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Offer of primary care services and detection of tuberculosis incidence in Brazil

Daniele Maria Pelissari¹, Patricia Bartholomay¹, Marina Gasino Jacobs¹, Denise Arakaki-Sanchez¹, Davllyn Santos Oliveira dos Anjos¹¹, Mara Lucia dos Santos Costa¹¹, Pauline Cristine da Silva Cavalcanti¹¹, Fredi Alexander Diaz-Quijano¹²

- ¹ Ministério da Saúde. Coordenação Geral do Programa Nacional de Controle de Tuberculose. Brasília, DF, Brasil
- Ministério da Saúde. Coordenação Geral de Acompanhamento e Avaliação da Atenção Básica. Brasília, DF, Brasil
- Fundação Oswaldo Cruz. Centro de Pesquisa Aggeu Magalhães. Programa de Pós-Graduação em Saúde Coletiva. Recife, PE, Brasil
- [™] Universidade de São Paulo. Faculdade de Saúde Pública. Departamento de Epidemiologia. São Paulo, SP, Brasil

ABSTRACT

OBJECTIVE: To evaluate the association between the health services offered by primary care teams and the detection of new tuberculosis cases in Brazil.

METHODS: This was an ecological study covering all Brazilian municipalities that registered at least one new tuberculosis case (diagnosed between 2012 to 2014 and notified in the Information System of Notifiable Diseases) and with at least one primary care team evaluated by the second cycle of the National Program for Improving Access and Quality of Primary Care (PMAQ-AB). The variables of the PMAQ-AB were classified as proximal or distal, according to their relation with the tuberculosis diagnosis. Then, they were tested hierarchically in multiple models (adjusted by States) using negative binomial regression.

RESULTS: An increase of 10% in the primary health care coverage was associated with a decrease of 2.24% in the tuberculosis detection rate (95%CI -3.35 – -1.11). Regarding the proximal variables in relation to diagnosis, in the multiple model, the detection of tuberculosis was associated with the proportion of teams that conduct contact investigation (increase in Incidence Rate Ratio [IRR] = 2.97%, 95%CI 2.41 – 3.53), carry out tuberculosis active case finding (increase in IRR = 2.17%, 95%CI 1.48 – 2.87), and request culture for mycobacteria (increase in IRR = 1.87%, 95%CI 0.98 – 2.76).

CONCLUSIONS: The variables related to the search actions were positively associated with the detection of new tuberculosis cases, which suggests a significant contribution to the strengthening of the sensitivity of the surveillance system. On the other hand, primary care coverage was inversely associated with the tuberculosis detection rate, which could represent the overall effect of the primary care on transmission control, probably from the identification and early treatment of cases.

DESCRIPTORS: Tuberculosis, epidemiology. Tuberculosis, diagnosis. Primary Health Care. Health Care Quality, Access, and Evaluation.

Correspondence:

Daniele Maria Pelissari SRTVN Quadra 701 Via W 5 Norte Lote D Edifício PO700 70719-040 Brasília, DF, Brasil E-mail: daniele.pelissari@saude.gov.br

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INTRODUCTION

A total of 66,796 new tuberculosis (TB) cases were registered in Brazil in 2016, resulting in an incidence rate of 32.4/100,000 population^a. Although the diagnosis of the disease is free of charge in the country, 13% of the people with the disease were not detected in Brazil that year according to the World Health Organization (WHO)^b. The unidentified and, consequently, untreated cases are reservoirs of the disease and, therefore, a challenge for its control¹.

The estimated population coverage of primary care (PC) was 69.3% in 2013°. In that same year, 61.4% of the new bacillary pulmonary tuberculosis cases were diagnosed and treated by PC services in Brazilian capitals². However, in the Northeast region, 46.5% of the users sought the PC services in the early signs and symptoms of TB³. In Pelotas, state of Rio Grande do Sul, Brazil, 24.4% of the symptomatic respiratory patients sought PC, of which less than half had access to laboratory tests for TB diagnosis, from the lack of exam request by health professionals⁴.

Among the factors associated with the demand for these services in Brazil are the proximity of PC services, the waiting time for the appointment, and the relationship with the health team^{3–5}. The provision of supplies and human resources⁶ and the presence of family health strategy (FHS) teams in health units⁷ are positively associated with case detection. In Ethiopia, delayed diagnosis was associated with non-knowledge about TB and the distance of the health service from the patient's residence⁸. Similarly, a meta-analysis of studies carried out in China has identified, among other factors, that living away from health services (rural area) was associated with delayed TB detection⁹.

In Brazil, the National Program for Improving Access and Quality of Primary Care (PMAQ-AB) aims to evaluate the improvement of care provided to the population by the PC. This evaluation covers specific aspects of TB, in particular TB detection^d. National studies regarding the factors associated with the TB detection cases can generate evidence for the strengthening of public health policies. Thus, PMAQ-AB becomes a robust data source for this type of study, both because of its national coverage and because it is a qualified tool to evaluate several dimensions of the care provided for persons with TB.

In this context, the objective of this study was to evaluate the association between the health services offered by primary care teams (PCT) and the detection of new TB cases in Brazil.

METHODS

This is an ecological study on TB incidence rate, also called as detection rate for the purposes of this study, and its association with the health services offered by PCT in Brazil between 2012 and 2014.

As the incidence of reported cases results from the presence of events in the community (incidence itself) and the sensitivity of the surveillance (detection) system¹⁰, we worked with the hypothesis that an improvement in the provision of diagnostic services would be associated with greater case detection and, consequently, greater perceived incidence. Thus, for the purposes of this study, we assumed that the rate differences, associated with the actions of the PCT, represent the contributions to the sensitivity of the system more than the changes in the risk of becoming ill.

To measure the provision of health services by PCT, we used data from Module II of PMAQ-AB's second cycle of the "External Evaluation Instrument for Primary Care Teams". This evaluation was applied to team professionals between November 2013 and June 2014, and data was collected from 29,778 teams in 24,038 basic health units of 5,043 municipalities. In this evaluation, some specific TB questions were only asked to teams that had registered TB cases identified in the previous year to the evaluation.

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The study population covered the Brazilian municipalities that registered at least one new TB case diagnosed between 2012 and 2014 and notified in the Information System of Notifiable Diseases (SINAN)^f, with at least one PCT evaluated by PMAQ-AB's second cycle. Population estimates by municipality were obtained from the Brazilian Institute of Geography and Statistics^g.

The dependent variable was the TB incidence rate registered from 2012 to 2014, calculated as the ratio of the sum of new TB cases (all forms of the disease) and the estimated population of the municipality of that period. We defined this period based on the timing of the PMAQ-AB evaluation and the availability of data on TB incidence cases at the time of analysis.

The independent variables of PMAQ-AB at the municipal level were analyzed as the proportion (%) of teams that confirmed performing a certain activity in the PC service of that municipality. The variables selected for analysis were those that could conceptually explain the incidence or detection of TB cases in the blocks:

- Institutional support and network support: teams with health surveillance support;
- Territorialisation and reference population: teams with definition of territory coverage; and with territory population not covered by PC;
- Reception and spontaneous demand: teams that conduct risk and vulnerability assessment in patients' reception;
- Schedule organization: teams with an organized agenda for assistance of spontaneous demands; and with free time in the agenda for follow-up appointments, if necessary, to clarify possible doubts and to evaluate patient's situation;
- Health care: teams with specialized appointment scheduled by the health unit; and that conduct TB active case finding in the general population;
- Exams requested by the PC team: smear sputum for TB; chest X-ray for TB; culture for mycobacteria; serology for HIV; and rapid HIV testing;
- Assistance to the person with tuberculosis: teams that have an annual estimate of TB cases and symptomatic respiratory patients; with first sputum sample for TB diagnosis collected at the first appointment; that have a record of the number of TB identified users in the last year; with the record of TB cases in the service; and that conduct household contact investigation of new TB cases;
- Health Promotion: teams that conduct groups to discuss communicable diseases.

We also analyzed the estimate of the population covered by PC in the municipality in 2013°.

Median and interquartile range (IQR) were calculated for each independent variable. These variables were classified as distal and proximal in relation to the outcome. The proximal variables were those that were more directly related to TB detection, such as TB active case finding among the general population and the offer of tests to diagnose the disease. The distal variables were those that represented the organization of the service in general (e.g., record of the number of TB identified users), coverage of the services in the territory (e.g., PC coverage), and offer of services that could be indirectly related to TB detection (e.g., teams that perform groups to discuss communicable diseases).

The analysis was performed with negative binomial regression because of over-dispersion, distribution, and characteristics of the dependent variable. Variables that individually presented associations with p < 0.20 were analyzed in a correlation matrix. In order to avoid collinearity, between variables with correlation higher than 0.40 we selected those with the highest association with the outcome or with the best conceptual support for the multiple model. This process was performed separately for distal and proximal variables.

Following a hierarchical order in the analysis¹¹, we obtained a multiple model with the distal variables (model 1). In this model, we kept the variables with p < 0.05. We performed the same

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process to identify the proximal variables associated with the outcome (model 2). Finally, these latter associations were adjusted by the distal variables of the first model (model 3). This last model was applied in each of the five Brazilian macro-regions to evaluate graphically the variability of the associations.

The measures of association estimated in the regression models were presented as the percentage increasing in the incidence rate ratio (increase in IRR), for each increase in 10 percentage points of the corresponding independent variable. All models included Brazilian States as adjustment variable, because we assumed the existence of variation between the different contexts. However, the regression coefficients per States are not shown in the tables given their extension. We used Stata, version 12 (Stata Corp)¹², for the analyses.

This study was approved by the National Research Ethics Committee (Process 1.810.520 of November 12, 2016).

RESULTS

Of the 5,043 municipalities that had at least one PCT evaluated by the PMAQ-AB, 4,428 (79.7% of the total Brazilian municipalities) had at least one new TB case between 2012 and 2014. These municipalities included in the study reported 202,092 new TB cases in the same period (96.4% of all cases), representing an average of 67,364 cases per year.

Regarding the distal variables related to the outcome, the PC coverage was 100% in 63.7% of the municipalities included in this study (percentile 25 of the coverage: 83.1%). Other variables collected in PMAQ-AB also presented a high proportion, such as teams that have a defined territory coverage, that perform the risk and vulnerability assessment in the reception of patients, with network support from health surveillance, that have a record of the number of TB identified users, and that request serology for HIV (Table 1).

The following distal variables, adjusted by the Brazilian States, showed a significant association with the TB detection rate: PC coverage, proportion of teams with population not covered by PC, proportion of teams with an organized agenda for reception of spontaneous demand, proportion of teams with specialized appointment scheduled by the health unit, and proportion of teams that request serology for HIV, rapid HIV testing, or any of those tests (Table 1).

Table 1. Descriptive analysis and association between distal variables and the detection rate of tuberculosis^a. Brazil, 2012 to 2014.

Distal variables (every 10%)	Median (IQR)	Increase in IRR (95%CI)
% of coverage of primary care	100 (83.1–100)	-1.88 (-2.870.88) ^d
% of teams with definition of the territory coverage	100 (100–100)	0.21 (-1.75–2.21)
% of teams with population not covered by the primary care in the territory	10 (0-50)	$2.23\ (1.58-2.88)^d$
% of teams that conduct risk and vulnerability assessment in patients' reception	100 (87.5–100)	-0.65 (-1.71–0.41)
% of teams with an organized agenda for assistance of spontaneous demands	100 (66.7–100)	1.42 (0.6-2.25) ^d
% of teams with free time in the agenda for follow-up appointments, if necessary, to clarify possible doubts and to evaluate patient's situation	75 (50–100)	0 (-0.7–0.69)
% of teams whose specialized appointment is scheduled by the health unit	40 (0-90.9)	1.64 (1.05-2.23) ^d
% of teams that conduct focus groups on communicable diseases (dengue, tuberculosis, leprosy, HIV, trachoma)	75 (50–100)	0.52 (-0.14–1.19)
% of teams with a network support from health surveillance	100 (80-100)	-0.1 (-0.92-0.74)
% of teams that have a registration of the number of TB cases identified in the last year ^b	100 (100-100)	0.72 (-0.88-2.35)
% of teams that request HIV serology ^c	100 (100-100)	2.8 (1.24-4.4) ^d
% of teams that request rapid HIV testing ^c	87.1 (33.3-100)	$0.75 (0.11-1.38)^d$
% of teams that request the rapid HIV testing or serology for HIV ^c	100 (100-100)	2.44 (0.02-4.93) ^d

IQR: interquartile range; IRR: incidence rate ratio

^a The measure of association represents the increase in the incidence rate ratio (IRR-1) expressed as a percentage every 10% of the independent variable along with the 95% confidence interval (95%CI). All measures of association are adjusted by States.

^b With document that proves it.

^c Performed by the network of health services.

 $^{^{}d} p < 0.05$



The variable "proportion of teams that request serology for HIV or rapid HIV testing" was strongly correlated with "request of serology for HIV" (Spearman 0.65). We decided to include the latter variable in model 1 because it was more strongly associated with TB case detection.

Regarding the proximal variables, the availability of chest X-rays and smear microscopy for TB presented high coverage among the teams. However, activities such as the TB active case finding in the general population (median = 70.6, IQR = 40-100) and collection of the first sputum sample for the TB diagnosis at the first appointment (median = 50, IQR = 22.2-94.4) are not fully incorporated by the teams in the municipalities (Table 2).

Except for the "proportion of teams with registration of TB cases in the service", the proximal variables were significantly associated with the outcome (Table 2). We did not consider the request of chest X-ray for TB in the multiple analysis, since it presented an important correlation with the request of smear microscopy (Spearman = 0.46), and the latter had a greater association with the registered incidence.

In model 1, the distal variables positively associated with detection were the proportions of teams: with population not covered by the PC in the territory; with specialized appointment scheduled by the health unit; that request serology for HIV; and with an organized agenda for assistance of the spontaneous demand (Table 3). An increase of 10.0% in the proportion of PC coverage was associated with a decrease of 1.9% in IRR (95%CI -3.06 -0.76). In addition,

Table 2. Descriptive analysis and association of the proximal variables with the detection rate of tuberculosis^a. Brazil, 2012 to 2014.

Proximal variables (every 10%)	Median (IQR)	Increase in IRR (95%CI)
% of teams that conduct TB active case finding	70.6 (40–100)	3.15 (2.46-3.84) ^d
% of teams that request TB X-ray ^b	100 (100–100)	2.43 (1.18-3.69) ^d
% of teams that request smear microscopy for TBb	100 (100–100)	$3.8 (2.26 - 5.36)^d$
% of teams that request culture for mycobacteria ^b	100 (71.4–100)	2.36 (1.46-3.27) ^d
% of teams that have the annual estimate of the number of TB cases and symptomatic respiratory cases in their territory	93.8 (66.7–100)	2.19 (1.4-2.99) ^d
% of teams whose first sputum sample for diagnosis of TB is collected at the first visit	50 (22.2-94.4)	$0.81\ (0.15-1.46)^d$
% of teams that have a registration of the number of TB cases identified in the last year ^c	100 (100–100)	0.8 (-0.62-2.23)
% of teams that conduct household contact investigation of new TB cases	100 (0–100)	3.56 (3.02-4.11) ^d

IQR: interquartile range; IRR: incidence rate ratio; TB: tuberculosis

Table 3. Association of the distal and proximal variables with the detection rate of tuberculosis^a. Brazil, 2012 to 2014.

Variable (every 10%)	Model 1 Adjusted increase in IRR (95%CI)	Model 2 Adjusted increase in IRR (95%CI)	Model 3 Adjusted increase in IRR (95%CI)
% of teams with population not covered by the primary care in the territory	1.87 (1.2-2.54)		1.69 (1.03-2.34)
% of teams whose specialized appointment is scheduled by the health unit and the date is subsequently informed to the user	1.53 (0.95–2.12)		1.33 (0.76–1.91)
% of coverage of primary care	-1.92 (-3.060.76)		-2.24 (-3.35– -1.11)
% of teams that request HIV serology ^b	2.37 (0.81-3.94)		
% of teams with an organized agenda for assistance of the spontaneous demand	1.32 (0.51-2.14)		
% of teams that conduct household contact investigation of new TB cases		3.04 (2.49-3.6)	2.97 (2.41-3.53)
% of teams that conduct TB active case finding		2.07 (1.37-2.77)	2.17 (1.48-2.87)
% of teams that request smear microscopy for TB ^b		1.91 (0.33-3.52)	
% of teams that request culture for mycobacteria ^b		1.61 (0.67–2.55)	1.87 (0.98–2.76)
2Log likelihood	-28400.7	-28280.0	-28207.6

IRR: incidence rate ratio; TB: tuberculosis

^a The measure of association represents the increase in the incidence rate ratio (IRR-1) expressed as a percentage every 10% of the independent variable along with the 95% confidence interval (95%CI). All measures of association are adjusted by States.

b Performed by the network of health services.

^c With document that proves it.

 $^{^{}d} p < 0.05$

²Log likelihood empty model = -31,217.83

^a The measure of association represents the increase in the incidence rate ratio (IRR-1) expressed as a percentage every 10% of the independent variable along with the 95% confidence interval (95%CI). All measures of association are adjusted by States and the other variables included in the corresponding model. All associations were statistically significant.

^b Performed by the network of health services.



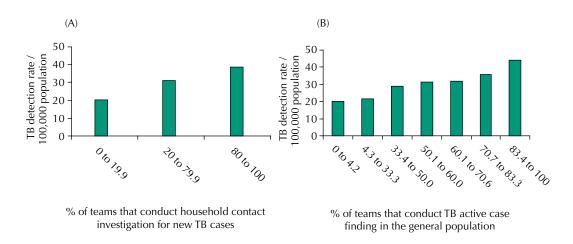
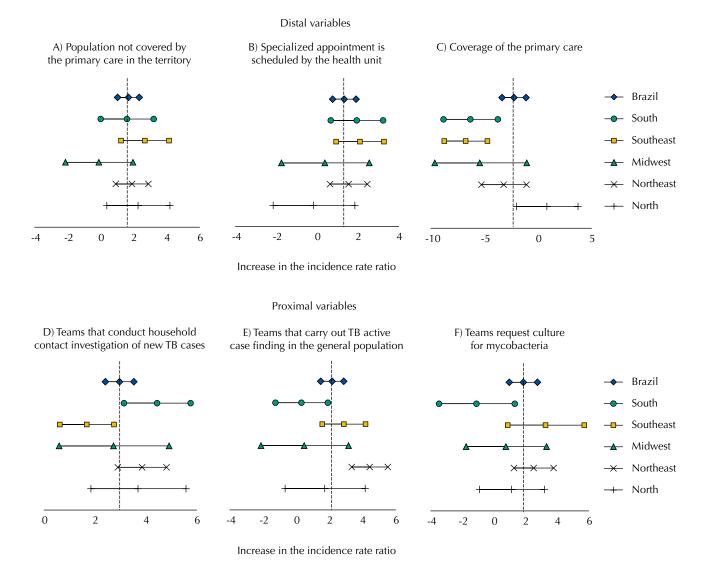


Figure 1. Tuberculosis (TB) detection rate according to the proportion of teams that conduct contact investigation and TB active case finding. Brazil, 2012 to 2014. (A) Household contacts investigation of new TB cases. (B) TB active case finding in the general population.



The charts represent the association of each of the variables of model 3, adjusted by the other ones when applied to the data of each region. (A) Population not covered by the primary care in the territory. (B) Specialized appointment is scheduled by the health unit. (C) Coverage of the primary care. (D) Teams carry out the household contact investigation of new TB cases. (E) Teams carry out TB active case finding in the general population. (F) Teams request culture for mycobacteria which are performed by the health service network.

Figure 2. Variables associated with the detection rate of tuberculosis (TB) according to Macroregions. Brazil, 2012 to 2014.



an increase of 10.0% in the proportion of teams that request serology for HIV represented an increase of 2,4% in the studied outcome (95%CI 0.81–3.94).

Regarding the proximal variables (model 2), TB detection rate was positively associated with the proportions of teams that: carry out the household contact investigation; carry out TB active case finding in the general population; request smear microscopy for TB; and request culture for mycobacteria (Table 3). Household contact investigation and active case finding were associated with an increase of 3.0% (95%CI 2.49–3.6) and 2.1% (95%CI 1.37–2.77) in IRR, respectively. The increase in these indicators represented a consistent increase in TB detection rate (Figure 1).

Regarding the final model (model 3), adjusted for the Brazilian States and distal variables, except for the proportion of teams that request smear microscopy for TB, all other proximal variables remained significant (Table 3). Figure 2 presents a description of the associations of model 3, when applied to each of the Brazilian macro-regions.

DISCUSSION

This ecological study, which included approximately 80% of the Brazilian municipalities, identified the importance of actions performed by PCT and the estimated population covered by PC in the TB case detection. Most of the variables collected by PMAQ-AB are positively associated with case detection, which suggests that PCT activities increase the sensitivity of services. The results highlight the importance of the strategy of the PCT adopted for TB case detection and, consequently, for the identification of the hidden endemic situation in Brazil.

In the distal model, the proportion of teams with population not covered by PC in the territory was positively associated with the registered incidence. In addition, PC coverage was negatively associated with the study outcome. These associations suggest that PC coverage affects the assistance and treatment of persons with TB, reducing the risk of TB transmission. This would influence the outcome analyzed in this study, affecting the risk more than the detection.

On the other hand, variables such as specialized appointment scheduled by the team, the request of serology for HIV, and the organization of the agenda for spontaneous demand were associated with the increase in the detection rate. These actions, although not directly related to TB, indicate that the availability of these actions by the PCT and the organization of these services can favor the diagnosis of the disease and lead to an increase in the sensitivity of the surveillance system.

Regarding the final model, in which proximal variables were adjusted by the distal ones, actions conducted by PCT, directly involved in the TB case detection, were positively associated with the registration of incident cases. This is the case of contact investigation, TB active case finding in the general population, and request of culture for mycobacteria. These results point to the importance of these actions as strategies that should be strengthened to increase case detection.

The variables analyzed in this study were not directly evaluated in previous studies, or at least not in an ecological level 7,13,14 . However, a study conducted in the South of Brazil has found that health units with FHS had better results for TB case detection when compared to services without this strategy 7 . The FHS has health care characteristics that go beyond the physical barriers of the health units 13 , from the performance of multidisciplinary teams and the coverage of community health agents in the territory h . The FHS units are in the PC and, given their relation with the population in the territory, they are in the best position to promote health education intervention activities, identify risk groups, and search for respiratory symptomatic persons 14 .

The adequate offer of supplies and human resources, as well as the request for smear microscopy were positively associated with the detection of cases in PC services when compared to the services of the Emergency Care in Ribeirão Preto, state of São Paulo, Brazil⁶.

h Ministério da Saúde (BR), Secretaria de Atenção à Saúde, Departamento de Atenção Básica. Política nacional de atenção básica. Brasília (DF); 2017 [cited 2018 Jan 31]. (Série E. Legislação em Saúde). Available from: http://dab.saude. gov.br/portaldab/pnab.php



A study conducted in Africa has shown that the household contact investigation was more cost effective than the TB active case finding in the general population¹⁵. However, in Cambodia, Asia, active case finding was more cost effective compared to passive case finding¹⁶. In this study, these two strategies were factors independently associated with the detection rate, suggesting that they could complement each other to increase the sensitivity of the surveillance system.

The results obtained in model 3 by macro-regions, with some exceptions, showed that most of the confidence intervals of the regional estimates included the estimated for Brazil. Among the exceptions, we can highlight the percentage of PC coverage, in which negative association was most evident in the South and Southeast regions. One of the possible explanations for this variability is that information systems and surveillance sensitivity are better developed in these regions. This could facilitate the measurement of the beneficial effect of the PC coverage on TB incidence.

However, the observed variations between regions could be considered random because they remain compatible with national associations, since no association at regional level reached a statistical significance in the opposite direction to the global estimation. Therefore, the final model would be robust enough to support conclusions at the national level.

Among the limitations of this study, we can mention the impossibility of establishing a chronological order in the independent variables and the outcome⁸. The organization of PC services may be a response to a high incidence of the disease. Otherwise, organized services are expected to be more effective in detecting cases. In addition, the aggregate measures used in ecological studies may differ from the individual ones¹⁷. From the previous one, we cannot establish a relation between specific PC actions and each of the new TB cases.

Multiple factors could affect the associations found, including socioeconomic variables, which are determinant for the TB incidence^{18,19} and the organization of health services^{20–22}. In this study, we consider that this variability was partially controlled with the adjustment of the Brazilian States in the models. In addition, the models remained relatively stable across regions, thus suggesting that the associations found may be consistent and applicable to different scenarios.

The selection of the teams evaluated by PMAQ-AB is done by adherence and not by probabilistic sampling. The measures of association may have been affected if adherence to the program is associated with the quality of the services offered by the teams. However, of the total number of teams that met the requirements for evaluation in the second cycle^d, 88.7% were evaluatedⁱ.

Notwithstanding these limitations, our model was based on national data, from an information system that aggregates all TB notification in the country and the evaluation conducted by PMAQ-AB, the only evaluation program of PC with such a wide scope in Brazil. Our sample of 4,428 municipalities allowed us to evaluate simultaneously the different indicators of PMAQ-AB. In addition, the high variability of our analysis units allows us to extrapolate the results to other locations. On the other hand, the associations of specific strategies and their relation with case detection are consistent with what we expected. Thus, this ecological study provides an overview that can guide the decision making of public policies, especially those related to the strengthening of the public health surveillance system.

In 2015, the WHO estimated that 87% of new TB cases were detected in Brazil^b, and this estimate was 82% in 2013, the year of this study^j. The positive association between the services offered by PCT and the identification of TB cases highlights the need to keep an active surveillance system to detect the hidden endemic situation of the disease in the country.

Since 2004, the National Tuberculosis Program (NTP) recommends that the detection and follow-up of persons with TB has to be conducted on PC services²³. Further efforts should be made to expand PC in the country, as well as incorporate activities to control TB into existing

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PCT. Lack of adherence to TB control actions can be strongly determined by institutional constraints, as documented in different services²⁴. Under the primary care context, these barriers may include high turnover of health professionals, difficulties in attracting qualified physicians to work in remote areas, and the difficulty of accomplishing all territory activities¹³.

This study, which analyzed data from PMAQ-AB and its relation with TB detection, showed that this evaluation tool has a strategic potential to be explored by the different management levels of the Brazilian Unified Health System (SUS). Despite these limitations, future studies should consider it as an important source of data about the situation of services offered by PC teams for TB control and other diseases.

In conclusion, most of the actions evaluated in PMAQ-AB and analyzed in this study are associated with increased sensitivity for TB detection in PC services. However, the estimated population coverage of PC was inversely associated with TB detection rate. This association could represent the overall effect of PC on transmission control, probably from the early detection and treatment of cases.

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