# **Review Article**

# Current approach and future perspective for ductal carcinoma *in situ* of the breast

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# Abstract

Ductal carcinoma *in situ* (DCIS) has a good prognosis with the current treatment approach, with a 10-year breast cancer-specific survival rate of 97–98%. In ductal carcinoma *in situ* without micrometastasis, surgery and postoperative adjuvant therapy significantly improve local control, however it has been reported that the selection of the surgical procedure and adjuvant therapy does not influence breast cancer death. On the other hand, owing to widespread mammography screening, the frequency of early breast cancer detection has increased. In early breast cancer, increased incidence of DCIS is remarkable. However, there is not enough reduction of advanced cancer to match it. Problems with overdiagnosis are now being discussed all over the world. It has been reported that surgery for low-grade ductal carcinoma *in situ* does not contribute to breast cancer-specific survival. However, it is currently impossible to reliably identify a population that does not progress to invasive cancer even without treatment. Recently, a non-surgery clinical trial for low-risk ductal carcinoma *in situ* with less treatment intervention, without compromising the good prognosis obtained with the current treatment approach. This review presents an overview of the current treatment approaches, problems with overdiagnosis and potential future management strategies for ductal carcinoma *in situ* of the breast.

Key words: breast cancer, ductal carcinoma in situ, low grade DCIS, overtreatment, clinical trial

# Introduction

The prognosis of ductal carcinoma *in situ* (DCIS) is extremely good, and the 10-year cumulative breast cancer death rate has been reported to be 1.4–2.8% (National Surgical Adjuvant Breast and Bowel Project [NSABP] B-17, B-24) (1). DCIS currently accounts for about 20–25% in all new diagnosed breast cancer cases in the United States and for 17–34% in mammography (MMG)-detected cases (2). On the other hand, in Japan, A number of new primary breast cancer cases was estimated to be 90 000 according to the prediction of cancer incidence in 2016 and among these cases, DCIS was estimated to represent around 13 000 cases (3).

The basis of breast cancer treatment is local treatment with surgical therapy and radiotherapy, and systemic treatment with drug therapy for eradication of micrometastasis. In DCIS without micrometastasis, surgery and postoperative adjuvant therapy significantly improve local control; however, it has been reported that the selection of the surgical procedure (mastectomy vs breast-conserving therapy) and adjuvant therapy does not influence breast cancer death (4). Recently, it was reported that some DCIS does not become invasive cancer even without treatment (5); however, presently, such a population has not been reliably identified.

This review presents an overview of the current treatment approaches, problems with overdiagnosis and potential future management strategies for DCIS of the breast.

# Standard treatment for DCIS

# Surgery for DCIS

There are many meta-analyses and reviews on breast-conserving therapy for DCIS, and it has been shown that breast-conserving

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therapy is possible for DCIS (6–9). The indication is considered similar to that for invasive cancer. Whether to perform partial resection of the breast or mastectomy in routine practice is determined based on the localization and extent of the lesion and the possibility of mammary irradiation. If the lesion is completely resectable and cosmetic compatibility can be maintained, partial resection of the breast is selected. On the other hand, mastectomy is selected if the lesion is relatively extensive or suspected to involve multiple lesions. Additionally, although some patients are indicated for partial resection of the breast, they might prefer mastectomy and breast reconstruction rather than partial resection as partial resection might result in deformed breasts.

Because axillary lymph node metastasis is extremely rare in DCIS, axillary lymph node dissection is generally not performed. Sentinel lymph node biopsy is a minor surgery, but complications, such as numbress and edema of the upper arm, may occur. Therefore, disadvantages are considered greater for patients who have an extremely low probability of lymph node metastasis with partial resection of the breast. On the other hand, sentinel lymph node biopsy is performed when there is a high risk of mixed invasive cancer, such as a case with a wide range of lesions. In addition, sentinel lymph node biopsy is performed simultaneously with mastectomy. If the lesion is diagnosed as invasive cancer according to the pathological result after the operation, it is impossible to administer the reagent for sentinel lymph node biopsy after the mastectomy. The frequency of positive lymph node metastasis in DCIS has been reported to be 0.98-13%, but most of the cases were micrometastases or isolated tumor cells (ITCs) (10-12). It is presumed that microinvasive cancer exists, but its clinical significance is minor. Also, a retrospective cohort study on sentinel lymph node biopsy for DCIS (OSNA: one-step nucleic acid amplification assay and FS: frozen-section histology) has been reported from Japan. The OSNA

assay detected more cases of sentinel lymph node metastases than FS histology (4.2% vs 0.3%). Like overseas reports, most of the metastases were micrometastases (13).

In the selection of the surgical method, awareness of the underestimation for invasive cancer on needle biopsy is essential. It has been reported that 10-38% of cases diagnosed as DCIS by needle biopsy are underestimated and wide tumor area, palpable mass, high nuclear grade, tumor findings on MMG are listed as risk factors with mixed invasive cancer (14-23). On the other hand, in a meta-analysis of the risk factors with mixed invasive cancer after DCIS diagnosis, tumor shadow on ultrasonography (US) was reported more frequently in DCIS and it was not a risk factor with mixed invasive cancer (24). In Japan Association of Breast and Thyroid Sonology (JABTS) BC-02 study, US image classification for DCIS was reported. The most frequent findings were hypo-echoic areas in the mammary gland (48.6%), followed by solid masses (28.0%) and duct abnormalities (10.2%) or mixed masses (8.1%) (25). Specific findings of US to predict mixed invasive cancer are halo-positive masses and interruption of the interface between the adipose tissue and gland (26). A summary of the risk factors with mixed invasive cancer is presented in Table 1.

#### Radiotherapy for DCIS

There are four randomized controlled trials (RCTs) about radiotherapy for breast cancer with DCIS after partial dissection: the NSABP B-17 trial (1) European Organization for Research and Treatment of Cancer (EORTC) 10 853 trial (27), UK, Australia, and New Zealand (UK/ANZ) DCIS trial (28) and Swedish DCIS (SweDCIS) trial (29). In all these trials, radiotherapy reduced ipsilateral breast recurrence.

In the meta-analysis of the Early Breast Cancer Trialists' Collaborative Group (EBCTCG), radiotherapy reduced the absolute 10-year risk

Table 1. Predictors of invasive components in patients with preoperatively diagnosed ductal carcinoma in situ

|                        | Total no.<br>of patients | No. of underestimates of invasive cancer (%) | Predictors of invasive component  |
|------------------------|--------------------------|--|---|
| Jackman et al. (14)    | 1 326                    | 183 (14%)                                    | Mammographic mass (1.9 times as much as Calcification only, $P < 0.001$ )<br>Diagnosis by core-needle biopsy (1.9 times as much as VAB, $P < 0.001$ )   |
| Renshaw et al. (15)    | 91                       | 17 (19%)                                     | Comedo DCIS with cribriform/papillary pattern (OR: not shown, $P = 0.002$ )   |
| Hoorntje et al. (16)   | 255                      | 41 (16%)                                     | NG 3 DCIS (OR = $2.9$ , $95\%$ CI 1.0–7.8) Periductal inflammation in core biopsies (OR = $3.3$ , $95\%$ CI 1.3– $8.7$ )  |
| Yen et al. (17)        | 398                      | 80 (20%)                                     | Age $\leq 55y$ (OR = 2.19, 95% CI 1.11–4.32)<br>Mammographic size $\geq 4.0$ cm (OR = 2.92, 95% CI 1.51–5.66)<br>HG 3 (OR = 3.06, 95% CI 1.49–6.30)<br>Diagnosis by core-needle biopsy (Comparison with open biopsy, OR = 3.76, 95%<br>CI 1.46–9.63)  |
| Mittendorf et al. (18) | 85                       | 7 (20%)                                      | Diagnosis by core-needle biopsy (Comparison with open biopsy, OR: not shown)  |
| Wilkie et al. (19)     | 675                      | 66 (10%)                                     | HG 3 (OR: not shown , $P = 0.003$ )<br>Mammographic mass (OR = 2, 95% CI 1.08–4.65)   |
| Goyal et al. (20)      | 587                      | 220 (38%)                                    | Clinically palpable mass (OR = 5.09, 95% CI 3.06–8.48)<br>Mammographic mass (OR = 7.37, 95% CI 3.27–16.64)  |
| Huo et al. (21)        | 200                      | 41 (20.5%)                                   | Mass lesion on imaging (OR = 2.48, 95% CI 1.1–5.62)<br>Lesion size $\geq$ 1.5 cm (OR = 3.15, 95% CI 1.44–6.88)  |
| Miyake et al. (22)     | 103                      | 37 (35.9%)                                   | Palpable lesion (OR = $4.091, 95\%$ CI $1.399-11.959$ )<br>MRI size $\geq 2.0$ cm (OR = $4.506, 95\%$ CI $1.322-15.358$ )   |
| Park et al. (23)       | 86                       | 27 (31.4%)                                   | Palpable mass or nipple discharge (43% vs 22%; $P = 0.04$ )<br>Number of core specimens < 5 (45% vs 20%; $P = 0.011$ )<br>Mammographic size $\geq 2.5$ cm (44% vs 14%; $P = 0.022$ )<br>US size $\geq 3.2$ cm (55% vs 24%; $P = 0.009$ )<br>MRI size $\geq 3.0$ cm (48% vs 19%; $P = 0.004$ ) |

DCIS, ductal carcinoma in situ; VAB, vacuum-assisted biopsy; CNB, core needle biopsy.

|                      | 5 years | 10 years | 15 years | 20 years | Annual failure rate |
|----------------------|---------|----------|----------|----------|---------------------|
| Bp (B-17)            | 10.2    | 16.4     | 19.4     | 20.4     | 1.88                |
| Bp+RT (B-17)         | 3.2     | 5.5      | 8.9      | 13.5     | 0.9                 |
| Bp+RT+placebo (B-24) | 3.9     | 7.3      | 10       | -        | 0.88                |
| Involved margins     | -       | -        | 17.4     | -        | -                   |
| Free margins         | -       | -        | 7.4      | -        | -                   |
| Bp+RT+TAM (B-24)     | 2       | 4.6      | 8.5      | -        | 0.6                 |
| Involved margins     | -       | -        | 11.5     | -        | -                   |
| Free margins         | -       | -        | 7.5      | -        | _                   |

Table 2a. Cumulative incidence of invasive ipsilateral breast tumor recurrence (%)

Bp, partial resection of the breast; RT, radiation therapy; TAM, tamoxifen.

 Table 2b. Cumulative incidence of breast cancer death (%)

|                      | 5 years | 10 years | 15 years | 20 years |
|----------------------|---------|----------|----------|----------|
| Bp (B-17)            | 0.8     | 1.8      | 3.1      | 3.6      |
| Bp+RT (B-17)         | 1.5     | 2.8      | 4.7      | 5        |
| Bp+RT+placebo (B-24) | 0.7     | 1.7      | 2.7      | -        |
| Bp+RT+TAM (B-24)     | 0.3     | 1.4      | 2.3      | -        |

 Table 2c. Cumulative incidence of invasive contralateral breast cancer (%)

|                      | 5 years | 10 years | 15 years | 20 years |
|----------------------|---------|----------|----------|----------|
| Bp (B-17)            | 3.3     | 7.2      | 10.3     | 13.4     |
| Bp+RT (B-17)         | 3.2     | 7.9      | 10.2     | 11.8     |
| Bp+RT+placebo (B-24) | 3.6     | 6.9      | 10.8     | -        |
| Bp+RT+TAM (B-24)     | 2.4     | 4.7      | 7.3      | -        |

of any ipsilateral breast recurrence (either recurrent DCIS or invasive cancer) by 15.2% (SE 1.6%, 12.9% vs 28.1%, 2P < 0.00001) (30). However, there was no significant effect on breast cancer mortality, mortality from causes other than breast cancer, or all-cause mortality after 10 years of follow-up. Furthermore, detailed results of the NSABP B-17 (1) and B-24 trial (1,31), which involved validation of tamoxifen (described later), are presented in Table 2a–c.

#### Endocrine therapy for DCIS

The NSABP B-24 (1,31) and UK/ANZ trials (28) reported on the effectiveness of tamoxifen after partial dissection of the breast with DCIS. The efficacy of tamoxifen was demonstrated in both trials.

In the NSABP B-24 trial, 1 804 patients with DCIS were assigned to a group receiving oral administration of tamoxifen (20 mg/day) for 5 years and a placebo group after partial dissection of the breast with radiotherapy. The median follow-up period was 163 months. The 15-year cumulative incidences of ipsilateral invasive breast tumor recurrence were 8.5% in the tamoxifen group and 10.0% in the placebo group (HR = 0.68, 95% CI: 0.49-0.95, P = 0.025). In addition, the 15-year cumulative incidences of contralateral breast cancers were 7.3% in the tamoxifen group and 10.8% in the placebo group (HR = 0.68, 95% CI: 0.48-0.95, P = 0.023). There were no significant differences in the 15-year cumulative incidence of all-cause mortality and breast cancer mortality between the two groups (1,31). Patients with ER-positive DCIS treated with tamoxifen (vs placebo) showed a significant decrease in the incidence of subsequent breast cancer (HR = 0.64, P = 0.003) (32). In addition, the effect of tamoxifen was more pronounced in patients with positive resection margins. In the UK/ANZ trial, 1701 patients with

DCIS were assigned to the following four groups: partial dissection of the breast alone, partial dissection of the breast + radiotherapy, partial dissection of the breast + tamoxifen, and partial dissection of the breast + radiotherapy + tamoxifen. The median follow-up period was 12.7 years. Ipsilateral breast tumor recurrence (13.2% vs 17.0%, HR = 0.77, 95% CI: 0.59–0.98, P = 0.04) and contralateral breast cancer incidence (0.9% vs 3.1%, HR = 0.27, 95% CI: 0.12–0.59, P = 0.001) were both lower in the partial dissection of the breast + tamoxifen group than in the partial dissection of the breast alone group. There were no significant differences in the death rate across the treatment groups (28,33).

Adverse events of tamoxifen were reported in the NSABP B-24 trial (31). The adverse events were hot flashes (placebo group vs tamoxifen group, 59.0% vs 69.6%), vaginal secretion (20.0% vs 32.4%), deep venous thrombosis (0.2% vs 1.0%), and endometrial carcinoma ( $0.45/1\ 000$  person-years vs  $1.53/1\ 000$  person-years), and these events were more frequently noted in the tamoxifen group than in the placebo group. However, there was no case of fatal pulmonary embolism or death from endometrial cancer. Studies have reported that local control improves with the inclusion of endocrine therapy after partial dissection of the breast, but its effect is larger in breast irradiation (1,28,31,33). For patients who are scheduled for radiotherapy, it is necessary to consider whether its administration is appropriate based on the possibility of risk of recurrence and side effects.

Two double-blind RCTs of anastrozole versus tamoxifen for postmenopausal women with ER-positive DCIS after partial breast excision have been performed (34,35).

In the IBIS-II trial (34), the median follow-up period was 7.2 years. There was no statistically significant difference in overall recurrence between anastrozole and tamoxifen (67 recurrences for anastrozole vs 77 for tamoxifen; HR = 0.89, 95% CI: 0.64-1.23). The noninferiority of anastrozole was established (upper 95% CI < 1.25), but its superiority to tamoxifen was not established (P = 0.49). In the NSABP B-35 trial (35), breast cancer-free interval events were as follows: 122 in the tamoxifen group and 90 in the anastrozole group (HR = 0.73, 95% CI: 0.56–0.96, P = 0.0234). Additionally, there was a significant interaction between treatment and age group (P =0.0379), with anastrozole showing superiority only in women younger than 60 years of age. Endocrine therapy with tamoxifen (for premenopausal and postmenopausal women) or an aromatase inhibitor (for postmenopausal women especially those under 60 years of age or those with concerns of embolism) may be considered as a strategy to reduce the risk of ipsilateral breast cancer recurrence in women with ER-positive DCIS treated with breast-conserving therapy (Category 1 for those undergoing breast-conserving surgery followed by radiation therapy and Category 2A for those undergoing excision alone according to the NCCN Guidelines version 2.2016) (36).

|                   | Risk factors  |
|-------------------|---|
| Age               | <40 y (HR = 3.2 (vs ≥ 61 y)) Siverstein (43)<br>≤40 (HR = 1.89, 95% CI 1.12–3.19) Bijker<br>et al. (27) |
|                   | <45 y (HR = 2.14, 95% CI 1.40–3.26)   |
|                   | Wapnir et al. (1)   |
| NG: nuclear grade | NG $3>$ NG 1 or 2 (HR = 2.2 (vs NG 1))  |
|                   | Siverstein (43)   |
|                   | (HR = 1.76, 95% CI 1.23–2.52) Warren  |
|                   | et al. (37), (HR = 2.0, 95% CI 1.3–3.1) Li<br>et al. (38)   |
| Comedo necrosis   | Presence of comedo necrosis (HR = $1.4, 95\%$   |
|                   | CI 1.1-1.7) (Li et al. (38); Fisher et al. (39))  |
| Tumor size        | $\geq 2 \text{ cm} (\text{HR} = 1.54, 95\% \text{ CI } 0.98-2.44)$                                      |
|                   | Warren et al. (37)  |
|                   | $\geq$ 4.1 cm (HR = 3.3 (vs $\leq$ 1.5 cm)) Siverstein (43)   |
| Margin width      | <1  mm (HR = 2.54, 95% CI 1.25–5.18)  |
|                   | Silverstein et al. (40)   |
|                   | $\leq 1 \text{ mm} (\text{HR} = 1.84, 95\% \text{ CI } 1.32-2.56)$                                      |
|                   | Bijker et al. (27)  |
| HER 2 status      | HER2 posite (HR: not shown) (Kepple et al.  |
|                   | (41), Bames et al. (42))  |

**Table 3.** Risk factors of ipsilateral breast tumor recurrence after breast-conserving therapy for ductal carcinoma *in situ*

Table 4. The USC/Van Nuys Prognostic Index scoring system (43)

| Score                     | 1            | 2            | 3              |
|---------------------------|--------------|--------------|----------------|
| Size (mm)                 | ≦15          | 16-40        | ≧41            |
| Margin width (mm)         | ≧10          | 1–9          | <1             |
| Pathologic classification | NG 1, 2      | NG 1, 2      | NG 3           |
| -                         | Necrosis (-) | Necrosis (+) | Necrosis (-/+) |
| Age (year)                | >60          | 40–60        | <40            |

#### Prognostic factors after surgery

We have presented the factors that indicate a high risk of local recurrence after breast-conserving therapy for DCIS in Table 3. Young age, high nuclear grade, presence of comedo necrosis, large tumor size, close to margin and human epidermal growth factor receptor Type 2 (HER 2) positive have been reported as high risk factors for local recurrence (1,27,37-43). A prognostic index after DCIS surgery created by combining these local recurrence risk factors has been developed. In the University of Southern California/Van Nuys prognostic index (USC/VNPI), each factor is scored, and the total score is used for assisting in the determination of postoperative adjuvant therapy use (43), Table 4. The USC/VNPI divides DCIS into three groups with a statistically significant risk of local recurrence after breast conservation therapy. It recommends treatment choice for each group as follows; excision only for patients with scores of 4, 5 or 6, excision plus radiation therapy for patients with scores of 7, 8 or 9, and mastectomy for patients with scores of 10, 11 or 12.

#### Overdiagnosis and overtreatment for DCIS

#### Natural history of DCIS

The natural history of DCIS has not been well elucidated, because follow-up after DCIS diagnosis with no treatment is not always possible. DCIS was noted in 8.9% (0–14.7%) of cases in a survey of autopsy cases of women who died of other diseases (40–70 years of age) (44). According to a review of follow-up studies of DCIS patients who were not treated owing to misdiagnosis as benign in the initial biopsy, 14–53% of DCIS cases progressed to invasive cancer (5), indicating that about 50% of DCIS cases do not progress to invasive cancer.

Furthermore, Eusebi et al. classified DCIS in nuclear morphology and examined the prognosis of each. It has been reported that DCIS with pleomorphic nuclei (poorly differentiated cyto-nuclear morphology) progressed to invasive carcinoma more often than monomorphic nuclei (well-differentiated cyto-nuclear morphology), and that OS was poor (P = 0.0002) (45). Additionally, among the cases of DCIS with pleomorphic nuclei (n = 14), six cases of IDC were confirmed, and all of these showed cancer death. However, among the cases of DCIS with monomorphic nuclei (n = 66), five cases of IDC were confirmed, and only one showed breast cancer death, while the other four cases were associated with other causes (45).

These findings indicate that the growth rate of low-intermediate grade DCIS is extremely slow even in cases of proliferation; therefore, the patient might die from other diseases and the condition might not affect prognosis.

#### **Overdiagnosis for DCIS**

Overdiagnosis produces overtreatment, resulting in patient's quality of life declining. These are now being discussed all over the world. Welch et al. defined overdiagnosis as follows: overdiagnosis is the term used when a condition is diagnosed that would otherwise not go on to cause symptoms or death. Cancer overdiagnosis may have of one of two explanations: (1) The cancer never progresses (or, in fact, regresses) or (2) the cancer progresses slowly enough that the patient dies of other causes before the cancer becomes symptomatic (46).

Owing to widespread MMG screening, the frequency of early breast cancer detection has increased. In early breast cancer, increased incidence of DCIS is remarkable.

However, there is not enough reduction of advanced cancer to match it in the United States (47). Recently, research on the tumor size distribution, tumor incidence and tumor size mortality of breast cancer in women over 40 years old has been conducted using data from the SEER program from 1975 to 2012. On comparing the period from 1975 to 1979 and the period from 2008 to 2012, the authors found that the incidence of large tumors decreased by 30 cases of cancer per 100 000 women and the incidence of small tumors increased by 162 cases of cancer per 100 000 women. Among them, DCIS increased remarkably from 10 to 79 cases of cancer per 100 000 women (48). Also, UK research team reported that if 10 000 women aged 50 years received breast cancer screening for 20 years, 43 cases of breast cancer death would be prevented but 129 cases of overdiagnosis would occur (49). On the other hand, retrospective study was conducted to estimate the amount of overdiagnosis by DCIS grade for 4232 women diagnosed with DCIS in the Netherlands from 2007 to 2009.

Overdiagnosis rate was 61% for low-grade, 57% for intermediate-grade, 45% for high-grade DCIS. It has been reported that the overdiagnosis rate was relatively low at 21-29% in younger age and high-grade DCIS, while it was high at 46-66% in low-intermediate grade DCIS (50).

In overdiagnosis, it is reported that the following third definition can be considered in addition to the above two definitions; the cancer needs to be treated someday in her lifetime, but it does not affect the treatment method or prognosis without treatment immediately (51). Low risk DCIS is likely to be categorized as the above definition of overdiagnosis, and high risk DCIS and small invasive cancer of luminal A type are considered to be possibly classified as the third definition.

#### Overtreatment for DCIS

A study on omission of breast irradiation of DCIS completely resected using strictly controlled criteria was reported in a single Japanese facility (52). The incidences of ipsilateral and contralateral breast cancer and ipsilateral invasive breast cancer at 10 years were 10.8%, 9.1% and 3.6%, respectively. No patient died of breast cancer. They reported that If complete resection of DCIS can be ensured, the annual incidence of ipsilateral breast cancer, even without irradiation, can be limited to approximately 1%, which equals the incidence of contralateral breast cancer.

The Radiation Therapy Oncology Group (RTOG) 9804 trial (53,54) is the only RCT to verify the efficacy of radiotherapy after partial resection of the breast for low-risk DCIS. The Eastern Cooperative Oncology Group (ECOG) 5194 trial is a single-arm trial (55). The eligibility criteria of the two trials were almost the same (NG 1, NG 2, tumor diameter less than 2.5 cm, and negative for resection margin). In the RTOG 9804 trial, at 7 years, the ipsilateral local failure rates were 0.9% (95% CI: 0.0-2.2%) in the RT arm and 6.7% (95% CI: 3.2–9.6%) in the observation arm (HR = 0.11, 95% CI: 0.03–0.47, P < 0.001). The OS and DFS rates were not different between the two arms. In the ECOG 5094 trial, the 5year rate of ipsilateral breast events in 565 eligible patients in the low/intermediate grade stratum was 6.1% (95% CI: 4.1-8.2%). From these results, it was confirmed that the life prognosis of low risk DCIS was extremely good regardless of whether or not radiation therapy was enforced. The possibility of omission of radiation therapy may also be suggested to reduce overtreatment of DCIS.

Recently, it was reported that low-grade DCIS showed no difference in the breast cancer-specific survival rate with or without surgery. Previously, a retrospective longitudinal cohort study was performed using the Surveillance Epidemiology and End Results database (56).

Between 1988 and 2011, 57 222 eligible cases of DCIS were identified. Patients were divided into a surgery or non-surgery group, and propensity score weighting was used to balance clinico-pathologic factors between the groups. The weighted 10-year BCSS of low-grade DCIS was similar between patients managed with and those managed without surgery (98.8% vs 98.6%, respectively; P = 0.93). This is a very important report on whether the current standard treatment (surgery) can be omitted. However, this was a retrospective study, and at present, no clinical trials have validated the usefulness of a non-surgical approach for DCIS.

## Awareness of DCIS in patients

A previous survey was conducted on 394 healthy women in the United States of America, and they were asked 'Which approach among surgery, medication, and active surveillance would you choose if you are diagnosed with DCIS?' (57). In this scenario, the alternative expression of DCIS was presented as 'non-invasive breast cancer also called pre-invasive breast cancer,' 'breast lesion also called pre-invasive breast cancer,' or 'abnormal cells also called pre-invasive breast cancer,' endocrine therapy or active surveillance) was nearly 70% chosen when not using the

word 'cancer'. However, even with the word 'non-invasive cancer,' 53% of women selected treatments other than surgery.

Although DCIS has a very good prognosis, the psychological impact of the word 'cancer' is considered large. The word 'cancer' has the power to directly remind us of 'death'. Therefore, neither patients nor doctors are worried that they will not perform surgery even in 'non-invasive cancer'. We select treatments similar to those for invasive cancer. In addition, patients feel not only fear of recurrence against cancer but also feeling sorrow, anger and alienation, making it difficult to correctly understand diseases and present their own wishes. However, by presenting the patient with information such as extremely good prognosis and benefits/disadvantages due to each treatment methods, they can more reliably make treatment choices according to their wishes.

Recently, a similar study was reported in Australia. Likewise, preference for non-surgery (active surveillance) was 67% in 'abnormal cells' group and 60% in 'pre-invasive breast cancer cells' group (58).

As the above reports show, it is important to note that nearly half of the women want to avoid surgery if they have a good prognosis after the diagnosis of DCIS.

# **Future perspectives**

In recent clinical practice, the following treatments are common; partial resection of the breast without lymph node surgery + whole

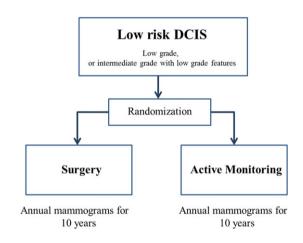
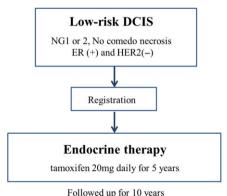


Figure 1. LORIS trial.



MMG and US every 6 months for the first year, every year thereafter

Figure 2. JCOG 1505 (LORETTA trial).

breast radiation therapy, mastectomy with sentinel node biopsy. Regarding the administration of endocrine therapy for patients with ER-positive breast cancer, we discuss the merit of reducing the risk of recurrence and the disadvantage of side effects with patients and decide whether or not to administer them.

However, in order to reduce the harm caused by overdiagnosis and overtreatment in the future, we must now consider various approaches. To reduce overdiagnosis, review of the close examination required criteria is necessary in screening MMG. In addition, development of minimally invasive treatment is urgently needed for avoiding overtreatment. From the result of RTOG 9804 trial and ECOG 5194 trial, the possibility of omission of radiation therapy may also be suggested to reduce overtreatment of DCIS.

Furthermore, clinical trials of omission of surgery have also begun. In Europe, two non-inferiority studies are attempting to compare active surveillance (no treatment) to standard therapy, including surgery, for low-risk DCIS. In April 2014, the LORIS trial (59,60), Figure 1 started registration in the UK, while the LORD trial (61,62) in the Netherlands is preparing to register as of November 2016. Furthermore, in Japan, a single-arm confirmatory trial of endocrine therapy alone for estrogen receptor-positive, lowrisk DCIS of the breast is planned, and registration is scheduled to start in June 2017 (JCOG 1505: LORETTA trial) (Fig. 2).

# Conclusion

DCIS has an extremely good prognosis, and it is reported that some DCIS cases do not progress to invasive cancer even without treatment. However, presently, it is not possible to reliably identify a population that does not progress to invasive cancer even without treatment.

It is necessary to establish new less invasive treatment strategies for DCIS without compromising the good prognosis obtained with the current treatment approach.

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# **Conflict of interest statement**

None declared.

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