

CORRESPONDENCE

Molecular predictors of *EGFR*-mutant NSCLC transformation into LCNEC after frontline osimertinib: digging under the surface



With the introduction of the third-generation tyrosine kinase inhibitor (TKI) osimertinib for the first-line treatment of epidermal growth factor receptor (*EGFR*)-mutant non-small-cell lung cancer (NSCLC), understanding underlying mechanisms of resistance becomes crucial in order to optimize treatment strategy. Histological transformation towards both small-cell lung cancer (SCLC) and squamous cell carcinoma have been described as resistance patterns featuring peculiar molecular landscapes, potentially detectable at diagnosis and becoming clinically evident after clonal selection and disease evolution.^{1,2} To date, only anecdotal reports have described the histological transformation to large-cell neuroendocrine carcinoma (LCNEC) after *EGFR* TKIs, but no data on molecular profiling have been reported.

An 80-year-old Caucasian man, former light smoker, presented in January 2019 with persistent fever, despite antibiotic therapy. A total-body computed tomography (CT) scan and a fluorine-18 fluorodeoxyglucose positron emission tomography revealed a right upper lobe mass, with bilateral hilar and mediastinal lymph nodes, and a single bone lesion at the sternal body. In March 2019, biopsy of both the lung mass and the sternal lesion were diagnostic for lung adenocarcinoma (stage IVa; cT2aN3M1b), harboring an *EGFR* exon 19 deletion (*E746_A750del*). Next-generation sequencing (NGS) (FoundationOne CDx, Penzberg, Germany) confirmed the presence of an *EGFR* exon 19 deletion and revealed *TP53* (tumor protein p53), *RB1* (retinoblastoma), *PTEN* (phosphate and tensin homolog gene) and *CHEK2* (checkpoint kinase) mutations, as well as *NKX2-1* (*NK2* homeobox 1 gene) and *TERC* (telomerase RNA component) amplification. From March 2019 to May 2020, he received first-line osimertinib 80 mg once daily, experiencing partial response (PR) as best response, with a progression-free survival of 15 months. In May 2020, the CT scan revealed bilateral enlargement of internal mammary lymph nodes; re-biopsy revealed metastasis of neuroendocrine carcinoma characterized by medium and large cells, supporting the diagnosis of LCNEC. NGS analysis of the new disease site showed the same genomic alterations detected at baseline, with the addition of *AKT* and *BCL2L2* (*Bcl-2*-like 2) amplification. Osimertinib was interrupted and the patient was treated with carboplatin-etoposide, experiencing PR after 3 months (Figure 1).

To the best of our knowledge, this is the first report of transformation into LCNEC after first-line osimertinib. Tissue-based molecular profiling performed at baseline and at disease progression suggests that LCNEC transformation might be triggered, and potentially predicted, by molecular

mechanisms similar to those observed during SCLC evolution, i.e. *TP53* and *RB1* inactivation.³ In addition, NGS analysis revealed the presence of molecular alterations frequently detected in LCNEC, such as *PTEN* mutation and *NKX2-1* amplification.⁴

Recent data indicate that intrinsic heterogeneity and pre-existent subclones might predict the main mechanism(s) leading to osimertinib resistance at later timepoint.⁵ In this specific case, we might speculate that a subclonal cell population harboring molecular biomarkers of neuroendocrine differentiation present at the baseline and not detectable upon conventional histological examination, underwent progressive clonal evolution under the selective pressure of *EGFR* TKI treatment, finally leading to a pathological transition towards high-grade LCNEC. Baseline molecular heterogeneity, particularly the presence of molecular stigmata of potential transformation into high-grade neuroendocrine carcinoma (*TP53* and *RB1* alterations), might support the upfront application of broad, NGS-based, genomic profiling and would constitute a potential biological rationale for treatments combining chemotherapy and *EGFR* TKIs in such cases.⁵

L. Belluomini¹, A. Calio², R. Giovannetti³, M. Motton⁴,
R. Mazzarotto⁵, C. Micheletto⁶, M. V. Infante³, A. Scarpa²,
M. Milella^{1†*} & S. Pilotto^{1†}

¹Section of Oncology, Department of Medicine,
University of Verona School of Medicine and Verona
University Hospital Trust, Verona;

²Section of Pathology, Department of Diagnostic and Public
Health, University of Verona School of Medicine and Verona
University Hospital Trust, Verona;

³Thoracic Surgery Department,
University of Verona School of Medicine and Verona
University Hospital Trust, Verona;

⁴Radiology Department,
University of Verona School of Medicine and Verona
University Hospital Trust, Verona;

⁵Section of Radiotherapy, Department of Surgery and
Oncology, University of Verona School of Medicine and
Verona University Hospital Trust, Verona;

⁶Pulmonary Unit, University of Verona School of Medicine
and Verona University Hospital Trust, Verona, Italy
(*E-mail: michele.milella@univr.it).

†Equal contribution (these authors share last
co-authorship).

Available online xxx

© 2020 The Authors. Published by Elsevier Ltd on behalf of
European Society for Medical Oncology. This is an open
access article under the CC BY-NC-ND license ([http://
creativecommons.org/licenses/by-nc-nd/4.0/](http://creativecommons.org/licenses/by-nc-nd/4.0/)).

<https://doi.org/10.1016/j.esmoop.2020.100028>

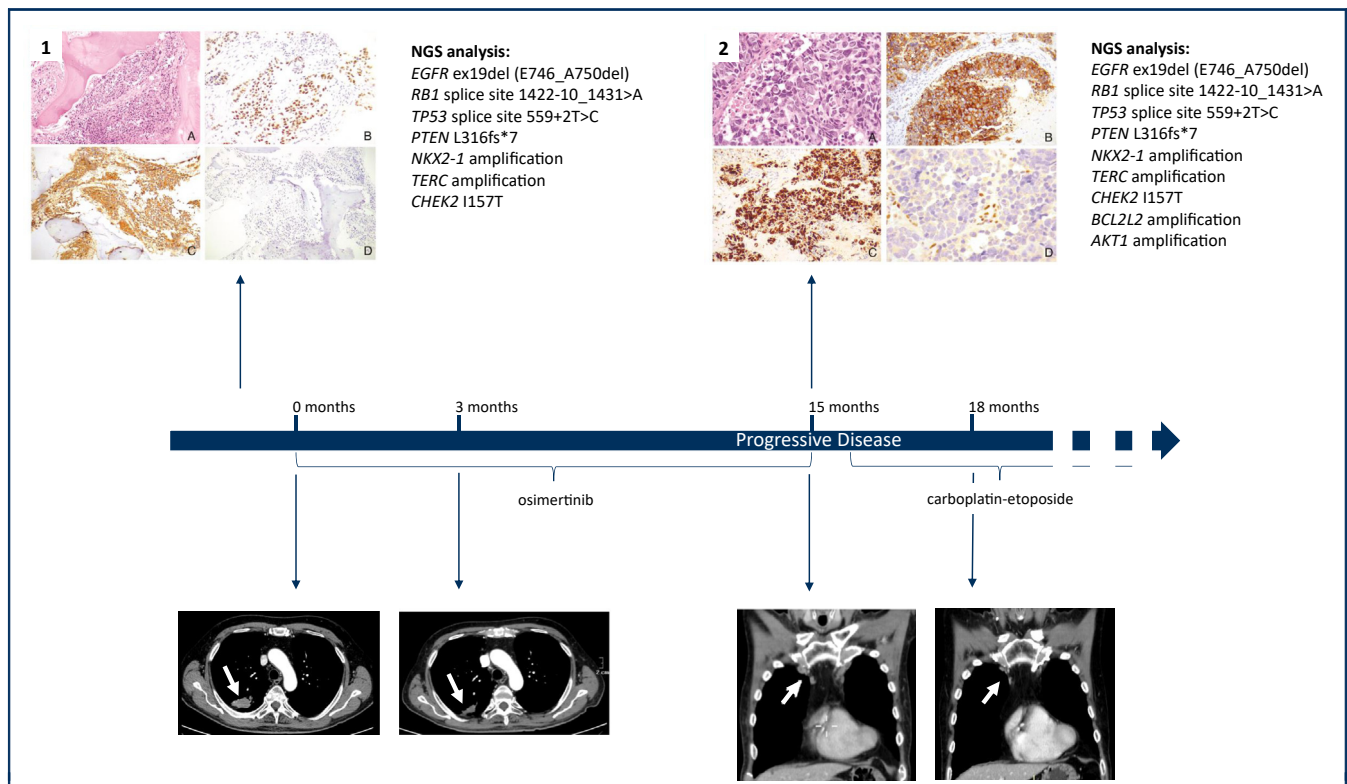


Figure 1. Disease evolution during treatment and clinical history.

Upper panel: morphological, immunohistochemical and NGS features of diagnostic biopsy (1) and of biopsy taken at progression (2). (1) Bone metastasis of lung adenocarcinoma on hematoxylin–eosin (A), with positive immunolabelling for TTF1 (B) and napsin (C), and negative for synaptophysin (D). NGS analysis was performed on the lung biopsy specimen. (2) Lymph node metastasis of large cell neuroendocrine carcinoma on hematoxylin–eosin (A); immunostaining for synaptophysin (B) and Ki67 (C); loss of staining for Rb in the neoplastic cells with internal positive control cells (D). Lower panel: on the left, CT scan images show primary right upper lobe mass before and after 3 months of osimertinib, with evidence of partial response. On the right, a new CT scan reveals disease progression at bilateral internal mammary lymph nodes; radiological evaluation demonstrates PR after 3 months of carboplatin-etoposide. Arrows indicate target lesions.

BCL2L2, Bcl-2-like 2; *CHEK2*, checkpoint kinase; *EGFR*, epidermal growth factor receptor; *NGS*, next-generation sequencing; *NKX2-1*, NK2 homeobox 1 gene; *PTEN*, phosphate and tensin homolog gene; *Rb*, retinoblastoma protein; *RB1*, retinoblastoma gene; *TERC*, telomerase RNA component; *TP53*, tumor protein p53.

FUNDING

None.

DISCLOSURE

M. Milella reports personal fees from Pfizer, AstraZeneca, EUSA Pharma, outside the submitted work. S. Pilotto reports personal fees from AstraZeneca, BMS, Roche, MSD, Boehringer Ingelheim, outside the submitted work. All other authors have declared no conflicts of interest.

REFERENCES

1. Lee JK, Lee J, Kim S, et al. Clonal history and genetic predictors of transformation into small-cell carcinomas from lung adenocarcinomas. *J Clin Oncol*. 2017;35:3065-3074.
2. Schoenfeld AJ, Chan JM, Kubota D, et al. Tumor analyses reveal squamous transformation and off-target alterations as early resistance mechanisms to first-line osimertinib in EGFR-mutant lung cancer. *Clin Cancer Res*. 2020;26:2654-2663.
3. Offin M, Chan JM, Tenet M, et al. Concurrent RB1 and TP53 alterations define a subset of EGFR-mutant lung cancers at risk for histologic transformation and inferior clinical outcomes. *J Thorac Oncol*. 2019;14:1784-1793.
4. Derks JL, Leblay N, Lantuejoul S, Dingemans AC, Speel EM, Fernandez-Cuesta L. New insights into the molecular characteristics of pulmonary carcinoids and large cell neuroendocrine carcinomas, and the impact on their clinical management. *J Thorac Oncol*. 2018;13:752-766.
5. Roper N, Brown AL, Wei JS, et al. Clonal evolution and heterogeneity of osimertinib acquired resistance mechanisms in EGFR mutant lung cancer. *Cell Rep Med*. 2020;1:100007.