# Kidney dysfunction in patients with pulmonary arterial hypertension

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#### Abstract

Pulmonary arterial hypertension (PH) and chronic kidney disease (CKD) both profoundly impact patient outcomes, whether as primary disease states or as co-morbid conditions. PH is a common co-morbidity in CKD and vice versa. A growing body of literature describes the epidemiology of PH secondary to chronic kidney disease and end-stage renal disease (ESRD) (WHO group 5 PH). But, there are only limited data on the epidemiology of kidney disease in group I PH (pulmonary arterial hypertension [PAH]). The purpose of this review is to summarize the current data on epidemiology and discuss potential disease mechanisms and management implications of kidney dysfunction in PAH. Kidney dysfunction, determined by serum creatinine or estimated glomerular filtration rate, is a frequent co-morbidity in PAH and impaired kidney function is a strong and independent predictor of mortality. Potential mechanisms of PAH affecting the kidneys are increased venous congestion, decreased cardiac output, and neurohormonal activation. On a molecular level, increased TGF- $\beta$  signaling and increased levels of circulating cytokines could have the potential to worsen kidney function. Nephrotoxicity does not seem to be a common side effect of PAH-targeted therapy. Treatment implications for kidney disease in PAH include glycemic control, lifestyle modification, and potentially Renin-Angiotensin-Aldosterone System (RAAS) blockade.

#### **Keywords**

pulmonary arterial hypertension, kidney disease, epidemiology, disease mechanisms

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#### Introduction

Kidney dysfunction is a common co-morbidity and independent risk factor for poor outcome in a broad spectrum of cardiovascular disease, including left ventricular systolic and diastolic dysfunction.<sup>1–3</sup> Pulmonary hypertension (PH) describes a cardiovascular syndrome affecting the right heart that is characterized by increased blood pressure in the pulmonary circulation (mean pulmonary artery pressure [mPAP] >25 mmHg), which can occur in the context of a large number of disease states.<sup>4</sup> Currently, PH is divided into five different clinical groups according to the underlying pathophysiology, clinical presentation, and treatment strategy (Table 1).<sup>4</sup> This classification, derived during the 5th World Symposium held in Nice, France, in 2013, provides the most commonly used framework to approach PH. A hemodynamically focused schema, tightly aligned with the Nice Classification, identifies patients who will benefit from drug therapy for pre-capillary PH. A hemodynamic classification rigorously divides according to hemodynamic conditions, using the pulmonary capillary bed to divide conditions into pre-capillary and post-capillary forms of PH.

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Group		Entities
I	Pulmonary arterial hypertension	Idiopathic, Heritable, CTD, portopulmonary, CHD, others
2	Pulmonary hypertension due to left heart disease	Left ventricular systolic/diastolic dysfunction, valvular heart disease, others
3	Pulmonary hypertension due to lung disease or hypoxia	COPD, ILD, sleep-disordered breathing, others
4	Chronic thromboembolic pulmonary hypertension (CTEPH)	
5	Pulmonary hypertension with unclear mechanisms	CKD, hematologic disorders, others

Table 1. Classification of pulmonary hypertension (adjusted from Simonneau et al.<sup>201</sup>).

CHD: congenital heart disease; CKD: chronic kidney disease; COPD: chronic obstructive pulmonary disease; CTD: connective tissue disease; ILD: interstitial lung disease.

Pre-capillary PH is defined by elevated pulmonary artery pressure (PAP) in the absence of increased pulmonary venous or left sided filling pressures. In contrast, post-capillary PH is defined by increased PAPs with evidence of elevated pulmonary venous and/or left-sided filling pressures. A combined pre- and post-capillary form of PH can also be present, defined as PH with an increased diastolic pressure gradient.<sup>5</sup>

There is evidence for an overlap between pre- and postcapillary PH and certain patients with post-capillary PH might benefit from pre-capillary PH-targeted therapy.<sup>6</sup>

Of course, the Nice and hemodynamic classification schemes are intimately related. For example, WHO Group 1 PH is a distinct group of pre-capillary PH, also called pulmonary arterial hypertension (PAH) and defined by a mPAP >25 mmHg in the presence of a pulmonary capillary wedge pressure <15 mmHg. PAH has a low incidence but typically high mortality rate. PAH occurs as an idiopathic disease entity or one associated with a co-existent condition such as a PAH-specific gene mutation, drug or toxin exposure, connective tissue disease (CTD), congenital heart disease, or other condition. WHO Group 2 captures PH due to left-heart disease, therefore describing a population of subjects with post-capillary PH; this group has the highest incidence and prevalence of PH. It was reported that around 60-80% of patients with left heart disease have PH.<sup>7</sup> WHO Group 3 PH is associated with chronic hypoxemic pulmonary disease, which is most often pre-capillary in nature. Group 4 PH is due to chronic thromboembolic occlusion of the pulmonary arteries and oftentimes is associated with a history of pulmonary embolism or thrombophilic disorders; while post-capillary disease can exist, this too is more often pre-capillary in nature. Group 5 encompasses PH in the setting of a primary disease outside the lungs that affects the pulmonary circulation via multiple different and/or unknown mechanisms and may involve both pre- and/or post-capillary presentations. PH in the setting of CKD and ESRD belongs to this group. The majority of data about the epidemiology of kidney dysfunction comes from WHO Groups 2 and 5. Data about kidney dysfunction and potential disease mechanisms in PH group 1 (PAH) are more limited in scope.

CKD, or CKD stage 3, is defined as the presence of a reduced glomerular filtration rate (GFR) below 60 mL/min/

 $1.73 \text{ m}^2$  for three or more months. The definition of CKD also includes persistent albuminuria with a spot urine albumin to creatinine ratio of 30 mg/g or above for three or more month regardless of GFR. Additional criteria of CKD include the presence of urinary white or red cell casts for three or more month and renal imaging or biopsy abnormalities.<sup>8</sup> In this review, we consider kidney dysfunction as CKD stage 2 or higher. The rationale for this definition comes from epidemiological data showing that mild kidney dysfunction (CKD stage 2) with an estimated GFR (eGFR) above  $60 \text{ mL/min}/1.73 \text{ m}^2$  is independently associated with the risk for cardiovascular disease.<sup>9,10</sup>

This review will summarize the epidemiology of kidney dysfunction in PAH and its impact on outcome in this patient population. Potential disease mechanism evident in PAH that can impact kidney function will be discussed. Conversely, the impact of kidney dysfunction on PAH pathophysiology will be addressed. Potential effects of PAH targeted therapy on kidney function and potential treatment implications will be reviewed. The most evident overlapping molecular mediators contributing to PAH and kidney dysfunction will be reviewed. Finally, we discuss important treatment implications for PAH patients with evidence of kidney dysfunction.

# Epidemiology and impact of kidney dysfunction on outcomes in PAH

The precise prevalence of kidney dysfunction in PAH has not been determined and may vary according to subtype. It is clear that the prevalence of kidney dysfunction in PAH is high, in the range of 4–36% (Table 2). This substantially exceeds the prevalence of kidney dysfunction in the general population, which was reported on the order of 0.6% in men and 0.3% in women.<sup>11,12</sup> Patients with connective tissue disease-associated PAH (CTD-PAH) have the highest rates of kidney impairment, although kidney dysfunction is also a feature of other PAH forms. Older age, high systemic blood pressure, diabetes, and increased right atrial pressure seem to be associated with kidney impairment in PAH patients.

Table 2 summarizes the available information related to the epidemiology of kidney dysfunction in PAH. The

Table 2. Prevalence o	f kidney dysfu	inction in Group I P	Table 2. Prevalence of kidney dysfunction in Group I PAH and its impact on outcome.	come.		
Studies	Year	Patients with RHC (n)	CKD stage III	Patient population	Patients on Diuretics	Impact of CKD on outcome
Benza (Reveal)	2010	2716	4%	86% prevalent cases; 47% IPAH; 2.9% FPAH; 11.8% CHD; 23.9% CTD; 13.5% SSc; 0.9% others	No data	Unadjusted: HR 3.3 (2.3–4.7); Adjusted*: HR 1.9 (1.3–2.7)
Shah et al.	2008	500	12%	Prevalent cases: 47% IPAH; 11% CHD; 31% CTD; 11% others	50%	Unadjusted for creatinine >1.4 mg/dl: HR 2.54 (1.7–3.7)
Chung (Reveal)	2010	1251	3.9% IPAH; 6.9% CTD; 8.7% SSc	Prevalent and Incident	No data	No data
Campo	2010	76	46%	Prevalent 100% SSc	61%	Unadjusted: HR 2.6 (1.3–5.4)
Cogswell	2013	126	%61	Prevalent 100% PAH	No data	No data
Dimopoulos	2008	102	18%	Prevalent and Incident 100% CHD	59%	Unadjusted: HR 3.3 (1.5–6.9)*; Adjusted*: HR 2.3 (1.2–4.6)*
Navaneethan	2014	552	36%	Prevalent 100% PAH	<b>68%</b> <sup>†</sup>	Unadjusted: HR: 1.7 (1.4–1.9) <sup>+</sup> ; Adjusted <sup>§</sup> : HR: 1.4 (1.1–1.7) <sup>†</sup>
Chakinala (Reveal)	2016	2368	29%	Prevalent 45% IPAH 28% CTD 10% CHD 17% others	No data	Unadjusted: per 10% decline in GFR: HR 1.24 (1.1–1.4); Adjusted**: per 10% decline in GFR: HR 1.2 (1.00–1.33)
Leuchte	2007	66	17%	Prevalent	No data	Unadjusted: HR 1.6 (1.1–2.4) <sup>†</sup>
O'Leary	2016	840	37%	Prevalent and Incident	No data	Unadjusted: HR 1.4 (1.2–1.6) <sup>†</sup>
*Adjusted for age, gender, BMI, hemodynamics, WHO functional class, 6MWD. †Based on PAH and non-PAH cases.	BMI, hemodyn: AH cases.	amics, WHO functional	class, 6MWD.			

#Adjusted for hemodynamics and WHO functional class.
§Adjusted for age, gender, diabetes, hemodynamics, hemoglobin, albumin, PH class.
\*\*Adjusted for baseline eGFR, change in 6MWD, change in WHO functional class.
CHD: congenital heart disease; CKD: chronic kidney disease; CTD: connective tissue disease; FPAH: familiar pulmonary arterial hypertension; HR: hazard ratio; IPAH: idiopathic pulmonary arterial hypertension; Sc: scleroderma.

Registry to Evaluate Early And Long-term PAH disease management (REVEAL) evaluated 2716 patients with PAH, and found that 4 percent of REVEAL subjects had kidney dysfunction, although it was not clearly defined. Kidney dysfunction in this cohort was associated with a threefold increased risk for death in a univariate regression model and a twofold increase for poor outcome in a multivariate model, adjusted for PAH subgroup, BMI, six-minute walking distance (6MWD), demographic, hemodynamic, and functional variables.<sup>13</sup> In a subgroup analysis from the REVEAL cohort, Chung et al. found that compared to idiopathic PAH (IPAH) patients, patients with CTD-PAH had a higher incidence of kidney dysfunction (7% versus 4%). Scleroderma-associated PAH (SSc-PAH) patients had the highest incidence (8.4%) among those with CTD-PAH. The differences in kidney function could not be explained by hemodynamic variables at the time of catheterization.<sup>14</sup> Similarly. Tedford and Kane et al. confirmed the higher incidence of kidney dysfunction in CTD-PAH, compared to other PAH subgroups.<sup>15,16</sup> None of these studies reported prevalence of pre-existing renal disease in patients with CTD-PAH.

Meanwhile, Navaneethan et al. reported a prevalence of kidney dysfunction defined as stage 3 (GFR <  $60 \text{ mL/min}/1.73 \text{ m}^2$  measured on two occasions 90 days apart) in 47% of 552 PAH patients. This study identified factors associated with kidney dysfunction as older age, systemic hypertension, and higher right atrial pressure. PAH patients with kidney dysfunction at baseline or worsening kidney function had an increased risk of death even after adjusting for demographic and hemodynamic variables.<sup>17</sup> In a cross-sectional study of patients referred for right heart catheterization, O'Leary et al. found that in 840 patients with PAH, the prevalence of kidney dysfunction was 37% and mortality increased in a graded fashion across CKD stages.<sup>18</sup>

Shah et al. investigated baseline serum creatinine levels in 578 PAH patients and found that 12% of patients had evidence of kidney dysfunction defined by a single eGFR below  $60 \text{ mL}/\text{min}/1.73 \text{ m}^2$ . Age, male gender, systemic hypertension, diabetes mellitus, CAD, worse right ventricular hemodynamics, and diuretic use were associated with worse kidney function.<sup>19</sup>

Chakinala investigated the impact of changes in kidney function in PAH patients on outcome using an updated REVEAL cohort including 2368 patients with serial GFR measurements. Patients with a decrease in GFR over time had worse outcomes compared to patients with a stable or increasing GFR, independent of the baseline eGFR, 6MWD, and WHO-FC.<sup>20</sup>

The variability in the prevalence of kidney dysfunction in PAH patients reported above has likely multiple reasons, including the lack of a consistent definition of impaired kidney function, differences in GFR estimation, different inclusion and exclusion criteria, diverse distribution of PAH subgroups, differences in co-morbidities associated with CKD, and lack of report of diuretic use. In the initial REVEAL registry, for example, each single investigator determined CKD and it is unclear what criteria were used. Shah et al. used a single serum creatinine measurement at study entry and excluded patients with documented kidney insufficiency. Navaneethan et al. used two eGFRs  $<60 \text{ mL/min}/1.73 \text{ m}^2$  three months apart to define CKD.

The differences of GFR estimations (MDRD, CKD-EPI, Cockcroft) in PAH is likely minimal. Kaiser et al. applied all three formulas in 64 patients with PH (32 with PAH) and did not find significant differences in estimation of eGFR. The Cockcroft formula performed best in terms of prediction survival.<sup>21</sup> Renal dysfunction is common in many CTDs and may account for the higher prevalence of kidney impairment found in CTD-PAH patients. Renal dysfunction was reported to range from 5% in SSc to 50% in systemic lupus erythematosus.<sup>22,23</sup> Various mechanisms have been associated with kidney disease in CTDs, including tubule-interstitial nephritis, minimal change disease, and membranous nephropathy (reviewed in Kronbichler and Mayer<sup>24</sup>). Besides PAH subtypes, it is also important to note differences in co-morbidities like systemic hypertension and diabetes when interpreting study results of kidney disease in PAH.

The use of diuretic therapy is—as standard of care highly prevalent in patients with PAH. Amount, combination, and duration of diuretic therapy can impact serum creatinine levels and GFR. Most studies do not report details of diuretics used. In those studies that do report the use of diuretics, the variance of patients on diuretics is high, in the range of 50–90%.<sup>19,25</sup>

In summary, even though there is a wide discrepancy in the prevalence kidney dysfunction in patients with PAH and only one study so far used the Kidney Dialysis Outcomes Quality Initiative (KDOQI) CKD definition of two separate GFR values at least three months apart, there is robust evidence of impaired kidney function in PAH. It seems that right ventricular hemodynamics, PAH subtype (especially CTD-PAH) and traditional risk factors like systemic hypertension, age, and diabetes are associated with kidney dysfunction in PAH patients. Kidney dysfunction has an important impact on survival in PAH and even mild impairment of kidney function seems to be an independent predictor of mortality.

# Potential mechanisms of kidney dysfunction in PAH

Numerous potential mechanisms exist to explain the high prevalence of kidney dysfunction in PAH. Major contributors are the tight interplay between the heart and the kidney (cardiorenal syndrome [CRS]) and neurohormonal activation in the setting of right heart failure. As vasoactive substances, the majority of PAH-targeted therapy seems to have renal protective properties.

#### Cardiorenal syndrome and the right ventricle

A common feature of kidney dysfunction in all forms of PH is CRS, a clinical state in which the treatment of heart or kidney failure is limited by worsening heart or kidney function. CRS describes the tight bidirectional relationship between these two organs (reviewed in Ronco et al.<sup>26</sup>).

CRS type 2 describes kidney dysfunction in the setting of chronic heart disease. Evidence for presence of CRS in PAH comes from the observation that there was a particularly strong correlation between right atrial pressure and kidney dysfunction that outperformed the association with cardiac index in PAH patients.<sup>19</sup> The strong interaction of elevated right atrial pressures and kidney function was also observed in group 2 PH patients, suggesting the importance of venous congestion in kidney dysfunction.<sup>17,27,28</sup> In one study there was a significant correlation between right atrial pressure and GFR in all groups of PH patients and an elevated right atrial pressure was in independent predictor of CKD.<sup>17</sup> This is in line with the observation in patients with different forms of heart failure, where it was shown that elevated right-sided filling pressures were the most important predictor of worsening kidney function.29,30

CKD defined by a GFR  $<60 \text{ mL/min}/1.73 \text{ m}^2$  in patients with left-sided heart failure is common and in the range of 20–80%.<sup>3,31,32</sup> Patients with kidney dysfunction and left heart disease are older and have a higher prevalence of systemic hypertension and diabetes.<sup>2,3</sup> Apart from a higher rate of cardiovascular disease, kidney function in patients with

left heart disease was shown to be independent of left ventricular ejection fraction or cardiac index, but linked to diastolic dysfunction and right atrial pressures.<sup>1,2,31,33</sup> In patients with heart failure with reduced ejection fraction (HFrEF), the presence of PH was associated with worse kidney function. In patients undergoing right heart catheterization for evaluation of valvular heart disease, coronary artery disease, and heart failure, central venous pressure was independently associated with kidney function.<sup>34</sup> The degree of kidney dysfunction pre- and postoperatively in patients undergoing aortic valve replacement was directly related to the degree of PH measured by right heart catheterization.<sup>35</sup>

Experimental evidence from isolated dog kidneys revealed that changes of venous pressure had a greater influence on the reduction of kidney blood flow and urine output then an equal reduction of arterial pressures.<sup>36</sup>

In summary, right ventricular hemodynamics, especially right atrial pressure, seem to play a major role in the development and progression of kidney dysfunction in PAH (Fig. 1).

#### Neurohormonal activation

Right ventricular hemodynamics are of major prognostic importance in patients with PAH and have been linked to increased neurohormonal activation.<sup>37–41</sup>

Although it is uncertain whether increased neurohormonal activation is caused by right heart failure, sympathetic activation in PAH patients measured by postganglionic activity was

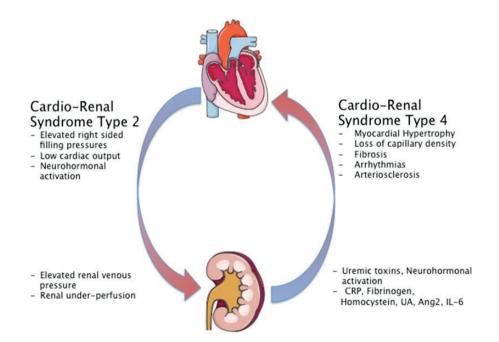


Fig. I. Cardiorenal syndrome in PAH and CKD. CRP, C-reactive protein; UA, uric acid; Ang-2, angiopoietin 2; IL-6, Interleukin 6. Figures were produced using Servier Medical Art found on www.servier.com.

shown to be related to the progression of right heart failure and to decrease after atrial septostomy.<sup>37,38</sup> Sympathetic nerve fibers are located in the bifurcation of the main pulmonary artery in men.<sup>42,43</sup> It was shown that sympathetic denervation of the pulmonary artery improved hemodynamics and functional capacity in patients with IPAH. Furthermore, pulmonary artery denervation was shown to decrease neurohormonal activity in preclinical models.<sup>44,45</sup>

The prognostic importance of increased Renin-Angiotensin-Aldosterone-System (RAAS) activity has been demonstrated in PAH for circulating levels of renin, angiotensin-1 and 2, vasopressin, and high prevalence of hyponatremia (as an indirect marker of RAAS activation).<sup>25,46,47</sup> Polymorphisms in angiotensin converting enzyme (ACE) and angiotensin two receptor type 1 (ART1) have been found in patients with PAH and were associated with favorable right ventricular hemodynamics and later age of disease onset.<sup>48</sup> Evidence for a pathobiological role of the RAAS system in PAH comes from studies demonstrating increased ACE activity, upregulated angiotensin receptor type 1 expression, and enhanced angiotensin II production in pulmonary vessels of PAH patients that was linked to hyperplasia of pulmonary arterial endothelial cells (ECs).<sup>46</sup> Increased aldosterone expression in human pulmonary arterial ECs was linked to nitric oxide production and worsening of experimental PH via modulation of endothelin B (ET-B) receptor.41

Increased activity of the neurohormonal axis is a wellestablished phenomenon in patients with CKD.<sup>49,50</sup> There is also evidence that diseased kidneys are the primary source of induction of neurohormonal and RAAS activity, independent of blood pressure, GFR, or volume status.<sup>49,51,52</sup> Similarly to the effect on the pulmonary circulation, it was shown that infusion of angiotensin II in rats leads to structural remodeling of the renal vasculature.<sup>53,54</sup> Overwhelming clinical evidence of the pathobiological importance of RAAS in CKD comes form studies that show significant reno- and cardiovascular protective effects of pharmacological RAAS blockade in CKD.<sup>55–58</sup>

In summary, there is evidence that a combination of PH and CKD leads to increased neurohormonal activation, which can be linked to worsening vascular remodeling of the pulmonary and renal circulation. Therefore, patients with PAH and concomitant kidney dysfunction are at higher risk of increased neurohormonal activation and poor outcome. Clinical trials to investigate safety and efficacy of neurohormonal inhibition in PAH are lacking.

#### PAH targeted therapy and CKD

The pathogenesis of CKD consists of hemodynamic changes, inflammation, and oxidative stress, leading to glomerulosclerosis and kidney atrophy.<sup>59</sup>

The mainstay of current PAH-targeted therapy consists of potent vasoactive substances like Endothelin receptor

antagonists (ERAs), Phosphodiesterase type 5 Inhibitors (PDE5I), and Prostacyclin analogues and therefore can affect the highly vascularized kidneys.<sup>60,61</sup>

Sildenafil, the first PED5I studied in PAH, has multiple nephroprotective effects, including lowering systemic blood pressure, reducing tissue damage after ischemia-reperfusion injury, decreasing contrast and cyclosporine-induced kidney injury, and reduction of diabetes induced glomerulosclerosis (reviewed in Afsar et al.<sup>62</sup>).

The endothelin system is a family of peptides and G-protein coupled receptors with an important role for vascular tone, especially in the kidneys and lungs. Endothelins bind to type A and type B receptors. Type A receptor (ET-A) is preferentially expressed on smooth muscle cells (SMCs) and promotes vasoconstriction. Type B receptor (ET-B) is expressed on ECs and promotes vasodilation (reviewed in Kedzierski and Yanagisawa<sup>63</sup>). Circulating endothelin levels are increased in patients with PAH and CKD and the endothelin system plays important roles in both disease states.<sup>64,65</sup> From a physiological point of view, ET-A blockade should be superior to dual endothelin blockade in CKD due to an antagonistic role of ET-B to ET-A mediated glomerular vasoconstriction. A small randomized controlled trial (RCT) could show that ETA receptor antagonism has renal-protective effects that were independent of blood pressure lowering effects and not present in patients receiving combined ETA/B receptor antagonism.<sup>66</sup> In addition, preclinical data showed that ET-B blockade caused salt-sensitive hypertension and fluid retention,<sup>67,68</sup> whereas ET-A blockade showed promising results in different animal models of CKD (reviewed in Neuhofer and Pittrow<sup>69</sup>). In summary, selective ET-A blockade could have superior renal protective effects, compared to dual ET-A/ET-B blockade in PAH patients.

Prostanoids are arachidonic acid metabolites that play an important role in vascular homeostasis and are among the first PAH-targeted therapies (reviewed in Mubarak<sup>70</sup>).

Several lines of evidence support renal protective effects of Iloprost in patients with CKD or kidney dysfunction. Iloprost was shown in a RCT to protect against contrastinduced nephropathy.<sup>71</sup> In patients undergoing coronary artery bypass grafting, prophylactic Iloprost administration was associated with significantly improved urine output and decreased serum creatinine.<sup>72</sup> Administration of Iloprost in patients with inoperable limb ischemia and kidney dysfunction (CKD stage 2) was associated with improvements in serum creatinine and eGFR.<sup>73</sup> Similarly, long-term administration of Beraprost in patients with diabetic CKD was shown to decrease urinary albumin excretion.<sup>74</sup> A recent RCT in patients with non-diabetic CKD showed a trend towards slower decline in renal function in patients on Beraprost compared to placebo.<sup>75</sup>

*Riociguat*. Riociguat is a novel treatment for PAH that stimulates the soluble guanylate cyclase in vascular SMCs. There is preclinical evidence that soluble guanylate cyclase stimulators have reno-protective effects in different animal

models (reviewed in Stasch et al.<sup>76</sup>). There are no clinical data on the effects of soluble guanylate cyclase stimulators on kidney disease available as of now. Riociguat is renally cleared, but Riociguat has not been evaluated in patients' ESRD (reviewed in Khaybullina et al.<sup>77</sup>).

In summary, most PAH-targeted therapy was shown to have nephroprotective potential in a pre-clinical or clinical setting. However, there are no dedicated clinical trials investigating the role of PAH-targeted therapy and its impact on kidney function. These trials are highly warranted.

#### Systemic impact of CKD in PAH

Regardless of the mechanism(s) involved in CKD in PAH patients, its impact may be significant. The presence of CKD is a key contributor to several pathophysiologic processes, which may amplify the deleterious effects of PAH.

Kidney dysfunction was shown to contribute to cardiac remodeling, EC dysfunction, and decreased clearance of toxins and cytokines leading to systemic inflammation.

#### Cardiorenal syndrome type 4

CRS type 4 is defined by reduced kidney function, increased blood pressure, volume overload, and circulating uremic toxins, leading to myocardial dysfunction characterized as myocardial hypertrophy, fibrosis, and loss of capillary density (reviewed in Larsen et al.<sup>78</sup> and Cerasola et al.<sup>79</sup>). Chronic kidney insufficiency at any stage is associated with a significant increased risk for or progression of cardiovascular disease, heart failure, and atrial fibrillation.80-83 Recent reports provided evidence that patients with PAH also have toxic myocardial lipid accumulation and the prevalence of atrial fibrillation was reported to be four times higher in patients with PAH and inoperable chronic thromboembolic pulmonary hypertension (CTEPH) when compared to the general population.<sup>84–86</sup> It is possible that in PAH the presence of kidney dysfunction contributes to adverse cardiac remodeling leading to right heart failure and atrial arrhythmias.

# Systemic endothelial cell dysfunction, inflammation, and uremic toxins

Systemic vascular changes in ECs and SMCs are common in CKD including accelerated arteriosclerosis, increased vascular stiffness, and SMC transformation (reviewed in Moe and Chen<sup>87</sup>). EC dysfunction measured by flowmediated vasodilation was shown to be present in the early stages of CKD and seemed to be independent from other co-morbidities like hypertension and diabetes.<sup>88</sup> Similar observations were made in patients with PAH.<sup>89,90</sup> It was further shown that patients with CKD have elevated levels of C-reactive protein (CRP), fibrinogen, and homo-cysteine, markers that are also elevated and of prognostic importance in PAH.<sup>91–94</sup> Hyperuricemia is common in patients with PAH and was shown to be an independent predictor of survival.<sup>95,96</sup> Nagaya et al. showed that uric acid (UA) levels correlated with kidney function, cardiac output, and higher doses of diuretics, respectively.<sup>95</sup> It was further shown that UA levels decreased with the initiation of PAH targeted therapy.<sup>96,97</sup> An ultrasound-based study showed that increasing UA levels could predict the development of PH in SLE patients.<sup>98</sup> Voelkel et al. found a striking correlation between UA levels and right atrial pressure in PAH patients that could not be explained by impaired kidney or liver function alone.<sup>97</sup> The authors therefore concluded that the ischemic right ventricle might be the site of excessive UA production.

Potential mechanisms by which UA could contribute to PAH include systemic inflammation,<sup>99–101</sup> vasoconstriction,<sup>102</sup> and vascular remodeling.<sup>103,104</sup> Impaired kidney function could contribute to decreased clearance of UA and therefore promote unfavorable pulmonary vascular remodeling.

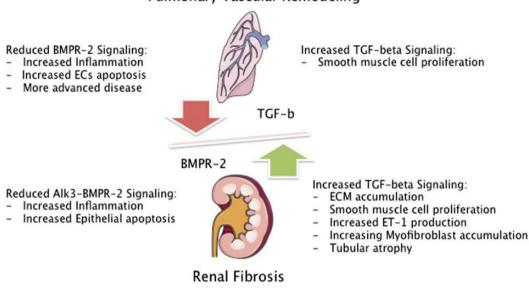
In summary, impaired kidney function could contribute to the progression of pulmonary vascular and/or right ventricular remodeling in PAH by induction or decreased clearance of inflammatory cytokines and uremic toxins, associated with vasoconstriction, EC dysfunction, and adverse cardiac remodeling (Fig. 2).

#### Molecular mediators

PAH and CKD are different pathologic and pathophysiologic entities. However, there is a significant overlap of multiple critical cell signaling pathways and circulating cytokines/chemokines that seem to play important roles in development and progression in both diseases. This overlap may reveal new insights into the factors that contribute to deleterious outcomes in PAH.

### Transforming growth factor $\beta$ (TGF- $\beta$ ) and bone morphogenetic protein (BMP) signaling

TGF- $\beta$  and BMP signaling is fundamental for many important cellular processes. The proper functioning of the TGF- $\beta$ /BMP axis is dependent on a highly abundant and overwhelmingly complex network of ligands, receptor assemblies, and downstream signaling pathways that depend on an extensive crosstalk with other signaling molecules (reviewed in Moustakas et al.<sup>105</sup>). Smad proteins are important downstream transducers of the TGF- $\beta$ /BMP pathway. Cell-based studies have shown that TGF-B ligands predominantly activate Smad2/3, whereas BMP ligands seem to activate Smad1/5/8 (reviewed in Moustakas et al.<sup>105</sup>). The TGF- $\beta$ /BMP axis is cell-type and context dependent. It is therefore difficult to draw parallels between TGF-B signaling in PAH and CKD, but there is evidence that the TGF network is a fundamental player in both pathologic states. Current concepts of disease development and progression



#### Pulmonary Vascular Remodeling

**Fig. 2.** Impact of impaired TGF- $\beta$  signaling in PAH and CKD. BMPR-2, bone morphogenetic protein 2; TGF- $\beta$ , transforming growth factor beta; ECs, endothelial cells; Alk-3, activin receptor like kinase 3; ECM, extracellular matrix; ET-1, endothelin 1. Figures were produced using *Servier Medical Art* found on www.servier.com.

propose that PAH is caused by an imbalance of TGF- $\beta$  and BMP signaling. Loss of function mutations of the BMPR-2 (found in more than 70% of HPAH and about 20% of sporadic cases<sup>106</sup>) were associated with increased TGF-β signaling and more advanced disease, compared to patients without mutations in the BMPR-2 receptor.<sup>107</sup> It was shown that patients with PAH have about four times higher levels of circulating TGF- $\beta$ , when compared to healthy controls. Furthermore, PAH patients had a significant trans-pulmonary gradient of TGF-B levels that was not seen in controls, suggesting production of TGF- $\beta$  in the PAH lung.<sup>108</sup> There is also cumulative evidence that TGF- $\beta$  and Smad2 expression is increased in the pulmonary vasculature of PAH patients, when compared to healthy control or emphysema lungs.<sup>109,110</sup> In contrast to that, one study showed significant reduction of TGF-B receptors and activation of Smad2 in the MCT-induced PH rat model.<sup>111</sup> It was shown that TGF- $\beta$  induces proliferation of pulmonary arterial SMCs from patients with PAH when compared pulmonary SMCs from control arteries. 107,110 to Furthermore, in pulmonary arterial SMCs with reduced BMPR2 expression, the TGF-β, Smad2 pathway was potentiated.<sup>112</sup> Reduced BMPR-2 expression is associated with pulmonary vascular inflammation, ECs apoptosis in humans, and the development of PH in several animal models.<sup>113–118</sup> In addition, it was shown that BMP-2 signaling induces differentiation, inhibits proliferation, and prevents post-injury hyperplasia of aortic SMCs.<sup>119,120</sup>

CKD is characterized by vascular rarefication and fibrosis. A variety of different pathologic processes contributing to CKD have been identified, including premature cell-cycle arrest, activation of myofibroblasts and fibrocytes, extension of extracellular matrix (ECM), and recruitment of various infiltrating immune cells to the site of injury (reviewed in Chawla et al.<sup>121</sup>).

There is growing evidence that increased TGF- $\beta$  signaling also plays a key role in the progression of various kidney diseases (reviewed in Wang et al.<sup>122</sup>). Research in human and experimental kidney disease has established the critical role of Smad2 and Smad3 signaling in promoting renal fibrosis, the most common pathobiological pathway of progressive CKD.<sup>123–125</sup> TGF- $\beta$  overproduction was linked to various human kidney diseases including IgA nephropathy, lupus nephritis, and diabetic nephropathy.<sup>126,127</sup>

In several animal models, enhancement of the TGF- $\beta$  pathway has been shown to promote renal fibrosis, due to renal vascular, glomerular, and tubular damage characterized by ECM accumulation and SMC hyperplasia.<sup>128,129</sup> In contrast, inhibition of TGF- $\beta$  was associated with decreased renal vascular and SMC hypertrophy.<sup>130,131</sup> One study could demonstrate that reduction of kidney injury by TGF- $\beta$  neutralization was characterized by significantly decreased endothelin 1 production, an important promoter of pulmonary vascular constriction and treatment target in PAH.<sup>131,132</sup>

In preclinical studies it was shown that BMP-7 was able to ameliorate TGF- $\beta$ -induced renal fibrosis by decreasing the accumulation of myofibroblasts and tubular atrophy and to improve GFR.<sup>133,134</sup> It was shown in a mouse model of nephrotoxic-induced kidney fibrosis, that deletion of a BMPR2 co-receptor, activin-like kinase 3 (Alk3) in tubular epithelial cells was associated with enhanced TGF- $\beta$ -Smad 3 signaling and worsening of renal fibrosis characterized by increased interstitial inflammation and

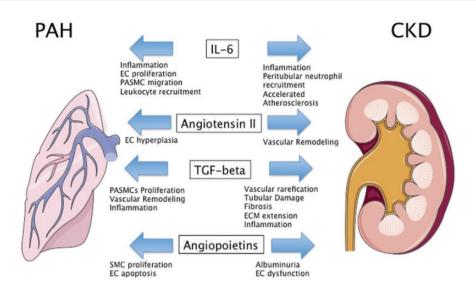


Fig. 3. Molecular mediators of PAH and CKD. EC, endothelial cells; PASMC, pulmonary arterial smooth muscle cells; SMC, smooth muscle cell; ECM, extracellular matrix. Figures were produced using Servier Medical Art found on www.servier.com.

tubular epithelial apoptosis. Restoration of BMP signaling with a peptide agonist improved kidney fibrosis. This study therefore suggests a protective role of the Alk3-BMPR2 pathway in kidney injury.<sup>135</sup> Even though this study received substantial criticism for the role of the BMP agonist,<sup>136</sup> it underscores the importance of disturbed TGF- $\beta$ / BMPR2 signaling in kidney fibrosis. It is possible that in PAH, decreased systemic BMPR2 signaling and increased systemic TGF- $\beta$  levels, lead to increased activity of the TGF- $\beta$ /Smad2/3 pathways and promotes significant proliferative stress in the kidneys, leading to expansion of ECM and SMC hyperplasia and therefore increased risk of the development of CKD (Fig. 2).

#### Angiopoietins

Angiopoietin (Ang)-1 and its antagonist Ang-2 are essential factors for vascular development and homeostasis. Both proteins bind to the Tie2 receptor, which is almost exclusively expressed on ECs. Ang-1 is believed to constitutively phosphorylate Tie2 to maintain vascular guiescence and structural integrity. Ang-2 can disrupt Ang-1/Tie2 signaling and destabilize EC homeostasis leading to an angiogenic response or vascular regression (reviewed in Fagiani and Christofori<sup>137</sup>). Several lines of evidence support a role of dysregulated Ang/Tie2 signaling in experimental and human PH/PAH associated with SMC proliferation and EC apoptosis.<sup>138–143</sup> Even though the data are controversial, it was shown that circulating Ang-1 and Ang-2 were elevated in PAH. Ang-2 levels correlated with right ventricular hemodynamics, response to treatment, and outcome. Furthermore, levels of Ang-2 were highly expressed in areas of vascular remodeling.143

The Ang/Tie-2 system is also of significant importance in kidney development, homeostasis, and glomerular pathobiology (reviewed in Woolf et al.<sup>144</sup>). Ang-2 overexpression

was shown to result in a significant increase in albuminuria, indicating EC dysfunction.<sup>145,146</sup> Patients with CKD have higher circulating levels of Ang-2 compared to healthy controls that predict poor outcome in this patient population.<sup>147</sup> In PAH, increased circulating levels of Ang-2 could disturb vascular homeostasis and promote pathology in pulmonary and renal vessels, resulting in disease worsening of pulmonary hypertension and progression of CKD (Fig. 3).

#### Asymmetric dimethylarginine

Asymmetric dimethylarginine (ADMA) is an endogenous inhibitor of nitric oxide synthesis and associated with systemic endothelial dysfunction (reviewed in Cooke<sup>148</sup>).

In PAH, increased levels of ADMA were shown to be associated with unfavorable pulmonary hemodynamics and worse outcome. ADMA is considered a uremic toxin that was shown to be elevated in patients with CKD and associated with cardiovascular events in this patient population.<sup>149,150</sup> Elevated circulating levels of ADMA were directly linked to the development of renal fibrosis in mice characterized by EC dysfunction, ECM synthesis, and rarefaction of peritubular capillaries.<sup>151</sup> Increased levels of ADMA could promote worsening PAH and CKD by depleting nitric oxide in the pulmonary and renal vasculature, leading to vascular constriction and remodeling.

#### Interleukin (IL-6)

Several pro-inflammatory cytokines were shown to be elevated in patients with PAH and animal data support a pathophysiological role of inflammation in pulmonary vascular remodeling. Similarly, pro-inflammatory cytokines were shown to play a pivotal role in the response to injury in the kidneys (reviewed in Shum et al.<sup>152</sup>). IL-6 is the most studied cytokine in PAH/PH and seems to play an important role in the pathogenesis of pulmonary vascular remodeling, associated with EC proliferation, pulmonary arterial SMC migration as well as pulmonary macrophage and lymphocyte recruitment (reviewed in Groth et al.<sup>153</sup> and El Chami and Hassoun<sup>154</sup>). IL-6 is an acute-phase cytokine, with diverse biological function such as hypercoagulability, accelerated atherosclerosis, and associated with activation of lymphocytes and leukocyte recruitment (reviewed in Jones et al.<sup>155</sup>). Serum levels of IL-6 are significantly elevated in patients with PAH compared to normal controls and associated with outcome and mutations in BMPR2.156,157 Interestingly, IL-6 does not seem to correlate with hemodynamic parameters measured by right heart catheterization, which could suggests that IL-6 levels are not a reflection of right ventricular failure, but potentially systemically involved in pathogenesis of vascular remodeling in PAH.<sup>157</sup> Animal data showed that IL-6 is expressed in lung tissue of rats with experimentally induced PH and dexamethasone decreased IL-6 production and attenuated PH.<sup>158</sup> Subcutaneous injection of IL-6 causes mild PH in mice and worsens hypoxia-induced PH.<sup>159</sup> Lung-specific IL-6 overexpressing mice showed profound vascular remodeling that resembled changes seen in human PAH (plexiform lesions).<sup>160</sup> IL-6 was shown to be elevated and an important independent predictor of mortality in patients with CKD. IL-6 levels were associated with higher CKD stages, but did not correlate with GFR.<sup>161,162</sup> Elevated levels of IL-6 have been linked to adverse cardiac remodeling and atrial fibrillation in patients with CKD.163,164 Experimental data provided evidence for a direct role of IL-6 in models of acute kidney injury. In mice, systemic levels of IL-6 rise during kidney injury and expression of IL-6 was increased in in tubular epithelial cells. Furthermore, IL-6 deficient mice were protected against mercury chloride-induced kidney damage and showed reduced peritubular neutrophil accumulation.<sup>165</sup> Kidney dysfunction could contribute to elevated levels of IL-6 in PAH and worsen pulmonary and cardiac remodeling. Vice versa, elevated levels of IL-6 could contribute to worsening kidney function by inducing inflam-

#### Treatment implications for CKD in PAH

mation of the tubular epithelium (Fig. 3).

There are no dedicated clinical trials or guidelines addressing best clinical management of kidney dysfunction in PAH patients. Given the high prevalence of kidney dysfunction in PAH and its substantial effects on patient outcome, management strategies to prevent kidney dysfunction and its progression are important. Studies to formulate management guidelines of kidney dysfunction in PAH are lacking. Findings from non-PAH patients with CKD can provide important insights into potential management strategies of kidney disease in PAH. These could include systemic blood pressure control, glucose and UA management, lifestyle modifications, as well as RAAS blockade.<sup>166</sup>

#### Blood pressure control

Lowering systemic blood pressure reduces the rate of CKD progression and international consensus guidelines recommend a systemic systolic blood pressure below 140 mmHg and a diastolic blood pressure below 90 mmHg in non-diabetic patients with CKD.<sup>167</sup> Elevated systemic blood pressure is an increasingly recognized co-morbidity in PAH and was reported in recent PAH registries between 27% and 40%.<sup>168,169</sup> Data from the CKD population without PH cannot be extrapolated to patients with PAH and there are no RCTs addressing blood pressure control in this vulnerable population and current guidelines do not support use of ACE inhibitors, angiotensin receptor blockers (ARBs), or beta-blockers.<sup>60,61</sup> In addition, tight blood pressure control in patients with cardiovascular disease might be associated with increasing risk for cardiovascular events.<sup>170,171</sup>

#### RAAS blockade

ACE inhibitors and ARBs are standard treatment for patients with CKD and have been shown to reduce progression of CKD, CVD, and improve outcome.<sup>172–175</sup>

Aldosterone antagonists are considered as standard in heart failure management and associated with improved survival.<sup>176</sup> Early trials from the 1980s with the ACE inhibitor Captopril in patients with PAH did not show any effect on pulmonary hemodynamics, but kidney function was not monitored.<sup>177,178</sup> A recent study showed that aldosterone blockade with spironolactone in conjunction with Ambrisentan tends to improve clinical parameters like 6MWD, WHO-FC, and reduce circulating levels of NTproBNP. However, given the small sample size, none of those parameters reached a clinical significant difference. In addition, there was no assessment of kidney function in this study.<sup>179</sup>

#### Glycemic control

Glycemic control in patients with diabetes and CKD has an important influence on microvascular complications, progression of CKD, and was shown to reduce albuminuria.<sup>180</sup> In the UKPDS study, intensive glucose control (hemoglobin A1C < 7%) was associated with a significant reduction in progression of CKD in patients with type 2 diabetes mellitus.<sup>181</sup> Given the high prevalence of hyperglycemia and insulin resistance in patients with PAH,<sup>182–184</sup> attention to improved glycemic control could prevent progression of CKD and improve outcome in this patient group.

#### Protein intake

Data from the Nurses Health Study implies that in patients with mild kidney dysfunction (GFR above 55 but below  $80 \text{ mL/min}/1.73 \text{ m}^2$ ) and high protein intake (>82 g/d) is associated with a threefold higher risk for a further decline

in kidney function. Given the high prevalence of mild kidney dysfunction in PAH patients, an upper limit of daily protein intake warrants examination in this patient population.<sup>185</sup>

#### Salt intake

Accumulating evidence linked increased salt intake to worsening albuminuria and increased likelihood of worsening GFR.<sup>186</sup> Salt restriction (1.15 g/d versus 4.6 g/day) was shown to enhance the beneficial effects of ACE inhibitors on kidney function in patients with non-diabetic CKD.<sup>187</sup> Experimental evidence has linked increased salt intake with stimulation of the TGF- $\beta$  pathway.<sup>188,189</sup> Given the importance of the TGF- $\beta$ /BMPR-2 axis in PAH and CKD (see above), excessive dietary salt intake could have an important impact on the progression of CKD in patients with PAH.

#### Hyperuricemia

Pharmacological reduction of UA levels has been linked to delayed progression of CKD and improved cardiovascular risk profile.<sup>190–192</sup> However, current guidelines do not recommend the use of UA lowering agents in asymptomatic CKD patients.<sup>193</sup> Given the high incidence of hyperuricemia in PAH, clinical trials would be warranted to investigate the usefulness of lowering UA levels for prevention of the progression of CKD and right ventricular failure in PAH.

#### Physical activity

Patients with CKD and reduced exercise capacity have increased mortality and poor quality of life.<sup>194,195</sup> A RCT in CKD patients could show improved physical capacity, quality of life, and arterial stiffness in patients undergoing a supervised exercise program.<sup>196</sup> Beneficial effects of regular exercise are thought to have positive effects on blood pressure, lipid profile, and glycemic control.<sup>196–200</sup> Current guidelines recommend supervised exercise and physical rehabilitation in deconditioned patients with PH. RCTs have shown that supervised physical training improved exercise and functional capacity, cardiorespiratory function, and quality of life in PAH patients. Supervised physical activity could also prevent development or progression of CKD due to improved control of associated risk factors.

#### Summary

Kidney dysfunction is highly prevalent in PAH patients and numerous potential mechanisms exist to explain the interaction of PAH and kidney disease, including CRS and neurohormonal activation. The majority of PAH-targeted therapy seems to have potential nephroprotective effects. Kidney disease constitutes a significant risk for mortality in patients with PAH. Impaired kidney function could potentially contribute to progression of PAH by worsening pulmonary vascular and cardiac remodeling. Even though PAH and CKD are pathophysiologic distinct entities, there is a significant overlap of molecular mediators in both diseases. Potential management implications to reduce kidney disease in PAH include: systemic blood pressure, glucose and UA control, lifestyle modifications, and RAAS blockade.

#### **Conflict of interest**

The author(s) declare that there is no conflict of interest.

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