

# Decomposition Model of Bastnaesite and Its Fluorine Oxygen Coupling Escape Mechanism

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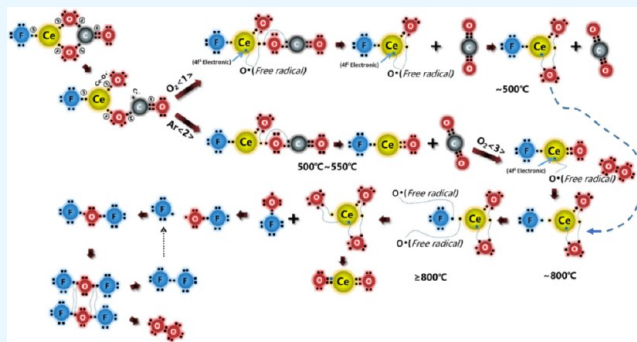
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**ABSTRACT:** Nearly 90% of rare earths worldwide currently originate from bastnaesite ( $\text{REFCO}_3$ ). Oxidative roasting, an effective method for the treatment of bastnaesite, has been extensively employed in industrial production practice. However, the roasting decomposition mechanism of bastnaesite at the molecular level remains controversial. In this study, two roasting atmospheres (i.e., Ar and  $\text{O}_2$ ) were adopted for the treatment of the mixed rare earth concentrate in Bayan Obo. Ar-Roasting ore was skillfully treated as an intermediate and then underwent oxidation roasting. The results achieved using research methods (e.g., XRD, FT-IR, TEM, and XPS) first revealed that the oxidation roasting of bastnaesite was performed in several stages. The first stage was the thermal decomposition of bastnaesite and the oxidation of cerium ( $\text{REFCO}_3 \rightarrow \text{REOF} + \text{CO}_2$ ). The second stage was the escape pathway of fluorine ( $\text{REOF} + \text{O}_2 \rightarrow \text{RE}_x\text{O}_y + \text{O}_x\text{F}$  and  $\text{O}_x\text{F} \rightarrow \text{O}_2 + \text{F}_2$ ), describing the migration rules of F and O elements and the oxidation behavior of Ce. The results of this study can provide more theoretical support and guidance for the clean extraction of bastnaesite and mixed rare earth in Bayan Obo.



## 1. INTRODUCTION

Rare earth has been confirmed as a nonrenewable and vital strategic resource.<sup>1</sup> Rare earth elements have been extensively employed in numerous highly sophisticated fields, especially in aerospace and military products that take on critical significance in a wide range of countries<sup>2–4</sup> since these elements exhibit a special electron layer structure and numerous prominent physical and chemical properties from the macroscopic perspective.<sup>5</sup> Currently, the global sources of rare earth are mainly concentrated in more than 10 kinds of rare earth minerals with industrial mining value (e.g., bastnaesite ( $\text{REFCO}_3$ ), monazite ( $\text{REPO}_4$ ), xenotime ( $\text{YPO}_4$ ), yttrium fluorite ( $(\text{Ca}, \text{Y})\text{F}_2$ ,  $[\text{Al}_2\text{Si}_2\text{O}_5(\text{OH})_4]_m\text{-REO}$ , and  $(\text{Ce}, \text{La})_2\text{Ca}_2(\text{CO}_3)\text{F}$ ),<sup>6</sup> and bastnaesite, monazite, and xenotime account for over 90% of the global supply of rare earth;<sup>7</sup> bastnaesite has been confirmed as one of the critical raw materials in the global rare earth industrial production for its superior smelting performance, huge reserves, and simple process, and its rare earth recovery rate is capable of directly determining the global rare earth supply chain.<sup>8</sup>

In general, oxidative roasting is taken as the first step of the technical route in the recent industrial production of bastnaesite.<sup>9–11</sup> After oxidation roasting, bastnaesite (with rare earth carbonate covered) can be decomposed into rare earth compounds that are more soluble in acid. Subsequently, most of the rare earths can be transferred into a solution through an acid leaching process, such that rare earths can be

efficiently leached.<sup>12,13</sup> The roasting process has been reported as a more mature method, and it has been extensively employed in rare earth production practices. Nevertheless, a considerable number of key issues remain about the transformation of the mineral phase of bastnaesite during roasting, comprising how  $\text{O}_2$  plays a certain role in the reaction, how the chemical bond of fluorine is broken, how to achieve controlled regulation of the roasting product, and the redox behavior of  $\text{Ce}^{3+}/\text{Ce}^{4+}$  that have not been precisely described,<sup>14</sup> coupled with the fact that most studies only use economic indicators (e.g., rare earth recovery and leaching rate) to examine the merits of the process, resulting in a lack of deeper understanding of the reaction mechanism in the roasting of bastnaesite, and the roasting mechanism has not been studied in depth. The roasting process of bastnaesite has not been capable of achieving a revolutionary change over the past few years.

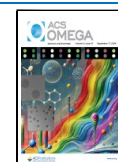
It is generally believed that bastnaesite will decompose to form  $\text{CeOF}$ ,  $\text{CO}_2$ , and other phases (eq 1) in the roasting

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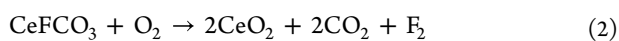
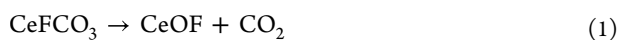
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process,<sup>15–18</sup> but it is difficult to explain the escape of small amounts of F and the oxidation of Ce in the process.<sup>12</sup> Several scholars suggested that bastnaesite will decompose to form CeO<sub>2</sub>, CO<sub>2</sub>, and F<sub>2</sub> (eq 2), whereas CeO<sub>2</sub> will continuously decompose to CeO<sub>2-x</sub> and O<sub>2</sub> (eq 3) when heated.<sup>19,20</sup> As indicated by recent research, the generated CeOF will continue to react with CO<sub>2</sub> to form CeO<sub>2</sub>, CeF<sub>3</sub>, and CO (eq 4). Subsequently, CeO<sub>2</sub> and CO continuously undergo redox reactions to form Ce<sub>2</sub>O<sub>3</sub>, CO<sub>2</sub>, and so on (eq 5).<sup>21</sup> As indicated by existing research, there is still no unified conclusion on the roasting products and the roasting reaction mechanism of bastnaesite, and some of the above-mentioned research processes are only at the conjectural stage, with no definite evidence of the generation of gases such as F<sub>2</sub> and CO. For this reason, research on the roasting reaction mechanism of bastnaesite can provide a theoretical reference and take on critical significance in the research and development of the rare earth industry.

Bayan Obo mixed rare earth ore serves as a vital source of bastnaesite, and its high-temperature roasting reaction process is more sophisticated.<sup>22,23</sup> For this reason, a Bayan Obo mixed rare earth concentrate was employed as a raw material to explore the roasting reaction process of its bastnaesite, gain insights into the mechanism of fluorine and oxygen coupling and the oxidation behavior of Ce, infer the reaction process and element migration law, and provide a certain reference for promoting the clean production of the Bayan Obo mixed rare earth concentrate in depth.



## 2. EXPERIMENTS

**2.1. Raw Materials and Reagents.** The rare earth concentrate used in this study was obtained from China Northern Rare Earth (Group) Hi-Tech Co., Ltd., and the chemical compositions and rare earth contents of the sample are listed in Table 1.

**Table 1. Chemical Analysis of Mixed Rare Earth Concentrates**

REO (%)	F (%)	P (%)	CaO (%)
62.38	8.23	2.54	6.45

**2.2. Experimental Methods and Test Methods.** The raw material employed in this study was a Bayan Obo mixed rare earth concentrate, which was primarily composed of bastnaesite and a small amount of monazite (with rare earth grade of 65%; Ce accounted for over 50% of the rare earth allocation). The mixed rare earth concentrate was employed after sieving and drying (80 °C, 2 h). Figure 1 presents a schematic diagram of the research scheme. In this study, the changes in a series of physical phases and product structures of the rare mixed earth concentrate were mainly investigated in different roasting atmospheres (O<sub>2</sub>/Ar), the reaction process

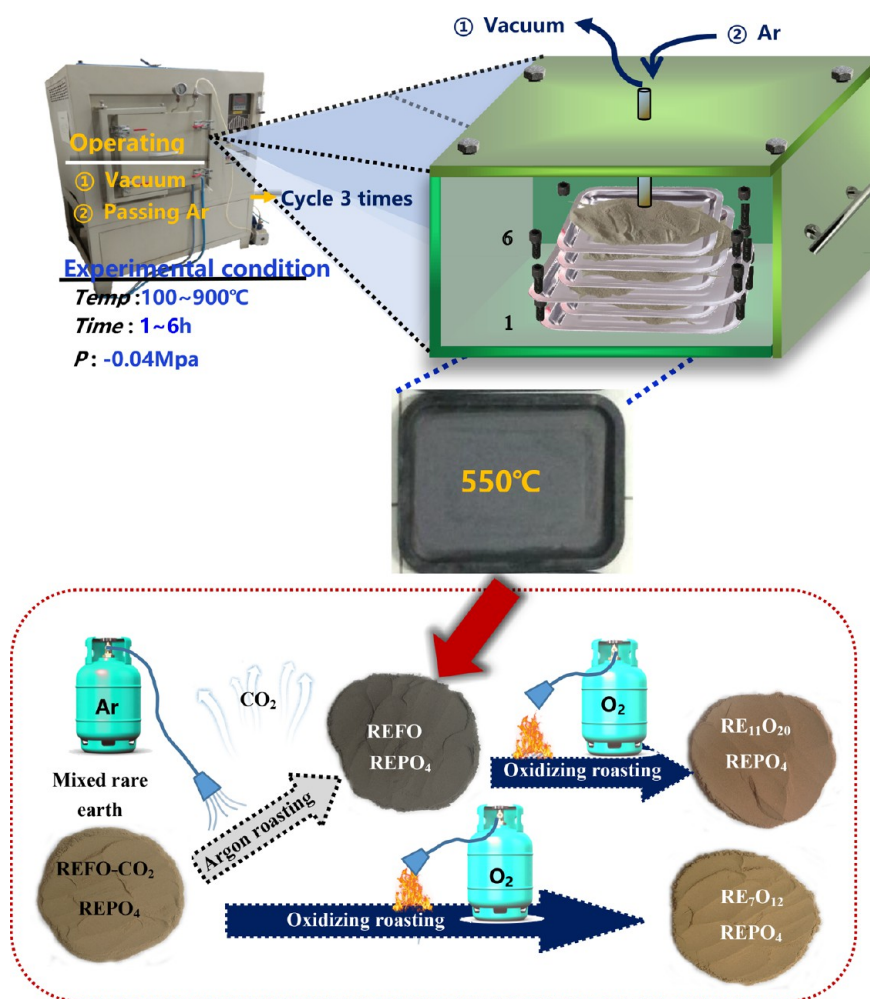
was predicted, and the roasting reaction mechanism was speculated. There were three main roasting processes, which are elucidated below.

1. In the research on the Ar-roasting process, a box was customized to avoid and reduce the effect of residual O<sub>2</sub> in the furnace chamber on the roasted products in the roasting process. Six layers of the mixed rare earth concentrate of identical mass were placed. Next, the packet was placed in an atmospheric furnace. The sample was evacuated to a furnace pressure of  $-0.04$  MPa. Afterward, Ar was introduced to a furnace pressure of the standard atmosphere, and then, the sample was evacuated again to a furnace pressure of  $-0.04$  MPa. We cycled the process three times following the aforementioned steps. The final pressure gauge display value should be  $-0.04$  MPa. Afterward, we ensured precise control of conditions such as roasting time and temperature. After the preset conditions were reached, the product was cooled to ambient temperature and removed, and the product was termed Ar-roasting ore. Figure 1 shows the Ar-roasting ore in an Ar-roasting atmosphere at 550 °C.
2. In the research on the O<sub>2</sub>-roasting process, the atmosphere was air, and the mixed rare earth concentrate was directly roasted and then decomposed in a high-temperature furnace to prepare the product termed O<sub>2</sub>-roasting ore.
3. In this study, Ar-roasting ore was cleverly considered as an intermediate product, and the Ar-roasting ore was then oxidized and roasted (the second roasting method) to prepare the product termed O<sub>2</sub>-Ar-roasting ore.

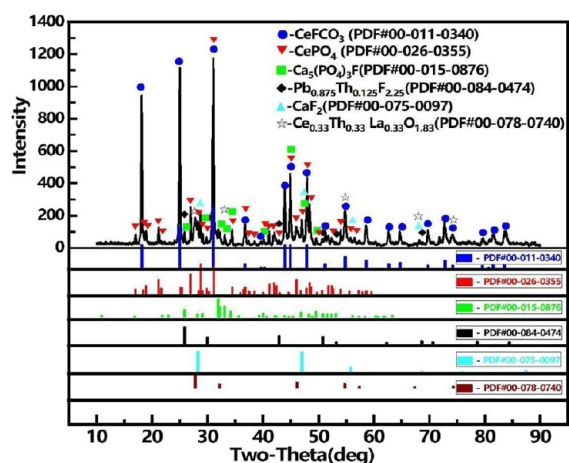
The TG-DSC curve was generated using a thermogravimetric analyzer (STA-449C) from Netzsch, Germany. XRD phase analysis was conducted using an X-ray diffractometer (D8 ADVANCE) from Bruker, Germany. The group or chemical bond breakage of the sample was analyzed through Fourier transform infrared spectroscopy (Nicolet iS50) from Thermo Fisher, US. The chemical valence states of Ce, F, O, and other elements in the sample were explored through X-ray photoelectron spectroscopy (ESCALAB 250Xi) from Thermo Fisher, US. The adsorption of O<sub>2</sub> on the sample was examined using a chemical adsorption instrument (PCA-1200) from Beijing Pioneer Company. The pyrolysis gas was analyzed with an online mass spectrometer (HPR-20) from Ingehead, UK. The diffraction ring of the sample was analyzed and then characterized under a Japanese transmission electron microscope (JEM-2100F).

## 3. RESULTS AND DISCUSSION

**3.1. XRD and TG-DSC Analysis.** Figure 2 presents the XRD patterns of the mixed rare earth concentrate. As depicted in Figure 2, the mixed rare earth concentrate primarily comprised bastnaesite-Ce (●-CeFCO<sub>3</sub>), monazite-Ce (▼-CePO<sub>4</sub>), apatite (■-Ca<sub>5</sub>(PO<sub>4</sub>)<sub>3</sub>F), fluorite (▲-CaF<sub>2</sub>), thorianite (◆-Pb<sub>0.875</sub>Th<sub>0.125</sub>F<sub>2.25</sub>), cerianite-Ce (☆-Ce<sub>0.33</sub>Th<sub>0.33</sub>La<sub>0.33</sub>O<sub>1.83</sub>), and other phases. While rare earth minerals largely covered bastnaesite and monazite, bastnaesite served as the most abundant mineral in the mixed rare earth concentrate. The significant difference in the thermal decomposition behaviors of bastnaesite and monazite can lay a solid basis for the study of the roasting reaction mechanism of bastnaesite in the mixed rare earth concentrate



**Figure 1.** Schematic diagram of the research scheme (exploring the reaction mechanism of bastnaesite through the regulation of different roasting atmospheres).



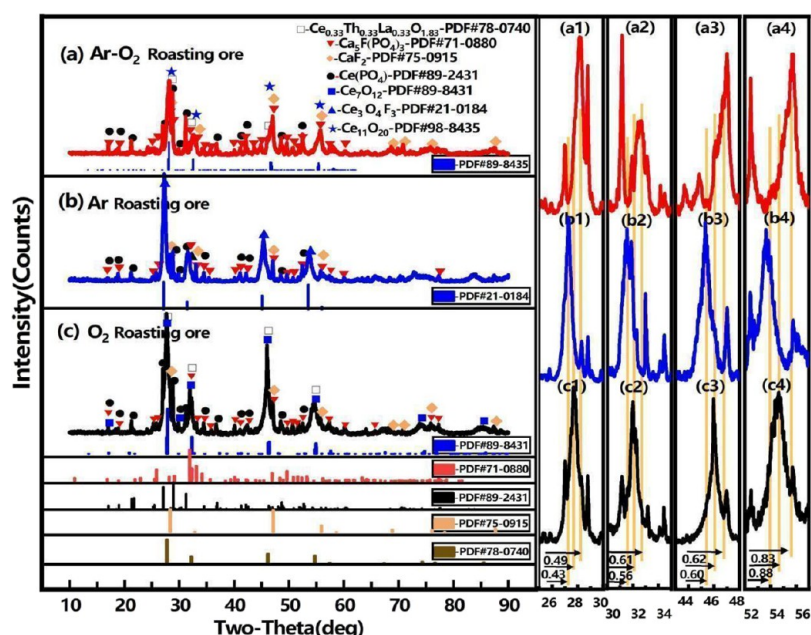
**Figure 2.** XRD patterns of the mixed rare earth concentrates (the test conditions: 2°/min, copper target).

from Bayan Obo. Accordingly, the above mixed rare earth concentrates were roasted in different roasting atmospheres, and their physical phase structure changes and evolution patterns were examined.

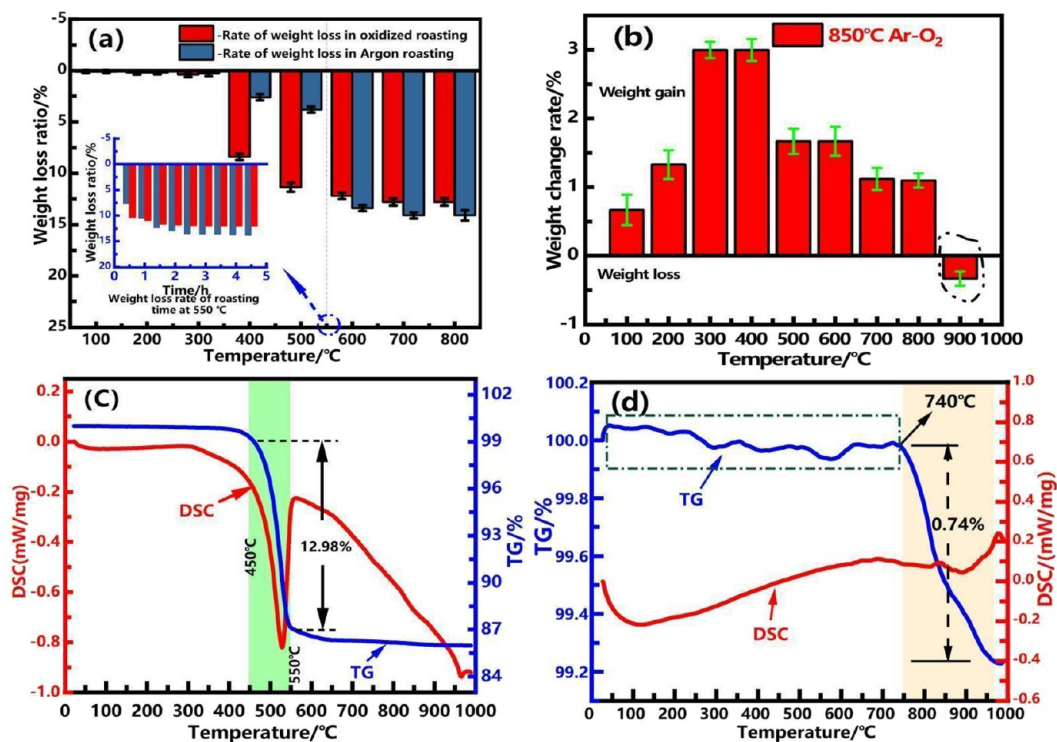
Figure 3 illustrates the XRD patterns of Ar–O<sub>2</sub>-roasting ore, Ar-roasting ore, and O<sub>2</sub>-roasting ore. As depicted in Figure 3,

the main phases of Ar–O<sub>2</sub>-roasting ore comprised Ce<sub>11</sub>O<sub>20</sub>, Ce(PO<sub>4</sub>), CaF<sub>2</sub>, Ca<sub>2</sub>F(PO<sub>4</sub>)<sub>3</sub>, and Ce<sub>0.33</sub>Th<sub>0.33</sub>La<sub>0.33</sub>O<sub>1.83</sub>. The valence state of Ce in the most abundant Ce<sub>11</sub>O<sub>20</sub> ranged from +3 to +4, with an average value of +3.32, and no F element played a certain role in bonding. The main phases of Ar-roasting ore comprised Ce<sub>3</sub>O<sub>4</sub>F<sub>3</sub>, Ce(PO<sub>4</sub>), CaF<sub>2</sub>, Ca<sub>3</sub>F(PO<sub>4</sub>)<sub>3</sub>, and Ce<sub>0.33</sub>Th<sub>0.33</sub>La<sub>0.33</sub>O<sub>1.83</sub>. The average valence state of Ce in the most abundant Ce<sub>3</sub>O<sub>4</sub>F<sub>3</sub> was +3.66, whereas elemental F played a significant role in bonding. The main phases of O<sub>2</sub>-roasting ore covered Ce<sub>7</sub>O<sub>12</sub>, Ce(PO<sub>4</sub>), CaF<sub>2</sub>, Ca(PO<sub>4</sub>)<sub>3</sub>, and Ce<sub>0.33</sub>Th<sub>0.33</sub>La<sub>0.33</sub>O<sub>1.83</sub>. The average valence state of Ce in the most abundant Ce<sub>7</sub>O<sub>12</sub> reached +3.43, and no F element played a certain role in bonding. After the main peaks of the three calcined ores were magnified, the result indicated that the relative angular offsets of the four main peaks of Ce<sub>11</sub>O<sub>20</sub>, Ce<sub>7</sub>O<sub>12</sub> and the four main peaks of Ce<sub>3</sub>O<sub>4</sub>F<sub>3</sub> reached 0.92, 0.43° (b1-a1, b1-c1), 1.17, 0.56° (b2-a2, b2-c2), 1.22, 0.60° (b3-a2, b3-c2), and 1.71 and 0.88° (b4-a4, b4-c4), respectively. Accordingly, Ar-roasting ore may serve as one of the intermediate products in the roasting process of bastnaesite, and O<sub>2</sub> may facilitate the oxidation of Ce<sup>3+</sup> while causing the escape of F.<sup>24</sup>

The weight loss rate and TG-DSC data of the O<sub>2</sub>-roasting ore and the O<sub>2</sub>–Ar-roasting ore were identified (Figure 4) to verify the above conjecture. As depicted in Figure 4a, with the



**Figure 3.** XRD analysis of mixed rare earth concentrates under different roasting atmospheres: (a) Ar–O<sub>2</sub>-roasting ore, (b) Ar-roasting ore, and (c) O<sub>2</sub>-roasting ore (the test conditions: 2°/min, copper target).



**Figure 4.** Weight loss rate and TG-DSC. (a) Weight loss rate of O<sub>2</sub>-roasting ore (red lines) and Ar-roasting ore (blue line), (b) weight loss rate of O<sub>2</sub>–Ar-roasting ore, (c) TG-DSC of O<sub>2</sub>-roasting ore, and (d) TG-DSC of O<sub>2</sub>–Ar-roasting ore.

gradual increase of the roasting temperature, the O<sub>2</sub>-roasting ore had a significant weight at nearly 500 °C with a weight loss rate of 11.36%, consistent with the results presented in Figure 4c. The possible reason for this result is the release of CO<sub>2</sub>. In contrast, the argon roasting started extensive decomposition only at 550 °C, about 50 °C higher than the oxygen roasting temperature, consistent with the literature results.<sup>21</sup> Accordingly, the involvement of O<sub>2</sub> will be conducive to reducing the decomposition temperature of bastnaesite. Moreover, to try to

avoid experimental errors arising from unreacted CO<sub>3</sub><sup>2-</sup>, the Ar-roasting ore at 850 °C was selected for the oxidation roasting test again (Figure 4b). As indicated by the result, with the gradual increase of the roasting temperature (100–400 °C), the weight of the O<sub>2</sub>–Ar-roasting ore tended to be increased. The possible reason for this result is that the free radical O<sup>•</sup> in air gradually filled the remaining oxygen vacancies and defects of Ce<sub>3</sub>O<sub>4</sub>F<sub>3</sub> while causing the transformation of Ce<sup>3+</sup> to Ce<sup>4+</sup>. During this transformation, the adsorption of

free radical  $\text{O}\cdot$  exceeded the escape. At the roasting temperature of  $\geq 500\text{ }^\circ\text{C}$ , although the weight gain phenomenon was still notable, the weight gain ratio tended to decline. This study suggested that the escape of free radical  $\text{O}\cdot$  was increased at this time, and its ability to fill oxygen vacancies gradually decreases. The above finding was an important reason why  $\text{CeO}_2$  exhibits excellent oxygen storage and release capacity and has been extensively used in catalysis.<sup>25</sup> When the roasting temperature was increased to  $>800\text{ }^\circ\text{C}$ , a significant weight loss was identified, and the weight loss rate was about 0.33%, probably attributed to the escape of O or F from  $\text{Ce}_3\text{O}_4\text{F}_3$ . Although this result differed from the decomposition temperature at which the weight loss began in Figure 4d by nearly  $50\text{ }^\circ\text{C}$ , this can be attributed to the reabsorption of some of the radical  $\text{O}\cdot$  in the cooling process with the furnace in Figure 4b. It is noteworthy that the TG curve (blue) of Figure 4d displayed irregular fluctuations in the range of  $100\text{--}800\text{ }^\circ\text{C}$  with an exothermic tendency. The above-mentioned result may suggest that the adsorption and escape of free radical  $\text{O}\cdot$  during low-temperature roasting may be in a dynamic equilibrium.

The structure of  $\text{O}_2\text{--Ar}$ -roasting ore phases at different temperatures was analyzed in depth to further explain the weight gain and weight loss changes exhibited by  $\text{O}_2\text{--Ar}$ -roasting ore during roasting. As depicted in Figure 5, with the

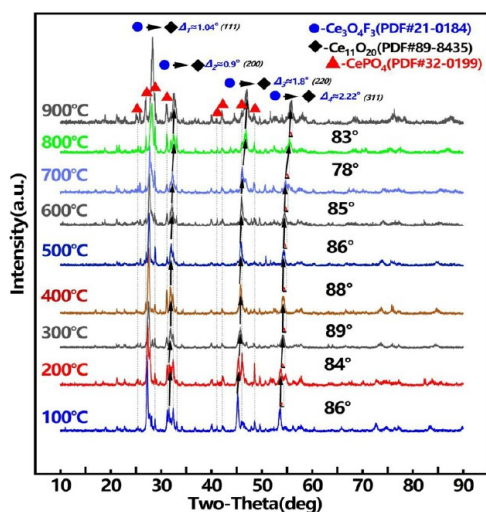


Figure 5. XRD diagram of  $\text{O}_2\text{--Ar}$ -roasting ore at different roasting temperatures.

increase of the roasting temperature, the rare earth physical phase then gradually shifted from  $\text{Ce}_3\text{O}_4\text{F}_3$  (●) to  $\text{Ce}_{11}\text{O}_{20}$  (◆), while the main diffraction peaks of the (111), (200), (220), and (311) crystalline surfaces shifted to higher angles with the offset ( $\Delta$ ) of nearly 1.04, 0.9, 1.8, and  $2.22^\circ$ , respectively. The possible reason for this result is that the ionic radius of oxidation of  $\text{Ce}^{4+}$  (0.092 nm) is smaller than that of  $\text{Ce}^{3+}$  (0.1034 nm),<sup>26</sup> resulting in a decrease in the cell parameters. Notably, the offset angle was the smallest ( $78^\circ$ ) when the roasting temperature was elevated from 700 to  $800\text{ }^\circ\text{C}$ , and a gradual broadening of the diffraction peak was identified, suggesting that the phase transition was most significant at this stage, consistent with the results in Figure 4b,d. As revealed by the in-depth analysis of  $\text{O}_2$  adsorption by Ar-roasting ore, Ar-roasting ore exerted a certain adsorption effect (Figure 6), thus confirming that Ar-roasting ore can have

more oxygen vacancies, such that the adsorbed  $\text{O}_2$  is the root cause of  $\text{Ce}^{3+}$  oxidation.

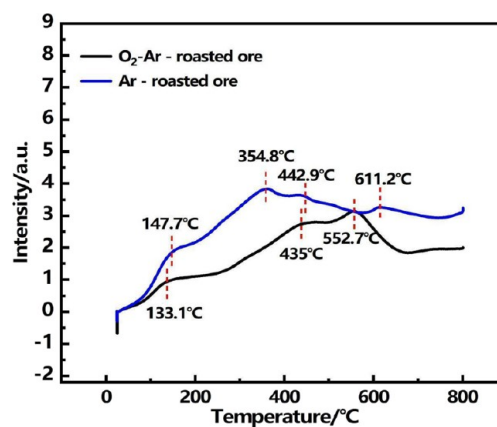
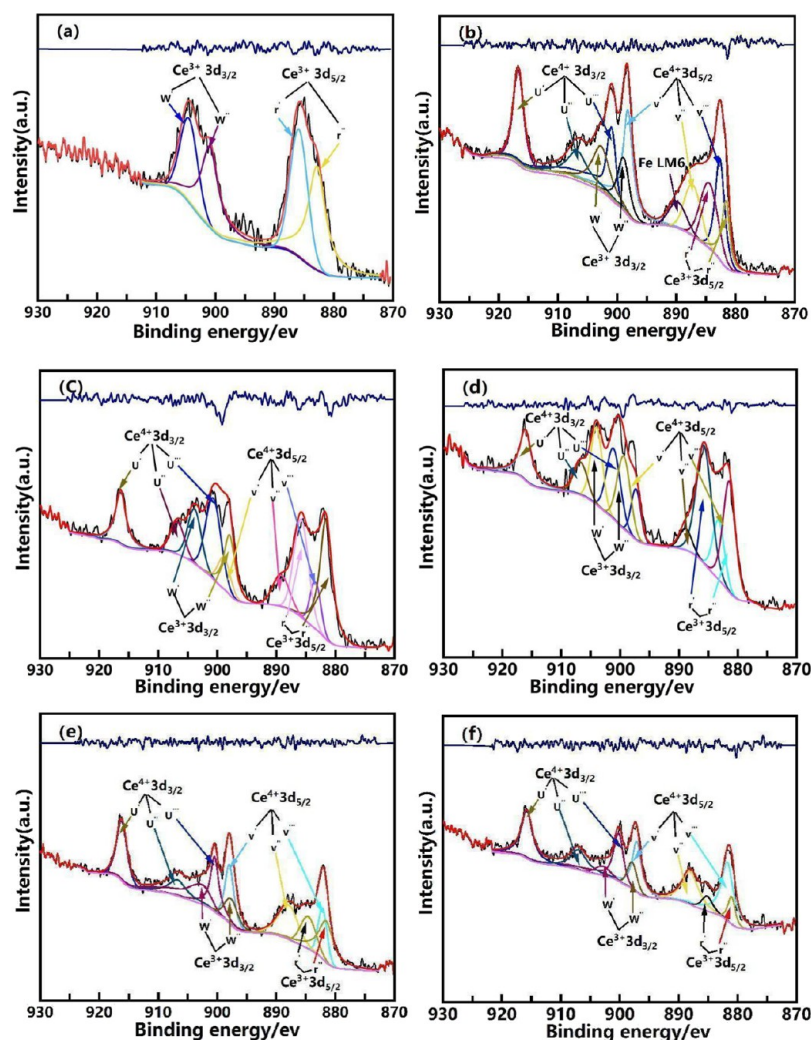


Figure 6.  $\text{O}_2$  adsorption test (the blue line represents the adsorption of oxygen by Ar-roasted ore, while the black line represents the adsorption of oxygen by  $\text{O}_2\text{--Ar}$ -roasted ore).

**3.2. XPS Analysis.** XPS analysis was conducted on the raw ore,  $\text{O}_2$ -roasting ore, Ar-roasting ore, and  $\text{O}_2\text{--Ar}$ -roasting ore (Figure 7) to further illustrate the redox mechanism of  $\text{Ce}^{3+}/\text{Ce}^{4+}$  in the respective roasting product. As depicted in Figure 7a, the electron spin magnetic field was coupled with the orbital angular momentum, such that the Ce 3d spin-orbital energy level fell into two spin-orbital energy levels, i.e., Ce  $3d_{3/2}$  and Ce  $3d_{5/2}$ ,<sup>27</sup> with a splitting difference of nearly 18.6 eV, while two distinct components controlled each spin-orbital where  $w' = 905 \pm 0.1\text{ eV}$  and  $w'' = 900 \pm 0.1\text{ eV}$  peaks pertain to Ce  $3d_{3/2}$ .  $r' = 905 \pm 0.1\text{ eV}$  and  $r'' = 900 \pm 0.1\text{ eV}$  peaks can be classified as Ce  $3d_{5/2}$ . Due to the coupling between O 2p and Ce 4f orbitals (O 2p  $\rightarrow$  Ce 4f electron leap), the final state of  $3d^9 4f^1\text{ O } 2p^6$  resulted in  $w', r', w'',$  and  $r''$  as the final state of Ce  $3d^9 4f^2\text{ O } 2p^5$ ;  $w', r', w'',$  and  $r''$  express the characteristic peaks for the presence of  $\text{Ce}^{3+}$  ions,<sup>28</sup> suggesting that the element Ce in the original ore was primarily present in the +3 valence form. Notably,  $\text{Ce}^{3+}$  ions did not exhibit the characteristic appearance of the  $4f^0$  states.

As depicted in Figure 7b, 10 Ce 3d characteristic peaks appeared in  $\text{O}_2$ -roasting ore ( $u' = 916.64 \pm 0.1\text{ eV}$ ,  $u'' = 906.6 \pm 0.1\text{ eV}$ ,  $u''' = 900.93 \pm 0.1\text{ eV}$ ,  $v' = 898.17 \pm 0.1\text{ eV}$ ,  $v'' = 887.12 \pm 0.1\text{ eV}$ ,  $v''' = 882.63 \pm 0.1\text{ eV}$ ,  $w' = 902.67 \pm 0.1\text{ eV}$ ,  $w'' = 898.83 \pm 0.1\text{ eV}$ ,  $w''' = 884.46 \pm 0.1\text{ eV}$ , and  $r'' = 881.5 \pm 0.1\text{ eV}$ ).<sup>29</sup> The value of  $889 \pm 0.1\text{ eV}$  was the Osher peak of Fe (Fe LM6), and  $w', w'', r',$  and  $r''$  belonged to the characteristic peaks of  $\text{Ce}^{3+}$  ions (consistent with the results presented in Figure 5a). The possible reason for this result is from  $\text{CePO}_4$  (monazite) or attributed to insufficient oxidation.  $u', u'', u''', v', v'',$  and  $v'''$  belonged to  $\text{Ce}^{4+}$  ion characteristic peaks.<sup>30</sup> At this time, Ce  $3d_{3/2}$  and Ce  $3d_{5/2}$  spin orbitals of  $\text{Ce}^{4+}$  ions were controlled by three characteristic components, where Ce  $3d^9 4f^0\text{ O } 2p^6$ , Ce  $3d^9 4f^1\text{ O } 2p^5$ , and Ce  $3d^9 4f^2\text{ O } 2p^4$  express  $u'(v'), u''(v''),$  and  $u'''(v''')$  final states and appeared as  $4f^0$  final states.<sup>31</sup>

As depicted in Figure 7c, the characteristic peaks of  $\text{Ce}^{3+}$  and  $\text{Ce}^{4+}$  appeared in the Ar-roasting ore. Still, the intensity of the characteristic peak of  $\text{Ce}^{4+}$  was lower than that of  $\text{Ce}^{3+}$  (1:1.47, Table 2, c). The possible reason for this result is the reaction of  $\text{Ce}^{3+}$  on the surface with the residual trace  $\text{O}_2$  or the decomposition of part of  $\text{Ce}_3\text{O}_4\text{F}_3$  to generate  $\text{CeO}_2$  and  $\text{CeF}_3$



**Figure 7.** Valence state analysis of the Ce element in different roasted ores: (a) mixed rare earth concentrate, (b) 500 °C O<sub>2</sub>-roasted ore, (c) 500 °C Ar-roasted ore, (d) 850 °C Ar-roasted ore, (e) 500 °C O<sub>2</sub>-Ar-roasted ore, and (f) 900 °C O<sub>2</sub>-Ar-roasted ore.

**Table 2.** Ratio of the Ce<sup>4+</sup> to Ce<sup>3+</sup> 3d Characteristic Peak Area Sum<sup>a</sup>

A, the sum of the areas of Ce <sup>4+</sup> 3d peaks, eV	B, the sum of the areas of Ce <sup>3+</sup> 3d peaks, eV	$\frac{A}{B}$	
a	22,242.42		
b	20,930.21	28,876.88	1:1.38
c	17,808.60	26,206.06	1:1.47
d	18,801.20	29,652.90	1:1.58
e	20,684.95	14,378.75	1:0.69
f	24,398.04	4711.32	1:0.19

<sup>a</sup>(a) Mixed rare earth concentrate, (b) 500 °C O<sub>2</sub>-roasted ore, (c) 500 °C Ar-roasted ore, (d) 850 °C Ar-roasted ore, (e) 500 °C O<sub>2</sub>-Ar-roasted ore, and (f) 900 °C O<sub>2</sub>-Ar-roasted ore.

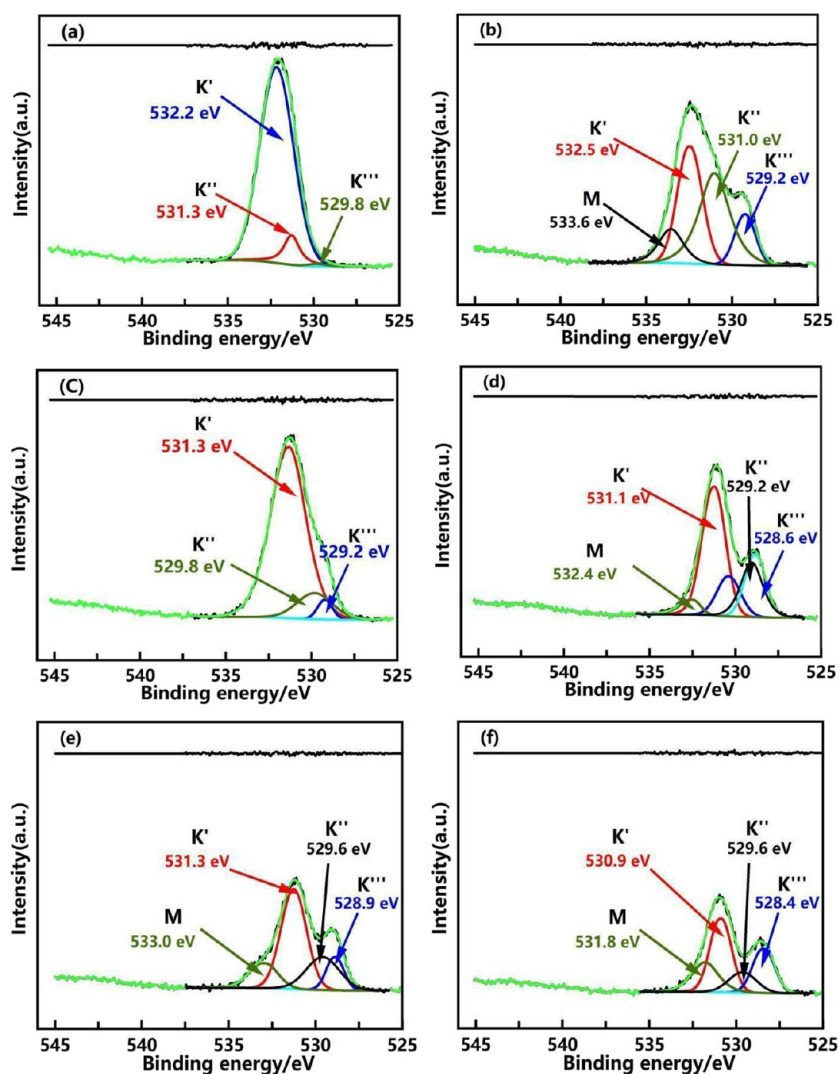
(eq 6). When the roasting temperature tended to be increased to 850 °C, the ratio of the sum of characteristic peak areas of Ce<sup>4+</sup> to the sum of characteristic peak areas of Ce<sup>3+</sup> increased (1:1.58, Table 2, d), suggesting a weaker reaction of eq 6.

As depicted in Figure 7e,f, the characteristic peaks of Ce<sup>3+</sup> and Ce<sup>4+</sup> were also present in the O<sub>2</sub>-Ar-roasted ore, whereas the characteristic peaks of Ce<sup>3+</sup> were primarily from monazite. It is noteworthy that the sum of the characteristic peak areas of Ce<sup>4+</sup> in the O<sub>2</sub>-Ar-roasted ore was significantly higher than that of Ce<sup>3+</sup> compared with those in the O<sub>2</sub>-roasted ore and

Ar-roasted ore (1:0.69, Table 2, e), and the sum of the characteristic peak areas of Ce<sup>4+</sup> was increased significantly with the gradual increase of the oxidation roasting temperature (1:0.19, Table 2, f). The above result suggested that compared with direct oxidation roasting of bastnaesite, the Ar-roasted ore displayed more defects and oxygen vacancies, such that it was also more susceptible to oxidation.



The O 1s spectra of different samples in the range of 525–540 eV were analyzed (Figure 8) to further illustrate the role of O<sub>2</sub> in the roasting process of bastnaesite. As depicted in Figure 8a, there were three types of oxygen structures in the mixed rare earth concentrate of Bayan Obo: nonbridging oxygen K' (C–O and P–O) centered at 532.2 eV, bridging oxygen K'' (CO–C and PO–P) centered at 531.3 eV, and 529.8 eV centered on a small amount of lattice oxygen K''' (Ce<sup>3+</sup>–O),<sup>32–34</sup> where the nonbridging and bridging oxygen primarily originated from the PO<sub>4</sub><sup>3–</sup> and CO<sub>3</sub><sup>2–</sup> groups of monazite and bastnaesite. As depicted in Figure 8b, after oxidative roasting at 500 °C, four oxygen structures appeared in the roasted products, comprising nonbridging oxygen K' (P–O), bridging oxygen K'' (PO–P), lattice oxygen K''' (Ce<sup>4+</sup>–O) and adsorbed oxygen M. Simultaneously noting a significant



**Figure 8.** Valence state analysis of the O element in different roasted ores. (a) Mixed rare earth concentrate, (b) 500 °C O<sub>2</sub>-roasted ore, (c) 500 °C Ar-roasted ore, (d) 850 °C Ar-roasted ore, (e) 500 °C O<sub>2</sub>-Ar-roasted ore, and (f) 900 °C O<sub>2</sub>-Ar-roasted ore.

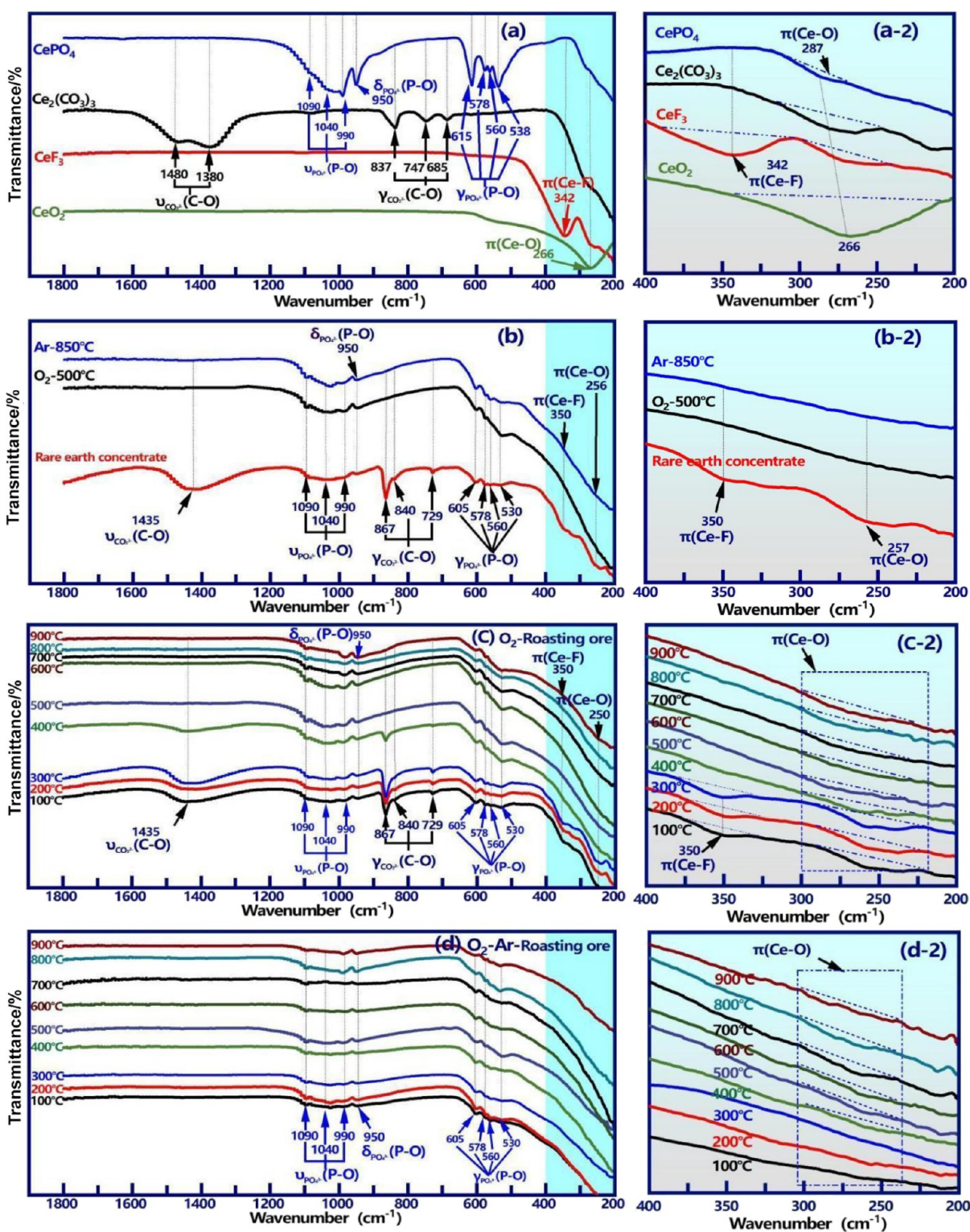
increase in the proportion of bridging oxygen  $K''$  and lattice oxygen  $K'''$ .<sup>35,36</sup> The probable reason for the above result is that REFCO<sub>3</sub> decomposed when heated, and considerable rare earth phases were generated (e.g., CeOF and CeO<sub>2</sub>), resulting in the gradual appearance of the characteristic peak of the lattice oxygen (Ce<sup>4+</sup>-O). At the same time, REPO<sub>4</sub> remains almost unchanged, and the stable presence of the PO<sub>4</sub><sup>3-</sup> group and the significant reduction of the CO<sub>3</sub><sup>2-</sup> group lead to the decrease of the bridging oxygen  $K''$  (PO-P) peaks that gradually appeared. Notably, as indicated by the appearance of the characteristic peak of adsorbed oxygen M, free radical O started to fill the oxygen vacancies and defects on the mineral surface and caused a Ce<sup>3+</sup> to Ce<sup>4+</sup> transition, consistent with the results in Figure 7b.

As depicted in Figure 8c, when the mixed rare earth concentrate was roasted at 500 °C in the Ar atmosphere, the roasted products showed three oxygen structures comprising nonbridging oxygen  $K'$  (P-O), bridging oxygen  $K''$  (PO-P), and lattice oxygen  $K'''$  (Ce<sup>3+</sup>-O) with similar peak patterns as presented in Figure 7a, whereas no obvious characteristic peak of adsorbed oxygen was identified. When the roasting temperature was increased to 850 °C, a small amount of the characteristic peak of adsorbed oxygen (532.4 eV) appeared.

The possible reason for this result is that the residual O<sub>2</sub> or Ce<sub>3</sub>O<sub>4</sub>F<sub>3</sub> decomposed and released part of O atoms. Furthermore, the central peak of lattice oxygen  $K'''$  (Ce<sup>3+</sup>-O) shifted toward the low binding energy and the peak intensity increased (Figure 8d), suggesting that the decomposition of REFCO<sub>3</sub> was more complete.

As depicted in Figure 8e, when the Ar-roasting ore was oxidatively roasted again, its products also comprised four oxygen structures, namely, nonbridging oxygen  $K'$  (P-O), bridged oxygen  $K''$  (PO-P), lattice oxygen  $K'''$  (Ce<sup>4+</sup>-O), and adsorbed oxygen M with similar peak patterns to Figure 7b, suggesting that the mineral surface information on Ar-roasted ore after reoxidation roasting was similar to that of O<sub>2</sub>-roasted ore. Thus, a theoretical basis was laid for viewing Ar-roasted ore as an intermediate. The peak intensity of the bridging oxygen  $K''$  (PO-P) was reduced when the roasting temperature was increased to 900 °C, probably because PO<sub>4</sub><sup>3-</sup> also started to react locally.

**3.3. FT-IR Analysis.** To gain insights into the change of chemical bonds better during roasting, FT-IR(ATR) analysis was performed on the respective roasting product, as presented in Figure 9 (where  $\nu$  represents the asymmetric vibration,  $\delta$  represents the symmetric vibration,  $\gamma$  represents the bending



**Figure 9.** FT-IR(ATR) analysis of (a) pure substance, (b) mixed rare earth concentrate, Ar-roasted ore, and O<sub>2</sub>-roasted ore, (c) mixed rare earth ores at different oxidation roasting temperatures, and (d) O<sub>2</sub>-Ar-roasted ore at different roasting temperatures.

vibration, and  $\pi$  represents the contraction vibration of the lattice).

The infrared spectra of four chemicals, CePO<sub>4</sub>, Ce<sub>2</sub>(CO<sub>3</sub>)<sub>3</sub>, CeF<sub>3</sub>, and CeO<sub>2</sub>, were first studied to reasonably determine the breakage of the chemical bonds among the atoms within the rare earth minerals during the roasting process. As depicted in Figure 9a, the characteristic infrared spectra of PO<sub>4</sub><sup>3-</sup> were

mainly asymmetric vibrations  $\nu$  (1090, 1040, and 990 cm<sup>-1</sup>), symmetric vibrations  $\delta$  (950 cm<sup>-1</sup>), and bending vibrations  $\gamma$  (867, 840, and 729 cm<sup>-1</sup>). The characteristic infrared spectra of CO<sub>3</sub><sup>2-</sup> were mainly asymmetric vibrations  $\nu$  (1435 cm<sup>-1</sup>) with bending vibrations  $\gamma$  (867, 840, and 729 cm<sup>-1</sup>). The chemical bonding of CeF<sub>3</sub> and CeO<sub>2</sub> was mainly ionic, and the wavenumbers of their IR characteristic peaks were below 400

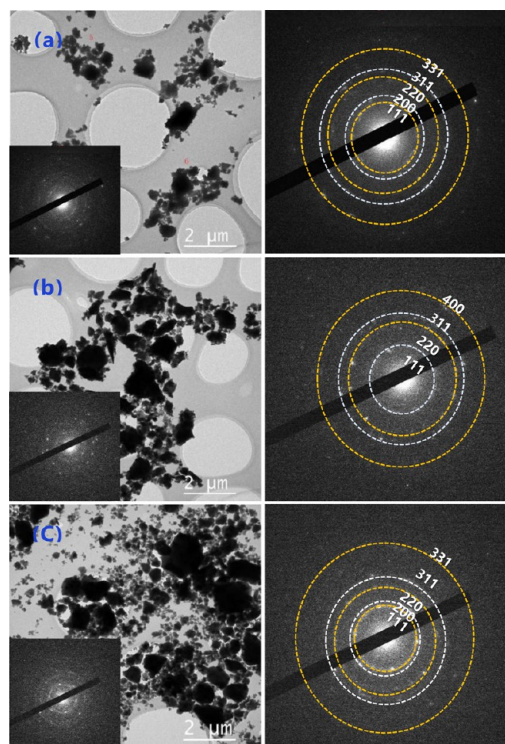


$\text{cm}^{-1}$ , mainly the contraction vibrations of the lattice  $\pi$  (Ce–F,  $342\text{ cm}^{-1}$ ) and  $\pi$  (Ce–O,  $266\text{ cm}^{-1}$ ). Notably,  $\text{CePO}_4$ ,  $\text{Ce}_2(\text{CO}_3)_3$ , and  $\text{CeF}_3$  had a small amount of lattice contraction vibrations  $\pi$  (Ce–O) in the wavenumber range of  $287\text{--}266\text{ cm}^{-1}$ , suggesting that  $\text{CePO}_4$  and  $\text{Ce}_2(\text{CO}_3)_3$  were also subjected to some interactions between  $\text{Ce}^{3+}$  and  $\text{O}^{2-}$  during molecular conformation, while  $\text{CeF}_3$  was probably attributed to air oxidation or contamination from the preparation process.

As depicted in Figure 9b, in the wavenumber range of  $1800\text{--}400\text{ cm}^{-1}$ , no matter what type of atmosphere the mixed rare earth concentrate was roasted in, the peak strength of the asymmetric vibration  $\nu$  and the bending vibration  $\gamma$  of  $\text{CO}_3^{2-}$  was reduced to varying degrees, whereas the asymmetric vibration  $\nu$ , symmetric vibration  $\delta$ , and bending vibration  $\gamma$  peak of  $\text{PO}_4^{3-}$  did not vary notably. In the wavenumber range of  $400\text{--}200\text{ cm}^{-1}$ , trace amounts of lattice contraction vibrations  $\pi$  (Ce–F and Ce–O) were still visible in the  $850\text{ }^\circ\text{C}$  Ar-roasted ore, whereas the lattice contraction vibrations  $\pi$  (Ce–O,  $257\text{ cm}^{-1}$ ) became more significant in the  $\text{O}_2$ -roasted ore. The possible reason for the above result is that during the thermal decomposition of the mixed rare earth concentrate, the  $\text{CO}_3^{2-}$  group in  $\text{REFCO}_3$  first decomposed (eq 1) to produce the REOF phase and  $\text{CO}_2$ , and the contraction vibration of  $\pi(\text{Ce–F})$  was more vigorous. Afterward, with the continuous intervention of  $\text{O}_2$ , the free radical  $\text{O}\cdot$  was adsorbed to the remaining oxygen vacancies and lattice defect sites on the surface of REOF minerals such that the contraction vibration of  $\pi(\text{Ce–O})$  was strengthened, while the contraction vibration of  $\pi(\text{Ce–F})$  was masked. This trend became more significant with the gradual increase of the roasting temperature (Figure 9c). It is worth noting that the intensity of the  $\pi(\text{Ce–O})$  characteristic peak in the low-temperature stage ( $100\text{--}400\text{ }^\circ\text{C}$ ) is stronger than that in the high-temperature stage ( $500\text{--}900\text{ }^\circ\text{C}$ ), which can be attributed to the fact that most of the  $\text{REFCO}_3$  is not yet decomposed during the low-temperature stage. Indeed, a small amount of fluorine escape may be one of the reasons for the reduction of the  $\pi(\text{Ce–F})$  lattice shrinkage vibration. In this process,  $\text{REPO}_4$  was relatively stable and hardly varied, such that the asymmetric vibration  $\nu$ , symmetric vibration  $\delta$ , and bending vibration  $\gamma$  peaks of  $\text{PO}_4^{3-}$  were always present.

As depicted in Figure 9d, the characteristic peak pattern was essentially the same in the wavenumber range of  $1800\text{--}400\text{ cm}^{-1}$  with the gradual increase of the roasting temperature. In the wavenumber range of  $400\text{--}200\text{ cm}^{-1}$ , the contraction vibration  $\pi(\text{Ce–F})$  of the lattice was almost undetectable, suggesting that the adsorption of radical  $\text{O}\cdot$  can be achieved at low temperatures for Ar-roasted ores. In contrast, the contraction vibration of  $\pi(\text{Ce–O})$  was slightly enhanced with increasing temperature, consistent with the previous findings in Figure 9b,c. In brief, in addition to the calcination temperature,  $\text{O}_2$  may be one of the inducing factors that promote the escape of a small amount of F atoms.

**3.4. TEM Analysis.** As depicted in Figure 10b, the diffraction ring spacing of each crystal plane of Ar-roasted ore was similar to that of the (La, Ce)OF (PDF no. 00-057-0608). The above result suggested that while the F element played a significant role in  $\text{Ce}_3\text{O}_4\text{F}_3$  bonding (Figure 10a,c), the  $\text{O}_2$ -roasted ore and  $\text{O}_2$ -Ar-roasted ore diffraction ring of each crystal plane spacing was closer to that of  $\text{CeO}_2$  (PDF no. 03-065-2975), whereas  $\text{O}_2$ -roasted ore had (200) crystal planes protruded out in the  $\text{O}_2$ -roasted ore. The possible reason for



**Figure 10.** TEM analysis ((a)  $\text{O}_2$ -Ar-roasted ore, (b) Ar-roasted ore, and (c)  $\text{O}_2$ -roasted ore).

this result is the different degree of oxidation of  $\text{Ce}^{3+}$  to  $\text{Ce}^{4+}$ , consistent with the results in Figure 3. Thus, it was assumed that the Ar atmosphere-roasted ore ( $\text{CeO}_x\text{F}$ ) was an intermediate product of  $\text{REFCO}_3$  in the oxidative roasting process, and the main physical phase change that occurred was expressed as  $\text{CeFCO}_3 \rightarrow \text{CeO}_x\text{F} \rightarrow \text{CeO}_x$ .

### 3.5. Fluorine Loss during Thermal Decomposition.

The gases of the Ar-roasting ore oxidation process were analyzed (Figure 11) to further investigate the possible reaction processes during the roasting of bastnaesite. As indicated by the result, with the gradual increase of the roasting temperature, the content of  $\text{O}_2$  tended to decline, arising from the adsorption of  $\text{O}_2$  on the mineral surface (consistent with the results in Figure 6). Moreover, trace amounts of  $\text{F}_2$  were produced. The fluorine escape behavior of the mixed rare earth concentrate at different roasting temperatures was examined to investigate the cause of  $\text{F}_2$  production. As depicted in Figure 12, with the gradual increase of the roasting temperature ( $100\text{--}700\text{ }^\circ\text{C}$ ), the fluorine loss rate was between 5 and 6%, while most of the fluorine was still present in the mineral. As depicted in Figure 13, the difference in chemical bonds shifted between the F 1s central peak of the mixed rare earth concentrate, and different roasted ores were within 0.5 eV, suggesting that a higher energy was required for the chemical bond breakage of fluorine. To verify this conjecture, the morphology and elemental distribution states of the mixed rare earth concentrate during high-temperature roasting were analyzed, as presented in Figures 14 and 15 and Table 3.

Figure 14 illustrates that as the roasting temperature increases, the La, P, and O elements exhibit a strip-like structure and gradually accumulate together, while the Ce element gradually accumulates with Ca, F, and O elements. At temperatures exceeding  $1200\text{ }^\circ\text{C}$ , spherical ceria particles with a diameter of approximately  $1.0\text{ }\mu\text{m}$  begin to form (Figure 15).

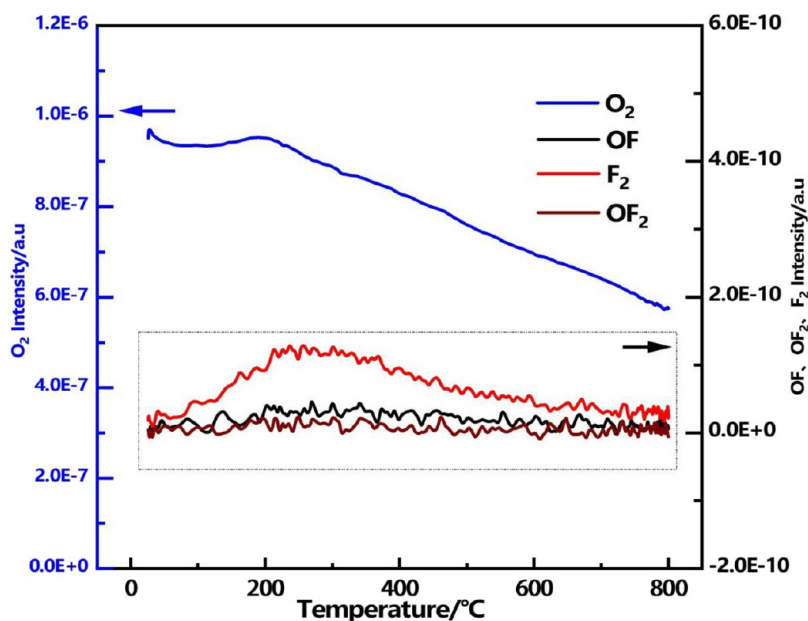


Figure 11. Thermal decomposition gas analysis.

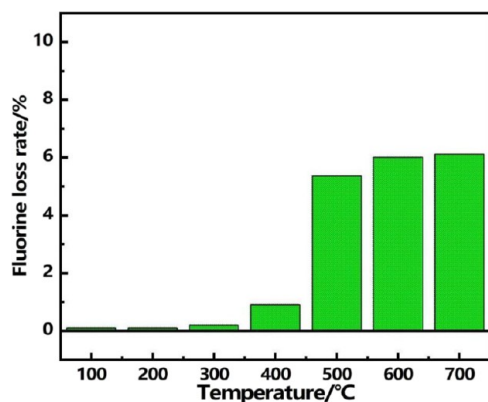


Figure 12. Loss rate of fluorine (loss rate of F in mixed rare earth concentrate at different roasting temperatures).

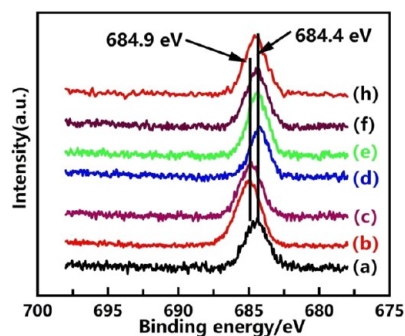
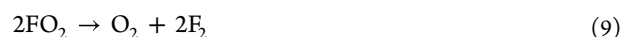
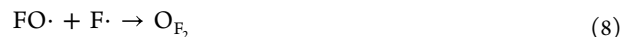


Figure 13. F 1s XPS. (a) Mixed rare earth concentrate, (b) 500 °C O<sub>2</sub>-roasted ore, (c) 500 °C Ar-roasted ore, (d) 850 °C Ar-roasted ore, (e) 500 °C O<sub>2</sub>-Ar-roasted ore, (f) 900 °C O<sub>2</sub>-Ar-roasted ore, and (h) 1400 °C O<sub>2</sub>-roasted ore.

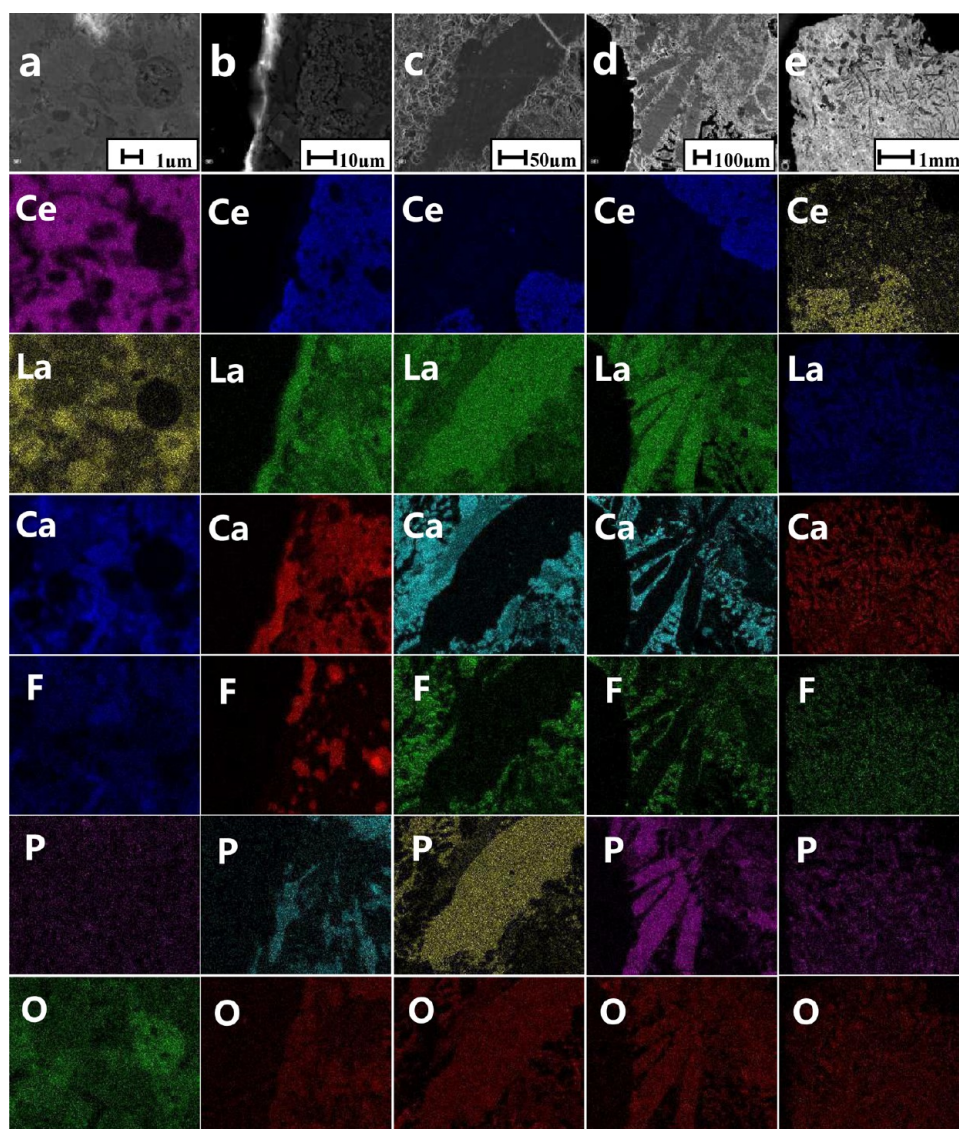
The size of the spherical particles increases with the calcination temperature. Table 3 illustrates that as the roasting temperature increases (1100–1500 °C), the F content in ceria spherical particles declines from 12.18 to 0.89%, while the O content rises from 4.96 to 11.00%. In comparison to the low-

temperature calcination process (100–700 °C) (Figure 12), the high-temperature calcination method is more conducive to the escape of fluorine.

In brief, F<sub>2</sub> may originate from the mineral surface due to the presence of unsaturated bonds in a small amount of F· on the mineral surface (Figure 16) and the relatively low energy required for the reaction between O· and F·, which can easily react to form OF· and OF<sub>2</sub> (eqs 7 and 8). In addition, OF<sub>2</sub> can decompose to O<sub>2</sub> and F<sub>2</sub> at 200 °C (eq 9).<sup>37</sup>



**3.6. Roasting Reaction Mechanism.** The possible reaction pathways of bastnaesite during roasting decomposition were deduced based on the above data analysis (Figure 17). As indicated by the result, the bond energy of @C=O ( $\sigma$  bond and  $\pi$  bond) in CO<sub>3</sub><sup>2-</sup> exceeded that of ① or ②C–O ( $\sigma$  bond). On that basis, with the gradual increase of the roasting temperature, the polarization of C and O elements in CO<sub>3</sub><sup>2-</sup> intensified. Subsequently, Ce<sup>3+</sup> preferentially seized an O<sup>2-</sup> in CO<sub>3</sub><sup>2-</sup> bonded by an  $\sigma$  bond, leading to the breakage of the ① or ②C–O bond. For convenience, it was assumed that the ①C–O bond occurred first, and the Ce–O<sup>-</sup> (a p orbital electron) and C<sup>-</sup> (an sp<sup>2</sup> orbital) had an unpaired electron after the break, which tend to form Ce=O and C=O. Moreover, the breakage of ①C–O caused electron rearrangement and site resistance effects, resulting in further elongation and weakening of the ④Ce–O bond, and the Ce–O<sup>-</sup> bond length was shortened, such that ④Ce–O started to display a trend of breaking. Afterward, two reaction pathways were identified next. (1) When O<sub>2</sub> was present, the high-temperature thermal radiation caused the chemical bond of O<sub>2</sub> to break evenly, producing radical O· (O<sub>2</sub> + *hν* (180–240 nm) → 2O·), which competed with O<sup>2-</sup> in the ④Ce–O bond for the 6s5d orbital electrons of Ce<sup>3+</sup> and eventually attracted the p orbital electrons of O<sup>2-</sup> in the ④Ce–O bond to form a  $\pi$  bond. As a result, a lower reaction potential and decomposition



**Figure 14.** Face scan (surface scanning of mixed rare earth concentrates at different roasting temperatures) ((a) 1100, (b) 1200, (c) 1300, (d) 1400, and (e) 1500 °C).

temperature were achieved, such that the breakage of the  $\text{Ce-O}$  bond was facilitated to produce  $\text{CO}_2$  gas. Moreover, due to the change of the charge field, the 4f electron shielding effect was reduced, and 4f electrons started to participate in bonding, such that the valence state of Ce in the main phase  $\text{Ce}_{11}\text{O}_{20}$  of  $\text{O}_2$ -roasting ore had an average state of +3.32. (2) When Ar was present, the fracture of  $\text{Ce-O}$  should give higher energy to generate the  $\text{Ce}_3\text{O}_4\text{F}_3$  phase due to the lack of active oxidant radical  $\text{O}\cdot$  attraction. Thus, the temperature of complete decomposition of  $\text{CO}_3^{2-}$  in Ar-roasting ore was higher than that of the  $\text{O}_2$ -roasting ore, consistent with the results in Figure 4. (3) When the obtained Ar-roasting ore was roasted and oxidized again or in the presence of residual  $\text{O}_2$ , the radical  $\text{O}\cdot$  generated by the high-temperature thermal radiation first attracted 4f orbital electrons to generate a structure similar to  $\text{Ce}_3\text{O}_4\text{F}_3$ . The above-mentioned process was shown as a weight gain phenomenon, accompanied by the oxidation behavior of Ce, consistent with the results in Figure 4. Afterward, the molecular vibration intensified with the gradual increase of the roasting temperature ( $\geq 800$  °C). The  $\text{Ce-F}$  bond started to be elongated and weakened, whereas

the mutual gravitational force between the valence level electrons of the radical  $\text{O}\cdot$  and the F element was strengthened. In addition, the attraction and competition with the O atom for the 6s5d orbital electrons of Ce were enhanced, such that the  $\text{Ce-F}$  bond was broken. Subsequently, protons and neutrons were transferred to generate the  $\text{Ce}_7\text{O}_{12}$  phase and intermediate  $\text{OF}^-$ , such that a weight loss phenomenon was generated. Due to the lively chemical nature of intermediate  $\text{OF}^-$ , it continued to combine with  $\text{F}^-$  to generate  $\text{O}_2\text{F}$ , whereas the molecular mass of the  $\text{O}_2\text{F}$  was larger than that of Ar molecules and easy to decompose. On that basis, it continued to decompose at the bottom to generate  $\text{F}_2$  and  $\text{O}_2$  (eq 9), and the generation of  $\text{O}_2$  was the cause of partial oxidation of the minerals at the bottom during the Ar-roasting process, which is the reason why the oxidation phenomenon at the bottom in Figure 1 was generally significant. Nevertheless, intermediates,  $\text{O}_2\text{F}$  and  $\text{OF}^-$ , and  $\text{F}_2$  were adsorbed on the mineral surface due to their lively chemical properties, which is also the reason why the weight loss rate fluctuated up and down.

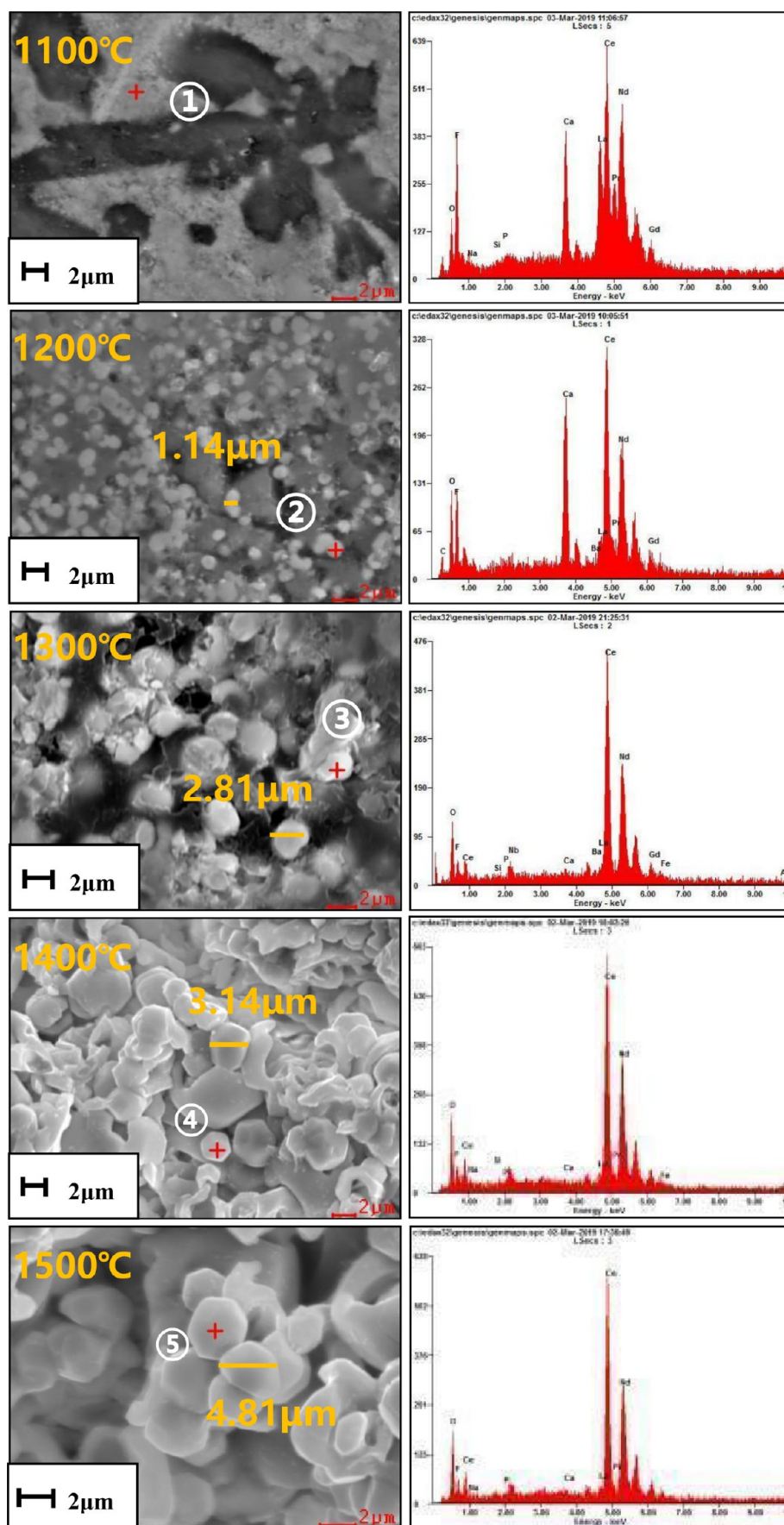


Figure 15. SEM-EDS (morphology and energy spectrum of mixed rare earth concentrates at high-temperature roasting temperatures).

Table 3. EDS Pattern of Roasted Ore

	temperature	La	Ce	Ca	P	F	O
①	1100 °C	20.03%	36.66%	6.06%	0.78%	12.18%	4.96%
②	1200 °C	5.99%	45.68%	8.94%	0.71%	10.44%	10.32%
③	1300 °C	3.71%	65.73%	0.71%	0.45%	0.82%	8.36%
④	1400 °C	3.65%	69.14%	0.43%	0.47%	0.37%	10.64%
⑤	1500 °C	3.17%	72.45%	0.66%	0.78%	0.89%	11.00%

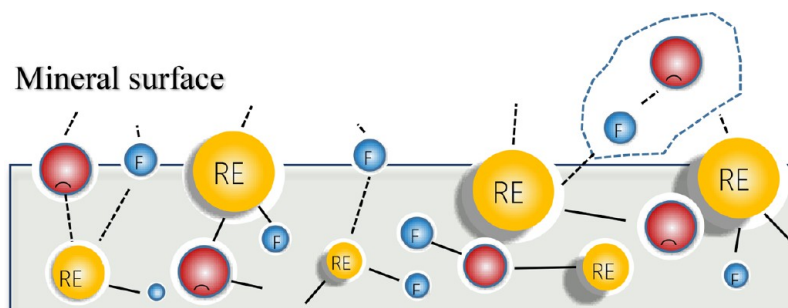


Figure 16. Mechanism of fluorine escape.

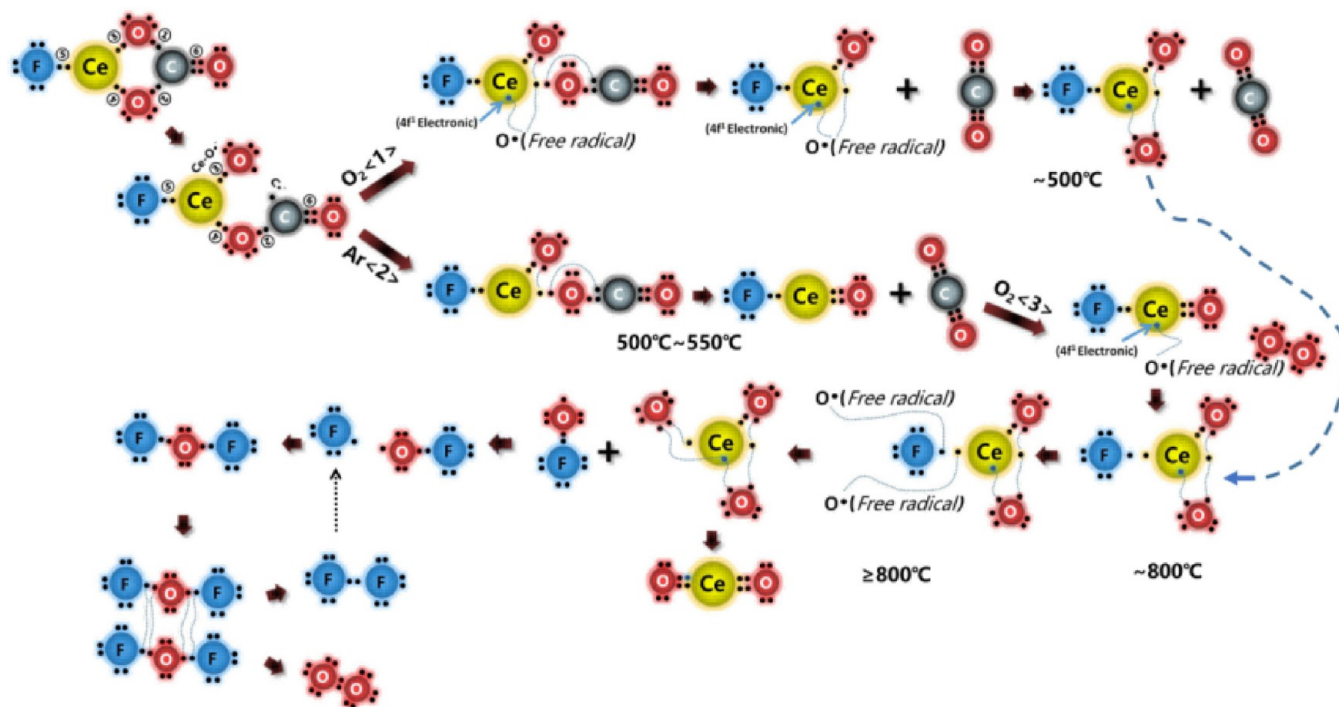


Figure 17. Mechanism of the roasting reaction of bastnaesite.

#### 4. CONCLUSIONS

Regardless of the roasting atmosphere, monazite ( $\text{REPO}_4$ ) almost did not vary notably, whereas the model of thermal decomposition of bastnaesite primarily fell into two stages. At the first stage, bastnaesite was subjected to first decomposition to generate REOF and  $\text{CO}_2$ , and considerable oxygen vacancies and lattice defects were generated, while the free radical  $\text{O}\cdot$  began to adsorb and escape on its mineral surface, thus facilitating the transformation of  $\text{Ce}^{3+}$  to  $\text{Ce}^{4+}$ . Since the sum of  $\text{CO}_2$  loss and free radical  $\text{O}\cdot$  escape at this stage was much larger than the free radical  $\text{O}\cdot$  adsorption, this stage finally displayed the trend of weight loss. At the second stage, with the gradual increase of the roasting temperature (800–

1000 °C), the vibration of the REOF lattice was increased, which led to the decrease of oxygen storage and the release capacity of REOF minerals while strengthening the gravitational force between radical  $\text{O}\cdot$  and F $\cdot$  atoms. Lastly, the atoms escaped in the form of  $\text{OF}_2$ , which continuously decomposed to generate  $\text{F}_2$  and  $\text{O}_2$ . Due to the weak reaction of  $\text{OF}_2$  generation and the small amount of free radical  $\text{O}\cdot$  escape from REOF minerals themselves, the weight loss at this stage reached only 0.74%. The roasting model is capable of successfully explaining some macroscopic phenomena in the roasting decomposition of mixed rare earth minerals in Bayan Obo, revealing its essential laws from a microscopic perspective. This model also explains part of the reaction

mechanism of other models and has been more extensively applied with some theoretical innovation.

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## Notes

The authors declare no competing financial interest.

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