specific primer (5'-AAG CAC GAC CTG GAG GTG GC-3') amplified a 500 bp fragment from the wild-type (WT) mice. The common primer (5'-CCT TCC CAT GCA GGC AAC ATA TAA GC-3') and a mutation-specific primer (5'-AGT TCG AGT TCT CTC GCA AGG AC-3') amplified a 340 bp fragment from *Ulk1*KO mice. The PCR products were subjected to agarose gel electrophoresis or Sanger sequencing to identify the genotypes of mice (Figure S1).

## Liver Preparation

Mice were killed by anesthesia (pentobarbital sodium, 150 mg/kg (i.p.)) after overnight starvation. Unbroken livers were removed, weighed, and photographed with measuring scale. Sections from left lateral lobe were fixed in 10% formalin for hematoxylin-eosin (HE) staining, and the remaining tissue was snap frozen in liquid nitrogen and then stored at  $-80^\circ\text{C}$  for further analysis.

For in vivo detection of autophagy in mice liver, WT and *Ulk1*KO mice were injected intraperitoneally with 50 mg/kg body weight of chloroquine or equal volume of saline, then the mice were subjected to fasting for 24 h. Finally, the mice were killed as mentioned above, and the liver tissues were obtained for Western blot to determine autophagic markers.

## Analysis of Liver Tumor

All visible nodules on liver surface were counted for each mouse. Tumor diameters per mouse were measured by vernier caliper or calibrated software according to HE staining. Liver histopathologic lesions were classified according to the standardized and internationally accepted nomenclature for classification of rodent tumors<sup>20</sup> by two experienced pathologists independently in a blind fashion.

## Cell Transfection

The siRNA oligonucleotides were synthesized by Genesharma (Shanghai, China): siULK1-1: 5'-UCA UCA CCC UUU CCU CGA UTT-3'; siULK1-2: 5'-CCU GUG ACA CAG ACG ACU UTT-3'. Lipofectamine RNAi MAX reagent (Invitrogen) was used for transient knockdown by siRNA following the standard procedure.

## Western Blot Analysis

Cells were lysed in RIPA (Beyotime) for 30 mins on ice. Cell lysates were then centrifuged (14,000g for 10 min at  $4^\circ\text{C}$ ), and protein concentration of the supernatant was determined by BCA protein assay kit (Beyotime). Cell lysates were separated by SDS-PAGE and electro-blotted onto a PVDF membrane (Millipore). In detail, 70  $\mu\text{g}$  of cell lysates was separated on 8% acrylamide gel to detect ULK1 expression in liver tissues and liver cancer cells, 70  $\mu\text{g}$  of cell lysates

was separated on 12% acrylamide gel to determine proteins related to apoptosis, and 50  $\mu$ g of cell lysates was separated on 12% acrylamide gel to measure autophagic markers. The membranes were blocked with 5% BSA for 2 h at room temperature and incubated with different primary antibodies: ULK1 (#8054, Cell Signaling Technology, USA), Bcl-2 (sc-7382, Santa Cruz Biotechnology), Bcl-XL (ab32370, Abcam), caspase-3 (#9662, Cell Signaling Technology), cleaved caspase3 (#9664, Cell Signaling Technology), tubulin (#2128, Cell Signaling Technology), and  $\beta$ -actin (A5316, Sigma). This was followed by incubation with the appropriate secondary antibodies (Anti-mouse IgG #7076, Anti-rabbit IgG#7074, Cell Signaling Technology) for 1 h at room temperature. Protein bands were visualized using Bio-Rad Chemidoc touch. Band quantification was measured in optical density units using Image Lab software (Bio-Rad, CA, USA) and normalized to the corresponding  $\beta$ -actin.

## Cell Proliferation and Colony Formation Assay

The HCC cell line Huh-7 was treated with siULK1-1, siULK1-2, or a scramble control; 12 h later, the cells were digested and seeded (4000 cells/well) into 96-well plates. The cells were allowed to grow for 24, 48, 72, and 96 h. Then, into each well was added 10  $\mu$ L CCK-8 (Beyotime) solution suspended in 100  $\mu$ L 1% FBS DMEM medium for 1 h at 37°C, and the absorbance was measured at 450 nm at last. Colony formation assay was carried out in 6 cm dish. Briefly, cells were transfected with siULK1-1, siULK1-2, or a scramble control, and, 24 h post-transfection, 1000 cells per well were seeded and allowed to grow for 10 days; the medium was replaced every three days. Then cells were washed twice with PBS, stained with a solution of 0.5% crystal violet and 70% ethanol, washed with PBS twice, and dried. Clusters consisting of at least 50 cells were defined as colonies<sup>21</sup> and were counted. Each assay was conducted in triplicate, and three separate assays were performed.

## RNA-Sequencing and Data Analysis

Liver tissues from WT and *Ulk1*KO mice were obtained for RNA-seq. The process of RNA isolation and sequencing were performed by Novogene Inc. under standard procedure as we previously reported.<sup>22</sup> In brief, RNA from liver tissue was used to construct the strand-specific library and was subjected for sequencing using Illumina 4000 platform with pair-end 150 base pair sequencing scheme, aiming for a minimum of 20 M reads per sample.

Genes showing differential expression with FDR < 0.05 and a fold change (FC) > 1.5 were defined as differentially expressed genes. The raw data of RNA-seq have been deposited in Sequence Read Archive (SRA) database, and the accession number is PRJNA907497.

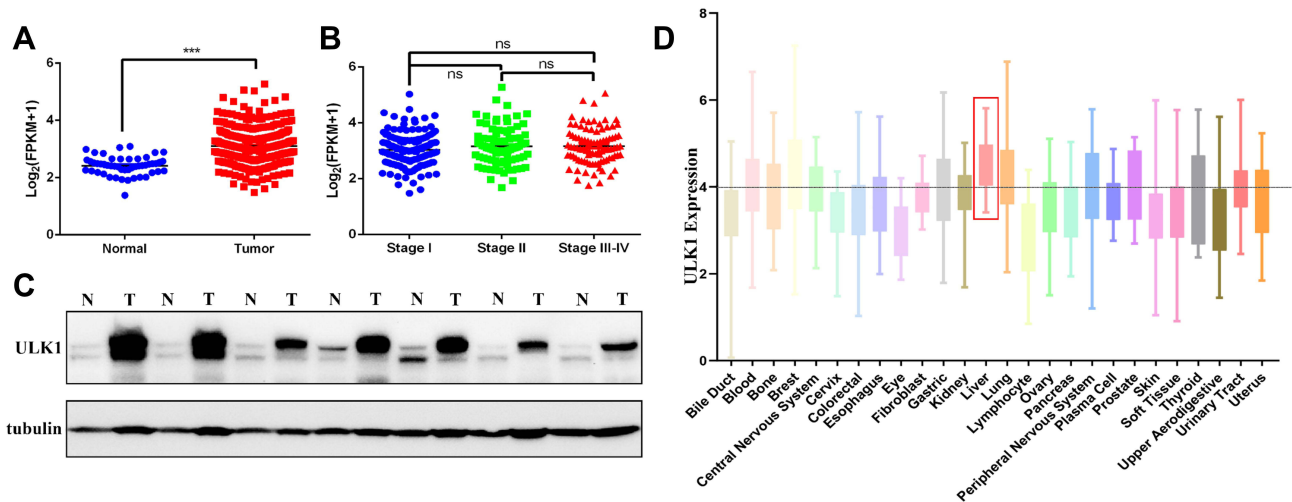
## Statistical Analysis

All values were expressed as mean  $\pm$  SEM. A two-tailed Student's *t*-test was used to compare means between two groups. Statistical analysis was performed by using GraphPad Prism 7.0. A *P*-value of less than 0.05 was considered statistically significant.

## Results

### ULK1 Was Up-Regulated in HCC Tissue and Cell Lines

To confirm whether ULK1 was overexpressed in HCC patients as previously reported, we retrieved RNA-seq data from UCSC for further analysis, and the results showed that ULK1 was significantly up-regulated in HCC tissues (Figure 1A). However, ULK1 expression was not correlated with the stages of HCC (Figure 1B). Immunoblot was further performed to detect ULK1 protein level in human liver tissues, and it was found that ULK1 protein was also expressed at higher level in liver tumor tissues compared to non-tumor tissues (Figure 1C). In addition, the expression level of ULK1 in cancer cell lines was examined using data from the Cancer Cell Line Encyclopedia (CCLE), and liver cancer cell lines including Huh-1, Huh-7, JHH2, JHH7, and SNU761 showed relatively higher level of ULK1 expression (Figure 1D). All these data indicated a close relationship between ULK1 and cancer. To further elucidate clinicopathological relevance of ULK1 with liver cancer, Kaplan–Meier survival analysis was performed with an online tool (<http://kmplot.com/analysis/>). Unexpectedly, the results showed that higher ULK1 expression predicted better overall survival (OS, *P* = 0.013) and disease-specific survival



**Figure 1** ULK1 is overexpressed in HCC tissues and cell lines. **(A)** Data from TCGA showing normalized mRNA expression of ULK1 in liver cancer tissues and normal tissues.  $***P < 0.001$ . **(B)** Comparison of normalized mRNA level among stage I HCC, stage II and stage (III-IV) HCC samples. **(C)** Protein expression of ULK1 in HCC tissues and adjacent non-tumor tissues was detected by immunoblot. **(D)** ULK1 expression in human cancer cell lines originating from different tissues or organs was analyzed using online tool CCLE.

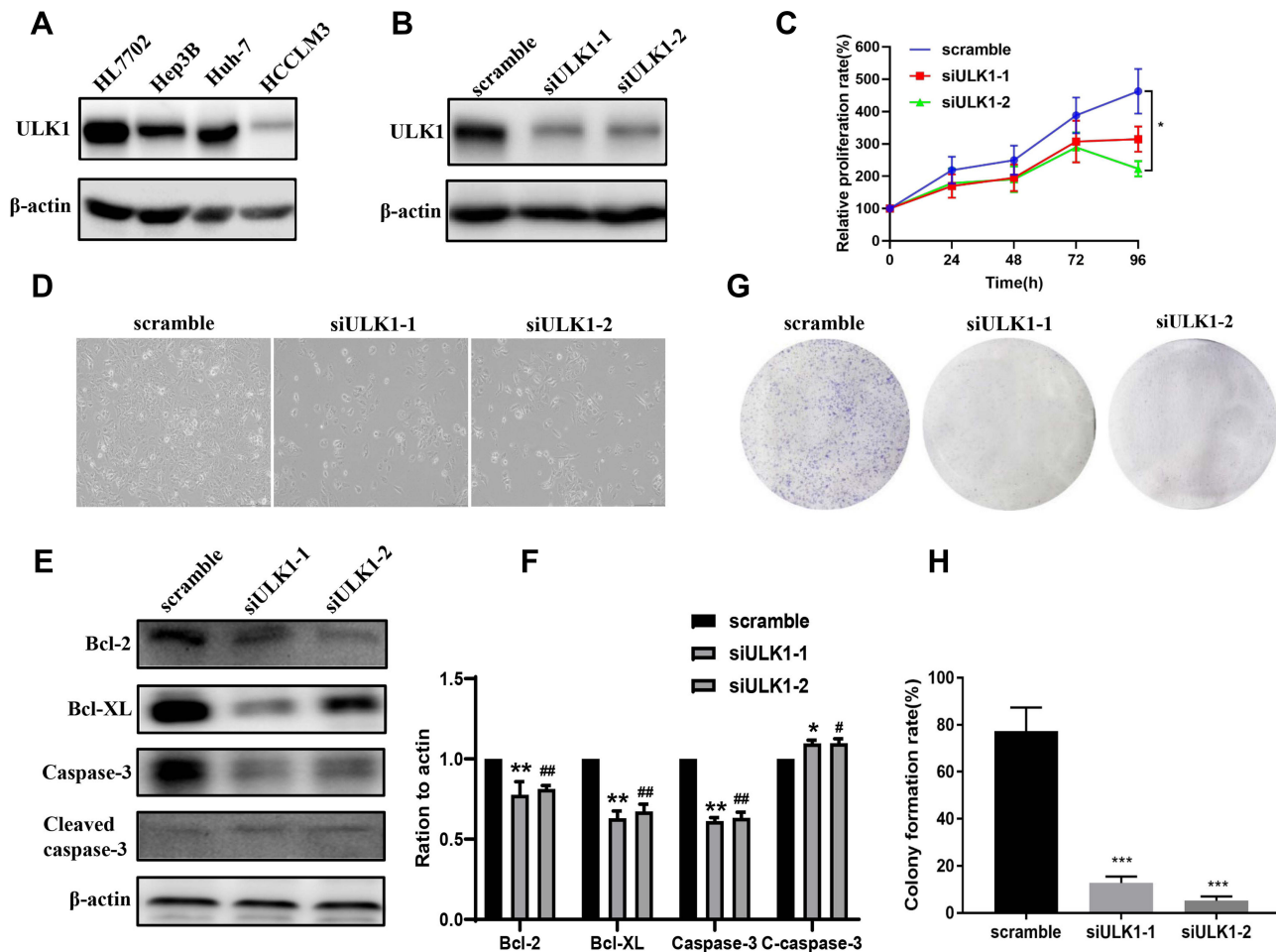
(DSS,  $P = 0.02$ ), but worse relapse-free survival (RFS,  $P = 0.02$ ). ULK1 expression was also negatively correlated with progression-free survival (PFS), though this was not statistically significant ( $P = 0.075$ ) (Figure S2).

## Depletion of ULK1 Inhibited Cell Growth and Induced Apoptosis in Liver Cancer Cells

To further clarify the function of ULK1 in liver cancer cells, we also examined the expression of ULK1 in several HCC cell lines, among which Huh-7 showed the highest expression and was chosen for subsequent experiment (Figure 2A). ULK1 expression was then silenced in Huh-7 by siRNA, and the knockdown efficiency was confirmed for both siRNAs by Western blotting (Figure 2B). Results from CCK8 assay demonstrated that ULK1 depletion inhibited liver cancer cell growth (Figure 2C). Similar results were also obtained from microscopic observation and colony-formation assay (Figure 2D, G, and H). Furthermore, Western blotting was used to assess the expression of apoptosis-related proteins, and the results showed that ULK1 deficiency increased the expression of pro-apoptosis proteins while decreasing the expression of anti-apoptosis proteins (Figure 2E and F).

## Ulk1 Deficiency in Mice Liver Disturbed the Expression of Genes Involved in Immune Response

To uncover the role of *Ulk1* at the molecular level, liver tissue from *Ulk1KO* mice and WT mice was subjected to RNA-seq. Principal component analysis showed that the two groups were distinguished well from each other, while samples within one group shared much similarity despite variance still existing in WT mice (Figure S3A). Surprisingly, only 43 genes were identified as differentially expressed genes (DEGs) in liver of *Ulk1KO* mice versus wild type (WT) mice (Figure 3A). Among these DEGs, 15 genes, including *Slc34a2*, *Cry1*, *Leap2*, and *Nmi*, were down-regulated, while genes such as *Gucd1*, *Rangap1*, and *Fosl2* were up-regulated in *Ulk1KO* mice liver compared with WT mice. Gene set enrichment analysis (GSEA) was performed, and gene sets associated with up-regulated genes in *Ulk1KO* mice were related to interleukin 2 (IL2)-STAT5 (signal transducers and activators of transcription 5) and mitotic spindle signaling (Figure 3B, Figure S3B), while the gene sets with lower expression genes were involved in interferon (IFN)- $\alpha$  and IFN- $\gamma$  response (Figure 3C, Figure S3C).



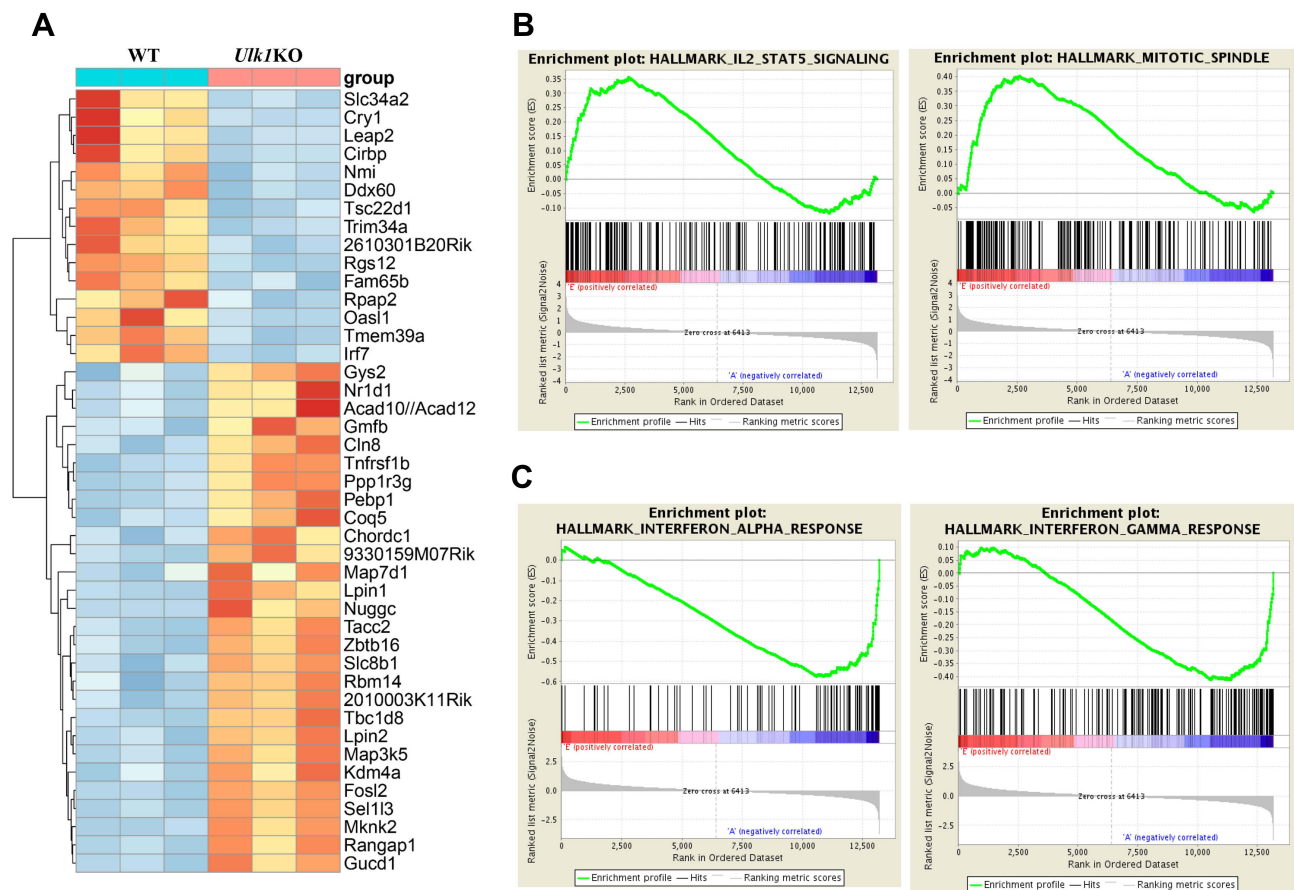
**Figure 2** ULK1 depletion promotes apoptosis and inhibits proliferation of HCC cells. (A) ULK1 protein expression levels in three liver cancer cell lines and normal liver cell line HL7702 were examined by Western blot. (B) ULK1 protein expression was analyzed by immunoblotting 72 h after transfection with siRNAs in Huh-7 cells. (C) Down-regulation of ULK1 by siRNA inhibited proliferation of Huh-7 cells. \* $p < 0.05$ . (D) Representative images of changed cellular morphology 96 h after siRNA transfection. (E) The effects of ULK1 down-regulation on apoptosis-related proteins. (F) Quantification results of apoptosis-related proteins. Colony formation (G) and quantification (H) of colonies for Huh-7 cells post-transfection with siULK1s or scramble controls. \*, # $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$  vs scramble control cells.

## Ulk1 Depletion Inhibited Starvation-Induced Autophagy in Mice Liver

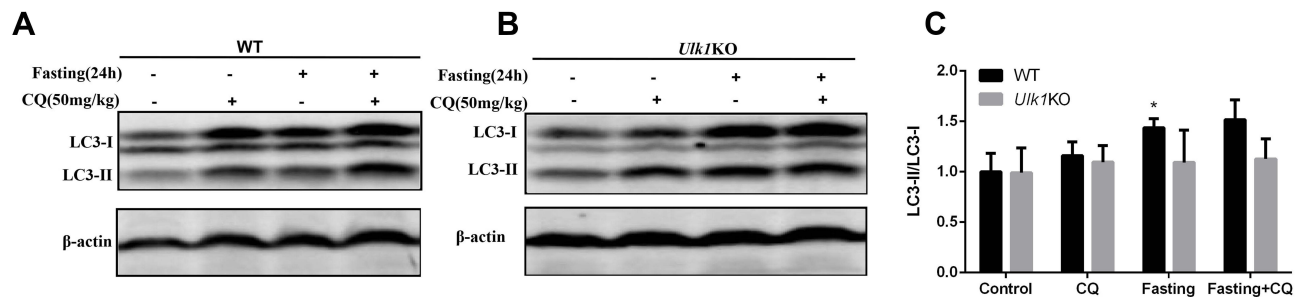
Considering the vital role of ULK1 in autophagy especially for the initiation stage, we evaluated the effects of ULK1 depletion on autophagy in vivo. Fasting is a known factor to induce autophagy, and results from immunoblotting showed that fasting did induce the conversion of LC3-I to LC3-II in WT mice liver tissue, indicating the occurrence of autophagy. Moreover, the autophagy inhibitor chloroquine (CQ) blocked the autophagy flux, which was demonstrated by the further accumulation of LC3-II in fasting and chloroquine double treatment group (Figure 4A). In contrast, in *Ulk1*KO mice, chloroquine did not lead to the further accumulation of LC3-II under fasting condition when compared with fasting treatment alone (Figure 4B). And the ratio of LC3-II/LC3-I also showed that fasting in WT mice promoted conversion of LC3-I to LC3-II, while no significant difference was found in *Ulk1*KO mice (Figure 4C). Taken together, these results suggested that starvation-induced autophagy was impaired in *Ulk1*KO mice liver.

## DEN-Induced Hepatocellular Carcinoma Was Suppressed in *Ulk1*KO Mice

To determine the role of *Ulk1* in hepatocarcinogenesis, two-week-old male *Ulk1*KO mice and WT mice were subjected to 25 mg/kg DEN injection as we previously reported. Thirty weeks after DEN administration, WT mice developed apparent big tumors on liver surface, while only sporadic and much smaller nodules could be found on liver surface in *Ulk1*KO mice based on macroscopic observation. Correspondingly, histopathological examination results using HE-

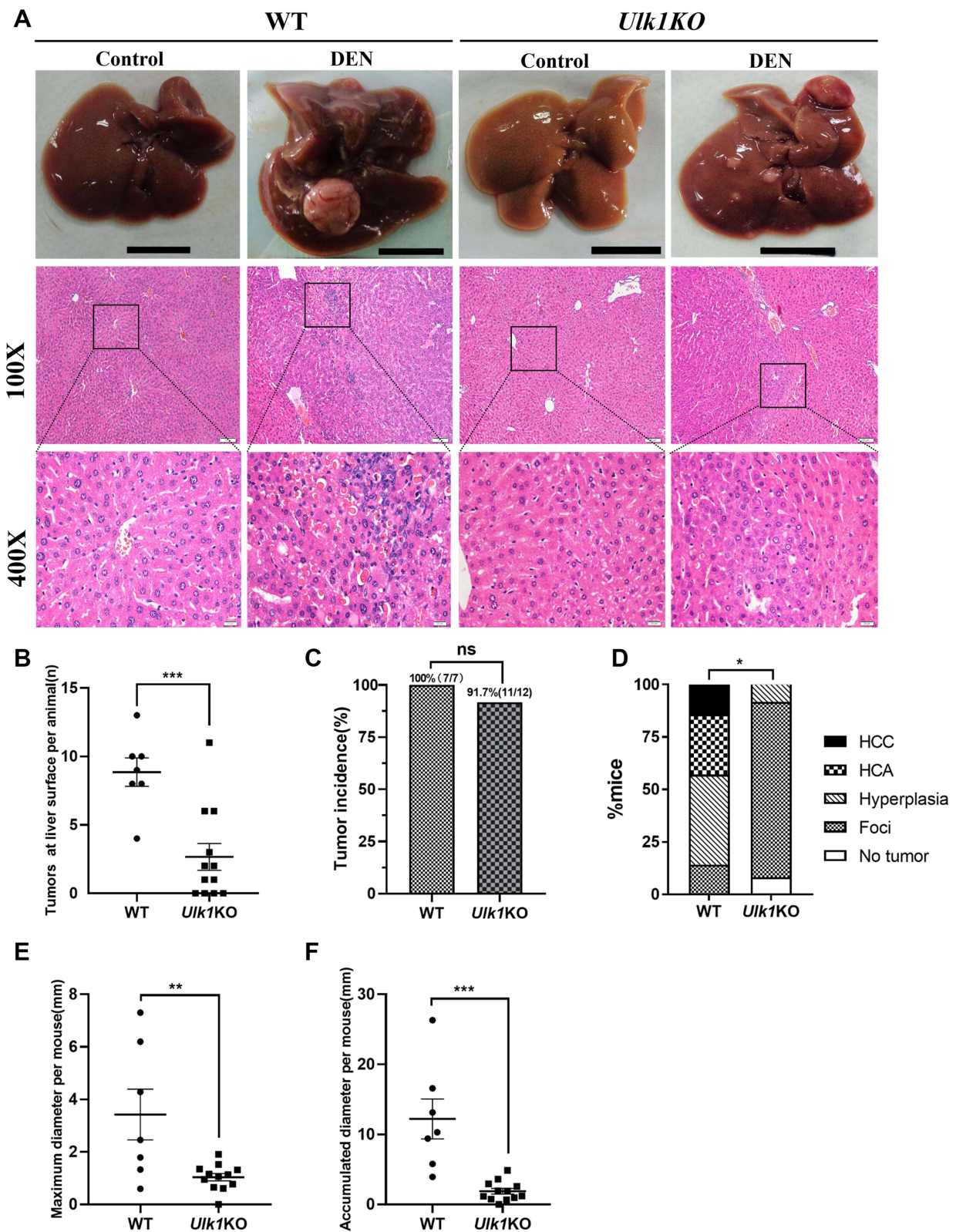


**Figure 3** RNA-sequencing analysis of transcriptional signatures in *Ulk1KO* mice liver. **(A)** Heatmap of differentially expressed genes in *Ulk1KO* mice liver compared with WT mice. Gene set enrichment analysis identified positively related pathways **(B)** and negatively related pathways **(C)** in *Ulk1KO* mice versus WT mice.



**Figure 4** Comparison of basal and starvation-induced autophagy in liver of *Ulk1KO* and WT mice. Wild-type **(A)** and *Ulk1KO* **(B)** mice were treated as indicated, then lysates of liver were collected and subjected to immunoblotting to detect autophagic marker LC3. **(C)** Measurement of LC3-II/LC3-I band density ratio. \* $P < 0.05$  vs control of WT mice.

staining further identified that HCC arose in WT mice, whereas most *Ulk1KO* mice just presented characteristics of lower-grade foci. As expected, no nodules were found in control groups for both WT and *Ulk1KO* mice (Figure 5A). Visible tumor nodules at liver surface were counted for each mouse, and *Ulk1KO* mice developed significantly fewer hepatic tumors than the WT ones (Figure 5B). Nonetheless, no statistical significance for tumor incidence was found between WT and *Ulk1KO* mice, although one *Ulk1KO* mouse was classified as no tumor formation (Figure 5C). According to the international nomenclature for classification of rodent tumors, liver histopathologic lesions were classified as foci, hyperplasia, hepatocellular adenoma (HCA), and HCC. Based on such classification, by the end of 30 weeks of DEN treatment, ten (83.3%) *Ulk1KO* mice developed foci, one (8.3%) developed hyperplasia, and one



**Figure 5** *Ulk1* deficiency prevents DEN-induced HCC. (A) Representative macroscopic images and HE-stained liver sections of mice from different groups at 32 weeks of age [scale bar, 1 cm, 100 μm (100×), 20 μm (400×)]. (B) Numbers of macroscopically detectable nodules at the liver surface in DEN-treated WT and *Ulk1*KO mice. *n* = 7 to 12, \*\*\**P* < 0.001. (C) Tumor incidence in DEN-treated WT and *Ulk1*KO mice, statistical analysis was conducted using Fisher's exact test (*n* = 7 to 12). (D) Percentage of mice with foci, hyperplasia, HCA, and HCC in WT and *Ulk1*KO mice. Statistical analysis was conducted using the Mann-Whitney *U*-tests (*n* = 7 to 12), \**P* < 0.05. The maximal diameters (E) and accumulated diameters (F) of tumors per mouse were measured (*n* = 7 to 12), \*\**P* < 0.01, \*\*\**P* < 0.001.



(8.3%) showed no tumor, whereas most WT mice progressed to hyperplasia (42.9%) and the more aggressive HCA (28.6%) and HCC (14.3%) (Figure 5D). Sizes of the tumors were also measured, and it was clear that *Ulk1*KO mice had much smaller liver tumors than WT mice (Figure 5E and F).

## Discussion

As an essential kinase for the initiation of autophagy, the function of ULK1 has been well studied, and its role in various types of cancers was also investigated. For HCC, using 55 paired patient samples, Xu et al found that ULK1 expression was higher in HCC tissue than adjacent tissue, but not reaching a statistically significant level; on the other hand, higher ULK1 expression was associated with tumor size and worse survival time.<sup>18</sup> Wu et al examined the expression of ULK1 in 156 HCC patients using tissue-microarray-based immunohistochemistry and found that it was highly expressed in 53.2% of the specimens; however, ULK1 expression was not related to any clinicopathological indicators. Further analysis revealed that ULK1 was not associated with 5-year OS, but was an independent prognostic biomarker for PFS.<sup>23</sup> These contradicting results prompted us to evaluate the role of ULK1 in HCC in depth. By analyzing the TCGA database, it was found that ULK1 was overexpressed in HCC samples (Figure 1). However, by KM plot analysis, we uncovered a paradoxical role of ULK1 in predicting survival of HCC patients: high-level ULK1 expression was positively associated with better OS and DSS, but negatively correlated with RFS (Figure S2). Such results indicated that ULK1 alone may not be a good biomarker in predicting prognosis of HCC. Indeed, Wu et al demonstrated that combining autophagic biomarkers of ULK1 and LC3B was better in predicting the prognosis than used individually.

Although the above results cast doubt on the prognostic value of ULK1, its function in liver cancer growth is relatively clear. For example, it was shown that silencing ULK1 inhibited liver cancer cell growth and proliferation, and deletion of ULK1 abrogated tumor growth in a xenograft mouse model.<sup>17</sup> In this study, our results also confirmed that silencing ULK1 led to the inhibition of liver cancer cell growth and colony formation; in addition, it was shown that the expression of anti-apoptosis proteins was down-regulated, indicating apoptosis might be responsible for the decreased cell growth (Figure 2). Compared with the corresponding normal cells, tumor cells always exhibit aberrant proliferation.<sup>24</sup> To fulfill the rapid biosynthetic demands associated with proliferation, cancer cells usually promote autophagy as a self-catabolic process for recycling engulfed cargos to survive and proliferate in metabolically unfavorable conditions.<sup>25</sup> Therefore, the loss of ULK1 could cause decreased autophagy, which might lead to decreased proliferation of cancer cells.

Autophagy is regarded as a double-edged sword in cancer since it can suppress tumor formation by maintaining homeostasis of normal cells or promote tumor progression by enabling cancer cells to survive under stress.<sup>26</sup> For instance, on one hand, monoallelic deletion of *Becn1* in mice resulted in diminished but intact autophagy, and the mice developed spontaneous hepatocellular carcinomas.<sup>27</sup> On the other hand, *Atg7* deficiency dramatically changed the nature of lung tumors driven by *BrafV600E* from adenomas to benign oncocytomas.<sup>28</sup> These results indicated that autophagy was important for the suppression of spontaneous tumorigenesis but was required for tumor progression to malignancy. In our study, first it was found that knockout of *Ulk1* impaired starvation-induced autophagy but not basal autophagy (Figure 4). Then in the hepatocarcinogenesis experiment, no spontaneous tumor formation was found in *Ulk1*KO mice, but DEN-induced primary liver tumor formation was inhibited (Figure 5). Such results indicated that *Ulk1* deficiency did not promote tumor formation but suppressed tumor progression in mice, which suggested the role of ULK1 in tumor was different from other autophagy-related genes such as *beclin1*, *ATG5*, and *ATG7*.

Several studies have indicated the close relationship between ULK1 and immunity.<sup>29,30</sup> In our study, GSEA results revealed that IFN- $\alpha$  and IFN- $\gamma$  signaling were down-regulated. This is inconsistent with the conclusion of previous studies in which ULK1 was a key mediator of type I IFN signals and was required in IFN- $\gamma$ -inducible antiviral responses.<sup>31,32</sup> It was reported that IFN- $\alpha$  could either stimulate immune cells to eliminate cancer cells or enable cancer cells to escape immune clearance with immune exhaustion because of prolonged stimulation.<sup>33</sup> IFN- $\gamma$  could either promote melanoma development or inhibit breast cancer by reducing cancer stem cells.<sup>34,35</sup> However, what exact role the IFN- $\alpha$  and IFN- $\gamma$  signaling played in DEN-induced hepatocarcinogenesis remained to be clarified. Conversely, GSEA results showed that IL-2-STAT5 and mitotic spindle pathway were up-regulated in *Ulk1*KO mice. STAT5 is a critical downstream mediator of IL-2 signaling and affects immune function in many aspects.<sup>36</sup> STAT5 acts both as a tumor

suppressor by affecting STAT3 signaling and as a tumor promoter under other circumstances.<sup>37</sup> Interestingly, it was reported that IFN- $\alpha$  could inhibit IL-2 signal transduction in T-lymphocytes,<sup>38</sup> thus the activation of IL-2-STAT5 pathway might be a consequence of the down-regulation of IFN- $\alpha$ . Still, more clear evidence is required to verify the relationship between the different immune signaling pathways, as well as their impacts on cancer development.

In summary, by using DEN-induced HCC model, we expanded our understanding of ULK1 function in cancer by uncovering that ULK1 deficiency suppressed primary HCC. Clues from RNA-sequencing of *Ulk1*KO mice pointed to the direction of altered immune status in cancer development. Taken together, we believe that ULK1 could be a potential target for HCC prevention and treatment.

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## Disclosure

The authors declare that they have no conflicts of interest.

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