# **Original Article**

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# Data-Mining-Based Coronary Heart Disease Risk Prediction Model Using Fuzzy Logic and Decision Tree

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Objectives: The importance of the prediction of coronary heart disease (CHD) has been recognized in Korea; however, few studies have been conducted in this area. Therefore, it is necessary to develop a method for the prediction and classification of CHD in Koreans. Methods: A model for CHD prediction must be designed according to rule-based guidelines. In this study, a fuzzy logic and decision tree (classification and regression tree [CART])-driven CHD prediction model was developed for Koreans. Datasets derived from the Korean National Health and Nutrition Examination Survey VI (KNHANES-VI) were utilized to generate the proposed model. Results: The rules were generated using a decision tree technique, and fuzzy logic was applied to overcome problems associated with uncertainty in CHD prediction. Conclusions: The accuracy and receiver operating characteristic (ROC) curve values of the propose systems were 69.51% and 0.594, proving that the proposed methods were more efficient than other models.

Keywords: Heart Disease, Decision Tree, Fuzzy Logic, KNHANES, Data Mining

# I. Introduction

Coronary heart disease (CHD) has the highest mortality rate of all the non-communicable diseases throughout the world. Therefore, the prediction of CHD is necessary for reducing the management costs of CHD and for promoting health [1].

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CHD is very dangerous because it is directly related to the patient's lifestyle; hence, prevention is important [2]. The two standard datasets used to predict the CHD risk level are the Framingham risk score (FRS) and prospective cardiovascular Münster (PROCAM) [3]. However, FRS and PROCAM are not tailored for Koreans; therefore, the accuracy of heart risk prediction using these methods is low when applied to Koreans [4,5].

Thus far, many previous studies have proposed methods to predict CHD using data mining, artificial intelligence, and machine learning techniques [6,7]. CHD prediction models based on data mining use various algorithms, such as artificial neural networks, decision trees, Bayesian theory, and genetic algorithms [8]. Anooj [9] proposed the generation of a fuzzy rule based on rule induction using decision trees to develop a clinical decision support system (CDSS) and predict the risk level. Khatibi and Montazer [10] developed a CHD risk prediction model based on the Dempster–Shafer evidence theory by designing a fuzzy-evidential hybrid

inference engine using the FRS and PROCAM guidelines. Krishnaiah et al. [11] developed a CHD prediction system using a fuzzy K-NN classifier for measured values to remove uncertainty. CHD prediction models have been extended to a health management service model and a CDSS [12]. However, few studies have investigated the prediction of CHD in Koreans, which is an important requirement [5].

Therefore, it is necessary to develop a CHD prediction model for Koreans using data mining. In Korea, few studies have aimed to produce guidelines for CHD prediction thus far. Thus, rules based on guidelines are required, which should be produced using a data mining technique [13]. Certain biometric information related to CHD is also uncertain, so a solution is required to address this problem; fuzzy logic may reduce the uncertainty of medical informatics [14]. Additionally, the FRS guidelines, which have been used as a predictive model, are not appropriate for Koreans. Therefore, a new prediction model should be produced based on local clinical data to predict CHD in Koreans using decision tree rule induction [15].

In this study, the model was developed data mining-driven CHD prediction model using fuzzy logic and decisiontree. Datasets derived from the Korean National Health and

Table 1. The distribution of preoperative variables between low risk and high patients

	Low risk	High risk	p-value
	(n = 488)	(n = 260)	μ-value
Age (yr)	50.11 (14.10)	53.06 (13.775)	0.006
Cholesterol (mg/dL)			
Total	206.69 (39.59)	201.40 (39.57)	0.082
LDL	116.81 (33.90)	112.10 (35.99)	0.077
HDL	43.99 ( 9.57)	43.54 ( 9.95)	0.552
Systolic BP (mmHg)	123.78 (16.04)	123.11 (15.70)	0.585
Diastolic BP (mmHg)	79.56 (11.20)	78.50 (11.07)	0.217
Sex			
Men	302	135	
Women	186	107	
Smoking			
Smoke	318	169	
Non-smoke	170	91	
Diabetes			
Yes	463	196	
No	25	64	

Values are presented as mean (standard deviation) or number. HDL: high-density lipoprotein, LDL: low-density lipoprotein, BP: blood pressure. Nutrition Examination Survey VI (KNHANES-VI) were utilized to produce the proposed model [16]. Furthermore, rules were generated using the classification and regression tree (CART) of the decision tree technique [17], whereas a fuzzy logic approach was employed to address the uncertainty problem, which allowed CHD to be predicted.

# II. Methods

### 1. Data Set

The FRS, PROCAM, and Adult Treatment Panel III (ATP III) datasets have been used as standard guidelines for predicting CHD and CHD risk factors for the last 10 years. Therefore, the factors stated in these guidelines were used as a reference for data extraction.

Clinical data were acquired from KNHANES-VI, which was a survey study conducted by the Korea Centers for Disease Control and Prevention. KNHANES provides a basis for policy establishment and the evaluation of the comprehensive national health promotion plan. It contains data on the health and nutritional status of Koreans based on national statistics collected by the Korea Centers for Disease Control and Prevention [16].

Table 1 shows the extracted dataset. There were nine input variables and one output variable. Input variables are the important factors that are widely used for the prediction of CHD, namely, age, sex total cholesterol, low-density lipoprotein (LDL), high-density lipoprotein (HDL), systolic blood pressure, diastolic blood pressure, smoking, and diabetes [3]. The output variables are CHD risk factors that have preprocessing the output variables (hypertension, hyperlipidemia, myocardial infarction, and angina pectoris). If subjects have more than one of these diseases, they are defined as having CHD (low risk and high risk).

The experimental subjects were 8,108 survey subjects from KNHANES-VI. There were 8,108 survey subjects in total,

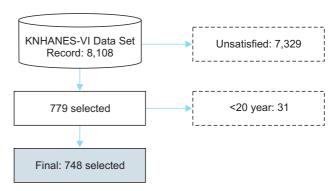


Figure 1. Data selection. KNHANES-VI: Korean National Health and Nutrition Examination Survey VI.

and the exclusions were 7,329 uncertain respondents, 31 people aged less than 20 years. The final dataset comprised 748 subjects. Figure 1 illustrates the data selection process.

# 2. Coronary Heart Disease Risk Prediction Model

A classification model and a process for dealing with uncertain data are required to predict CHD. The process of the CHD prediction model is shown in Figure 2.

The prediction model is a fuzzy-logic-based inference method that requires a rule base and fuzzy membership functions. Rule induction was performed using the KNHANES dataset to generate the rules. The rule induction technique generated rules using the decision tree method. After that, the generated rules were transformed for using in the fuzzy inference engine. [18]. FRS and case studies with existing fuzzy functions were considered before the fuzzy membership functions were created [9,10]. Finally, the fuzzy membership functions were produced after the model was validated. The CHD risk level of a subject could be inferred

Fuzzy inference

engine

Fuzzy rule base

using the rules generated by the decision tree and the fuzzylogic-based classification prediction model.

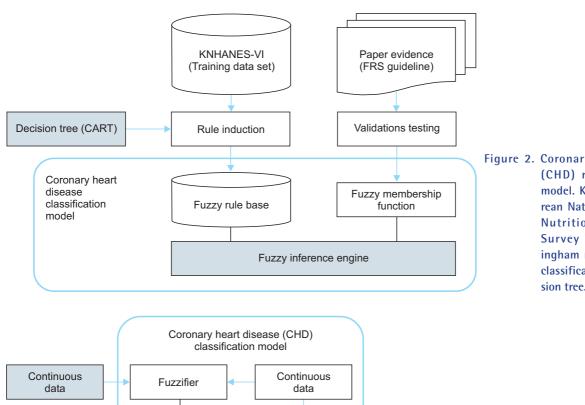
### 3. Rule Induction

Formal rules were extracted from the continuous dataset of observations by rule induction. In this study, a decision tree technique was used to generate the rules. CART is known to be a useful approach for pruning leaf nodes, which enhances the generalization capability of learned trees when the generated trees have an excessive number of steps and leaf nodes. CART can also perform analyses and interpretations to generate propositional knowledge, which is a set of rules used to generate 'If-Then' rules. Therefore, a CHD prediction model for Koreans was produced by applying the CART rule induction algorithm to KNHANES-VI.

# 4. Fuzzy Inference Engine

CHD risk

Fuzzy logic is a multi-valued logic that is useful for solving uncertainty problems, and it can address the degree of mem-



Defuzzifier

(COG)

Figure 2. Coronary heart disease (CHD) risk prediction model. KNHANES-VI: Korean National Health and **Nutrition Examination** Survey VI, FRS: Framingham risk score, CART: classification and regres-

Figure 3. Fuzzy inference model. COG: center of gravity.

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Categorical

data

bership and degrees of truth. CHD-related data contains considerable uncertainty; hence, the data is inferred using fuzzy logic. Figure 3 shows the fuzzy inference model used by the CHD classification prediction model.

The fuzzy inference model determines the CHD risk level

by inference using the heart-disease-related input data. The continuous dataset and categorical dataset were used as the input data. The input continuous dataset comprised the age, total cholesterol, LDL cholesterol, HDL cholesterol, systolic blood pressure, and diastolic blood pressure. The uncertainty

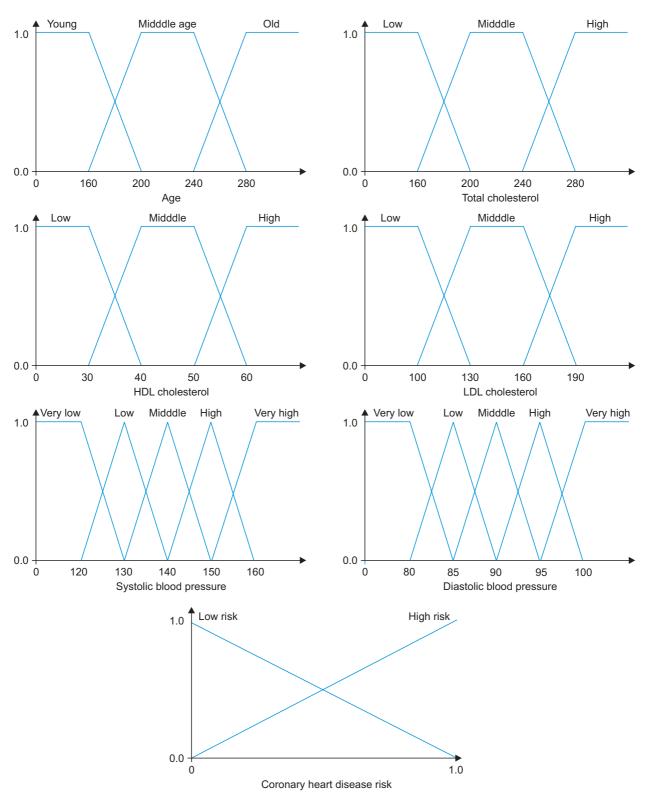


Figure 4. Fuzzy membership function. HDL: high-density lipoprotein, LDL: low-density lipoprotein.

of the continuous data was inferred by fuzzifying using the fuzzifier. The fuzzifier acquired the data via the fuzzy membership function. Figure 4 shows the fuzzy membership function. Six of the continuous input variables were fuzzified and the output variable was the CHD risk level.

The categorical dataset contained Boolean logic data types, such as sex, smoking, and diabetes; hence, the fuzzy membership function was not required. After the fuzzified functions and categorical data had been input, the fuzzy inference engine performed inference using the rules. The Mamdani max-min approach was used as the inference mechanism, while defuzzification used the center of gravity (COG) method to display the final output.

# III. Results

The proposed CHD risk prediction model was implemented and evaluated. Figure 5 shows the experimental scenario tested. The final data from the KNHANES dataset (748 / 8,108 subjects) were categorized into a training set and a

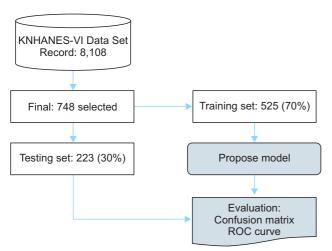


Figure 5. Experimental environment. KNHANES-VI: Korean National Health and Nutrition Examination Survey VI, ROC: receiver operating characteristic.

test set. The training set contained 525 subjects (70%), and it was used to create the rule induction and fuzzy membership functions as well as the classification prediction model. The test set contained 223 subjects (30%), and it was used to verify the proposed model.

IBM SPSS modeler 14.2 was used for rule induction. CART was also used for rule induction where the pruning severity was 75%, the minimum records per child branch was two, the boosting number was restricted to 10 for individual options, and the highest probability rule model was used. MATLAB R2009b with a fuzzy tool box was used to produce the fuzzy inference model. A confusion matrix was used to evaluate the predictive model [19]. Table 2 shows the confusion matrix.

The true positive (TP) value was the number of cases that correctly predicted CHD patients and the true negative (TN) value was the number of cases that correctly predicted healthy subjects as non-heart-disease patients. The false positive (FP) value was the number of cases that identified a patient as healthy who had CHD, and the false negative (FN) value was the number of cases that predicted that a patient had CHD who was healthy.

Our model was compared with previous results using an artificial neural network (ANN) [20], support vector machine (SVM) [21], logistics regression (LR) [22], and decision tree C5.0 [23] to evaluate the performance. Finally, it was compared with propose model. The confusion matrix and ROC curve results are shown in Tables 3 and 4, respectively.

The experimental results showed that the ANN, LR, and SVM had relatively high accuracy rates of 62.78%, 63.23%, and 67.71%, respectively, although they were lower than that of the proposed model because ANN and SVM only made observations at the learning level. C5.0, which are decision tree-based methods, yielded accuracy scores of 53.36%. The proposed model had accuracy and sensitive scores of 69.51% and 93.10%, respectively, which were higher than those of the other models. The higher accuracy and sensitivity of the

Table 2. Confusion matrix

Actual class	Prediction class			
	Positive	Negative	Row total	
Positive	TP (true positive)	FN (false negative)	TP + FN (total number of subjects with given condition)	
Negative	FP (false positive)	TN (true negative)	FP + TN (total number of subjects without given condition)	
Column total	TP + FP (total number of subjects with positive test)	FN + TN (total number of subjects with negative test)	N = TP+FN+FP+TN (total number of subjects in study)	

Table 3. Confusion matrix result

	Accuracy	Sensitivity	Specificity	PPV	NPV
ANN	0.6278	0.7310	0.4359	0.7067	0.4658
SVM	0.6771	0.8966	0.2692	0.6952	0.5833
LR	0.6323	0.7586	0.3976	0.9006	0.4697
C5.0	0.5336	0.4897	0.6154	0.7030	0.3934
Proposed model	0.6951	0.9310	0.2564	0.6995	0.6667

ANN: artificial neural network, SVM: support vector machine, LR: logistics regression, PPV: postivie prediction value, NPV: negative prediction value, TP: true positivie, TN: true negative, FP: false positive, FN: false negative.

Accuracy = (TP + TN) / (TP + TN + FP + FN)

Sensitivity = TP / (TP + FN)

Specificity = TN / (FP + TN)

PPV = TP / (TP + FP)

NPV = TN / (TN + FN)

Table 4. ROC curve result

	AUC	a volue	p-value 95% Cl	
	AUC	<i>p</i> -value -	Lower bound	Upper bound
ANN	$0.583 \pm 0.041$	0.040	0.504	0.663
SVM	$0.583 \pm 0.041$	0.041	0.502	0.664
LR	$0.578 \pm 0.041$	0.055	0.498	0.658
C5.0	$0.553 \pm 0.040$	0.196	0.474	0.631
Proposed Model	$0.594 \pm 0.041$	0.021	0.513	0.675

ROC: receiver operating characteristic, AUC: area under ROC curve, CI: confidence interval, ANN: artificial neural network, SVM: support vector machine, LR: logistics regression.

proposed model can be attributed to the reduction of uncertainty achieve by using fuzzy logic. CART, which was used for rule induction, cannot process uncertainty adequately. The propose model performs better than ANN and SVM in terms of accuracy and sensitive is the highest reason, ANN and SVM learning and resoning about the complex relationship between the each training data; however, ANN and SVM do not resolve the problem of uncertainy. However, the propose model overcomes the problem of the uncertainty of the data by using fuzzy logic. However, specificity of proposed model is lower than that of the other models. Thus, future studies are required to develop a prediction model with higher specificity. The ROC curve result of the proposed model (0.594) was higher than that of the other models, and this can help in the decision support of the prediction of CHD.

# IV. Discussion

This paper proposed a novel predictive model for CHD

based on data derived from KNHANES-VI, which were collected by the Korea Centers for Disease Control and Prevention. The propose model decision supports the prediction of CHD by utilizing fuzzy logic and CART-based rule induction. Rule induction was performed using the KNHANES-VI datasets to generate the rules using the CART method. The prediction model used an inference model based on fuzzy logic. The rules were generated using a CART decision tree method, and fuzzy membership functions were created based on those used in previous case studies and FRS. A final dataset containing 748 subjects was selected from KNHANES-VI and used for the performance evaluation. The experimental results showed that the proposed model improved the prediction accuracy and sensitivity. Using the propose model is expected to offer decision support for CHD prediction.

Future research should focus on developing data mining based prediction methods that may also increase the accuracy and specificity of CHD prediction.



# Conflict of Interest

No potential conflict of interest relevant to this article was reported.

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