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# Prediction of the Limiting Flux and Its Correlation with the Reynolds Number during the Microfiltration of Skim Milk Using an Improved Model

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**Abstract:** Limiting flux ( $J_L$ ) determination is a critical issue for membrane processing. This work presents a modified exponential model for  $J_L$  calculation, based on a previously published version. Our research focused on skim milk microfiltrations. The processing variables studied were the crossflow velocity (CFV), membrane hydraulic diameter ( $d_h$ ), temperature, and concentration factor, totaling 62 experimental runs. Results showed that, by adding a new parameter called minimum transmembrane pressure, the modified model not only improved the fit of the experimental data compared to the former version ( $R^2 > 97.00\%$ ), but also revealed the existence of a minimum transmembrane pressure required to obtain flux (J). This result is observed as a small shift to the right on J versus transmembrane pressure curves, and this shift increases with the flow velocity. This fact was reported in other investigations, but so far has gone uninvestigated. The  $J_L$  predicted values were correlated with the Reynolds number (I) for each I0 for each I1 to the uninvestigated as I2 increased as I3 decreased; in a wide range of I4 within the turbulent regime. Finally, from dimensionless correlations; a unique expression I5 (I6, I7) was obtained; predicting satisfactorily I7. (I8 = 84.11%) for the whole set of experiments

**Keywords:** hydraulic diameter; limiting flux; Reynolds number; skim milk; microfiltration; ceramic membranes

#### 1. Introduction

The fractionation of milk compounds prior to their use is an interesting approach to obtain a maximum profits in the milk industry [1]. This approach is a good use of the fractions obtained from the milk and provides a base for the development of new products with functional properties [2]. For example, to concentrate casein micelles by microfiltration (MF) involves obtaining "native whey" in permeate. This process is gaining more attention from dairy producers because it reduces the large

amounts of whey obtained during cheese making. Instead, the production of "native whey" leads to a more sustainable process because the whey has not suffered chemical modifications. Unlike the whey obtained from cheese making, native whey has no presence of added mineral salts, enzymes, and other process additives [3] that hamper the filtration process. In addition, soluble proteins such as  $\beta$ -lactoglobulin and  $\alpha$ -lactoalbumin are in their native state. Therefore, native whey is considered the best starting point for obtaining proteins with intact functional properties [4].

Due to the "flux paradox", experimental research on membrane technology is a must to predict proper operating conditions because the gel polarization model underpredicts the flux by 1 to 2 orders of magnitude in colloidal suspensions, hindering the selection of operating conditions that meet the needs of large-scale production [5]. This fact has led for the proposal of several models in order to better understand the mechanisms underlying a microfiltration process and to obtain more accuracy in flux prediction [5–8]. However, most of these models are only valid for some specific particle sizes and/or laminar flow ranges. The last does not reflect the reality since it is well known that microfiltration processes in the dairy industry are principally carried out in a turbulent regime [9]. Therefore, extreme care must be exercised to check the specifics of the case and compare these with the respective model assumptions [10].

To address the abovementioned difficulties, prediction of the limiting flux  $(J_L)$  is a practical way to set up membrane operations. J<sub>L</sub> corresponds to the maximum flux value obtained under some processing conditions that cannot be increased further by increasing the transmembrane pressure  $(\Delta P_T)$ . A side effect of this condition is cake compaction, leading to fast flux decline and irreversible fouling [11]. On the other hand, the critical flux (Jc) theory proposed by Field et al. (1995) describes a condition where, despite reaching a lower initial flux value compared to the J<sub>L</sub>, irreversible fouling does not form on the membrane, and the operation performance is improved [12]. Based on this theory, Astudillo-Castro (2015) proposed an exponential model describing the nonlinear behavior of flux versus  $\Delta P_T$  [13]. The model predicts the  $J_L$  and  $J_C$  along with the  $\Delta P_T$  values at which they occur. It has been applied at different concentration factors and temperatures during the concentration of casein micelles by microfiltration [13] as well for the purification of prebiotic oligosaccharides by nanofiltration [14,15], providing an operational criterion that allows a stable flux. Regardless, it may be impractical to use this model at low  $\Delta P_T$ , as deviations between the experimental and predicted values have been observed. Normally, a shift in the J versus  $\Delta P_T$  curve is expected when the osmotic pressure of the retained particles begins to become significant, as occurs in nanofiltration processes. However, milk proteins that are retained in microfiltration with 0.1 to 0.2 μm membranes would not generate such an effect because its osmotic pressures is negligible, and therefore, it is assumed that if J = 0, then  $\Delta P_T = 0$ . However, it has been observed that the J versus  $\Delta P_T$  curves for milk microfiltration do not necessarily start at that origin, instead there is a slight shift to the right. This phenomenon can be also observed directly from results presented by other authors during skim milk microfiltrations, as well as by extrapolation of the curves at low  $\Delta P_T$  [9,16–21]. In practical terms this implies the existence of a minimal transmembrane pressure  $(\Delta P_T)_{min}$ , even small, that must be applied to achieve permeate flux in microfiltrations. The best to our knowledge, this  $(\Delta P_T)_{min}$  has not been reported.

On the other hand, since flux also strongly depends on the hydrodynamic conditions, another practical approach for predicting the  $J_L$  is by its relationship with dimensionless numbers such as the Reynolds number (Re) [22]. Under laminar flow (Re < 2100) and constant CFV, a decrease in  $d_h$  increases the wall shear stress, which may result in an increased  $J_L$ ; however, there is not a simple relationship between the wall shear stress as a function of the CFV and  $d_h$  for turbulent flows such as those commonly used in skim milk microfiltration [20]. The unstable mixing of the fluid within the flow channel leads to differences in the way in which back-diffusion occurs [23]. When examining this subject further, the literature shows that previous researchers have found that the  $J_L$  is a linear function of the Re, but those works were performed by keeping the  $d_h$  constant [9,24,25]. Later, Hurt et al. (2015b) evaluated the effect of the  $d_h$  (3 mm and 4 mm) with respect the  $J_L$  obtained during skim milk microfiltration [20]. Results showed that the  $J_L$  was significantly lower for the 3 mm compared

with that for the 4 mm  $d_h$  membranes, regardless of the protein concentration. Such differences were explained because different CFVs were used in each test (5.5 m/s and 7 m/s for the 3 and 4 mm  $d_h$  membranes, respectively) since the system was set for operating with a constant pressure drop, resulting in the CFV as a function of the  $d_h$ . Despite the value of this work,  $J_L$  values were obtained by graphical representations instead of a model. The predictive  $J_L$  exponential model [13] proposed by Astudillo-Castro (2015) turns out to be practical, but in light of the aforementioned discussion, several research questions are raised: What drive the displacement of the J vs.  $\Delta P_T$  curves resulting on the appearance of a  $\Delta P_{T,min} > 0$ ? How much the robustness of the  $J_L$  prediction improve by including  $\Delta P_{T,min}$  (offset in the *x*-axis) in the model previously reported? Despite several works that have related  $J_L$  and Re by empirical equations, what is the relationship between  $J_L$  and Re? Are a function type  $J_L = f$  (Re) enough to describe the phenomena such as was previously reported?

The objectives of this research were to assess the modification of the  $J_L$  prediction model proposed by Astudillo-Castro (2015) by considering the displacement of the operational curves from the origin on skim milk microfiltrations performed at different processing conditions, that is, flow, temperature, concentration factor, and  $d_h$ . Our second objective was to study if there is a single relationship between the  $J_L$  (obtained by the modified exponential model) and the Re regardless of the  $d_h$  of the membrane and to identify the hydrodynamic parameters that cause this eventual relationship. Finally, we aimed to deliver a single expression for predicting the  $J_L$  for the entire set of conditions studied.

#### 2. Materials and Methods

#### 2.1. Materials

A Membralox T1-70 module (Pall) for ceramic membranes (0.14  $\mu$ m, Tami) of 10 mm in external diameter and 25 cm in length was used. Three hydraulic diameters (d<sub>h</sub>) of 2, 3.6, and 6 mm were tested, and the filtration areas for these membranes were 0.01090 m², 0.00940 m², and 0.00147 m², respectively. The membrane area differences are due the number of channels in each one, as detailed in Table 1. Figure 1 shows the experimental setup.

Pump	ΔP <sub>T</sub> Working	Average Flow,	Average Cross Flow CFV (m/s)			
	Range (bar)	Q (L/min)	d <sub>h</sub> = 2 mm (7 Channels)	d <sub>h</sub> = 3.6 mm (3 Channels)	d <sub>h</sub> = 6 mm (1 Channel)	
0711	0.02-0.7	2.01 *	1.52	1.10	1.18	
2511	0.1 - 1.5	5.31 *	4.02	2.90	3.13	
411	0.1 - 1.8	7.85 *	5.95	4.28	4.63	

Table 1. Flow and crossflow velocity (CFV) for each pump and hydraulic diameter.

(\*) Values experimentally measured and previously reported by Astudillo-Castro (2015).

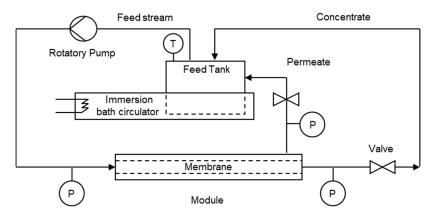


Figure 1. Full recirculation mode setup. P and T are the pressure and temperature gauges, respectively.

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Three rotatory vane pumps (Fluid o-Tech) were used in order to obtain different flows inside the module. The experiments were carried out under constant flow conditions. Table 1 shows the information on the flow (Q) provided by the pumps and the CFV. Additionally, an analytical balance (Radwag, WTB 2000, Radom, Poland) connected to a computer recorded the measuring permeate mass, in order to compute flux (J) in terms of  $L/(m^2 \cdot h)$ .

The membrane resistance was calculated from plotting (J) flux versus  $\Delta P_T$  data collected from a tests using deionized water at 50 °C and fitted to the classic resistance model [26] in Equation (1):

$$J = \frac{\Delta P_{\rm T}}{\mu \cdot R_{\rm M}} \tag{1}$$

where  $\Delta P_T$  is the transmembrane pressure (Pa),  $\mu$  is the water viscosity at 50 °C (Pa·s), and  $R_M$  is the membrane resistance (m<sup>-1</sup>). For the new membranes, the average membrane resistance values were  $4.57 \cdot 10^{11}$  m<sup>-1</sup>,  $9.48 \cdot 10^{11}$  m<sup>-1</sup>, and  $5.43 \cdot 10^{11}$  m<sup>-1</sup> for the  $d_h$  values of 2, 3.6, and 6 mm, respectively. Moreover, in Supplementary Materials (Table S1), the properties and Re for water are given.

Commercial low heat skimmed milk powder (Hormel Foods, MN, USA) was used for preparing all milk solutions with different total protein concentrations (1.5, 3, 4.5, and 9% w/w), thus representing different concentration factors (CF) of 0.5, 1.0, 1.5, and 3.0, respectively (for example, CF = 0.5 corresponds to diluted skim milk with half of the solids and casein concentration in regular skim milk). All of these milk solutions were reconstituted [13] with deionized water (<5  $\mu$ S/cm). The average particle diameter for the reconstituted skim milk was determined using a laser diffraction (Mastersizer X, Malvern Instruments, 0.63  $\mu$ m laser wavelength, MSX1, UK). Table 2 summarizes the physical properties of each milk solution. The density was measured as stated elsewhere, and the viscosity was determined on a DV - II + Pro Brookfield viscometer (Middleboro, MA, USA). For preventing the proliferation of microorganism's, samples were treated with sodium azide (0.1%). All properties were measured in triplicate.

Total Protein (% w/w)	1.5	3	4.5	9	Temperature (°C)	
Concentration Factor	0.5	1	1.5	3		
Density (kg/m <sup>3</sup> )	$994.8 \pm 3.5$ $990.7 \pm 5.7$ $986.0 \pm 6.3$	$1011.8 \pm 6.1$ $1007.7 \pm 3.7$ $1002.9 \pm 2.8$	$1023.4 \pm 1.5$ $1021.8 \pm 3.1$ $1015.4 \pm 0.7$	$1075.7 \pm 4.4$ $1073.2 \pm 3.2$	40 50 60	
Viscosity (cP)	$0.912 \pm 0.010$ $0.861 \pm 0.010$ $0.809 \pm 0.013$	$1.231 \pm 0.014$ $1.108 \pm 0.013$ $0.986 \pm 0.009$	$1.525 \pm 0.009$ $1.288 \pm 0.008$ $1.051 \pm 0.011$	$3.904 \pm 0.022$ $2.490 \pm 0.018$	40 50 60	

**Table 2.** Physico-chemical properties of the tested skim milk solutions.

#### 2.2. Experimental Runs

The equipment start-up and the flux versus transmembrane pressure curves were performed according to the methodology previously stated in detail [13]. The curves were performed with the skim milk solutions described above in the total recirculation mode to generate a pseudo-steady state. The permeate valve was manipulated manually, and the  $\Delta P_T$  was gradually increased setting different values in the studied range (Table 1). For each experimental point, the flux versus time curves were drawn until a pseudo-stationary value (J $\infty$ ) of flux was reached. Each step lasted 30 min, and for all of the experiments, J $\infty$  was achieved within 30 min. Once this value was reached, average of J was calculated.

Each one of the membranes'  $d_h$  of 2, 3.6, and 6 mm was treated as block of a Box-Bhenken experimental design with three central points considering the following factors: the flow rate (2.31, 5.31, and 7.85 L/min), the concentration factor (0.5, 1.0, and 1.5), and the temperature

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(40, 50, 60 °C), i.e., 18 runs per each membrane. To evaluate a wider range of Re values, some additional tests with 3.0 times the concentration factor in the mentioned flow rate and temperature ranges were also performed (Supplementary Materials Tables S2–S4). In this way, a total of 62 flux versus  $\Delta P_T$  curves were performed to relate the  $J_L$  value with the Re.

After conducting each experiment, the membrane was rinsed with deionized water and cleaned using 1% (w/v) Ultrasil<sup>®</sup> 11 at 60 °C and 1 bar in full recirculation mode during the first 30 min and in the concentration mode in the last 30 min [13]. Subsequently, two or three rinse cycles (45 min each) were performed, until no detergent residues were detected [27]. The effectiveness of the membrane cleaning procedure was then checked by measuring and comparing its hydraulic resistance to the initial membrane resistance, both determined using Equation (1).

### 2.3. Mathematical Modeling and I<sub>L</sub> Prediction

Astudillo-Castro (2015) proposed that the variation in permeate flux, as a factor of the transmembrane pressure exerted, is proportional to the difference between the maximum permeate flux obtained experimentally ( $J_L$ ), and the flux observed at a certain  $\Delta P_T$ , according to the Equation (2):

$$\frac{dJ}{d\Delta P_T} = \alpha \cdot (J_L - J),\tag{2}$$

Where  $\alpha$  is a proportionality constant. The modified model considered that when the transmembrane pressure reaches the minimum value, there is no permeate flux, i.e., a minimum transmembrane pressure  $((\Delta P_T)_{Min})$ , where  $\Delta P_T = (\Delta P_T)_{Min} \rightarrow J$  = Then, by variable separation and integration of Equation (2), can be obtained Equation (3):

$$\int_{0}^{J} \frac{dJ}{(J_L - J)} = \int_{(\Delta P_T)_{Min}}^{\Delta P_T} \alpha \cdot d\Delta P_T.$$
(3)

Integrating Equation (3) results in the following equation.

$$\ln\left(\frac{J_L}{J_L - J}\right) = \alpha \cdot (\Delta P_T - (\Delta P_T)_{Min}). \tag{4}$$

Then, when clearing the flux value, Equation (5) is obtained

$$J = J_{L}(1 - \exp(-\alpha \cdot (\Delta P_{T} - (\Delta P_{T})_{Min}))). \tag{5}$$

If the Equation (5) is derivate and the resulting expression is evaluated at the intersection of axis of  $\Delta P_T$  (( $\Delta P_T$ )<sub>Min</sub>,0), then the slope of a tangent straight line from this point is obtained ( $J_L \cdot \alpha$ ). Therefore, the tangent straight line to the curve to (( $\Delta P_T$ )<sub>Min</sub>, 0) is represented by the equation

$$J = J_{L} \cdot \alpha \cdot (\Delta P_{T} - (\Delta P_{T})_{Min}). \tag{6}$$

When  $\Delta P_T \rightarrow \infty$ , the limit for Equation (6) gives

$$J = J_{L}. (7)$$

To find the value for the critical transmembrane pressure  $((\Delta P_T)_{C})$ , Equations (6) and (7) were intersected, with the aim to obtain the point  $((\Delta P_T)_C, J_C)$ .

$$J_{L} = J_{L} \cdot \alpha \cdot ((\Delta P_{T})_{C} - (\Delta P_{T})_{Min})$$
(8)

Then, rearranging the terms, the value of  $\alpha$  value can be determinate by

$$\alpha = \frac{1}{(\Delta P_{\rm T})_C - (\Delta P_{\rm T})_{Min}}.$$
(9)

Finally, the modified exponential model is

$$J = J_L \cdot \left( 1 - \exp\left( -\left( \frac{\Delta P_T - (\Delta P_T)_{Min}}{(\Delta P_T)_C - (\Delta P_T)_{Min}} \right) \right) \right). \tag{10}$$

Additionally, by evaluating Equation (10) at  $\Delta P_T = (\Delta P_T)_C$ , Equation (11) is obtained, and it directly relates the critical flux value with the limiting flux ( $J_L$ ).

$$J[(\Delta P_T)_C] = J_C = 0.632 \cdot J_L. \tag{11}$$

Finally, for the calculation of the limiting transmembrane pressure  $(\Delta P_T)_L$ , it was considered that this value was reached when the flux value was at least 95% of the limiting flux value, resulting in:

$$(\Delta P_T)_L \approx (\Delta P_T)_{Min} + 3 \cdot ((\Delta P_T)_C - (\Delta P_T)_{Min}). \tag{12}$$

Equation (10) has an asymptotic behavior towards  $J_L$  as  $\Delta P_T$  is increased, and it is an easy way to obtain the critical flux  $J_C$ , which is the point geometrically where a deviation from the linear relationship between the J and  $\Delta P_T$  appears [12]. For clarity, Figure 2 summarizes the parameters calculated by this model in a J versus  $\Delta P_T$  curve.

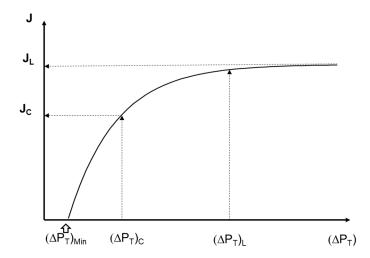


Figure 2. Generalized example of the graphical local of the parameter fitted by Equations (10)–(12).

#### 2.4. Chemical Analysis

The total protein contents (caseins and soluble proteins) in skim milk solutions were determined by the bicinchoninic acid method (BCA) using the Protein Research Reagents Kit (Pierce) [13]. The fraction of casein micelles was precipitated with acetic acid (1.2 M) until reaching their isoelectric point (pH = 4.6), and the supernatant containing the soluble proteins and lactose was subjected to precipitation with trichloroacetic acid [28,29]. The apparent rejection coefficients were calculated according to Suárez et al., (2006) [30].

# 2.5. Statistical Analyses

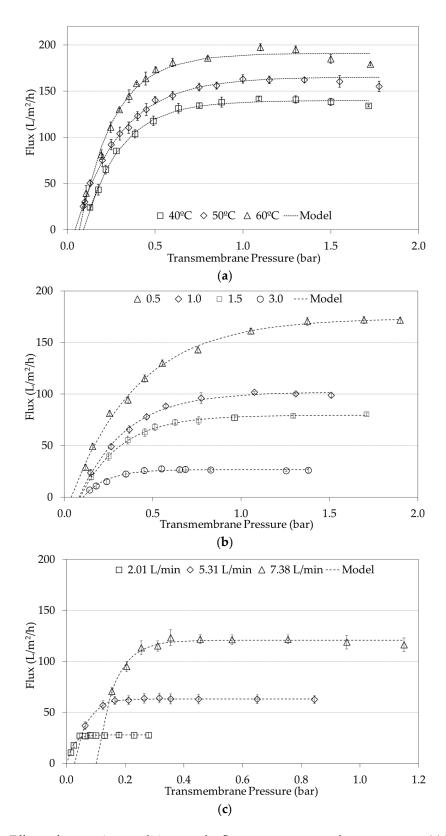
Data obtained from J versus  $\Delta P_T$  curves were fitted in each case to Equation (10) using nonlinear squares minimum computations [31]. Results were expressed as the average flux taken when

pseudo-steady state was reached. The parameters fitted by this procedure were  $J_L$ ,  $(\Delta P_T)_{Min}$ , and  $(\Delta P_T)_C$ . The goodness-of-fit of the experimental data to the model was evaluated using ANOVA regression analysis (p < 0.05), by plotting experimental values against predicted values in order to determine statistics related to the goodness-of-fit, specifically, the coefficient of correlation ( $R^2$ ) and the root mean square error (RMSE). Since experimental flux data were not significantly different compared to the  $J_L$  values predicted by Equation (10), the relationship between Re and  $J_L$  values was determined by using the predicted ones. Moreover, Kolmogorov-Smirnov tests were performed for checking if the residues fitted to a normal distribution, validating the models and detecting biases [31,32]. The same statistical validations were performed for the other expressions here presented.

### 3. Results

#### 3.1. Flux Versus $\Delta P_T$ Curves: Effect of the Processing Conditions

Since a total of 62 experiments were evaluated under a Box-Bhenken experimental scheme with complementary experiments, the Figures in this following section present the most illustrative results. Figure 3 considers the variables of temperature, concentration factor, and CFV, which had significant effects in the limiting flux [13,26]. The effect of temperature (40, 50, and 60 °C) is shown in Figure 3a for the membrane with a 6 mm using the concentration factor of 0.5 at 7.38 L/min. As expected, J<sub>L</sub> increases significantly with temperature [13], a phenomenon observed regardless of the d<sub>h</sub>, FC, and flow values studied. Figure 3b shows the effect of the concentration factor (0.5, 1.0, 1.5, and 3.0) on the J vs.  $\Delta P_T$  curves experiments conducted with a 3.6 mm d<sub>h</sub> membrane, while keeping the temperature (60  $^{\circ}$ C) and flow (7.38 L/min) constant. These results clearly show how J<sub>L</sub> decreases as the concentration factor increases, a situation well known to be involved in the membrane process due to an increased viscosity in the colloidal dispersion [13,33,34]. Finally, Figure 3c shows the effect of the flow (2.01, 5.31, and 7.38 L/min) on the J vs.  $\Delta P_T$  curves with the membrane with a 2 mm d<sub>h</sub> (temperature fixed at 60 °C and a concentration factor of 1.5). As expected, the increase in temperature and flow has a positive effect on the  $J_{L}$ , but the concentration factor has a negative effect on this parameter [26,35]. Therefore, the  $J_L$  can be modified by manipulating the hydrodynamic conditions, such as flow or CFV, and the system physico-chemical properties, i.e., concentration factor and temperature [13]. It is worth noting the fact that all curves are offset from the origin to some extent, regardless of the process conditions. However, this effect becomes even more noticeable as the flow increases by shifting the curves to the right. In terms of the modified model, this can be reflected in a higher  $(\Delta P_T)_{Min}$ . For example, in Figure 3c the  $(\Delta P_T)_{Min}$  at 2.01 L/min was 0.003 bar, but at 7.38 L/min it was 0.102 bar. The aforementioned values are also reported as fitted model parameters in Table 3. Besides, the osmotic pressure of casein micelles in milk is in the order of 1000 Pa [36,37], the existence of  $(\Delta P_T)_{Min}$  would be consequence of an interaction among osmotic pressure and the CFV applied. In practical terms, this finding implies the existence of a minimal transmembrane pressure  $(\Delta P_T)_{min}$  that must be applied to achieve permeate flux in microfiltrations. Despite this relatively small value,  $((\Delta P_T)_{min})$  is important because the  $\Delta P_T$ used during the operation are also small; therefore,  $(\Delta P_T)_{min}$  becomes numerically significant, as can be observed in Table 4 (see Section 3.3) when is compared with  $(\Delta P_T)_C$ .



**Figure 3.** Effects of processing conditions on the flux versus transmembrane pressure. (a) Effect of Temperature. Experimental conditions: concentration factor of 0.5 at 7.38 L/min using the membrane with a  $d_h$  of 6 mm. (b) Effect of the Concentration Factor. Experimental conditions: flow of 7.38 L/min at 60 °C using the membrane with a  $d_h$  of 3.6 mm. (c) Effect of Flow. Experimental conditions: concentration factor of 1.5 at 60 °C using the membrane with a  $d_h$  of 2 mm.

T (°C)	CF	Q (L/min)	d <sub>h</sub> (mm)	$J_L$ (L/m <sup>2</sup> /h)	$(\Delta P_T)_C$ (bar)	$(\Delta P_T)_{Min}(bar)$	$\mathbb{R}^2$	RMSE	Figure
40	0.5	7.38	6	139.7	0.299	0.092	99.95	2.44	3a
50	0.5	7.38	6	165.3	0.303	0.045	99.51	1.83	3a
60	0.5	7.38	6	190.8	0.266	0.068	98.61	5.3	3a
60	0.5	7.38	3.6	173.7	0.383	0.039	99.95	3.04	3b
60	1.0	7.38	3.6	101.8	0.264	0.083	99.96	1.61	3b
60	1.5	7.38	3.6	<i>7</i> 9.5	0.230	0.089	99.87	0.71	3b
60	3.0	7.38	3.6	26.7	0.131	0.106	97.52	1.01	3b
60	1.5	2.01	2	27.90	0.022	0.003	98.67	0.83	3c
60	1.5	5.31	2	63.31	0.069	0.028	99.99	0.54	3c
60	1.5	7.38	2	120.8	0.163	0.102	97.34	2.70	3c
50	1.5	7.38	2	107.4	0.184	0.095	99.47	1.66	5
50	1.5	7.38	3.6	69.5	0.309	0.056	99.70	1.00	5
50	1.5	7.38	6	77.4	0.157	0.040	99.77	1.01	5

**Table 3.** Model prediction and parameters determination (average values).

The above agrees with the well-known effect of increased flow resulting in increased CFV, the creation of turbulence, and changes in the hydrodynamic conditions [38,39], with the consequence of reducing membrane fouling [40]. An increased turbulence in turn increases the wall shear stress, increasing the back transport of particles to the bulk. Therefore, a minimum transmembrane pressure might be required, so that the flux of particles toward the membrane is greater than the back transport from the membrane, allowing the flux obtention. In summary, the higher the feed flow was, the greater the  $(\Delta P_T)_{Min}$  value required to obtain permeate flux. This finding can be observed in all the curves of Figure 3, confirming the need to modify the model previously published by Astudillo-Castro (2015) in order to improve the fitting of data and the accuracy in the predicted  $J_L$ .

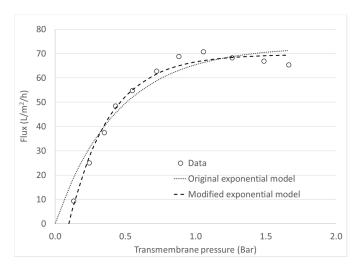
#### 3.2. Effect of the Processing Conditions on the Protein Stability and Rejection

Owing to the stability of the proteins under the rheological conditions assayed, it was found that these conditions would not be sufficient to produce significant alterations in the whey proteins, nor would there be an effect due to the passage of milk into the pumps. For example, under high CFV and temperature levels (e.g., 4.64 m/s and 60 °C), the 7.7% of the whey proteins were denatured after 180 min of operation, while at 40 °C, no significant changes (p < 0.05) were observed (Supplementary Materials Figure S1). Therefore, a low whey protein denaturation effect was due to the increase in temperature instead of the CFV.

On the other hand, high casein micelle retention and low retention for soluble proteins are expected during skim milk microfiltration with membranes of 0.14  $\mu m$ . In all experiments, the casein micelle apparent rejection coefficient was higher than 99.90% regardless of the experimental set up, probably caused by the average particle diameter of 0.24  $\mu m$  for the reconstituted skim milk. Besides, the lowest soluble protein rejection was achieved at 60 °C at low  $\Delta P_T$ . For example, at  $\Delta P_T$  lower than 0.5 bar and 60 °C, the apparent retention coefficient was in the range of 20 to 40%, which was expected for this kind of microfiltration [25,41,42].

#### 3.3. Modified Exponential Model for $I_L$ Prediction

Table 3 shows an example of the fitted parameters for the modified exponential model (Equation (10)) for 13 of the 62 performed, according to the curves shown in Figures 3 and 4. All curves were fitted to the model with  $R^2 > 97\%$ . It is worth noting that for a good fit to Equation (10), the points of the J versus  $\Delta P_T$  curve must be evenly distributed below and above the  $J_L$  value as shown in Figure 3. That means that the  $\Delta P_T$  values must be increased to ensure completely encompassing the area limited by mass transfer. Additionally, since the model has 3 parameters to be adjusted, it is desirable that each curve has at least 6 experimental points (which is the most observable case in literature) improving the robustness of the prediction model [31]. Hence, the addition of the parameter  $(\Delta P_T)_{Min}$  to the original exponential model improved data fit for the modified one, without significantly affecting the model parsimony.



**Figure 4.** Comparison of data fitting to the original exponential model and the modified exponential model. Experimental conditions: Concentration factor of 1.0 with flow of 7.38 L/min at  $40\,^{\circ}$ C using the membrane with a  $d_h$  of 3.6 mm.

Figure 4 shows an example when average flux data is plotted with the original exponential model and the modified exponential model. It is evident how incorporating this new parameter not only provides a physical interpretation of a phenomenon that was previously disregarded, but also reduces the global distance that exists between the experimental points and the values predicted by the model. In statistical terms, this distance is measured by the Root Mean Square Error (RMSE). In Figure 4, the RMSE of the original model was 4.89, while in the modified model it was 2. The reduction of the RMSE was observed in all the experimental runs, regardless of the processing conditions when using the modified equation (not shown data). This improvement therefore translates into a lower error in the prediction of the value of  $J_L$ , resulting in a better accuracy when building a relationship between this parameter and the Re. In addition, for all of the curves of J vs.  $\Delta P_T$ , the ANOVA regressions yielded significant fitting of the model to the experimental data (p < 0.05), and no bias was detected because according to the Kolmogorov-Smirnov tests the residues fitted to a normal distribution (p < 0.005).

# 3.4. Effect of the $d_h$ on the $J_L$

Figure 5 shows an example of the effect of the  $d_h$  on J versus  $\Delta P_T$  curves at the highest flow (7.38 L/min), at CF = 1.5 and 50 °C. It is interesting to note the order of greatest to smallest  $J_L$  values that the curves had for all cases—i.e., keeping constant the process variables except  $d_h$ —was the same behavior:  $J_{L\,2\,mm} > J_{L\,6\,mm} > J_{L\,3.6\,mm}$ , as can be seen in Figure 5.

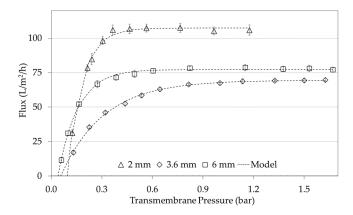


Figure 5. Flow of 7.38 L/min. Experimental conditions: concentration factor 1.5 at 50 °C.

This result could occur because, for the same flow, the CFV changed as result of the  $d_h$ . According to Table 1,  $CFV_{2mm} > CFV_{6mm} > CFV_{3.6mm}$ , which is same order of the  $J_L$  obtained for each case. However, the  $CFV_{6\,mm}$  was slightly higher (8.0%) than  $CFV_{3.6\,mm}$ . Then, the fact of  $J_{L\,6mm} > J_{L\,3.6mm}$  is a result of  $\tau_{W6mm} > \tau_{W3.6mm}$ . Indeed,  $\tau_w$  is defined in Equation (13):

$$\tau_w = \frac{\Delta P \cdot d_h}{4 \cdot L},\tag{13}$$

where  $\Delta P$  is the drop pressure,  $d_h$  is the hydraulic diameter, and L is the membrane length [43]. Hence, the higher  $d_h$  higher  $\tau_w$ . Besides  $\Delta P$  is a quadratic function of CFV, therefore, a higher CFV implies higher  $\Delta P$ . Le Berre and Daufin (1996) showed that the effect of an increase in the shear stress generates a qualitative and quantitative effect during microfiltration since a decrease in the porosity and thickness of the layer deposited on the membrane is achieved, thus removing the casein micelles back into the bulk suspension, while soluble proteins break through the membrane [44]. In this way, the concentration in the boundary layer cannot remain constant, leading to a significant improvement in the flux [45].

Table 4. Correlations between the limiting flux and the Reynolds number reported in the literature.

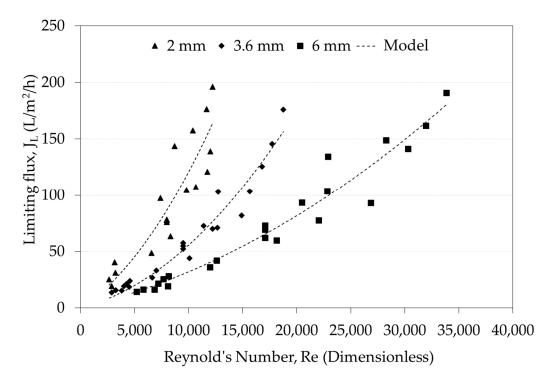
Membrane and Experimental Conditions	Equation			Reference
Ceramic 0.14 $\mu$ m; d <sub>h</sub> = 6 mm; L = 138 mm, Skim milk MF. Data ( $n = 8$ ) was adjusted to an empirical relation.	$J_L$ (m/s) = 6.94·10 <sup>-10</sup> ·Re			[24]
Ceramic 0.14 $\mu$ m; d <sub>h</sub> = 6 mm; v = 1.5–8 m/s; T = 15 and 55 °C, Skim milk MF. (Length no reported, but the filtration area was 26 cm <sup>2</sup> , implying L = 138 mm). Data ( $n = 8$ ) was adjusted to an empirical relation.	$J_L (L/(m^2 \cdot h)) = 0.0025 \text{ Re}$			[25]
Ceramics (0.05, 0.1 and 0.2 $\mu$ m); T = 50 °C, Skim milk MF; $v \ge 0.45$ m/s, with and without turbulence promoters;	J α Re <sup>0.15</sup> J α Re <sup>0.80</sup>	Re < 2700 Re > 2700	Without turbulence promoter	[46]
$\Delta P_T = 65$ kPa. L = 250 mm and $d_h = 6.8$ mm. Data was adjusted to an empirical relation.	J α Re <sup>0.85</sup>	Re > 2000	With turbulence promoter	-
Ceramics 0.1 $\mu$ m (3 mm d <sub>h</sub> ceramic graded permeability	Only for	[20]		
and 4 mm $d_h$ ); $T = 50^{\circ}\text{C}$ . Milk with different total protein concentrations (8–9–10%). $L = 1.02\text{m}$ . Data was adjusted to an empirical relation.	$J_L$ (kg/m²/h) = $3.07\cdot 10^{-5}$ Re <sub>Length based</sub> where Re <sub>Length based</sub> is a modified Re as follows: Re <sub>Legth based</sub> = $\frac{\rho \cdot CFV \cdot L}{\mu}$ where $L$ is the membrane length, which is 1.02 m for both membranes. In this case $n=18$ .			

## 3.5. Effect of the $d_h$ on the Relationship between $I_L$ and Re

There are a few investigations that relate the limiting flux with Reynolds number. Table 4 lists most of correlations that have been reported between the Reynolds number and the limit flux for skim milk microfiltration. The correlations submitted by Samuelsson et al. (1997a, 1997b) show a linear relationship between Re and  $J_L$ . Krstić et al.'s work (2002) shows several expressions where J is proportional to Re up to c (J  $\alpha$  Re<sup>c</sup>), where c < 1 for all membranes analyzed with or without turbulence promoters [24,25,46]. However, Krstić et al. (2002) did not report the limiting flux [46], but they reported the permeate flux measured under constant transmembrane pressure. However, according to the J versus  $\Delta P_T$  curve inspection, it can be observed that the transmembrane pressure used by them would be in a zone where the limiting flux was already achieved; therefore, it was possible to compare. Later, Baruah et al. (2003) found a linear relationship between  $J_L$  and Re, but they did not report any expression for relating both parameters [6]. On the other hand, Gésan-Guiziou et al. (1999) observed that  $J_C$  increased differently with Re, when different  $d_h$  are used [43]. Most recently, Hurt et al. (2015) reported a linear relationship using ceramic membrane with graded permeability, but they were not able to obtain a single correlation for their results using two membranes with d<sub>h</sub> values of 3 and 4 mm [20]. They introduced a modified Reynolds number by substituting the hydraulic diameter for the membrane length, obtaining a correlation of  $R^2 = 92.09\%$  (n = 18).

It is worth mentioning that in some works shown in Table 4, the temperature and/or concentration factor were kept constant. In these cases, the Re was modified only by the effect of the CFV. For example, Krstić et al.'s work (2002) was carried out for a concentration factor of 1.0 during milk microfiltration in a full recirculation mode [46]. The exception is work of Krstić et al., (2004) where different concentration factors (1 to 2) [47] were used; however, no mathematical relationships were reported.

Figure 6 shows the  $J_L$  versus Re for three hydraulic diameters, i.e., 2, 3.6, and 6 mm  $d_h$  membranes, considering the total 62 experimental runs. It can be observed that the three curves have the same shape, but for the same turbulence state (i.e., the same Reynolds number), the  $J_L$  values are inversely proportional to the  $d_h$ , that is  $J_{L\,2mm} > J_{L\,3.6mm} > J_{L\,6mm}$ . This result could occur because smaller channels increase the back transport of substances towards the bulk solution by reducing the concentration of particles on the membrane surface and have the effect of reducing the polarization concentration, which consequently increases the flux [48].



**Figure 6.** Limiting flux versus Reynolds number curves during skim milk microfiltration for three hydraulic diameters (2, 3.6, and 6 mm). Model type:  $J_L = (aRe + b) Re$ .

Despite that, for each hydraulic diameter, a fine correlation could be found for the  $J_L$  versus Re, which is a dimensionless number that does not explain the difference in the  $J_L$  among the three  $d_h$  tested. Similar behavior was found for 3 and 4 mm  $d_h$  during milk microfiltration [20]. The higher dispersion of data was found for the 2 mm membrane, this could be explained due to the constriction from the flow channel (10 mm) to the membrane channel (2 mm) is the greatest.

Table 5 shows the equations for the correlations obtained for each  $d_h$  membrane by considering linear models. A generalized linear model for this case can be expressed as:

$$I_L = a \cdot Re \tag{14}$$

Hydraulic Diameter	Range	Equation	Determination Coefficient (R <sup>2</sup> )	RSME
$d_h = 2 \text{ mm}$	2653 < Re < 12234	$J_L = (5.81 \cdot 10^{-7} \cdot \text{Re} + 6.20 \cdot 10^{-3}) \text{ Re}$	81.73%	19.72
an 2 mm	2000 (16 (12201	$J_{L} = 0.01204 \cdot Re$	77.46%	21.91
$d_{\rm h} = 3.6  \rm mm$	2658 < Re < 18773	$J_{L} = (3.17 \cdot 10^{-7} \cdot \text{Re} + 2.38 \cdot 10^{-3}) \cdot \text{Re}$	95.23%	9.77
un olo min	2000 (16 (1077)	$J_{L} = 0.00681 \cdot Re$	86.10%	16.69
$d_h = 6 \text{ mm}$	4752 < Re < 33846	$J_{L} = (8.95 \cdot 10^{-8} \cdot \text{Re} + 2.23 \cdot 10^{-3}) \cdot \text{Re}$	95.33%	11.10
-n 0 mm	1702 (110 (00010	$J_{L} = 0.00451 \cdot \text{Re}$	89.46%	16.68

Table 5. Correlations between the limiting flux and the Reynolds number for skim milk MF.

Moreover, a quadratic model was considered based on the shape of the curves shown in Figure 6:

$$J_L = (a \cdot Re + b) \cdot Re \tag{15}$$

In both types of correlations  $J_L$  has the same units ( $L/m^2/h$ ). It can also be observed that relationship models of Re to  $J_L$  exhibited satisfactory fitting since all  $R^2$  were higher than 77.43%. Additionally, ANOVA regression routines showed that all data were significantly represented by these equations (p > 0.05), while the Kolmogorov-Smirnov test performed on the residues showed no bias (data not shown), thus demonstrating the validation of these correlations. In the linear models, a greater increase in the slope values (a) is observed as the  $d_h$  decreases. This increase means that for the same Re, there is a greater effect on the  $J_L$  as the hydraulic channel becomes thinner. On the other hand, the quadratic models had better fits compared to the linear models, but included an additional parameter, thus reducing in one degree of freedom. In this case, the a values were inversely proportional to the  $d_h$ , while the parameter b also tends to decrease to some extent as the hydraulic channel widens. It is worth mentioning that all of these correlation models are valid under transition to turbulent regime since Re > 2300.

For the large Re range studied in this work, it is clear that quadratic behavior fits better to experimental data, compared with the previous works showed in Table 4. Hence, the phenomenon obeys to a potential law (n > 1).

Based on the above, and with the aim of obtaining one single expression, the dimensionless correlation between Sherwood (Sh), Schmidt (Sc), and Re [49] was considered:

$$Sh = \frac{k \cdot d_h}{D} = a \cdot Re^b \cdot Sc^c. \tag{16}$$

Then, by replacing the definition of  $Sh = k \cdot d_h/D$ , where D is the diffusion coefficient, and clearing the mass transfer coefficient (k), Equation (17) is obtained.

$$k = a \cdot \frac{D}{d_h} \cdot Re^b \cdot Sc^c. \tag{17}$$

Under steady-state conditions, the convective solute flow to the membrane surface will be balanced by the solute flux through the membrane plus the diffusive flow from the membrane surface to the bulk [49], giving the following expression for boundary layer:

$$\left(\frac{C_G - C_P}{C_B - C_P}\right) = Exp\left(\frac{J}{k}\right),\tag{18}$$

where  $C_G$ ,  $C_B$ , and  $C_P$  are the solute concentration in the gel layer, bulk, and permeate, respectively. By clearing k, Equation (19) is obtained.

$$k = J \cdot Ln \left( \frac{C_B - C_P}{C_G - C_P} \right). \tag{19}$$

By replacing Equation (19) in Equation (17) and clearing *J*:

$$J = a \cdot Ln \left( \frac{C_B - C_P}{C_M - C_P} \right) \cdot \frac{D}{d_h} \cdot Re^b \cdot Sc^c.$$
 (20)

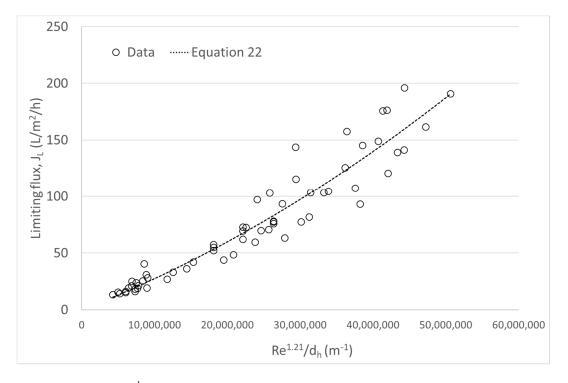
Moreover, in steady state  $J = J_L$  and considering all the other variables, except Re and  $d_h$ , absorbed by a new constant value called a', the Equation (21) is obtained.

$$J_L = a' \cdot \frac{Re^b}{d_h}. (21)$$

By correlation of the data in this current investigation, the Equation (22) is obtained for  $J_L$  in  $L/m^2/h$  and  $d_h$  in m.

$$J_L = 3.29 \cdot 10^{-6} \cdot \frac{Re^{1.21}}{d_h}. (22)$$

Equation (22) shows the correlation of  $J_L = f(Re, d_h)$  for the whole set of experimental conditions (n = 62), considering simultaneously the 3  $d_h$  values studied. The correlation robustly explained the variability of the phenomenon ( $R^2 = 88.97\%$  and RSME = 16.93). For graphical purposes,  $J_L$  vs.  $Re^b/d_h$  was depicted, obtaining Figure 7.



**Figure 7.**  $J_L$  versus  $\text{Re}^b/d_h$  curve during skim milk microfiltration using data from three hydraulic diameters: 2, 3.6, and 6 mm (n = 62), where b was fixed at 1.21.

Finally, a single expression for predicting  $J_L$  as function of the Re and  $d_h$  has been found. Therefore, this kind of expression which comes from a dimensionless number is more appropriate for describe this phenomenon.

## 4. Conclusions

A previously reported exponential model for  $J_L$  determination in skim milk microfiltration was modified. The inclusion of a new parameter,  $(\Delta P_T)_{Min}$ , reflected the existence of minimum transmembrane pressure required to obtain flux. This phenomenon has been generally obviated, but literature suggests its appearance in skim milk microfiltrations. From the 62 processing conditions assayed, results showed that the higher

the feed flow, the greater the value of  $(\Delta P_T)_{Min}$  as result of rightward shift of the J versus  $\Delta P_T$  curves. This fact can be explained because an increased turbulence increases the wall shear stress, thus increasing the back transport of particles to the bulk. In this way, the modified exponential model not only provides a parameter with physical meaning, but also substantially improved the fit of the data of the operational curves compared to the former model version. The above involves obtaining  $J_L$  predictions with better accuracy.

On the other hand, a nonlinear empiric relationship between  $J_L$  and the Re was obtained for each  $d_h$ . Results showed that for a same Re,  $J_L$  increased as  $d_h$  decreased, in a wide range of Re within the turbulent regime (2653 < Re < 33846). Even though these correlations had an adequate degree of adjustment ( $R^2 \geq 81.36\%$ ), they were only valid for one  $d_h$ . From dimensionless correlations a unique expression  $J_L = f(Re,d_h)$  was obtained that satisfactorily predicted the  $J_L$  ( $R^2 = 84.11\%$ ). The practical implications of this finding are useful when selecting the membranes for a skimmed milk MF process; for the same level of turbulence, membranes with a lower  $d_h$  will produce higher fluxes with a better packing capacity. However, the use of membranes with lower  $d_h$  creates a higher pressure drop at the same flow rate, which involves higher cost for electricity for pumping and cooling. All these consequences must be evaluated simultaneously to select a proper  $d_h$ , resulting in a truly more sustainable process.

**Supplementary Materials:** The following are available online at <a href="http://www.mdpi.com/2304-8158/9/11/1621/s1">http://www.mdpi.com/2304-8158/9/11/1621/s1</a>, Figure S1: Evolution of the percentage of proteins respect their original concentration under extreme shear stress conditions: 231 Pa at 40 °C, and shear stress of 214 Pa at 60 °C, Table S1: Properties and Reynolds number for water, Table S2: Experimental runs and results for JL according to Box Behnken design for the 2 mm dh membrane, Table S3: Experimental runs and results for JL according to Box Behnken design for the 3.6 mm dh membrane and additional runs, Table S4: Experimental runs and results for JL according to Box Behnken design for the 6 mm dh membrane and additional runs.

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