Primary Systemic Anaplastic Large Cell Lymphoma in Korean Adults: 11 Years' Experience at Asan Medical Center

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Purpose: Anaplastic large cell lymphoma (ALCL), a CD30+ T-cell non-Hodgkin's lymphoma, represents only 2-8% of lymphoma overall. Information on the clinical findings of primary systemic ALCL in Korea is limited. Our aims were to report the clinical features and outcomes of primary systemic ALCL. Patients and Methods: We retrospectively reviewed the medical records of 36 adult patients diagnosed with primary systemic ALCL at Asan Medical Center from February 1995 through June 2006. Results: Of 36 patients, 29 were male. The median age was 39 years (range, 17-67 years), and 26 (72%) presented with Ann Arbor stages III and IV. The most commonly involved extranodal sites were bone (n=7) and soft tissue (n=6). Thirty-two of all patients (89%) were treated with an anthracycline-based regimen including cyclophosphamide/doxorubicin/vincristine/prednisone (CHOP) as induction chemotherapy; 16 (50%) achieved complete remission (CR), and 13 (41%) achieved partial remission (PR). Median overall survival (OS) and event-free survival (EFS) were 49 and 17 months, respectively. Univariate analysis showed that performance status (p = 0.035), international prognostic index (IPI) (p = 0.025), and age-adjusted IPI (p = 0.034) were significant prognostic factors for OS, whereas anaplastic lymphoma kinase (ALK) expression did not affect OS (p =0.483). Conclusion: Our retrospective analysis of Korean primary systemic ALCL patients showed that median OS was 49 months and overall response to CHOP was 91%. Performance, IPI, and age-adjusted IPI were predictors of OS, whereas ALK expression did not have prognostic significance.

Key Words: Anaplastic large cell lymphoma, anaplastic lymphoma kinase, CD 30, prognosis, International Prognostic Index

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INTRODUCTION

Anaplastic large cell lymphoma (ALCL) is a CD30-positive neoplasm of T-cell or null-cell lineage with characteristic clinicopathologic features, and accounts for 2-8% of all lymphomas.¹ Two subsets of systemic ALCL are currently recognized in the World Health Organization (WHO) classification scheme.² One subset expresses the oncogenic protein anaplastic lymphoma kinase (ALK) as a result of either the t(2;5)(p23;q35) translocation or variant *alk* rearrangement that does not involve *npm*. The second subset includes ALK-negative (ALK-) tumors that are morphologically similar to ALK-positive (ALK+) ALCL and also express CD30.³⁻⁸

The major subgroups of primary ALCL also have been distinguished by the WHO classification² as (1) systemic ALCL, a heterogeneous subgroup with a broad spectrum of morphology, immunophenotype, and clinical characteristics; and (2) cutaneous ALCL, a spectrum of cutaneous CD30+lymphoproliferative disorders with a uniformly good prognosis.⁹ The treatment of primary cutaneous ALCL is conservative, consisting of resection, with or without irradiation or low-dose methotrexate, which is used in multifocal disease not amenable to localized therapy. In contrast, primary systemic ALCL requires multiagent chemotherapy.¹⁰

Despite recent advances in the characterization of ALCL, little is known regarding the clinical characteristics of Korean adult patients with primary systemic ALCL. We therefore analyzed the clinical features and treatment outcomes of 36 adult patients with primary systemic ALCL in

Korea and the clinical factors associated with prognosis.

PATIENTS AND METHODS

Selection of cases

A survey of the medical records at Asan Medical Center (Seoul, Korea) identified 65 patients who met the WHO classification between February 1995 and June 2006.² We excluded (1) 6 patients under 15 years of age, (2) 16 with primary cutaneous ALCL, (3) 1 with secondary systemic ALCL from Hodgkin's disease, (4) 2 who died before receiving any induction therapy including chemotherapy, radiation, and resection, (5) 4 whose medical records were insufficient to determine their clinical features and outcomes. Thus, our patient cohort consisted of 36 patients with adult primary systemic ALCL.

Clinical data

The medical records of these 36 adult patients with primary systemic ALCL were retrospectively reviewed. Diagnoses were based on a combination of routine histology, immunohistochemistry, cytogenetics, molecular genetics, and/or flow cytometry. The morphology was reviewed in all 36 cases. The available cases were tested for CD3, CD4, CD8, CD20, CD30, CD79a, ALK-1, UCHL-1, and PAX-5 to exclude B-cell lineage. Immunohistochemical analysis of ALK expression was performed on 4 µm-thick paraffin sections taken from paraffin blocks of tissue samples from 30 patients. The biopsies of the remaining 6 patients were processed at outside institutions or obtained too long ago, making their paraffin blocks unavailable. Using the standard avidin-biotin-peroxidase technique, immunostaining with mouse monoclonal antibody to ALK (1:25; DAKO Diagnostics, Glousrup, Denmark) was performed in the automated immunostainer after heat-induced antigen retrieval protocols (Ventana ES automated immunohistochemistry stainer, Tucson, AZ, USA). Hyperplastic tonsils and a known case of ALK+ ALCL were used as negative and positive controls, respectively.

The clinical features evaluated for potential prognostic importance were gender, age, Ann Arbor stage, B symptoms, performance status, type and number of extranodal sites, and serum lactate dehydrogenase (LDH) level. Performance status was assessed according to the Eastern Cooperative Oncology Group (ECOG) scale.

Treatment and response evaluation

Thirty-five patients (97%) received induction chemotherapy. One patient did not receive any chemotherapy and radiotherapy after complete surgical excision of localized cervical lymphadenopathy and had been in continuous CR for 17 months. Of 35 patients treated with chemotherapy, 31 (89%) received CHOP regimen; 1 received CHOP regimen and cytarabine together; 1 received regimens that included cyclophosphamide, etoposide, vincristine, bleomycin, methotrexate, and prednisolone plus or minus cytarabine (Vanderbilt);¹¹ 1 patient received vincristine, methotrexate, dexamethasone, ifosfamide, etoposide, cytarabine; vincristine, methotrexate, dexamethasone, cyclophosphamide, and doxorubicin in addition to intrathecal injection of methotrexate, cytarabine, and hydrocortisone (BNHL); and 1 received other regimens. Response was assessed according to IWC criteria.¹²

Statistical analysis

Overall survival (OS) was measured from diagnosis to death from any cause, with surviving patient follow up censored at the last contact date. EFS was defined as the time from the first therapy to the first occurrence of relapse, progression, or death from any cause. Follow up of patients not experiencing any of these events was censored at their date of last contact. To compare the clinical characteristics between ALK+ and ALK- ALCL, independent t test was used for age and Chi-square test was used for the other factors. OS and EFS distributions were calculated using Kaplan and Meier methods. Survival curves were compared by log-rank or Breslow test according to the presence of cross line on the survival curve. Tests for comparison were regarded as significant if the p value was less than 0.05.

RESULTS

Patient demographic and clinical characteristics

The demographic and clinical characteristics of the 36 patients are shown in Table 1. Of the 36 patients, 29 (81%) were male. The median age was 39 years (range, 17 - 67 years). Five patients (14%) were classified as Ann Arbor stage I, 5 (14%) as stage II, 11 (30%) as stage III, and 15 (42%) as stage IV. Lymphadenopathy was present in 30 patients (83%) at diagnosis: intraabdominal and retroperitoneal lymphadenopathy in 19 (63%), and mediastinal lymphadenopathy in 11 (37%). Splenomegaly was observed in 8 patients (22%). Twenty-six patients (72%) presented with extranodal involvement and 15 (42%) showed involvement at 2 or more extranodal sites. Six patients (17%) were assessed as having primary any extranodal lymphoma without involvement. Bone (n = 7, 19%) and soft tissue (n= 6, 17%) were the most frequently involved sites, followed by the lung, pleura, muscle, bone marrow, and liver (n = 5, each). Soft tissue sites included mesenteric, peritoneal, and retroperitoneal soft tissue masses. Involvement of the gastrointestinal system including the stomach (n = 2) and small bowel (n = 3) was observed in 5 patients (14%). Thirty-two patients (89%) were scored as ECOG scale 1 or 2 and 4 (11%) as ECOG scale 3 or 4. B symptoms occurred in 21 patients (58%), and serum LDH was elevated in 25 (70%). Twenty-two patients (61%) were classified as low and low-intermediate IPI score and 14 (39%) as high-intermediate and high IPI score. However, 24 patients (66%) were scored as high-intermediate and high age-adjusted IPI.

Clinical characteristics according to ALK staining

ALK staining was performed again in 30 patients (83%) by a pathologist because the biopsy specimens from 6 patients were unavailable. We defined the cases, showing any nuclear or cytoplasmic positivity, as ALK+ ALCL. Of these 30 patients, 13 (43%) were ALK+ and 17 (57%) were ALK- (Table 2). The median age of ALK+ patients (33 years; range, 19-49 years) was significantly lower than that of ALK- patients (43

years; range, 17 - 67 years) (p = 0.047) but male to female ratio (5.5 vs. 3.3, p = 0.469), percent of patients with advanced stage (III, IV) disease (85 vs. 59%, p = 0.130), and percent of patients with extranodal involvement (77 vs. 76%) did not differ significantly. Eight ALK+ patients (62%) and 11 ALK-(65%) were low and low-intermediate IPI (p=0.861), and 4 and 7, respectively, were low and low-intermediate age-adjusted IPI (p = 0.421). As a whole, 8 survived and 5 died out of 13 ALK+ patients and 8 survived and 9 died out of 17 ALK- patients.

Treatment results

Thirty-five patients (97%) were treated with combination chemotherapy regimens. The overall response rate was 91% with CR in 51% (n = 18) and PR in 40% (n = 14). Thirty-two patients (89%) received CHOP-based chemotherapy regimen. The overall response rate of CHOP-based chemotherapy regimen was 91% with CR in 50% (n = 16) and PR in 41% (n = 13). Two progressive disease (PD) and 1 unknown response were noted. Eighteen of 35 patients (50%) who received chemotherapy relapsed; 15 patients within 12 months of diagnosis, with the others showing relapse after 32, 40, and 52 months, respectively. In 7 patients, the relapse sites included previous disease sites whereas in 5, recurrences were at both previous and new sites and 4 relapsed only at new sites. Relapse sites of the remaining 2 patients were not reported.

Seventeen of 18 relapsed patients received second-line chemotherapy: 4 received cytarabine, cisplatin, and dexamethasone (DHAP); 3 received Vanderbilt;¹¹ 3 received etoposide, methylprednisolone, cytarabine, and cisplatin (ESHAP); and 7 received other regimens including CDDP plus taxol and T-LBL. It showed 2 CRs (12%); 3, PRs (18%); 1, stable disease (SD) (6%); and 8, PDs (47%), respectively, and the medical records of 3 patients were insufficient to assess the clinical responses of second-line chemotherapy.

One patient who relapsed after first-line chemotherapy and 2 after second-line chemotherapy were treated with high-dose chemotherapy, followed by autologous peripheral blood stem cell transplantation. One patient has remained in continuous CR for 8 years and 1 had a 23-month

Table 1. Characteristics of 36 Patients with Primary Systemic Anaplastic Large Cell Lymphoma

Characteristic		No. (%)
Age (yrs)	Median age 39 (17 - 67)	
Gender	Male	29 (81)
	Female	7 (19)
Ann arbor stage	I	5 (14)
	II	5 (14)
	III	11 (30)
	IV	15 (42)
Primary extranodal lymp	phoma without lymphadenopathy	6 (17)
Extranodal site of ALCL	involvement	
	Bone	7 (19)
	Soft tissue	6 (17)
	Lung	5 (14)
	Pleura	5 (14)
	Muscle	5 (14)
	Bone marrow	5 (14)
	Liver	5 (14)
	Stomach and duodenum	5 (14)
	Genitourinary organs	3 (8)
	Skin	2 (5)
	Pericardium	2 (5)
	Breast	1 (3)
No. extranodal site	None	10 (28)
	1	, ,
	≥ 2	11 (30) 15 (42)
ECOG scale		15 (42)
	1 2	24 (67)
		8 (22)
	3	3 (8)
n ,	4	1 (3)
B symptoms	Absent	15 (42)
	Present	21 (58)
Serum LDH level	$\leq 1 \times \text{normal}$	11 (30)
	>1 × normal	25 (70)
IPI score	Low	9 (25)
	Low-intermediate	13 (36)
	High-intermediate	9 (25)
	High	5 (14)
Age-adjusted IPI	Low	6 (17)
	Low-intermediate	6 (17)
	High-intermediate	17 (47)
	High	7 (19)
ALK-1	Absent	17 (47)
	Present	13 (36)
	Unknown	6 (17)

ALCL, anaplastic large cell lymphoma; ECOG, the Eastern Cooperative Oncology Group; LDH, lactate dehydrogenase; IPI, International Prognostic Index; ALK-1, anaplastic lymphoma kinase-1.

Table 2. Comparison of 30 Patients with ALK+ and ALK- Primary Systemic Anaplastic Large Cell Lymphoma

Characteristic	ALK+ (%)	ALK- (%)	p value
No. (%)	13 (43)	17 (57)	
Median age (yrs)*	33	43	0.047
Gender			
Male	11 (85)	13 (76)	
Female	2 (15)	4 (24)	0.469
Ann Arbor stage			
I-II	2 (15)	7 (41)	
III-IV	11 (85)	10 (59)	0.13
Primary extranodal lymphoma [†]	1 (8)	4 (24)	0.355
Extranodal involvement			
Absent	3 (23)	4 (24)	
Present	10 (77)	13 (76)	0.66
ECOG scale			
0 - 1	8 (62)	11 (65)	
2 - 4	5 (38)	6 (35)	0.861
B symptoms			
Absent	4 (31)	9 (53)	
Present	9 (69)	8 (47)	0.20
Serum LDH			
$\leq 1 \times normal$	5 (38)	6 (35)	
> 1 × normal	8 (62)	11 (65)	0.861
IPI score			
Low and low-intermediate	8 (62)	11 (65)	
High-intermediate and high	5 (38)	6 (35)	0.861
Age-adjusted IPI			
Low and low-intermediate	4 (31)	7 (41)	
High-intermediate and high	9 (69)	10 (59)	0.421

ECOG, the Eastern Cooperative Oncology Group; LDH, lactate dehydrogenase; IPI, International Prognostic Index. Chi-square test was used to compare with other factors except for age.

response whereas the third had a transient response with 2-month duration from relapse to death.

Survival

The median follow-up period was 47 months.

OS rate was 57% at 2 years and 45% at 5 years, while EFS rate was 45% at 2 years and 15% at 5 years (Fig. 1). Median OS and EFS were 49 and 17 months, respectively.

Univariate analysis showed that performance (p = 0.035), IPI (p = 0.025), and age-adjusted IPI (p = 0.034) were significantly related to OS (Table 3

^{*}Independent t test was used to compare with other factors except for age.

[†]Primary extranodal lymphoma without any lymph node involvement.

and Fig. 2). The survival rates were 61.5 and 20.0% in ALK+ and ALK- ALCL groups, respectively. However, OS did not differ significantly between ALK+ and ALK- groups (p = 0.483) (Fig. 3).

Cause of death

Sixteen patients (44%) died during the study period, 8 from progression of ALCL including

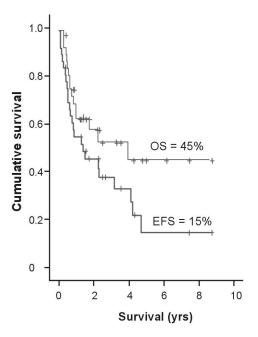


Fig. 1. OS and EFS of 36 patients with primary systemic ALCL (median 49.0 and 17.0 months; 5-years survival rate 45 and 15%, respectively). OS, overall survival; EFS, event-free survival.

superior vena cava syndrome, venoocclusive disease proven by autopsy and acute respiratory distress syndrome (ARDS) due to lung metastasis. Six patients died of infection and 2 died of unknown causes. Among the 6 patients who died of infection, 4 were diagnosed as sepsis or septic shock with neutropenia, 1, biliary sepsis due to hepatic infiltration of lymphoma, and the other,

Table 3. Univariate Analysis of Predictive Variables for Overall Survival

Variable	p value
Age (< 60 yrs vs. \geq 60 yrs)	0.866
Gender (male vs. female)	0.616
Ann Arbor stage (1,2 vs. 3,4)	0.260
Primary extranodal lymphoma	0.650
Extranodal involvement (0 vs. \geq 1)	0.151
Performance (0,1 vs. 2,3,4)	0.035
B symptom (positive vs. negative)	0.959
LDH (normal range vs. > normal)	0.071
IPI (low vs. high)	0.025
Age-adjusted IPI (low vs. high)	0.034
ALK (positive vs. negative)*	0.483

LDH, lactate dehydrogenase; IPI, International Prognostic Index; ALK, anaplastic lymphoma kinase.

*Survival according to ALK in subgroups with 30 patients was compared using Breslow method.

Other factors except for ALK expression were compared using log-rank method.

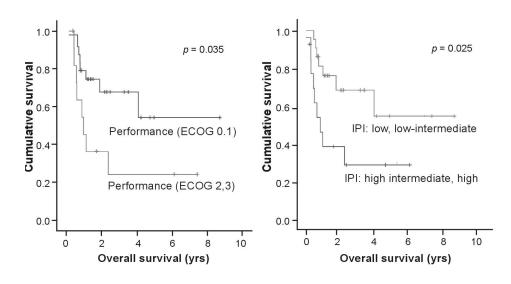


Fig. 2. OS according to performance and International Prognostic Index in 36 patients. OS, overall survival; IPI, International Prognostic Index.

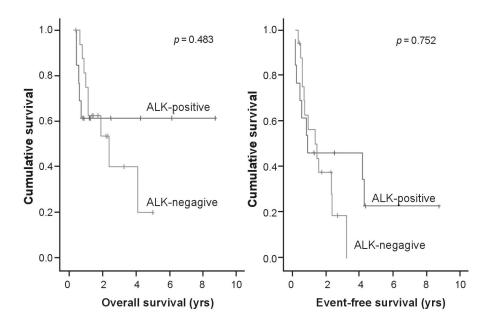


Fig. 3. OS and EFS of 30 patients with ALK+ and ALK-primary systemic ALCL (median 17.0 months; 2-year EFS rate 45%; 5-year EFS rate 15%). OS, overall survival; EFS, event-free survival; ALK, anaplastic lymphoma kinase; ALCL, anaplastic large cell lymphoma.

ARDS resulting from pneumonia. The parents or responsible individuals of some patients agreed to DNR (Do Not Resuscitate), therefore, some patients were discharged to the primary hospital with no hope of recovery.

DISCUSSION

Our retrospective analysis of 11 years' experience at a single institution contributes to our deeper understanding of the clinical features, responses to treatment, survival, and prognosis in adult patients with primary systemic ALCL in Korea.

We found that the clinical features of adult patients with primary systemic ALCL in Korea were similar to those of Western countries in that ALCL affects mostly young men and frequently presents with advanced disease. The male/female ratio (4.1), however, was markedly higher than those found in Western studies (1.4 - 1.8). 1,13 On the other hand, the ratio was similar to those shown at other Korean and Asian institutions. Genetic background might be attributed to this difference, nevertheless, it should be confirmed by further prospective multi-center studies with molecular genetic analysis in a larger number of patients.

The main epidemiologic feature was a relatively

higher incidence in the fourth (28%) and fifth (28%) decades of life and a median age of 39 years, a finding in close agreement with previous reports, 16,17 but in disagreement with other earlier studies that showed a bimodal age distribution with a larger peak in the second and third decades and a smaller peak in the sixth and seventh decades.9 However, the distribution of elderly patients could be underestimated because elderly patients are generally reluctant to receive chemotherapy in Korea. For example, 3 patients over 60 years of age were excluded during enrollment in this study. One patient who died before receiving any induction therapy was 62 years old, and 2 whose medical records were insufficient were 66 and 77 years old, respectively. They refused to receive any chemotherapy.

In many Western studies, ALK expression has been shown to correlate with young age, systemic disease, and good prognosis. ¹⁸⁻²¹ In our study period, immunohistochemical staining of ALK protein was repeated and confirmed prognostic importance in most available cases (30/36). We found that ALK– patients tended to be older than ALK+ patients, however, these groups did not differ in extranodal involvement, advanced disease, high risk IPI and age-adjusted IPI group assignments. In addition, we found that these groups showed no significant differences in OS

and EFS rate, findings similar to those previously reported.^{17,22} The differences observed between Korean and Western patients in small descriptive studies should be handled with care.

Five out of 13 patients with ALK+ ALCL died within 1 year of diagnosis. Their stages were all classified as III or IV. Extranodal involvements were observed in the lung, liver, soft tissue, or bone marrow. Bone marrow involvement might have provoked pancytopenia and made patients vulnerable to sepsis. Biliary sepsis was caused by hepatic infiltration of lymphoma cells. These characteristics of the 5 patients who died within 1 year of diagnosis affected the cross line of the 2 survival courses in spite of actual good prognosis in the AKL+ group.

Primary systemic ALCL often takes an aggressive clinical course for which combination therapy is warranted. It is not easy to directly compare response rate to each treatment regimen because various chemotherapy regimens were applied in previous studies, and primary cutaneous ALCL patients who had better prognosis were included in some studies. ^{1,13,16,23} In Korea, our CR rate (51%) of whole chemotherapy regimen was lower than that (62%) found in previous study that enrolled only primary systemic ALCL patients.¹⁴ Meanwhile, the proportion of CHOP-based regimen reached up to 91% (32/35) of our whole chemotherapy regimens. The overall response rate of CHOPbased regimen was 91% with CR in 50% and PR in 41%. Therefore, our protocol is considerably homogenous to compare with previous reports, showing 50%. 14 Moreover, high dose chemotherapy followed by autologous peripheral blood stem cell transplantation might be acceptable in relapsed patients but the small number of cases makes it difficult to draw a firm conclusion.

The data, which were derived from a small number of patients enrolled and at a single tertiary center, might cause a selection bias in this study. The small number of enrolled patients may be due to low incidence of adult primary ALCL in Korea. According to the 2002 Annual Report of the Korea Central Cancer Registry, only 1.9% of non-Hodgkin's lymphoma patients have ALCL. Another limitation was that we could not include analysis of recently reported common prognostic factors of primary ALCL (CD56, MUC-1, and

survivin) on 30 patients available. It might be a good topic for future studies on ALCL.

In summary, we found that advanced disease and extranodal involvement are frequent, and confirmed male predominance in Korean adult primary systemic ALCL patients. OS was 49 months, and CR and PR rates to CHOP-based chemotherapy were 50 and 41%, respectively. Univariate analysis showed that performance status, IPI, and age-adjusted IPI score predicted OS, but not ALK expression. For further evaluation of optimal treatment of Korean ALCL patients, a large national or multi-institutional prospective study is needed.

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