


# TGFβ1 neutralization displays therapeutic efficacy through both an immunomodulatory and a non-immune tumor-intrinsic mechanism

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## ABSTRACT

**Background** Transforming growth factor-β (TGFβ) is emerging as a promising target for cancer therapy, given its ability to promote progression of advanced tumors and to suppress anti-tumor immune responses. However, TGFβ also plays multiple roles in normal tissues, particularly during organogenesis, raising toxicity concerns about TGFβ blockade. Dose-limiting cardiovascular toxicity was observed, possibly due to the blockade of all three TGFβ isoforms. The dominant isoform in tumors is TGFβ1, while TGFβ2 and TGFβ3 seem to be more involved in cardiovascular development. Recent data indicated that selective targeting of TGFβ1 promoted the efficacy of checkpoint inhibitor anti-PD1 in transplanted preclinical tumor models, without cardiovascular toxicity.

**Methods** To further explore the therapeutic potential of isoform-specific TGFβ blockade, we developed neutralizing mAbs targeting mature TGFβ1 or TGFβ3, and tested them, in parallel with anti-panTGFβ mAb 1D11, in two preclinical models: the transplanted colon cancer model CT26, and the autochthonous melanoma model TiRP.

**Results** We observed that the blockade of TGFβ1, but not that of TGFβ3, increased the efficacy of a prophylactic cellular vaccine against colon cancer CT26. This effect was similar to pan-TGFβ blockade, and was associated with increased infiltration of activated CD8 T cells in the tumor, and reduced levels of regulatory T cells and myeloid-derived suppressor cells. In contrast, in the autochthonous TiRP melanoma model, we observed therapeutic efficacy of the TGFβ1-specific mAb as a single agent, while the TGFβ3 mAb was inactive. In this model, the anti-tumor effect of TGFβ1 blockade was tumor intrinsic rather than immune mediated, as it was also observed in T-cell depleted mice. Mechanistically, TGFβ1 blockade increased mouse survival by delaying the phenotype switch, akin to epithelial-to-mesenchymal transition (EMT), which transforms initially pigmented tumors into highly aggressive unpigmented tumors.

**Conclusions** Our results confirm TGFβ1 as the relevant isoform to target for cancer therapy, not only in combination with checkpoint inhibitors, but also with other immunotherapies such as cancer vaccines. Moreover, TGFβ1 blockade can also act as a monotherapy, through a tumor-intrinsic effect blocking the EMT-like transition. Because human melanomas that resist therapy often express a gene signature that links TGFβ1 with

EMT-related genes, these results support the clinical development of TGFβ1-specific mAbs in melanoma.

## INTRODUCTION

Transforming growth factor-β (TGFβ) is a cytokine playing multiple roles in organogenesis.<sup>1</sup> These roles are highly context dependent, and TGFβ therefore appears to induce opposite effects in different situations.<sup>2</sup> In the context of cancer, TGFβ exerts tumor-suppressive functions in the premalignant stage, by inducing several cyclin-dependent kinase inhibitors or triggering apoptosis.<sup>1 3–5</sup> However, at later stages of carcinogenesis, progressing tumors—melanomas in particular—become resistant to anti-proliferative effects of TGFβ, which then favors tumor progression by promoting tumor growth, angiogenesis, invasiveness, dissemination and immunosuppression.<sup>5 6</sup> In carcinomas, TGFβ can trigger epithelial-to-mesenchymal transition (EMT), resulting in invasive tumors prone to metastases.<sup>5</sup> These observations have prompted significant interest in TGFβ as a drug target for cancer therapy.<sup>7</sup> This interest was further reinforced by the recent success of cancer immunotherapy, and trials are underway to increase the efficacy of cancer immunotherapy by blocking the immunosuppressive effects of TGFβ.<sup>6</sup>

Despite concerns related to the tumor-suppressive function of TGFβ in the premalignant stage, initial clinical trials with TGFβ-blocking therapy did not show an increased incidence of new tumors in patients with cancer, other than occasional benign skin tumors known as keratoacanthomas.<sup>8 9</sup> More concern was raised, however, by preclinical studies showing severe cardiac valvulopathies in mice, rats and dogs, after small molecule-mediated TGFβ type I receptor kinase ALK5 inhibition or after antibody blockade of all

three TGF $\beta$  isoforms.<sup>2 10 11</sup> Three highly homologous TGF $\beta$  isoforms exist in mammals: TGF $\beta$ 1, TGF $\beta$ 2 and TGF $\beta$ 3.<sup>2 7 12</sup> Although they signal through the same receptor, they are encoded by distinct genes and have distinct expression patterns, suggesting different functions depending on the tissue and developmental stage,<sup>13</sup> as confirmed by the different phenotypes of knockout mice.<sup>14–18</sup> While TGF $\beta$ 1 knockout mice display a severe inflammatory phenotype,<sup>14 15</sup> TGF $\beta$ 2 knockout mice display a range of developmental phenotypes including congenital heart defects,<sup>17</sup> and TGF $\beta$ 3 knockout mice show perinatal lethality with severe cleft palate and craniofacial defects.<sup>18</sup> These observations suggested that the cardiac liability of TGF $\beta$ -blocking therapy might be caused by inhibition of TGF $\beta$ 2 and TGF $\beta$ 3 more than TGF $\beta$ 1. This was further supported by observations in humans of loss-of-function TGF $\beta$ 2 or TGF $\beta$ 3 mutations in patients with cardiovascular defects such as thoracic aortic aneurysm dissections,<sup>19–21</sup> other aortic defects,<sup>22</sup> mitral valve disease<sup>21 23</sup> or cardiac arrhythmia.<sup>24</sup>

Because TGF $\beta$ 1 is the most prevalent isoform expressed in many human tumors,<sup>12</sup> it appeared that specific blockade of TGF $\beta$ 1 might provide the desired anti-tumor effects without the cardiovascular toxicity associated with blockade of TGF $\beta$ 2 and TGF $\beta$ 3. Because the three isoforms use the same receptor, this cannot be achieved with small molecule inhibitors of the kinase activity of the receptor. However, this is possible with isoform-specific neutralizing antibodies, and a recent report by Martin *et al* demonstrated in preclinical tumor models the anti-tumor efficacy of a new TGF $\beta$ 1-specific mAb administered in combination with anti-PD1 checkpoint inhibitors.<sup>12</sup> The report suggested that TGF $\beta$ 1 blockade could overcome primary resistance of human tumors to checkpoint inhibitors, which has been associated with a TGF $\beta$  signature.<sup>25 26</sup> Whether TGF $\beta$ 1-specific inhibition can also exert anti-tumor effects in association with other forms of immunotherapy or independent from immunotherapy is not known.

TGF $\beta$  is produced as a fragment trapped in the latency-associated peptide in a homodimeric product known as latent TGF $\beta$ , which requires activation to release the active dimeric fragment known as mature TGF $\beta$ .<sup>27</sup> This activation involves a dynamic interaction with one of several molecular partners that are either bound to the extracellular matrix (ECM), like LTBP1 and LTBP3, or expressed at the surface of the TGF $\beta$ -producing cell, such as GARP and LRRC33 on regulatory T cells (Tregs) and macrophages, respectively.<sup>27–29</sup> The TGF $\beta$ 1-selective mAb described by Martin *et al* recognizes latent, but not mature TGF $\beta$ 1.<sup>12</sup> We previously described an autovaccination approach to generate anti-cytokine antibodies in mice.<sup>30</sup> We used this approach to produce monoclonal antibodies recognizing selectively mature TGF $\beta$ 1 or TGF $\beta$ 3. In this report, we evaluated their efficacy in two different preclinical cancer models. We observed a synergistic effect of the anti-TGF $\beta$ 1 with a prophylactic cancer

vaccine in the CT26 colon carcinoma model. More importantly, we also observed therapeutic efficacy of the anti-TGF $\beta$ 1 antibody as a monotherapy in an autochthonous model of melanoma, in which it prevented tumor progression by blocking EMT induction. Our results support further development of anti-TGF $\beta$ 1 antibodies for cancer therapy in various settings.

## MATERIALS AND METHODS

### CT26 cancer vaccine model

BALB/c mice, female and male, aged 14–16 weeks, were either immunized by injection of  $1 \times 10^5$  irradiated (250 Gy) CT26CL1 (CT26 colon carcinoma clone 1) cells subcutaneously (s.c.) or left unvaccinated. Some mice were treated with 200  $\mu$ g of different anti-TGF $\beta$  mouse mAbs (1D11: IgG1 specific for TGF $\beta$ 1, TGF $\beta$ 2 and TGF $\beta$ 3<sup>31</sup>; 13A1: IgG1 specific for TGF $\beta$ 1<sup>30</sup>; or 1901, a novel IgG1 mAb specific for TGF $\beta$ 3) obtained by the vaccination protocol described in<sup>30</sup> or isotype-matched control IgG1 (MOPC-21, BioXcell) at the time of vaccine and tumor challenge, and continued with 100  $\mu$ g three times a week starting at the time of vaccination and continued until the end of the experiment. All mAbs were purified from hybridoma cultures on Protein G columns (GE Healthcare, Belgium) and depleted of detectable lipopolysaccharide contamination by chromatography on Sartobind Q anion exchange cartridges (Sartorius, Goettingen, Germany). Three weeks after vaccine administration,  $1 \times 10^6$  CT26CL1 tumor cells were injected s.c. Additionally, some mice were treated with 67  $\mu$ g or 40  $\mu$ g of either 1D11 or 13A1 at the time of vaccine and tumor challenge, and continued with 33  $\mu$ g or 20  $\mu$ g three times a week starting at the time of vaccination. Every 3 days the mice were monitored and tumor volume was evaluated with formula: volume=length $\times$ width<sup>2</sup>/2. Mice were killed when the tumor volume reached 1300 mm<sup>3</sup>, and tumors were harvested for analysis.

### TiRP autochthonous melanoma model

TiRP-10B;Ink4a/ARF<sup>fllox/fllox</sup> mice on a B10.D2 background (TiRP-10B<sup>+/+</sup>) were previously described.<sup>32 33</sup> Negative TiRP-10B;Ink4a/ARF<sup>fllox/fllox</sup> mice (TiRP-10B<sup>-/-</sup>) were selected by crossing TiRP-10B;Ink4a/ARF<sup>fllox/fllox</sup> mice and selecting pups that were positive for Ink4a/ARF<sup>fllox/fllox</sup> and negative for the TiRP transgene. TCRP1A transgenic mice, which express the TCR-recognizing peptide P1A<sub>35–43</sub> presented by H-2L<sup>d</sup>, were kept on B10.D2.Rag1KO background.<sup>33 34</sup> Mice used were 4–5 weeks old. All mice were produced under specific pathogen-free conditions at the LAF animal facility of the de Duve Institute. Handling of the mice and experimental procedures were conducted in accordance with national and institutional guidelines for animal care. All the rules concerning animal welfare were respected according to the 2010/63/EU Directive.

### 4OH-Tamoxifen tumor induction in TiRP mice

A fresh solution of 4OH-Tamoxifen was prepared by dissolving 4OH-Tamoxifen (Sigma Aldrich) in ethanol

and mineral oil (Biorad) at the ratio of 1:9 followed by sonication (from a minimum of 30 min to a maximum of 1 hour), and injected two times s.c. (4 mg/200  $\mu$ L) in the neck area of anesthetized TiRP mice 2 weeks apart. Tumor volume (in  $\text{mm}^3$ ) was calculated by the following formula:  $\text{volume} = \text{length} \times \text{width}^2 / 2$ . For occasional mice that developed more than one tumor, we only considered the tumor that appeared first. Mice were euthanized when tumor volume reached 2000  $\text{mm}^3$ .

### Tumor dissociation and SmartFlare

Mela and Amela tumors (collected at end point) were mechanically and enzymatically dissociated in IMDM containing Collagenase I (100 U/mL) and II (50 U/mL) and Dispase (100 U/mL), and incubated at 37°C for 1 hour. The reaction was blocked by addition of IMDM containing 10% fetal bovine serum (FBS) and the suspension then filtered with a 70  $\mu$ m mesh, spun and washed two times with complete medium. Cell suspension was depleted of CD45<sup>+</sup>, F4/80<sup>+</sup>, Gr-1<sup>+</sup>, CD3<sup>+</sup>, CD19<sup>+</sup>, CD31<sup>+</sup> and CD45R<sup>+</sup> cells by magnetic sorting, and the flow through (enriched in tumor cells) was incubated with a P1A-mRNA-Cy5 SmartFlare probe, which was designed corresponding to position 367–667 of the P1A exon 1 (sequence: AGAAATTCTGCCTTATCTAGGGTG GCTGGTCTTCGCTGTTGTCAACAAGTTTTCTGGC GCTCCAGATGTTTCATAGACGCCCTTTATGAGGAGCA GTATGAAAGGGATGTGGCCTGGATAGCCAGGCAAAG CAAGCGCATGTCCTCTGTTCGATGAGGATGAAGACGA TGAGGATGATGAGGATGACTACTACGACGACGAGGA CGACGACGACGATGCCTTCTATGATGATGAGGATGA TGAGGAAGAAGAATTGGAGAACCTGATGGATGATGA ATCAGAAGATGAGGCCGAAGAAGAG) (Merck Millipore). Positive (18s-mRNA-Cy5) and negative (scramble-mRNA-Cy5) control probes were used (Merck Millipore). Then  $2 \times 10^5$  cells were seeded in a 12-well plate and 180 pM of reconstituted probe were added to IMDM medium containing 20% FBS and no antibiotics. The cells were incubated for 12 hours at 37°C, 5% CO<sub>2</sub> followed by FACS analysis. The P1A<sup>+</sup> and P1A<sup>-</sup> fractions were purified by sorting on a FACS Aria (BD Biosciences), verified by staining with P1A-specific antibody 102B3 (see below) and analyzed by RT-qPCR for the expression of TGF $\beta$  isoforms, EMT-associated genes, ECM and melanocyte differentiation and pigmentation genes.

### Viruses and vaccine

Adeno.II.P1A<sub>i</sub> and SFV-P1A viruses were generated and maintained as described.<sup>35</sup> TiRP mice were immunized against P1A tumor antigen by a heterologous prime-boost regimen consisting of a first injection of an Adeno.II.P1A<sub>i</sub> ( $10^8$  PFU/mouse/100  $\mu$ L) followed, 15 days later, by SFV.P1A ( $10^7$  IU/mouse/100  $\mu$ L). Both viruses were given intradermally (i.d.). In some experiments, TiRP mice received 0.5 mg intraperitoneally of either anti-TGF $\beta$ 1 13A1/2A6 (13A1) or anti-TGF $\beta$ 3 1901/06 (1901) or anti-panTGF $\beta$  (1D11) or IgG1 isotype-matched control

beginning at day 7 post-first injection of 4OH-Tamoxifen and continuing once a week to the end of the study.

### Flow cytometry

Fluorescently labeled antibody to CD8 $\alpha$  (clone 53-6.7, Biolegend); CD69 (clone H1.2F3, Biolegend); CD3 $\epsilon$ -FITC (clone 17A2, Biolegend); CD45 (clone 30-F11, Biolegend); Gr-1 (clone RB6-8C5, Biolegend); Ly6C (clone HK1.4, Biolegend); Ly6G (clone 1A8, Biolegend); CD11b (clone M1/70, Biolegend); Tim-3 (clone B8.2C12, Biolegend); Lag-3 (clone C9B7W, Biolegend); PD-1 (clone 29F.1A12, Biolegend); CD25 (clone 3C7, Biolegend); MHCII (A-I-E, clone M5-114.15.2, Biolegend); NKp46 (clone 29A1.4, Biolegend); CD62L (clone MEL-14, Biolegend); CD44 (clone IM7, Biolegend) and corresponding isotype controls were used. Antibody to Foxp3-PE (clone 3G3) and viability dye eFluor780 were from eBioscience. Intracellular staining of Foxp3 was performed using Foxp3 transcription factor staining kit (eBioscience, #A25865A) following manufacturer's instructions. Annexin V (Biolegend) was used to monitor apoptosis. H-2L<sup>d</sup>/P1A<sub>35-43</sub> tetramers were produced as previously described.<sup>36</sup> The P1A-specific mAb (clone 102B3) was produced by immunizing P1A-KO mice with P1A peptide CEEMGNPDGFSP coupled to ovalbumin. Hybridoma clone 102B3 was selected based on the production of an IgG1 that recognized P1A specifically when used for Western blot, flow cytometry and ELISA (see antibody validation on online supplemental figure S3). Intracellular staining of P1A was performed using Cytotfix/Cytoperm kit (BD Biosciences) following manufacturer's instructions with minimal changes. Briefly, after fixation and permeabilization, the cells were incubated 1 hour at 4°C with the antibody to P1A. Data acquisition was performed using FACS Fortessa (BD Biosciences) and FACSVerse (BD Biosciences) flow cytometers and analyzed by using FlowJo (Tree Star). Sorted cells were isolated by FACS Aria.

### Cell lines

P511 is an azaguanine-resistant variant of P815 and P1.204 is a P815AB-negative variant, carrying a deletion of gene P1A (official gene name *Trap1a*).<sup>37</sup> L1210.P1A.B7-1 cells were obtained by transfection of L1210.P1A leukemia cells with the murine B7-1 cDNA cloned into plasmid pEFBOS.<sup>38</sup> All cells were maintained at 37°C with 8% CO<sub>2</sub>. Unless otherwise specified, all culture media contained 10% FBS supplemented with L-Arginine (0.55 mM, Merck), L-asparagine (0.24 mM, Merck), Glutamine (1.5 mM, Merck), beta-mercaptoethanol (50  $\mu$ M, Sigma), 50 U mL<sup>-1</sup> penicillin and 50 mg mL<sup>-1</sup> streptomycin (Life Technologies). Melanoma cells T429.11 were derived from an Amela TiRP tumor.<sup>33</sup> Cell lines were routinely tested for mycoplasma contamination. Primary melanoma cell line Xni-5 was established from a Mela TiRP tumor, using  $\gamma$ -irradiated mouse keratinocytes (XB-2 line obtained from ATCC) as feeder cells, and was grown in culture medium containing 10% FBS supplemented with 12-O-Tetradecanoylphorbol 13-acetate (10 ng/mL,

WAKO) and cholera toxin (10 nM, Sigma-Aldrich, St Louis, Missouri, USA). Freshly irradiated keratinocytes were added in the co-culture every week up to passage 3 (3 weeks after initial plating).

#### TCRP1A CD8<sup>+</sup> T-cell purification and activation

P1A-specific CD8<sup>+</sup> T cells were isolated from spleens and lymph nodes of TCRP1A mice<sup>34</sup> using anti-CD8 $\alpha$  MicroBeads (Miltenyi Biotec), and stimulated in vitro by co-culture for 4 days with irradiated (100 Gy) L1210.P1A.B7-1 cells (10<sup>4</sup> of each cell type per well in 48-well plates) in IMDM 10% FBS.

#### Purification of myeloid-derived suppressor cells

After mechanical and enzymatic dissociation of induced TiRP tumors, myeloid-derived suppressor cells (MDSCs) (as a mix of monocytic and granulocytic cells) were purified by magnetic sorting. Briefly, CD11b<sup>+</sup> cells were isolated using magnetic microbeads conjugated with monoclonal rat anti-mouse CD11b antibody. Depending on the experiment, MDSC isolation was carried out by either biotinylated Ly6G and Gr-1 mAbs together or alone, with anti-biotin-coated or streptavidin-coated microbeads. All separations were performed using Miltenyi Biotec kits and MidiMacs columns. Purity of cell populations was evaluated by flow cytometry.

#### Assay for MDSC-mediated suppression of T-cell proliferation and killing activity

TCRP1A CD8<sup>+</sup> T cells activated in vitro for 4 days with irradiated L1210.P1A.B7-1 cells were purified, washed, counted and 100  $\mu$ L of 2 $\times$ 10<sup>5</sup> viable cells seeded in a 96-well plate in IMDM+10% FBS. MDSCs from induced tumors were isolated and purified as above. TCRP1A CD8<sup>+</sup> T cells were co-cultured with MDSCs at a ratio of 3, 6, 9 or 12 for 3 days at 37°C. TCRP1A T cells were then purified using anti-CD8 microbeads. Their proliferation was assessed in an 18-hour <sup>3</sup>H-thymidine incorporation assay. TCRP1A CD8<sup>+</sup> T cells co-cultured with MDSC for 3 days as above and purified using anti-CD8 microbeads were tested for cytolytic activity in a standard 4-hour chromium release assay, using P511 cells as P1A-positive target cells and P1A-negative cells P1.204 as cold target competitors.

#### Treg purification and suppressive assay

CD4<sup>+</sup> CD25<sup>+</sup> cells were obtained from TiRP tumors by cell sorting, using a FACS Aria. To measure the in vitro suppressive activity, CD8<sup>+</sup> T cells isolated from TCRP1A mice were stimulated for 4 days with L1210.P1A.B7-1, as mentioned before. After 4 days, CD4<sup>+</sup> CD25<sup>+</sup> sorted cells were added at a ratio of 1:2, 1:4, 1:8 and 1:18 (1 Treg to 2, 4, 8 or 18 T responder, respectively). For some experiments (CT26 mouse model) the ratio used was 1:3. The culture was then kept for 3 days at 37°C. <sup>3</sup>H-thymidine (1  $\mu$ Ci/96 well) was added to cultures in the last 18 hours and cell proliferation was measured using a liquid scintillation counter.

#### Western blotting

Cells or tissues were lysed in a buffer (50 mM Tris-HCl, 150 mM NaCl and 1% NP-40) supplemented with protease and phosphatase inhibitors (Thermo Fisher). Proteins were quantified by BCA assay (Thermo Fisher), and 30  $\mu$ g of total proteins (cells) or 100  $\mu$ g (tissues) were separated on precast NuPage gradient 4%–12% Bis-Tris gels (Thermo Fisher) in MOPS running buffer. Transfer of the proteins into nitrocellulose membrane was done with the iBlot (Thermo Fisher). Membranes were blocked in tris-buffered saline containing 0.1% Tween 20 (TBST) and 5% dry milk, and then probed with primary antibodies against N-cadherin (Cell Signaling 4061, 1/3000); E-cadherin (Cell Signaling, clone 24E10, #3195, 1/5000); MITF (Cell Signaling, clone D5G7V, #12590, 1/1000); tyrosinase (Santa Cruz, clone C-19, #sc-7833, 1/1000); Snail1/2 (Cell Signaling, clone C15D3, #3879, 1/1000); Big-h3 (Santa Cruz, clone E-19, #sc-14742, 1/2000); vimentin (Santa Cruz, clone H-84, #sc-5565, 1/3000); pSMAD2/3 (Cell Signaling, clone D27F4, #8828, 1/500); SMAD2/3 (Cell Signaling, clone D7G7, #8685, 1/2000); or GAPDH (Cell Signaling, clone D161711, #5174, 1/10000). Antibodies were incubated overnight at 4°C, in 5% w/v non-fat dry milk in TBST. Secondary antibodies were incubated 1 hour at room temperature before revelation with the West Pico SuperSignal (Thermo Fisher). Anti-rabbit horseradish peroxidase (HRP)-linked IgG (Cell Signaling Technology, #7074) was used at 1/3000, and goat anti-mouse HRP-conjugated IgG (Santa Cruz, sc-2005) was used at 1/8000.

#### Histological analysis and immunohistochemistry

Snap-frozen, optimal cutting temperature medium-embedded tissues were cut (7  $\mu$ m) and fixed in 4% paraformaldehyde for 5 min, then incubated for 1 hour with TBS containing 2.5% normal goat serum+1% bovine serum albumin (BSA) and incubated 2 hours with goat anti-mouse Big-h3 (0.7  $\mu$ g/mL in TBST 1% BSA, Santa Cruz), or rabbit anti-mouse vimentin (0.5  $\mu$ g/mL in TBST 2% BSA, Cell Signaling) overnight at 4°C or rabbit anti-mouse fibronectin (0.5  $\mu$ g/mL in TBST 2% BSA, Cell Signaling) 2 hours. After washing, endogenous peroxidase activity was blocked by incubating the slides with 0.3% H<sub>2</sub>O<sub>2</sub> for 10 min. Secondary antibodies HRP-conjugated were then added for 1 hour in TBST 1% BSA. AEC chromogen (DAKO) was used and revelation reaction was stopped after 5 min, 10 min and 5 min, respectively. Counterstain with hematoxylin (Sigma) was performed. Slides were scanned using MIRAX digital microscope (Carl Zeiss MicroImaging), and images analyzed with MIRAX Viewer software (Zeiss).

#### RNA extraction and RT-qPCR

RNA was extracted from FACS-sorted cell populations using TRIPURE reagent (Roche). One microgram of total RNA was used to generate cDNA using the revertAid (Thermo Fisher). RT-qPCR was performed using Takyon Rox probe core kit dTTP (Eurogentec). The following

primers were used: *Trap1a* (PIA): forward: 5'-AGC-TGA-GGA-AAT-GGG-TGC-TG-3' (exon 1), reverse: 5'-CAG-CAT-TTT-CAC-ACC-TAC-ACT-CCA-3' (exon 2), probe: 5'-FAM-CCA-TCA-TTT-AAG-GAA-GAA-TGA-AGT-GAA-GTG-TAG-GAT-GA-TAMRA-3' (exon 2). Reactions were performed using an elongation condition of 60°C for 1 min. Probe and primers were purchased from Thermo Fisher. *Tgfb1* (Mm01178820\_m1); *Tgfb2* (Mm00436955\_m1); *Tgfb3* (Mm00436960\_m1); *Mitf* (Mm00434954\_m1); *Tyr* (Mm00495817\_m1); *Tyrp1* (Mm004453201\_m1); *Dct* (Mn01225584\_m1); *Twist* (Mm00442036\_m1); *Snai1* (Mm00441533\_g1); *Zeb1* (Mm00495564\_m1); *Zeb2* (Mm00497196\_m1); *Tgfb1* (Mm01337605\_m1); *Vmac* (Mm00619195\_g1); *Cdh1* (Mm01247357\_m1); *Cdh2* (Mm 01162497\_m1); *Acta2* (Mm00725412\_g1); *Gapdh* (Mm99999915\_g1).

### ELISA assay

Antibody specificity was tested by ELISA on Maxisorb immunoplates (Nunc, Roskild, Denmark), coated overnight at 4°C with 50 µL human TGFβ1, TGFβ2 or TGFβ3 (R&D Systems) or control BSA (100 ng/mL in 50 mM glycine buffer, pH 9). After saturation (100 µL BSA 1% for 1 hour at 37°C) and washing, the antibodies were added at different concentrations and further incubated for 2 hours. Bound antibodies were detected by addition of HRP-labeled goat anti-mouse Ig (BD Pharmingen) followed after 1-hour incubation with Ultra-TMB substrate. Absorbance was acquired at 450 nm.

### Transformed mink lung epithelial cell assay

TGFβ activity was measured using TMLEC reporter cells (transformed mink lung epithelial cells), as described by Uyttenhove *et al.*<sup>30</sup> Briefly, 5 ng/mL human TGFβ1, TGFβ2 or TGFβ3 were incubated with serial dilutions of anti-TGFβ1 13A1, anti-TGFβ3 1901 or anti-panTGFβ 1D11 for 4 hours at 37°C. These solutions were then mixed with an equal volume of culture medium (DMEM+10% fetal calf serum), containing 50,000 TMLECs that had been seeded for 4 hours. After a further 24-hour incubation, luciferase activity was measured with the Bio-Glo Luciferase Assay System (Promega, Madison, Wisconsin, USA).

### Determination of melanin content

Xni-5 cells were treated with anti-TGFβ1 13A1 antibody at the dose of 100 ng/mL, 30 ng/mL and 10 ng/mL, or corresponding amount of isotype control (IgG1). The cultured media were replaced every week, at the time of cell passage. To evaluate the melanin content, 10<sup>6</sup> Xni-5 cells were treated with a solution of 1N NaOH in 10% dimethyl sulfoxide (DMSO) for 1 hour at 80°C. Then, 200 µL of cell supernatant were transferred to a 96-well plate and absorbance was measured at 405 nm. Synthetic melanin (Sigma-Aldrich) was used as a standard, starting from 1 mg/mL in DMSO.

## RESULTS

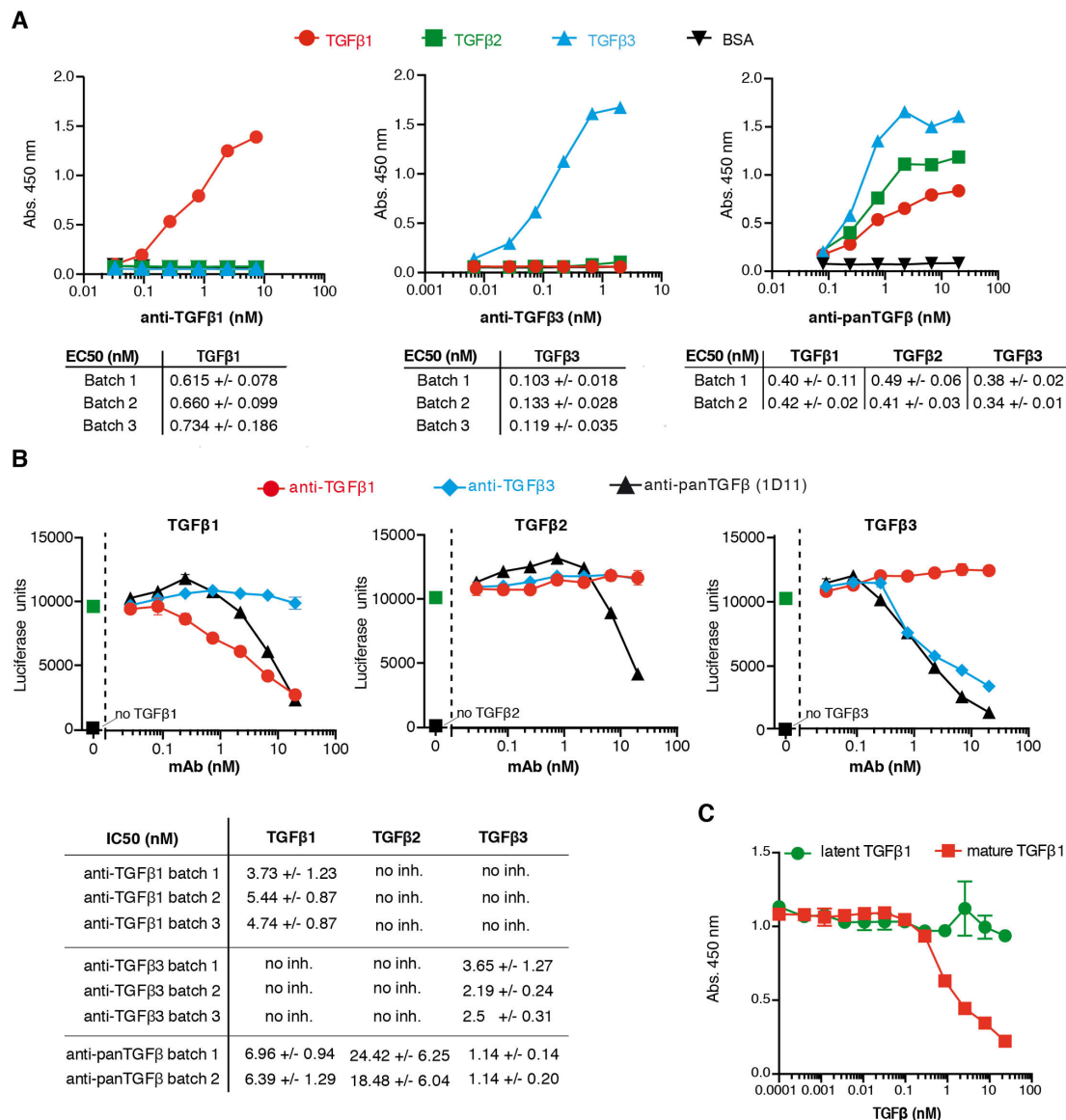
### TGFβ1-specific and TGFβ3-specific monoclonal antibodies

By immunizing mice with human mature TGFβ1-ovalbumin or TGFβ3-ovalbumin conjugates, we produced mAb 13A1 and mAb 1901, which recognize specifically TGFβ1 and TGFβ3, respectively (figure 1A).<sup>30 39 40</sup> Both mAbs efficiently neutralized the relevant TGFβ isoform in a TGFβ activity assay, and mAb 13A1 was slightly more potent to inhibit TGFβ1 than the well-characterized anti-panTGFβ mAb 1D11<sup>31</sup> (figure 1B). Monoclonal antibody 13A1 recognized mature but not latent TGFβ1, as the latter was unable to inhibit binding of 13A1 to the former (figure 1C). We then used two different tumor models to test the therapeutic efficacy of these isoform-specific mAbs in comparison with mAb 1D11.

### Anti-TGFβ1 mAb synergizes with prophylactic vaccination in the CT26 model

We first used the CT26 colon carcinoma model, in which neutralization of all TGFβ isoforms using mAb 1D11 was previously shown to synergize with immunotherapy based on prophylactic vaccination with irradiated cells.<sup>41</sup> We injected anti-TGFβ antibodies three times a week and challenged mice with CT26 tumor cells 3 weeks after vaccination (figure 2). We confirmed the increased survival of mice receiving the vaccine combined with 1D11, as compared with either treatment alone (figure 2B).<sup>41</sup> Interestingly, this synergistic effect was fully maintained when using the TGFβ1-specific neutralizing mAb 13A1 (figure 2B). However, it was not observed in mice receiving the TGFβ3-specific mAb 1901 (figure 2B). The protection was associated with an increased infiltration of tumors with activated CD8 T cells expressing activation and effector memory markers and lacking expression of PD-1 and Tim-3 (figure 2C). This lack of PD-1 expression was in line with the recent demonstration that TGFβ1 contributed to the induction of PD-1 on tumor-infiltrating CD8 T cells.<sup>42</sup> In contrast, TGFβ3 neutralization reduced infiltration and activation of CD8 T cells in tumors and increased their PD-1 expression (figure 2C). Tumors from mice receiving 13A1 or 1D11 also contained lower numbers of Tregs, which displayed a reduced suppressive activity (online supplemental figure S1A,B), and less monocytic MDSCs also with diminished suppressive activity (online supplemental figure S1C,D). These results confirmed the immunosuppressive role of TGFβ1 in the CT26 model<sup>43 44</sup> and indicated that neutralization of TGFβ1, but not TGFβ3, potentiated immunotherapy in this model in a similar fashion to neutralization of all three TGFβ isoforms.

We then compared the relative efficacy of 13A1 and 1D11 in potentiating immunotherapy in the CT26 model by repeating the above experiment while titrating down the amount of antibody injected into the mice (online supplemental figure S2A). We compared a high (100 µg), medium (33 µg) and low dose (20 µg) of antibody. In each case a double dose was given at the first injection. We monitored tumor volume and mouse survival



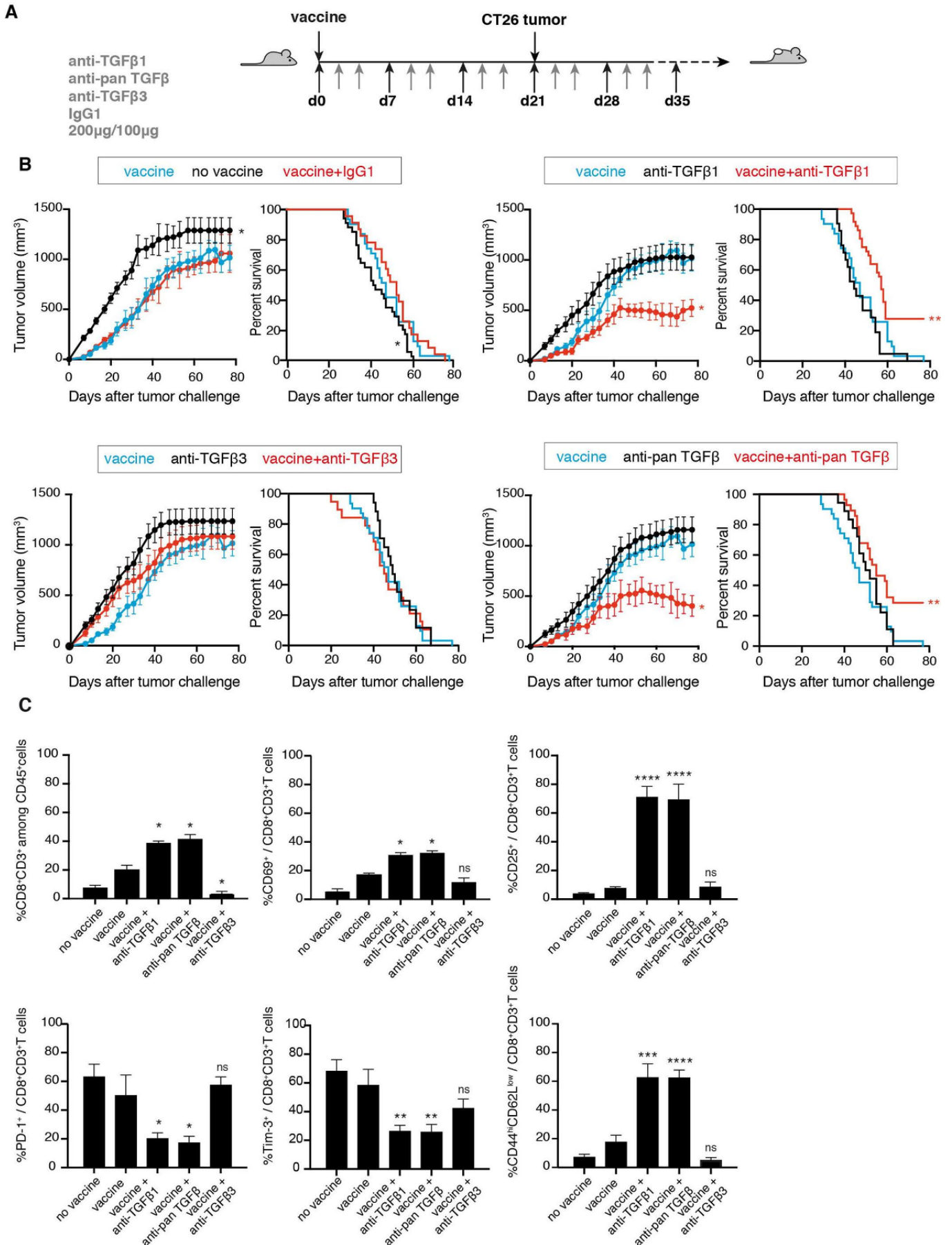
**Figure 1** Specificity and inhibitory capacity of anti-TGFβ1 mAb 13A1 and anti-TGFβ3 mAb 1901. (A) ELISA plates coated with human TGFβ1, TGFβ2 or TGFβ3 (100 ng/mL) were incubated with serial dilutions of anti-TGFβ1 mAb 13A1 (IgG1), anti-TGFβ3 mAb 1901 (IgG1), or anti-panTGFβ mAb 1D11 (IgG1), and revealed with HRP-labeled goat anti-mouse Ab. Similar results were obtained when using mouse TGFβ1 or TGFβ3. One representative experiment ( $\pm$ SEM) of at least three performed is shown. The EC50 ( $\pm$ SEM) of the different batches used throughout the work are indicated. (B) Inhibition of the biological activity of the different TGFβ isoforms was evaluated in a TMLEC-TGFβ reporter assay. One representative experiment of at least three is illustrated graphically, and the IC50 $\pm$ SEM of the different batches used throughout the work are indicated. (C) Mature human TGFβ1 (300 ng/mL) was coated on ELISA plates and incubated with 100 ng/mL (0.67 nM) anti-TGFβ1 mAb 13A1 preincubated for 1 hour at room temperature with increasing concentrations of recombinant mature or latent human TGFβ1 (R&D systems). Binding of mAb 13A1 to coated TGFβ1 was revealed as in (A). One representative experiment ( $\pm$ SEM) out of two performed. HRP, horseradish peroxidase; TGFβ, transforming growth factor-β; TMLEC, transformed mink lung epithelial cell.

(online supplemental figure S2B). The anti-tumor effect of 1D11 was observed at the high dose but was lost at the medium dose. In contrast, 13A1 was still fully effective at the medium dose. Accordingly, increased tumor infiltration with activated effector memory CD8 was observed with the medium dose of 13A1 but not with the medium dose of 1D11 (online supplemental figure S2C). These results indicate that the TGFβ1-specific mAb 13A1 is

about three times more potent than the anti-panTGFβ mAb 1D11 in neutralizing TGFβ in this model of cancer immunotherapy.

### Cellular origin of TGFβ isoforms in TiRP melanomas

We then studied the TiRP model of autochthonous melanoma, which is characterized by a strong TGFβ signature and primary resistance to all forms of



**Figure 2** (Continued)

**Figure 2** Synergistic effect of anti-TGF $\beta$ 1 with a prophylactic vaccine against colon carcinoma CT26. (A) Schedule for tumor induction and immunotherapy. BALB/c mice received a prophylactic vaccination with  $1 \times 10^5$  irradiated (250 Gy) CT26CL1 tumor cells, injected subcutaneously (s.c.) in the right flank. The same day they received 200  $\mu$ g intraperitoneally (i.p.) of either anti-TGF $\beta$ 1 13A1, anti-TGF $\beta$ 3 1901 or anti-panTGF $\beta$  1D11, or isotype control antibody. Anti-TGF $\beta$  antibodies were then administered three times a week at the dose of 100  $\mu$ g. Three weeks after vaccination, mice received  $1 \times 10^6$  CT26CL1 s.c. in the left flank together with 200  $\mu$ g of anti-TGF $\beta$  antibodies. The treatment with anti-TGF $\beta$  antibodies then continued three times a week, 100  $\mu$ g i.p. until the end of the experiment. (B) Tumor growth (left panels) and mouse survival (right panels) were monitored. The figures represent the cumulative data of 6 independent experiments totaling 193 mice (experiment 1, cohort n=44 (groups: no vaccine=4; vaccine=5; vaccine+IgG1=4; vaccine+anti-TGF $\beta$ 1=6; vaccine+anti-panTGF $\beta$ =6; vaccine+anti-TGF $\beta$ 3=5; anti-TGF $\beta$ 1=5; anti-panTGF $\beta$ =5; anti-TGF $\beta$ 3=4); experiment 2, cohort n=52 (groups: no vaccine=4; vaccine=4; vaccine+IgG1=4; vaccine+anti-TGF $\beta$ 1=7; vaccine+anti-panTGF $\beta$ =7; vaccine+anti-TGF $\beta$ 3=7; anti-TGF $\beta$ 1=6; anti-panTGF $\beta$ =7; anti-TGF $\beta$ 3=6); experiment 3, cohort n=39 (groups: no vaccine=4; vaccine=4; vaccine+IgG1=4; vaccine+anti-TGF $\beta$ 1=5; vaccine+anti-panTGF $\beta$ =5; vaccine+anti-TGF $\beta$ 3=5; anti-TGF $\beta$ 1=4; anti-panTGF $\beta$ =4; anti-TGF $\beta$ 3=4); experiment 4, cohort n=20 (no vaccine=5; vaccine=5; vaccine+anti-TGF $\beta$ 1=5; vaccine+anti-panTGF $\beta$ =5); experiment 5, cohort n=20 (no vaccine=5; vaccine=5; vaccine+anti-TGF $\beta$ 1=5; vaccine+anti-panTGF $\beta$ =5); experiment 6, cohort n=18 (no vaccine=5; vaccine=5; vaccine+anti-TGF $\beta$ 1=4; vaccine+anti-panTGF $\beta$ =4)). Tumor growth is reported (left panels) as tumor volume ( $\text{mm}^3$ ) over time. Data are presented as mean $\pm$ SEM, and were analyzed with one-way ANOVA with Tukey's multiple comparisons correction. All groups were compared with vaccine alone: vaccine versus no vaccine, \* $p < 0.05$ ; vaccine versus vaccine+IgG1, NS; vaccine versus vaccine+anti-TGF $\beta$ 1, \* $p < 0.05$ ; vaccine versus vaccine+anti-panTGF $\beta$ , \* $p < 0.05$ ; vaccine versus vaccine+anti-TGF $\beta$ 3, NS. Survival percentages are reported (right panels), and were analyzed with Log-rank (Mantel-Cox). All groups were compared with vaccine alone: vaccine versus no vaccine, \* $p < 0.05$ ; vaccine versus vaccine+anti-TGF $\beta$ 1, \*\* $p < 0.01$ ; vaccine versus vaccine+anti-panTGF $\beta$ , \*\* $p < 0.01$ ; vaccine versus vaccine+anti-TGF $\beta$ 3, NS. (C) CT26CL1 tumors from tumor-bearing mice treated as indicated in (A) were analyzed by ex vivo FACS staining for CD8, CD69, CD25, Tim-3, PD-1, CD44 and CD62L. Cumulative data are presented from 6 experiments totaling 193 mice, as detailed in (B). Results are expressed as mean $\pm$ SEM unpaired Student's t-test (two-tailed). All groups were compared with vaccine alone: \* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.001$ ; \*\*\*\* $p < 0.0001$ . ANOVA, analysis of variance; NS, not significant; TGF $\beta$ , transforming growth factor- $\beta$ .

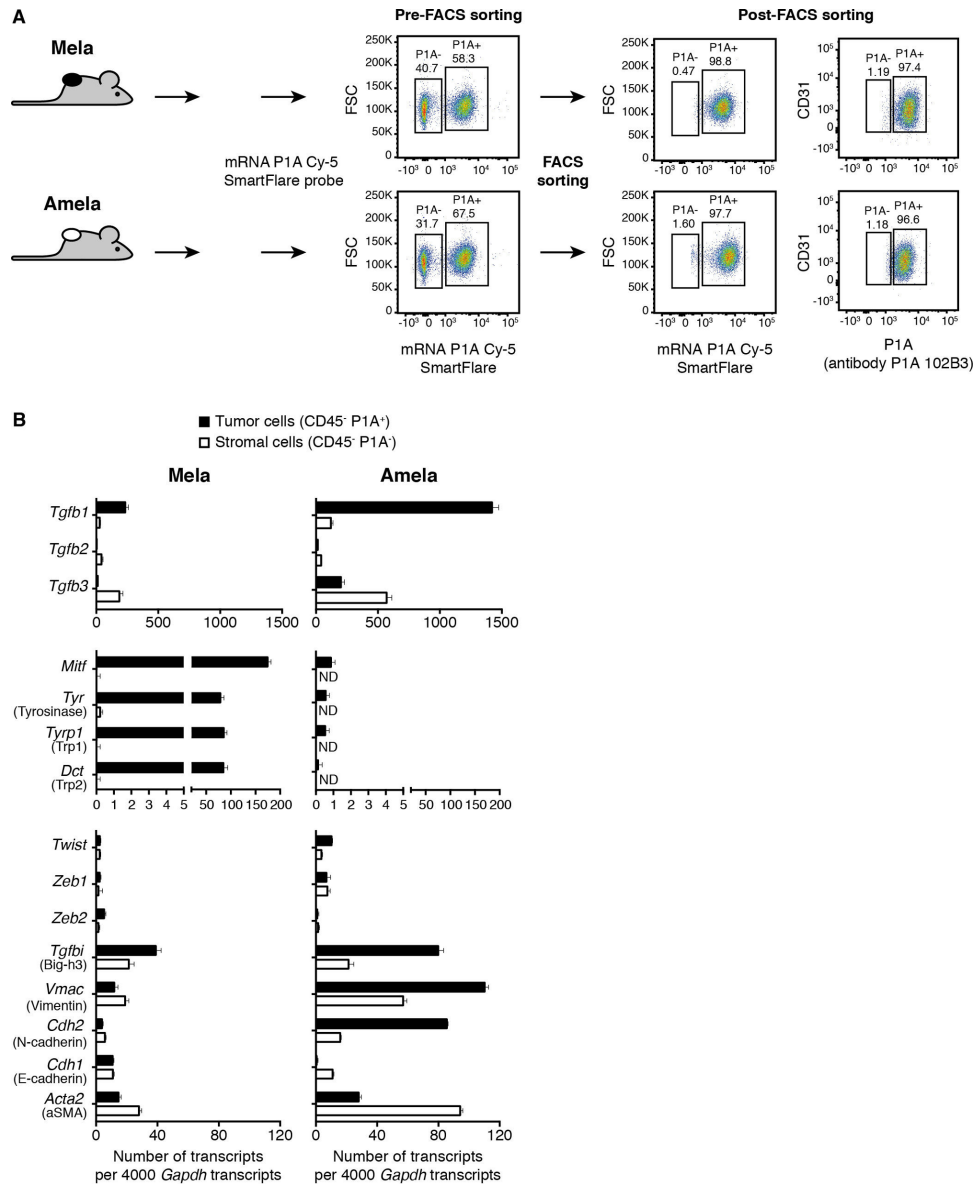
immunotherapy.<sup>32 33</sup> In this model, subcutaneous injection of tamoxifen triggers the development of melanomas that express murine MAGE-type antigen P1A and initially develop as black (Mela) tumors, showing a clear MITF-dependent gene signature with no signs of inflammation and a well-defined melanocyte-like morphology.<sup>45</sup> On further tumor progression, Mela tumors de-differentiate into white (Amela) tumors, in which the decrease of melanocyte-differentiation genes (MITF, Tyr, TRP1, TRP2) is correlated with the acquisition of a de-differentiated state, characterized by pronounced TGF $\beta$  and EMT-like signatures.<sup>46</sup> Amela tumors also show signs of pathological inflammation, with a tumor microenvironment (TME) highly enriched in MDSCs that contribute to a severe immunosuppression.<sup>32 33</sup> Contrary to the CT26 model, the role played by TGF $\beta$  in this model has not been characterized. As a first step, we defined the cellular source of TGF $\beta$ , by separating TiRP tumor cells from stromal cells on the basis of tumor-specific P1A expression, and measuring TGF $\beta$  production. We used a fluorochrome-labeled P1A-mRNA SmartFlare probe, which does not require permeabilization and therefore maintains RNA integrity, to sort cells based on P1A expression (figure 3A). We validated the sorted cells using a novel P1A-specific mAb that we obtained by immunizing P1AKO mice<sup>47</sup> with a P1A peptide coupled to ovalbumin (figure 3A). This mAb, called 102B3, proved specific for P1A when tested in Western blot and FACS (online supplemental figure S3A–C). We then extracted RNA and compared transcripts of P1A-positive tumor cells and P1A-negative stromal cells. We found high levels of TGF $\beta$ 1 transcripts in tumor cells, particularly in those isolated from Amela tumors (figure 3B). In contrast,

TGF $\beta$ 3 was expressed at a much higher level in stromal cells. TGF $\beta$ 2 expression was weak and confined to stromal cells. As expected, P1A-positive tumor cells from Mela but not Amela tumors expressed high levels of transcripts for pigmentation-related gene products M-MITF, tyrosinase, TRP-1 and TRP-2, while these transcripts were absent in P1A-negative stromal cells (figure 3B). We also confirmed the presence of an EMT-like signature in Amela tumors, with a higher expression of typical transcripts for Twist, Big-h3, vimentin and N-cadherin and a lower expression of E-cadherin in Amela versus Mela tumors (figure 3B). Notably, *Zeb2*, which is a typical EMT gene in epithelial cells, showed the opposite trend, with a lower expression in Amela. This is in line with the description of *Zeb2* as a gene associated with a differentiated phenotype in the melanocyte lineage, being expressed in *Zeb1*-negative cells in a mutually exclusive fashion.<sup>48</sup> Although detected in both tumor cells and stromal cells, the EMT-like gene signature was more intense in tumor cells, particularly in Amela tumors. We conclude from those data that tumor cells represent the main cellular source of TGF $\beta$ 1 in the TiRP model, while TGF $\beta$ 3 mostly originates from non-hematopoietic stromal cells.

### Increased survival of TiRP tumor-bearing mice after TGF $\beta$ 1 neutralization

To determine the causative role of TGF $\beta$  in tumor progression and the related immunosuppression, we neutralized TGF $\beta$  by injecting TiRP mice weekly with anti-panTGF $\beta$  mAb 1D11, or with the isoform-specific antibodies described above. We observed a reduced tumor size and an increased survival after neutralization of all TGF $\beta$  isoforms or after neutralization of TGF $\beta$ 1





**Figure 3** Expression of TGFβ isoforms and EMT-associated genes in TiRP melanoma cells and stromal cells. (A) Separation of tumor cells and stromal cells from Mela and Amela TiRP tumors. CD45-negative cells were sorted based on P1A expression using a fluorescently labeled P1A-mRNA SmartFlare probe. Sorted cells were verified by staining with P1A-specific antibody P1A102B3. (B) Cells sorted in (A) were tested by RT-qPCR for the indicated genes. Results are expressed as mean number of transcripts ± SEM, from a total 35 Mela and 110 Amela tumors tested. EMT, epithelial-to-mesenchymal transition; TGFβ, transforming growth factor-β.

but not after neutralization of TGFβ3 (figure 4A, left and middle panels). Unexpectedly, this anti-tumor effect was not linked to a release of the immunosuppressive effects of TGFβ, because it was also observed in mice that were depleted of CD4 and CD8 T cells (figure 4B, left and middle panels). Likewise, no synergistic effect was observed with a prophylactic immunization of mice against tumor antigen P1A using an efficient vaccine platform<sup>35</sup> (figure 4C, left and middle panels, and online supplemental figure S4). These results indicated that TGFβ1 exerted dominant protumoral effects in this model, which were mediated by tumor-intrinsic rather than immunosuppressive mechanisms.

In line with this conclusion, TGFβ1 blockade neither increased the amount of tumor-specific T cells isolated from tumor and spleen (online supplemental figure S4A,B) nor their functionality (online supplemental figure S4C). While monocytic MDSC (M-MDSC) levels were reduced in the spleen, polymorphonuclear MDSCs (PMN-MDSCs), which play a prominent role in triggering apoptosis of tumor-infiltrating lymphocytes (TILs) in this model,<sup>33</sup> were not (online supplemental figure S4D). Levels of natural killer cells were also unaffected (online supplemental figure S4E). However, Treg numbers were decreased in both tumors and lymph nodes, and their T-cell suppressive activity was dampened, in line with the

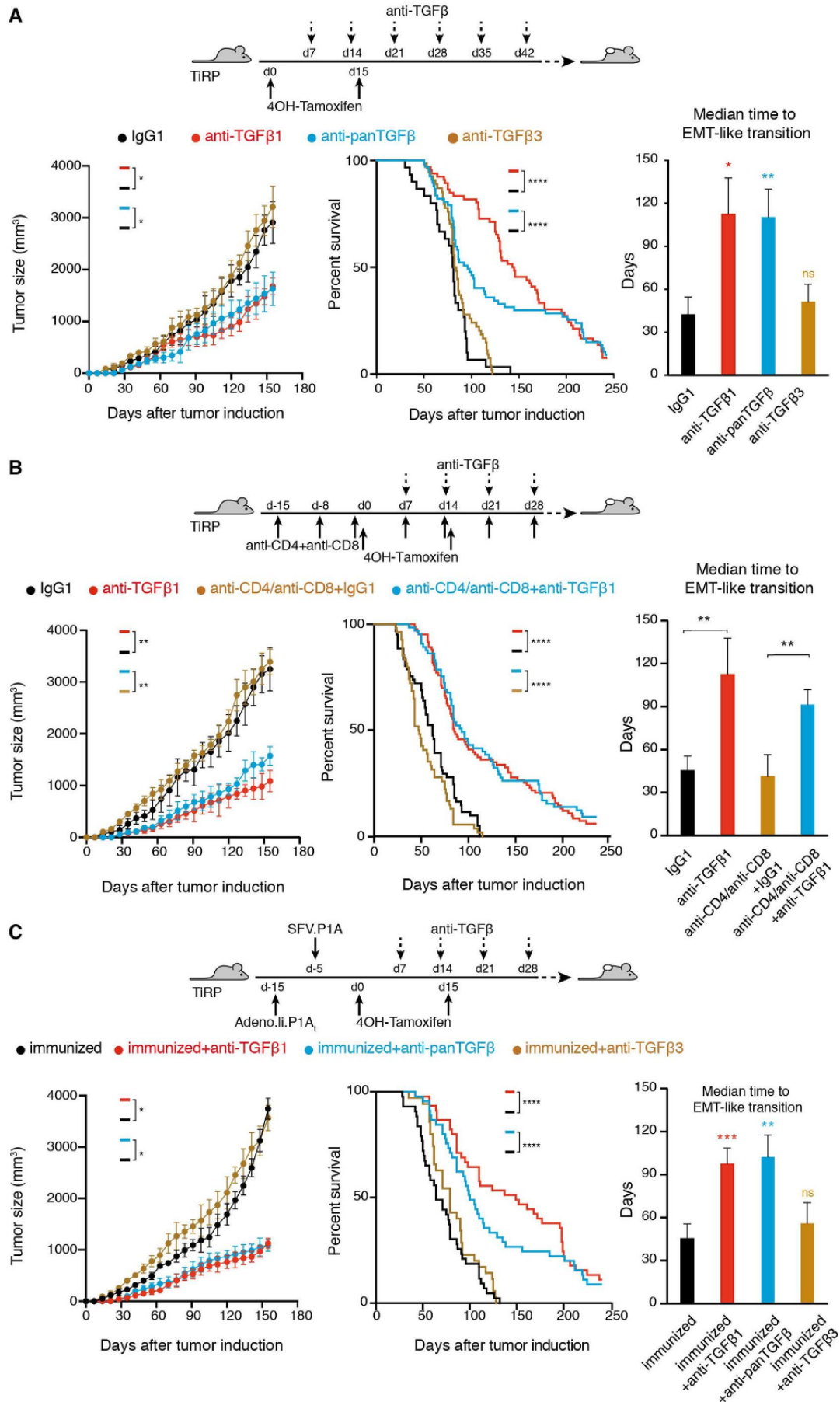


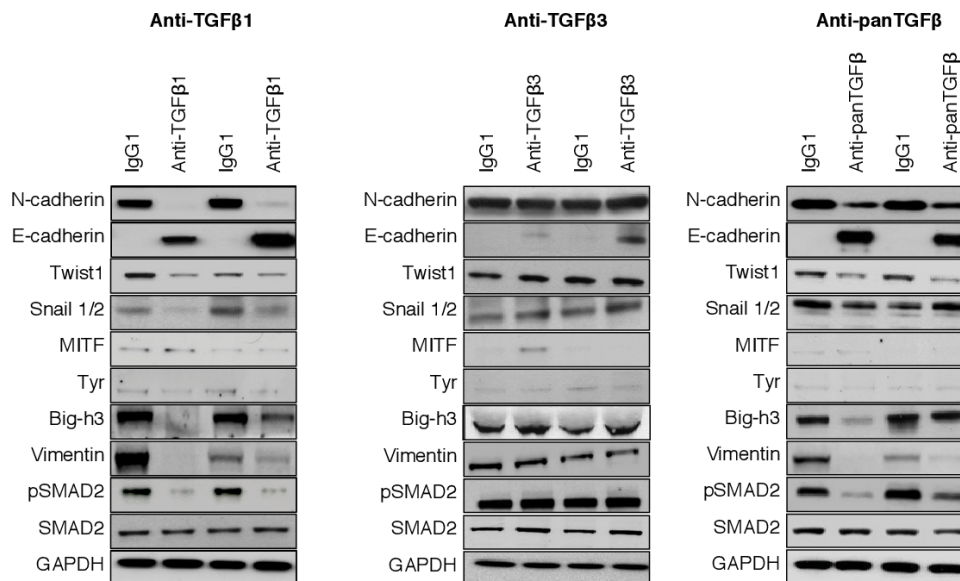
Figure 4 (Continued)

**Figure 4** In vivo neutralization of TGF $\beta$ 1 increases survival of TiRP tumor-bearing mice and delays EMT-like transition. (A) TiRP mice (B10.D2;Ink4a/ARF<sup>fllox/fllox</sup>;TiRP<sup>+/+</sup>) received two injections of 4OH-Tamoxifen and weekly injections of anti-TGF $\beta$  antibodies (0.5 mg) as indicated, until the end of the experiment. Mice were monitored once a week, tumor size (left panels) and survival (middle panels) were measured, and median time to transition from Mela to Amela tumor was calculated (right panels). The figures represent the cumulative data of 5 independent experiments totaling 128 mice (experiment 1, cohort n=27 (groups: IgG1=6; anti-TGF $\beta$ 1=7; anti-TGF $\beta$ 3=7; anti-panTGF $\beta$ =7); experiment 2, cohort n=23 (groups: IgG1=5; anti-TGF $\beta$ 1=6; anti-TGF $\beta$ 3=6; anti-panTGF $\beta$ =6); experiment 3, cohort n=26 (groups: IgG1=6; anti-TGF $\beta$ 1=7; anti-TGF $\beta$ 3=6; anti-panTGF $\beta$ =7); experiment 4, cohort n=21 (groups: IgG1=4; anti-TGF $\beta$ 1=7; anti-TGF $\beta$ 3=5; anti-panTGF $\beta$ =5); experiment 5, cohort n=31 (groups: IgG1=6; anti-TGF $\beta$ 1=10; anti-TGF $\beta$ 3=5; anti-panTGF $\beta$ =10)). Tumor growth is reported as tumor volume (mm<sup>3</sup>) over time (left panel). Data are presented as mean $\pm$ SEM, and were analyzed with one-way ANOVA with Tukey's multiple comparisons correction: anti-TGF $\beta$ 1 versus IgG1, \*p<0.05; anti-panTGF $\beta$  versus IgG1, \*p<0.05. Survival percentages (middle panel) are reported and were analyzed with Log-rank (Mantel-Cox): anti-TGF $\beta$ 1 versus IgG1, \*\*\*\*p<0.0001; anti-panTGF $\beta$  versus IgG1, \*\*\*\*p<0.0001; anti-TGF $\beta$ 3 versus IgG1, NS; anti-panTGF $\beta$  versus anti-TGF $\beta$ 1, NS. Means $\pm$ SEM of median times to EMT-like transition in the five experiments are reported (right panel), and were analyzed with the unpaired Student's t-test (two-tailed). All groups compared with IgG1: \*p<0.05; \*\*p<0.01. (B) TiRP mice received anti-CD4 and anti-CD8 depleting antibodies (1 mg each intraperitoneally (i.p.)) as described,<sup>57</sup> starting at day 15 and continuing once a week up to the end of the experiment. Depletion was verified by FACS on PBMC every 2 weeks. Mice were then injected two times with 4 mg 4OH-Tamoxifen on days 0 and 15. At day 7, some mice received either anti-TGF $\beta$ 1 or IgG1 control, 0.5 mg/mouse i.p. in PBS. Weekly injections continued as indicated until the end of the experiment. Mice were monitored and data reported as described in (A). The figure represents the cumulative data of 4 independent experiments totaling 116 mice (experiment 1, cohort n=28 (anti-CD4/anti-CD8+IgG1=7; anti-CD4/anti-CD8+anti-TGF $\beta$ 1=7; IgG1=7, anti-TGF $\beta$ 1=7); experiment 2, cohort n=32 (anti-CD4/anti-CD8+IgG1=10; anti-CD4/anti-CD8+anti-TGF $\beta$ 1=10; IgG1=5, anti-TGF $\beta$ 1=7); experiment 3, cohort n=31 (anti-CD4/anti-CD8+IgG1=8; anti-CD4/anti-CD8+anti-TGF $\beta$ 1=8; IgG1=7, anti-TGF $\beta$ 1=8); experiment 4, cohort n=25 (anti-CD4/anti-CD8+IgG1=5; anti-CD4/anti-CD8+anti-TGF $\beta$ 1=5; IgG1=7, anti-TGF $\beta$ 1=8)). Tumor growth is reported as tumor volume (mm<sup>3</sup>) over time (left panel). Data are presented as mean $\pm$ SEM, and were analyzed with one-way ANOVA with Tukey's multiple comparisons correction: anti-TGF $\beta$ 1 versus IgG1, \*\*p<0.01; anti-CD4/anti-CD8+anti-TGF $\beta$ 1 versus anti-CD4/anti-CD8+IgG1, \*\*p<0.01. Survival percentages are reported (middle panel) and were analyzed with Log-rank (Mantel-Cox): anti-TGF $\beta$ 1 versus IgG1, \*\*\*\*p<0.0001; anti-CD4/anti-CD8+anti-TGF $\beta$ 1 versus anti-CD4/anti-CD8+IgG1, \*\*\*\*p<0.0001. Means $\pm$ SEM of median times to EMT-like transition in the four experiments are reported (right panel), and were analyzed with the unpaired Student's t-test (two-tailed): IgG1 versus anti-TGF $\beta$ 1, \*\*p<0.01; anti-CD4/anti-CD8+IgG1 versus anti-CD4/anti-CD8+anti-TGF $\beta$ 1, \*\*p<0.01. (C) TiRP mice received a prime/boost vaccine regimen of recombinant adenovirus (Adeno.li.P1A, 10<sup>8</sup> PFU i.d.) and SemlikiForest virus (SFV.P1A, 10<sup>7</sup> IU i.d.) as described.<sup>35</sup> Mice then received 4OH-Tamoxifen and antibody injections as indicated, and were monitored as in (A). The strong CD8<sup>+</sup> T cell immune response induced by the vaccine is shown on online supplemental figure S4A–C. The figures represent the cumulative data of 6 independent experiments totaling 161 mice (experiment 1, cohort n=27 (groups: immunized=6; immunized+anti-TGF $\beta$ 1=7; immunized+anti-TGF $\beta$ 3=7; immunized+anti-panTGF $\beta$ =7); experiment 2, cohort n=23 (groups: immunized=5; immunized+anti-TGF $\beta$ 1=6; immunized+anti-TGF $\beta$ 3=6; immunized+anti-panTGF $\beta$ =6); experiment 3, cohort n=26 (groups: immunized=6; immunized+anti-TGF $\beta$ 1=7; immunized+anti-TGF $\beta$ 3=6; immunized+anti-panTGF $\beta$ =7); experiment 4, cohort n=21 (groups: immunized=4; immunized+anti-TGF $\beta$ 1=7; immunized+anti-TGF $\beta$ 3=5; immunized+anti-panTGF $\beta$ =5); experiment 5, cohort n=30 (groups: immunized=5; immunized+anti-TGF $\beta$ 1=10; immunized+anti-TGF $\beta$ 3=5; immunized+anti-panTGF $\beta$ =10); experiment 6, cohort n=34 (groups: immunized=5; immunized+anti-TGF $\beta$ 1=10; immunized+anti-TGF $\beta$ 3=8; immunized+anti-panTGF $\beta$ =11)). Tumor growth (left panel) is reported as tumor volume (mm<sup>3</sup>) over time. Data are presented as means $\pm$ SEM and were analyzed with one-way ANOVA with Tukey's multiple comparisons correction: immunized versus immunized+anti-TGF $\beta$ 1, \*p<0.05; immunized versus immunized+anti-panTGF $\beta$ , \*p<0.05. Survival percentages are reported (middle panel) and were analyzed with Log-rank (Mantel-Cox): immunized versus immunized+anti-TGF $\beta$ 1, \*\*\*\*p<0.0001; immunized versus immunized+anti-panTGF $\beta$ , \*\*\*\*p<0.0001. Means $\pm$ SEM of median times to EMT-like transition in the six experiments are reported (right panel), and were analyzed with the unpaired Student's t-test (two-tailed): immunized versus immunized+anti-TGF $\beta$ 1, \*\*\*p<0.001; immunized versus immunized+anti-panTGF $\beta$ , \*\*p<0.01; immunized versus immunized+anti-TGF $\beta$ 3, NS. ANOVA, analysis of variance; EMT, epithelial-to-mesenchymal transition; i.d., intradermal injection; NS, not significant; PBMC, peripheral blood mononuclear cell; PBS, phosphate-buffered saline; TGF $\beta$ , transforming growth factor- $\beta$ .

role of TGF $\beta$  in Treg differentiation and function (online supplemental figure S4F,G).<sup>49,50</sup> Despite these effects on M-MDSC and Tregs, the fact that the anti-tumor effects of TGF $\beta$ 1 blockade were fully maintained in T-cell depleted mice indicates the presence of other dominant immunosuppressive mechanisms, so that only the tumor-intrinsic effect of TGF $\beta$ 1 can affect tumor progression in this model. One possible immunosuppressive mechanism is the induction of TIL apoptosis by PMN-MDSC,<sup>33</sup> which are maintained on TGF $\beta$ 1 blockade (online supplemental figure S4D).

#### Delayed EMT-like transition in tumors of TGF $\beta$ 1-neutralized mice

To understand the tumor-intrinsic effect of TGF $\beta$ 1 in the progression of TiRP tumors, we considered that this progression was invariably linked to the EMT-like transition that converts indolent Mela tumors into aggressive Amela tumors. TGF $\beta$  is known as one of the factors that may trigger EMT.<sup>51</sup> It was therefore possible that the protumoral effect of TGF $\beta$  in this model was linked to the triggering of EMT, and that neutralizing TGF $\beta$  prevented or delayed the onset of EMT. To address this,



**Figure 5** The effects of TGF $\beta$ 1 blockade are linked to a partial silencing of the EMT-associated proteins and transcription factors. Representative Western blot of transcription factors (Snail1/2 and Twist) and other proteins involved either in EMT (N-cadherin, E-cadherin, vimentin, Big-h3) or in melanocyte differentiation (MITF, Tyr). Tumor tissue lysate was obtained from mice treated with anti-TGF $\beta$ 1 13A1, anti-TGF $\beta$ 3 1901, anti-panTGF $\beta$  1D11 or isotype control IgG1. Western blot of pSMAD2 was used as control of a TGF $\beta$ -dependent effect. EMT, epithelial-to-mesenchymal transition; TGF $\beta$ , transforming growth factor- $\beta$ .

we compared the kinetics of EMT in our cohorts of TiRP mice treated or not with TGF $\beta$ -neutralizing mAbs. We observed that the increased survival in treated mice correlated with a delayed transition from Mela to Amela tumors: while this transition was observed after 42 days in control mice, it only occurred after more than 112 days in mice neutralized for TGF $\beta$ 1 or for all TGF $\beta$  isoforms (figure 4A, right panels). Identical effects of TGF $\beta$  mAbs were observed in T-cell depleted or in immunized mice (figure 4B,C, right panels). TGF $\beta$ 3 neutralization did not delay transition (figure 4A–C). We confirmed that the retarded transition from Mela to Amela tumors corresponded to delayed EMT by observing that tumors from mice treated with 13A1 or 1D11 showed reduced expression of EMT-related proteins such as N-cadherin, Twist, Snail1/2, vimentin, Big-h3, while E-cadherin expression was maintained (figure 5, online supplemental figures S5A and S6). Expression of phospho-SMAD2 was also reduced in treated mice, confirming effective neutralization of TGF $\beta$  signaling (figure 5). Of note, TGF $\beta$ 1 neutralization did not affect the expression of the P1A tumor antigen (online supplemental figure S5B). To further explore this tumor-intrinsic effect of TGF $\beta$ , we established a pigmented primary melanoma line from a TiRP Mela tumor, and exposed it to anti-TGF $\beta$ 1 mAb 13A1. We observed that this line spontaneously lost pigmentation after several weeks in culture, as measured by a drop in melanin content. Interestingly, this loss of pigmentation was largely prevented by TGF $\beta$ 1 neutralization (online supplemental figure S7). This result confirmed not only the tumoral source of TGF $\beta$ 1 but also its tumor intrinsic effect, whereby tumor cells themselves respond to TGF $\beta$ 1 by initiating an EMT-like program

entailing their depigmentation. Altogether, these results strongly suggest that TGF $\beta$ 1 plays a causative role in EMT-like transition, and that TGF $\beta$ 1 neutralization increased survival by preventing this transition and thereby delaying the Mela to Amela transition.

## DISCUSSION

Martin *et al* recently described a synergistic anti-tumor activity of TGF $\beta$ 1-specific antibody with checkpoint inhibitor anti-PD1 in preclinical models of bladder carcinoma, breast carcinoma and melanoma.<sup>12</sup> Selective neutralization of TGF $\beta$ 1 increased tumor infiltration of T cells and reduced accumulation of tumor-suppressive myeloid cells. These effects recapitulated previous results obtained with anti-panTGF $\beta$  antibody 1D11.<sup>26</sup> Importantly, however, blocking TGF $\beta$ 1 did not induce cardiac anomalies in a rat toxicology study, as opposed to blocking all TGF $\beta$  isoforms, suggesting a safer therapeutic index for TGF $\beta$ 1-specific inhibitors as compared with panTGF $\beta$  inhibitors, including small molecule inhibitors of the tyrosine kinase activity of TGF $\beta$  receptor type I ALK5.<sup>12</sup> Our results confirm and extend the interest of targeting TGF $\beta$ 1 specifically for cancer therapy. We have compared anti-TGF $\beta$ 1 and anti-TGF $\beta$ 3 mAbs in two additional preclinical models, which address different aspects of the TGF $\beta$  role in tumor progression. In both models, we observed anti-tumor activity of anti-TGF $\beta$ 1 but not anti-TGF $\beta$ 3 mAbs. The first model is the colon carcinoma model CT26, in which TGF $\beta$ 1 blockade potentiated the anti-tumor effect of prophylactic vaccination with irradiated cells. Because anti-TGF $\beta$ 1 alone showed no effect in this model, the synergy with vaccination

appeared immune mediated. In line with this conclusion, we observed increased infiltration of the tumors by CD8 T cells, which expressed more activation markers and less exhaustion markers. The lack of benefit of targeting TGF $\beta$ 3 in this setting is in line with the findings of Terabe *et al*, who showed that blocking only TGF $\beta$ 1 and TGF $\beta$ 2 in different immunotherapy settings was as efficient as blocking all three TGF $\beta$  isoforms.<sup>52</sup> These results indicate the therapeutic potential of selective TGF $\beta$ 1 blockade in combination with immunotherapy approaches beyond checkpoint inhibitors.

Furthermore, our results in the autochthonous TiRP melanoma model highlight the therapeutic potential of TGF $\beta$ 1 blockade also as a monotherapy, through a different mechanism of action that is not immune mediated. This melanoma model is characterized by a two-step progression mode, with tumors that are initially highly differentiated and slowly progressive (Mela) turning later into aggressive de-differentiated tumors (Amela). This transition is akin to EMT transition in epithelial tumors, and is associated with the appearance of abnormal inflammation and the accumulation of immunosuppressive MDSC in the TME.<sup>33,46</sup> Aggressive Amela tumors also feature a gene signature with a strong TGF $\beta$  component and several features of the IPRES signature, which was observed in human melanomas that resist to immunotherapy or targeted therapy.<sup>25</sup> Although the TGF $\beta$  signature of Amela TiRP tumors was described,<sup>45,46</sup> the cellular origin of TGF $\beta$  in this model and its pathogenic role have not been studied, particularly with regard to the different isoforms. By separating tumor cells from stromal cells of TiRP melanomas, we defined the cellular source of TGF $\beta$ 1 as the tumor cells themselves, while TGF $\beta$ 3 was produced by stromal cells. TGF $\beta$ 2 was produced only in small amounts, mostly by stromal cells. We then observed that *in vivo* neutralization of all TGF $\beta$  isoforms increased survival of melanoma-bearing TiRP mice. Selective blockade of TGF $\beta$ 1 similarly increased survival, while blockade of TGF $\beta$ 3 did not. These results highlight the key role of TGF $\beta$ 1 in this model.

As mentioned above, Amela TiRP tumors are highly immunosuppressed.<sup>33,45</sup> Surprisingly however, the anti-tumor effect the TGF $\beta$ 1 blockade was not immune mediated, because it was also observed in mice that were T-cell depleted, and it was not synergistic with specific cancer vaccination. This indicated that TGF $\beta$ 1 blockade did not increase survival by releasing the immunosuppressive effects of TGF $\beta$ 1, but rather in a tumor-intrinsic fashion. Of note, this does not mean that TGF $\beta$ 1 has no immunosuppressive function in this model, but rather suggests the presence of other immunosuppressive mechanisms that are dominant, so that only the tumor-intrinsic effect of TGF $\beta$ 1 blockade can be detected in these conditions. A likely dominant immunosuppressive mechanism is the apoptosis of TILs, which is induced by Fas-ligand-expressing MDSC, as we described previously in this model.<sup>33</sup> The tumor-intrinsic effect appears related to the EMT-like transition. Indeed, we observed a significant

delay in the transition from Mela to Amela tumors in mice that received anti-TGF $\beta$ 1 mAb. Because Amela tumors are highly invasive and responsible for the fatal outcome, this delay in appearance of the phenotype switch suffices to explain the increased survival of treated mice. These results indicate that the EMT-like transition is triggered by TGF $\beta$ 1. The fact that it can be prevented by blocking TGF $\beta$ 1 might be of great therapeutic interest, particularly in melanoma, where it could have anti-tumor effects as a monotherapy. Human melanomas produce high levels of TGF $\beta$ , and elevated TGF $\beta$ 1 serum levels were found to be poor prognostic factors.<sup>1,3-5,53</sup> EMT-related genes contribute to the promotion of the metastatic phenotype in human primary cutaneous melanomas, by supporting adhesive, invasive and migratory properties.<sup>54</sup> Moreover, human melanomas that resist targeted therapy or immunotherapy express the IPRES signature, which encompasses an EMT-like signature similar to the one we observed in the TiRP model.<sup>25</sup> Patients with melanoma could therefore benefit from TGF $\beta$ 1-targeted therapy to prevent this EMT-like transition. It will be interesting to investigate whether carcinomas, including breast, pancreatic, lung, colorectal, hepatocellular and bladder carcinomas, which often depend on EMT for progression or metastasis could also benefit from selective neutralization of TGF $\beta$ 1.<sup>55</sup> As discussed above, the TiRP model allowed us to identify the tumor-intrinsic effect of TGF $\beta$ 1 blockade, because the immune-mediated effect of TGF $\beta$ 1 blockade is blunted in this model by other dominant immunosuppressive mechanisms. In other tumors that are less immunosuppressed, including some human cancers, it is likely that the two different mechanisms of actions of TGF $\beta$ 1 blockade, the tumor-intrinsic effect and the immune-mediated effect, could synergize to achieve even better therapeutic benefit.

Among the various TGF $\beta$ -targeting therapies, our results, together with those of Martin *et al*, highlight the interest of strategies blocking specifically the TGF $\beta$ 1 isoform, which plays a dominant role in both immunosuppressive and tumor-intrinsic protumoral mechanisms. Since all the therapeutic effect could be ascribed to blocking TGF $\beta$ 1, the use of a TGF $\beta$ 1-specific neutralizing mAb might limit the potential toxicity, particularly cardiac, as compared with panTGF $\beta$  neutralizing antibodies, such as fresolimumab, which is one of the most advanced anti-TGF $\beta$  mAbs in clinical development,<sup>8</sup> or with small molecules targeting the kinase activity of the ALK5 receptor, which is shared by all TGF $\beta$  isoforms.<sup>56</sup> Mice treated with mAb 13A1 did not show obvious toxicity. We did not perform histopathological examination searching for cardiac valvulopathy in these mice. Such toxicities are better detected in rats, and Martin *et al* demonstrated the absence of cardiac lesions in rats treated with their selective TGF $\beta$ 1-neutralizing mAb, while cardiac valvulopathies were observed in rats neutralized for all TGF $\beta$  isoforms with anti-panTGF $\beta$  mAb ID11 or with an ALK5 inhibitor.<sup>12</sup> Because it is highly selective for TGF $\beta$ 1, mAb 13A1 is therefore expected not

to induce cardiac toxicity, although this has not been formally tested.

The antibody we used here recognized mature, but not latent TGFβ1. This is opposite to Martin *et al*, who developed an antibody that only recognized latent TGFβ1 and prevented its activation.<sup>12</sup> The only reason Martin *et al* opted to neutralize latent and not mature TGFβ1 is that they expected high difficulties in obtaining an isoform-specific mAb against mature TGFβ, because mature isoforms have high sequence and structural similarity, while the TGFβ prodomains show more sequence variability between isoforms.<sup>12</sup> We disproved this prediction by describing highly specific mAbs against mature TGFβ1 or TGFβ3. We did not try to obtain TGFβ2-specific mAb, because at the time of initiation of this research program we could not obtain recombinant TGFβ2 to immunize mice. From a clinical development standpoint, it is unclear which of mature or latent-specific anti-TGFβ1 mAb holds the most promise. *In vitro* assays showed similar IC50 for both antibodies, in the nanomolar range. Antibodies to mature TGFβ1 are expected to be directly active on any source of TGFβ1, whatever the activation mechanism, as opposed to antibodies to latent TGFβ1, which require validation in the various molecular contexts of TGFβ1 activation. This was done by Martin *et al* regarding four well-known activation pathways, involving LTBP1, LTPB3, GARP and LRRC33.<sup>12</sup> It is not impossible that other TGFβ activation pathways remain to be described, which have not been tested. An important difference between latent and mature TGFβ1 is that the former is immobilized on the ECM or the cell surface through its interaction with LTPBs, GARP or LRRC33, while mature TGFβ1 is released. Latent TGFβ1 neutralization is therefore expected to have longer lasting effects as compared with mature TGFβ1 neutralization, for which removal of the mAb from the circulation allows TGFβ1 activation to resume. Although these longer term effects of latent TGFβ1 neutralization may be beneficial to maximize therapeutic efficacy, they may also reduce opportunities to quickly reduce TGFβ1 inhibition to manage potential side effects. A side-by-side comparison of the two types of TGFβ1-specific neutralizing antibodies could help determine their respective merits. In any case, the availability of TGFβ1-specific reagents opens new opportunities. In that respect mAb 13A1 represents a promising drug candidate for clinical development in combination with various forms of cancer immunotherapy, but also as a monotherapy in cancer types that rely on EMT for progression, including melanomas.

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