

Comparison of transbronchial biopsy techniques using needle and forceps biopsies in lung cancer for molecular diagnostics: a prospective, randomized crossover trial

Florian Sticht^{1,2}, Maximilian Valentin Malfertheiner³, Clemens Wiest¹, Christian Schulz¹, Christoph Fisser¹, Andreas Mamilos^{4,5}

¹Clinic and Polyclinic of Internal Medicine, Department of Pneumology, University Hospital Regensburg, University of Regensburg, Regensburg, Germany; ²Department of Internal Medicine II, Pneumology, Bad Reichenhall District Hospital, Bad Reichenhall, Germany; ³Center for Pneumology, Donaustauf Hospital, Donaustauf, Germany; ⁴Department of Pathology, University Regensburg, Regensburg, Germany; ⁵Department of Pathology, German Oncology Center, Limassol, Cyprus

Contributions: (I) Conception and design: F Sticht, MV Malfertheiner; (II) Administrative support: F Sticht, MV Malfertheiner; (III) Provision of study materials or patients: F Sticht, MV Malfertheiner, C Wiest; (IV) Collection and assembly of data: F Sticht, A Mamilos; (V) Data analysis and interpretation: F Sticht; (VI) Manuscript writing: All authors; (VII) Final approval of manuscript: All authors.

Correspondence to: Florian Sticht, MD. Clinic and Polyclinic of Internal Medicine, Department of Pneumology, University Hospital Regensburg, University of Regensburg, Regensburg, Germany; Department of Internal Medicine II, Pneumology, Bad Reichenhall District Hospital, Riedelstrasse 5, 83435 Bad Reichenhall, Germany. Email: florian.sticht@kliniken-sob.de.

Background: In lung cancer, molecular testing and next-generation sequencing (NGS) are needed to identify therapeutic targets and are increasingly being used in earlier stages of the disease. Despite its longstanding use, it remains unclear whether transbronchial needle aspiration (TBNA) of peripheral lung lesions provides as adequate material for genetic testing as transbronchial forceps biopsies (TBFBs). In this study, we aim to analyze the use of TBNA using median viable cell area (MVCA) as a surrogate parameter to analyze sample quality.

Methods: This prospective single-center study analyzed biopsy specimens or aspirates of patients who underwent bronchoscopy with transbronchial biopsy. Patients underwent bronchoscopy with TBFB and TBNA for suspected lung cancer in peripheral lung lesions. Patients were randomized 1:1 to receive either TBFB or TBNA as the first biopsy technique and then switched to the other. After routine workup, sample slides were digitally scanned, and MVCA was calculated by a pathologist blinded to the biopsy technique used. The primary endpoint was MVCA of TBNA versus TBFB. Secondary endpoints were complications categorized as bleeding, pneumothorax, and other.

Results: Between August 2021 and April 2022, 15 patients were included in the per-protocol analysis. Six patients were included in cohort 1 and nine patients in cohort 2. A malignant diagnosis was confirmed in 11/15 (73.3%) cases, of which nine were primary lung malignancies. Overall, MVCA in samples obtained by TBFB was significantly larger than TBNA samples {TBFB-MVCA 9.80 mm² [interquartile range (IQR), 2.70–10.39 mm²] *vs.* TBNA-MVCA 2.70 mm² (IQR, 0.14–8.21 mm²), P=0.008}. Despite this difference, molecular testing was feasible in both TBNA and TBFB samples. No major complications were observed.

Conclusions: Despite a significantly smaller MVCA provided by TBNA, samples were still considered feasible for NGS, indicating that TBNA represents an alternative method to obtain sufficient tumor tissue in peripheral nodules as part of the diagnosis of suspected lung cancer.

Keywords: Bronchoscopy; next-generation sequencing (NGS); transbronchial needle aspiration (TBNA); transbronchial forceps biopsy (TBFB); viable cell area

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Introduction

Molecular pathologic diagnosis has become an essential step in the evaluation stage IV lung cancer due to the availability of targeted therapies for epidermal growth factor receptor (EGFR), anaplastic lymphoma kinase (ALK) and other mutations and the implementation of recommended therapies in national and international guidelines (1,2). Increasingly, molecular testing is becoming available at earlier stages of cancer, as new treatment options are approved at earlier stages of disease (3). With the advent of lung cancer screening programs, lung cancer may be detected at an earlier stage when peripheral pulmonary lesions are found in the absence of a central tumor mass. For these peripheral nodules, bronchoscopy with transbronchial biopsy is a routine diagnostic tool (4).

Two commonly used techniques for transbronchial tissue sampling are needle and forceps biopsies: transbronchial forceps biopsy (TBFB) is a simple, easy-to-learn technique that is particularly useful for lesions that infiltrate or are in close contact with the endobronchial wall. TBFB samples are typically larger, but carry a slightly higher risk of complications compared to needle biopsies, such as bleeding, pneumothorax, and airway obstruction (5). Transbronchial needle aspiration (TBNA) is a similar procedure that provides a simple, safe, and well-tolerated acquisition of small tissue samples from peripheral lung lesions with a smaller risk of complications (6). The advantage of TBNA lies in its deeper penetration into the

Highlight box

Key findings

 Transbronchial needle aspiration (TBNA) biopsies of peripheral lung lesions provide adequate material for next-generation sequencing (NGS) compared with transbronchial forceps biopsies, despite a smaller median viable cell area.

What is known and what is new?

- Transbronchial needle and forceps biopsy methods have been used to retrieve samples of peripheral lung lesions for diagnostic yield. Whether either method is adequate for NGS in lung cancer has not been reported.
- This prospective, randomized, cross-over trial showed that transbronchial needle biopsies provide sufficient material for NGS.

What is the implication, and what should change now?

- TBNA can be used safely to retrieve samples for NGS.
- A larger case series should be performed to evaluate whether a clinical impact can be achieved with either biopsy method.

lung parenchyma, increasing diagnostic yield of samples when the tumor has not infiltrated the bronchial wall (7-9). In the presence of thick-walled or calcified lesions, TBFB may be preferable. In high-risk patients or parabronchial tumor location TBNA can be used and has been shown to provide results when TBFB failed (10).

While both TBFB and TBNA have been used for decades to obtain tissue or cells from peripheral lung lesions, there is no data on whether TBNA provides as many viable tumor cells for molecular testing in direct comparison to TBFB. In this study, we aim to compare these specific transbronchial biopsy methods to determine if TBNA can provide sufficient material for next-generation sequencing (NGS) by using the median viable cell area (MVCA) as a surrogate parameter to assess sample quality in samples from peripheral lung lesions. We present this article in accordance with the CONSORT reporting checklist (available at https://tcr.amegroups.com/article/view/10.21037/tcr-23-2320/rc).

Methods

This was a prospective, single-center study conducted at University Hospital Regensburg, University of Regensburg, Regensburg, Germany, a tertiary hospital and certified lung cancer center of the German Cancer Society. Patients were eligible for this study if they presented with peripheral lung lesions suspicious of lung cancer for which bronchoscopy with transbronchial biopsy was deemed necessary. Peripheral lung lesions were defined as lesions that were not expected to be visible in the segmental bronchi by flexible bronchoscopy. Patient consent for this study was obtained prior to the examination, independent of the consent to the examination. This study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the institutional ethics board of the University of Regensburg (No.: 21-2463-101).

Patient randomization was performed with an online random number generator in a predetermined list (https:// www.random.org/). Patients were randomized 1:1 to either TBFB or TBNA as the first biopsy technique and then crossed over to the other (*Figure 1*). Biopsies were obtained using an Olympus[®] EndoJawTM biopsy forceps (Model No. FB-211D, Olympus, Shinjuku, Tokyo, Japan) and a size 19-gauge Boston Scientific[®] eXcelonTM transbronchial aspiration needle (Model No. M 00564101, Boston Scientific, Marlborough, MA, USA). Samples were collected by both methods and sent to the pathology laboratory for diagnosis.



Figure 1 Study design. TBFB, transbronchial forceps biopsy; TBNA, transbronchial needle aspiration.

The pathologist was blinded to the biopsy techniques used.

Lesion size was recorded as the largest diameter in axial computed tomography (CT)-imaging. The lesion site was recorded as the bronchial segment from which the tissue samples were obtained. All examinations were performed under fluoroscopic guidance and general anesthesia, as is standard practice at University Hospital Regensburg. When appropriate, endobronchial radial ultrasound and a guide sheath were used to assist in specimen collection, as determined by the physician. The study required three to five passes with each method, and samples were suspended in formaldehyde solution. When appropriate, TBFB was preceded by endobronchial ultrasound-guided TBNA (EBUS-TBNA) of the hilar and mediastinal lymph nodes for additional tissue sampling and nodal staging. However, these tissue samples were not used in the evaluation of the MVCA. All patients received a final fluoroscopy-guided chest X-ray at the end of the examination and a conventional chest X-ray 2 hours later to rule out pneumothorax.

Complications were recorded in categories of bleeding, pneumothorax and other. The most severe bleeding from each technique was documented on a scale of none, mild bleeding (self-limiting or manageable by bronchoscopic suction), moderate bleeding [requiring the additional use of medication (instilled cold saline solution or diluted epinephrine solution)] and severe (any surgical intervention, intensive care monitoring or death), as this has been feasible in other studies (11). Pneumothorax complications were categorized as none, no intervention and intervention indicated.

Pathological diagnosis of specimen was used initially to confirm tumor diagnosis and initiate therapy as needed. Samples slides were fixed and stained with hematoxylin and eosin, then digitized. A slide scanner was used for digitization, and slides were re-evaluated with a digital slide viewer (CaseViewer, 3DHISTECH Ltd, Budapest, Hungary). Using this software, the cells or cell clusters were separately marked to calculate their area and were quantified in µm². Through summation of all marked areas, the total area of vital cells (TBNA) or tissue (TBFB) in the sample was calculated and recorded in mm^2 (*Figures 2,3*). Sample analysis was performed by the same experienced pathologist to reduce inter-observer variability samples were re-faced prior to cutting the slides. A cell count threshold of 100 malignant cells was used to determine eligibility for molecular analysis. If less than 100 cells were visible, we did not proceed with the genetic analysis. If more cells were visible, NGS was performed. In all cases, 10 slides



Figure 2 Visualization of MVCA calculation in a TBNA sample. Hematoxylin and eosin stain. (A) Overview of a TBNA scanned sample, black rectangle denotes magnified area of (B) (x20 magnification). (B) Enlarged scanned sample with marked cell clusters to calculate the MVCA. MVCA, median viable cell area; TBNA, transbronchial needle aspiration.



Figure 3 Example of the MVCA calculation in a TBFB sample. Hematoxylin and eosin stain. MVCA, median viable cell area; TBFB, transbronchial biopsy.

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Table 1 Baseline characteristics (n=15)

Characteristics	Values		
Gender, n (%)			
Male	10 (66.7)		
Female	5 (33.3)		
Age (years), median (IQR)	74.0 (66.2–79.1)		
Maximum lesion diameter			
≤2.0 cm, n (%)	1 (6.7)		
2.1–3.0 cm, n (%)	3 (20.0)		
>3.0 cm, n (%)	11 (73.3)		
Overall (cm), median (IQR)	4.6 (3.0–5.3)		
Lesion localization, n (%)			
Right upper lobe	3 (20.0)		
Right middle lobe	0 (0.0)		
Right lower lobe	5 (33.3)		
Left upper lobe	4 (26.7)		
Left lingular lobe	2 (13.3)		
Left lower lobe	1 (6.7)		

IQR, interquartile range.



Figure 4 Frequency of biopsies in bronchial segments. LB, left segment bronchus; RB, right segment bronchus.

were prepared for microdissection of tumor cells. More slides were prepared in borderline cases. A custom NGS DNA-sequencing panel was used (nNGM-V22 Custom Qiaseg Panel Kit, QIAGEN, Venlo, The Netherlands), for molecular diagnostics of non-small cell lung cancer (NSCLC). DNA-sequencing was performed on the NextSeq system (Illumina Inc., San Diego, CA, USA). DNA

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concentration was measured using a Qubit fluorometer (Thermo Fisher Scientific Inc., Waltham, MA, USA).

This trial assessed the MVCA of samples retrieved by TBNA for NGS by using MVCA as a surrogate parameter. The primary endpoint of this study was MVCA of TBNA compared to TBFB specimens. Secondary endpoints included complications in the categories of bleeding, pneumothorax, and other complications. This was a feasibility study to compare biopsy methods for MVCA. No previous data was available for MVCA of these biopsy methods. Therefore, no a priori sample size calculation was performed.

Statistical analysis

Statistical analysis was performed with IBM SPSS Version 29 (IBM, Armonk, NY, USA). Nonparametric tests were used to test the level of significance. Continuous variables are presented as median and interquartile range (IQR). Categorical variables are presented as numbers and percentages. A P value of <0.05 was considered statistically significant.

Results

Between August 2021 and April 2022, 17 patients were enrolled in the study, however in two patients it was not possible to reach the peripheral lesions and were considered screening failures (*Figure 1*). Fifteen patients were in the perprotocol group. Ten patients (66.7%) were male, five patients (33.3%) were female. Baseline characteristics are shown in *Table 1*. Median age at examination date was 74.0 years (IQR, 66.2–79.1 years). Six of 15 patients (40.0%) were enrolled in cohort 1, in which TBFB was performed first, followed by TBNA. Nine of 15 patients (60.0%) were enrolled in cohort 2. Patient enrollment was stopped when staffing changes would have interfered with data collection.

Left upper lobe segment 3 and right lower lobe segment 6 were the most common biopsy sites, with 4/15 (26.7%) and 3/15 (20.0%) biopsies, respectively (*Figure 4*). Mean number of passes was 4.5 with TBFB and 4.2 with TBNA.

Histopathologic results are shown in *Table 2*. Malignancy was diagnosed in 9/15 cases (60.0%) by TBFB and TBNA each. When combined, a malignant diagnosis was made in 11 cases (73.3%), of which 7 (63.6%) were confirmed in both specimens. In nine of these 11 cases (81.8%) primary lung cancer was identified with 1 case of small cell lung cancer (SCLC) and eight cases of NSCLC (9.1%)

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Histopathologic diagnosis	No. of patients (%)	No. diagnosis confirmed in TBFB	No. diagnosis confirmed in TBNA
Malignant			
Pulmonary adenocarcinoma	4 (26.7)	4	4
Pulmonary squamous cell carcinoma	4 (26.7)	3	3
Pancreatic cancer	1 (6.7)	1	0
Small cell lung cancer	1 (6.7)	1	1
Urothelial carcinoma	1 (6.7)	0	1
Total	11 (73.3)	9	9
Non-malignant			
Fibrotic tissue	2 (13.3)	2	1
Cryptogenic organizing pneumonia	1 (6.7)	1	1
Squamous cell dysplasia	1 (6.7)	0	1
Total	4 (26.7)	3	3
Total	15 (100.0)	12	12

 Table 2 Histopathologic diagnosis

TBFB, transbronchial forceps biopsy; TBNA, transbronchial needle aspiration.

Table 3 Median viable cell area by lesion size

	Biopsy method				
Lesion size	TBFB		TBNA		P value
	No. of patients	Viable cell area, mm ²	No. of patients	Viable cell area, mm ²	
Maximum lesion diameter					
≤2.0 cm	1	1.99 (1.99–1.99)	1	0.00 (0.00–0.00)	>0.99
2.1–3.0 cm	3	16.30 (1.24–17.97)	3	9.54 (6.01–13.72)	0.70
>3.0 cm	11	9.80 (5.69–10.14)	11	1.44 (0.14–4.71)	0.001
Total	15	9.80 (2.70–10.39)	15	2.70 (0.14–8.21)	0.008

Data are presented as median (interquartile range). TBFB, transbronchial forceps biopsy; TBNA, transbronchial needle aspiration.

and 72.7%, respectively), which were evenly divided with four cases of squamous cell carcinoma and four cases of adenocarcinoma each. In all adenocarcinomas, malignancy was confirmed in both TBFB and TBNA, whereas in squamous cell carcinoma, malignancy was only confirmed in both biopsies in two of four cases. Final lung cancer stages at time of diagnosis are shown in Table S1.

There are two false negative cases: in one case of squamous cell dysplasia, follow-up CT-guided lung biopsy confirmed squamous cell carcinoma. In the two cases of fibrotic tissue, one patient's positive positron emission tomography (PET)-CT scan led to a clinical diagnosis of recurrence of previously resected bronchial adenocarcinoma, the other patient denied further evaluation and was discharged against medical advice. On a follow-up CT scan for an unrelated issue at 14 months, this patient's targeted pulmonary lesion remained stable in size.

Median lesion size was 4.6 cm (IQR, 3.0–5.3 cm) and 11/15 (73.3%) of lesions were larger than 3.0 cm. Lesion diameters of less than or equal to 2.0 cm provided no sample in TBNA and a small MVCA of 1.99 mm² in TBNA, while lesion diameters between 2.1 and 3.0 cm provided the largest MVCA in TBFB [16.30 mm² (IQR, 1.24–17.97 mm²)] and TBNA [9.54 mm² (IQR, 6.01–13.72 mm²), *Table 3*]. Lesions larger

Overall, MVCA was significantly larger in samples obtained by TBFB than in TBNA samples [TBFB-MVCA 9.80 mm² (IQR, 2.70–10.39 mm²) vs. TBNA-MVCA 2.70 mm² (IQR, 0.14–8.21 mm²), P=0.008, *Table 3*, Figure S1]. No viable cells were found in two TBNA samples, which may account for the large median shift in TBNA sample size. TBNA-MVCA was significantly larger when following TBFB in cohort 1 [7.11 mm² (IQR, 4.71–9.54 mm²)], than in cohort 2 [1.14 mm² (IQR, 0.03–2.70 mm²), P=0.036]. In TBFB, the differences between cohorts were not significant.

The primary endpoint of TBNA-MVCA was not met, as there is significant difference in MVCA, yet both TBFB and TBNA provided material for NGS when MVCA was measurable. In the NSCLC samples, median DNA concentration after enrichment was 6.23 ng/ μ L (IQR, 2.98– 18.80 ng/ μ L). Individual molecular diagnostic test results for NSCLC are shown in Table S2.

After TBNA, we observed mild bleeding in four patients (26.7%) and moderate bleeding in two patients (13.3%). There were fewer cases of bleeding in TBFB, with mild and moderate bleeding observed in two patients (13.3%) each. No severe bleeding was observed. There was no statistical difference in the incidence of bleeding between the two methods (P=0.857, Fisher's exact test, Table S3).

No pneumothorax was observed during or 2 hours after the examination. In only one patient was a pneumothorax observed on a PET scan the day after the examination, and we were unable to determine which biopsy method might have caused this. Other complications were not reported. No significant difference was found between the two cohorts in the secondary outcomes.

Discussion

In our study, both TBFB and TBNA had a diagnostic yield of 9/15 (60.0%) each. This yield increased to 11/15 (73.3%) when used in combination. When used in combination and disregarding malignancy, both methods provided enough material to be considered sufficient for molecular testing by the investigating pathologist in all cases. These results are in contrast to those reported in literature, where TBNA was reported to have a higher diagnostic yield than TBFB (9). In their meta-analysis, Mondoni *et al.* showed an overall TBNA yield of 0.53 [95% confidence interval (CI): 0.44–0.61], with a higher accuracy when compared directly to TBFB [0.60 (95% CI: 0.49–0.71) vs. 0.45 (95% CI: 0.37–0.54), respectively] (12). Other publications have reported a diagnostic yield of up to 93.4% using a combination of different biopsy techniques (13).

MVCA was lowest in small diameter lesions of ≤ 2.0 cm (*Table 3*), which is consistent with the available literature, where diagnostic yield is greatly improved in lesions larger than 2.0 cm (14-16). MVCA decreased in tumors greater than 3.0 cm of lesions size. This decrease may be due to the fact that these specimens may contain non-diagnostic material from the surrounding tumor environment rather than viable tumor cells, but this study was not designed to account for this effect.

Interestingly, although MVCA of samples provided by TBNA was significantly smaller at 45.4% of that obtained by TBFB, the samples were still sufficient for molecular pathology testing to be performed. Since genetic testing requires a sufficient amount of tumor DNA, even a large sample may be insufficient if it contains too much nontumor or stromal tissue whose DNA content interferes with genetic testing. Conversely, a small sample containing only tumor cells and non-nucleated cells such as erythrocytes or connective tissue may provide sufficient tissue for molecular pathology testing, resulting in a lower diagnostic threshold. It could be suggested that TBNA causes less tissue damage than TBFB while providing similar diagnostic results and tissue volume for molecular testing. Figure 2 shows an aspirated sample from a TBNA that contains large areas of clustered tumor cells, surrounded by a large volume of nonnucleated erythrocytes, which do not contain DNA and therefore do not interfere with molecular genetic testing. When we compared our samples, we found that while TBFB (Figure 3) provided larger areas of viable tissue, the samples also contained more stromal, connective or nontumorous lung tissue, causing greater impurities.

TBNA-MVCA was significantly larger in cohort 1, where TBFB was performed first. One reason for this may be that once TBFB has been performed first, TBNA can then be targeted more accurately, thereby increasing the chance of obtaining tissue samples.

The incidence of bleeding in our study was higher than that reported in the literature, where rates of clinically significant bleeding have been reported in 1.9% of patients undergoing transbronchial lung biopsy and in up to 11% of patients with risk factors for coagulopathy (17,18). In our study, TBNA biopsies led to mild bleeding in 26.7%. This result may be disproportionately large due to the small number of patients enrolled, yet our study showed no significant difference in these secondary endpoints.

In literature, pneumothorax has been reported in up to 6% of transbronchial lung biopsies (19). In our study, one pneumothorax was diagnosed on the day after the biopsy, which we considered a rare case of delayed pneumothorax post bronchoscopy (20). Although we suspect that this was a complication of the bronchoscopy, it is impossible to determine which biopsy method, if any, was responsible, therefore it was not included in the statistical analysis.

We provided a structured basis for a randomized controlled trial that allowed us to limit methodological error by randomizing the order of the biopsy methods. Complications were comprehensively recorded and no significant difference in was found between the two methods. We have shown that despite smaller MVCA, TBNA still provides sufficient material for molecular pathology. To our knowledge, this is the first study to compare the area of viable tissue cells, cell clusters or tissue area by transbronchial biopsy or aspiration method for peripheral lung lesions. Kunimasa et al. recently reported an overview of sampling methods for NGS for lung cancer patients, but they compared the transbronchial biopsies with EBUS-TBNA and CT-guided biopsies, and it is not known whether forceps or needle sampling was used (21). Another study by Kage et al. showed that small samples are suitable for targeted NGS and found that diagnostic results are similar to resection, but only forceps biopsies were obtained (22).

There are few limitations to consider. This is a singlecenter study with only a small number of patients enrolled, in part due to a reduced number of patients diagnosed with suspected malignant lung nodules during the coronavirus disease 2019 (COVID-19) pandemic, during which testing of patients with cancer was greatly reduced (23). In addition, in our institution, most of the primary sampling is performed with EBUS-TBNA of mediastinal or hilar lymph nodes, reducing the number of cases in which initial transbronchial biopsies are performed.

There is no standardized approach to transbronchial biopsies. This can lead to high inter-examiner variability, potentially reducing diagnostic yield when applied in a real-world setting, especially when considering examiner experience. Diagnostic yield of endobronchial-ultrasound guided TBNA has been shown to increase rapidly after only 13 procedures, and European Respiratory Society (ERS)/ American Thoracic Society (ATS) guidelines recommend that at least 25 TBNA cytology biopsies be performed under expert supervision to become proficient in specimen collection (24,25). We attempted to reduce confounding by having the procedures performed by an examiner who met these requirements.

Whether the use of general anesthesia has an impact on diagnostic yield is controversial. Although it is local policy at our institution, studies have shown no difference in diagnostic yield when compared to those performed with moderate sedation (26).

Sample quality assessment for NGS was performed by the same reviewing pathologist, which also reduced interexaminer variability, which has been shown to influence NGS success rates (27). Nevertheless, the required tissue volume for NGS has not been determined and depends on the platform used (28,29). As genotyping is highly dependent on cytological preparation, fixation and staining techniques, there are no clear cut-off values, which may reduce reproducibility of these results (28,30-32). No additional slides were prepared for MVCA analysis, as we felt this may influence real world results. Therefore, whether larger MVCA had an impact on re-facing the samples, was not tested and the study was not designed for this. Whether a larger MVCA correlates to better results should be discussed in future studies.

A limitation of this study is that we did not compare the yield of DNA concentration between the two methods. Instead, the primary focus was to assess sample quality using MVCA for TBFB and TBNA. Future research should focus on DNA sampling from individual biopsy methods.

Immune cell scoring for PD-L1 is usually performed on histologic tissue samples. Smaller TBNA needle sizes may only provide material for cytologic analysis. While there is emerging data on the use of cytologic specimen for immune cell scoring, this requires specialized processing and may be associated with higher inter-observer variability (33-37). ERS/ATS guidelines suggest that needles of size 19 G and larger be used for histologic tissue samples, as was done in this study (24). Therefore, it could be suggested that when smaller gauges are used, or the lesion is accessible, TBNA should be combined with another method of tissue sampling, such as TBFB.

We compared the MVCA of TBFB and TBNA samples and showed that the overall yield is high. This warrants further evaluation to improve the diagnostic outcome of transbronchial biopsies and patient safety.

Conclusions

In our study, the MVCA was significantly larger for TBFB

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than for TBNA. Despite the smaller MVCA, the diagnostic yield was the same between the two methods, and both methods provided enough material to be considered sufficient for NGS. We argue that TBNA samples may contain less non-tumor tissue, which causes less interference in molecular pathology testing. There was no significant difference in complications between the two methods. Therefore, TBNA seems to be a valuable tool for modern diagnosis and staging of peripheral lung lesions. Larger studies are needed to confirm our preliminary results.

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Footnote

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Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by institutional ethics board of the University of Regensburg (No.: 21-2463-101) and informed consent was obtained from all individual participants.

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