

# Article ERβ Isoforms Have Differential Clinical Significance in Breast Cancer Subtypes and Subgroups

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**Simple Summary:** ER $\beta$ , an ER subtype first identified in 1996, is significantly expressed in ER $\alpha$ negative breast cancer (BCa) and TNBC. Many studies investigated mostly ER $\beta$ 1 protein expression in the entire cohort of BCa, and the results are inconsistent. In this study, we simultaneously investigated both ER $\beta$  mRNA and three ER $\beta$  1, 2, and 5 protein isoforms in various subtypes and subgroups of BCa. Each ER $\beta$  isoform's mRNA and protein expression seemingly plays a significant role in BCa subtypes and subgroups, and ER $\beta$ 2 mRNA expression is risk factor for poor outcome. Studies in a large cohort of BCa are needed to explore the potential usefulness of ER $\beta$  as a prognostic and predictive marker and a therapeutic target in BCa. Furthermore, the standardization of a ER $\beta$  testing protocol may be required for ER $\beta$  testing to be utilized in a clinical setting.

Abstract: ER $\beta$ , an ER subtype first identified in 1996, is highly expressed in different types of BCa including ER $\alpha$ -negative BCa and TNBC. Many studies on ER $\beta$  expression investigated mostly on ER $\beta$ 1 protein expression in ER $\alpha$ -positive and ER $\alpha$ -negative BCa combined. The results are conflicting. This may be due to the complexity of  $ER\beta$  isoforms, subject heterogeneity, and various study designs targeting different ER $\beta$  isoforms and either ER $\beta$  protein or mRNA expression, as well as to the lack of a standardized testing protocol. Herein, we simultaneously investigated both mRNA and protein expression of ER $\beta$  isoforms 1, 2, and 5 in different BCa subtypes and clinical characteristics. Patient samples (138) and breast cancer cell lines (BCC) reflecting different types of BCa were tested for ER $\alpha$  and ER $\beta$  mRNA expression using quantitative real-time PCR, as well as for protein expression of ERα, ERβ1, ERβ2, and ERβ5 isoforms, PR, HER2/neu, Ki-67, CK 5/6, and p53 using immunohistochemistry. Associations of  $ER\beta$  isoform expression with clinical characteristics and overall survival (OS) were analyzed.  $ER\beta1$ , 2, and 5 isoforms are differentially expressed in different BCa subtypes including ER $\alpha$ -negative and TNBC. Each ER $\beta$  isoform seemingly plays a distinct role and is associated with clinical tumor characteristics and patient outcomes. ERß isoform expression is significantly associated with >15% Ki-67 positivity and poor prognostic markers, and it predicts poorer OS, mostly in the subgroups. High ER $\beta$ 2 and 5 isoform expression in ER $\alpha$ -negative BCa and TNBC is predictive of poor OS. Further investigation of ERβ isoforms in a larger cohort of BCa subgroups is needed to evaluate the role of ER $\beta$  for the potential usefulness of ER $\beta$  as a prognostic and predictive marker and for therapeutic use. The inconsistent outcomes of ERB isoform mRNA or protein expression in many studies suggest that the standardization of ER $\beta$  testing would facilitate the use of  $ER\beta$  in a clinical setting.

**Keywords:** breast cancer; estrogen receptor beta; outcome assessment; survival analysis; prognosis; therapeutic use



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# 1. Introduction

# Two Estrogen Receptors

There are two estrogen receptor (ER) genes (*ESR1/ER* $\alpha$  and *ESR2/ER* $\beta$ ). ER $\alpha$  and ER $\beta$  are members of the nuclear receptor superfamily of transcription factors and share some structural similarities including a high degree of homology (96%) in their DNA-binding regions. However, they also have distinct differences in genotype, tissue distribution, and binding to pharmacological agents; they share only moderate homology in the ligand-binding region, and they have markedly distinct NH<sup>2</sup>-terminal activation function-1 (AP-1) regions. ER $\alpha$  and ER $\beta$  can form heterodimers [1]; when co-expressed, ER $\beta$  acts as a transdominant inhibitor of ER $\alpha$  transcriptional activity. Thus, the relative levels of ER $\alpha$  and ER $\beta$  in BCa are likely to impact cell proliferation, signaling pathways, and their response to ER ligands [2,3].

*ESR2* can encode several different ER $\beta$  isoforms owing to exon deletions or alternative splicing of the last coding exon (exon 8) truncated at the C-terminus. Full-length ER $\beta$ 1 is the primary ER $\beta$  isoform that mediates gene expression and response to estrogen or ER $\beta$ -selective ligands, and it is an obligatory partner in ER $\beta$  dimers, whereas the other isoforms function as variable dimer partners [4]. However, ER $\beta$ 2/ $\beta$ cx preferentially forms a heterodimer with ER $\alpha$  rather than with other ER $\beta$  isoforms, and it shows a significant dominant negative activity against ER $\alpha$  transactivation [5,6]. ER $\beta$ 5 isoform has also been shown to have estrogen–independent transcriptional properties, and this could contribute to the significant role of ER $\beta$ 5 in BCa [7]. Thus, each ER $\beta$  isoform could play a significant role in BCa.

Modern molecular-based diagnostic tools have elucidated the phenotypic and molecular heterogeneity of breast cancer (BCa) [8], including luminal A, luminal B, basal-like, and human epidermal growth factor receptor 2 (HER2) types. The current primary treatment for ER $\alpha$ -positive BCa is endocrine therapy with selective ER modulators and an aromatase inhibitor based on positive ER $\alpha$  nuclear expression. However, ER $\alpha$  is overexpressed in 60–70% of BCa, and de novo resistance to estrogen modulators is exhibited in approximately 50% of cases [9]. ER $\alpha$ -negative tumors comprise 30% of all BCa, and triple-negative BCa (TNBC), which lacks ER $\alpha$ , PR, and HER2 and accounts for 5–20% of all BCa, has poor outcomes and an aggressive clinical behavior [10]. The current treatment for ER $\alpha$ positive breast cancers is endocrine therapy based on positive ER $\alpha$  nuclear expression [9]. ER $\alpha$ -negative BCa and TNBC do not get the benefit of endocrine therapy, and only 20% of TNBCs respond to standard chemotherapy. Thus, novel treatments are needed for treating ER $\alpha$ -negative BCa and TNBC.

ER $\beta$ , an ER subtype first identified in 1996 [11], is significantly expressed in various types of BCa including ER $\alpha$ -negative BCa and TNBC. Many studies have investigated the potential usefulness of ER $\beta$  as a prognostic and predictive marker and as a therapeutic target in BCa. A large portion of previous ER $\beta$  studies in BCa investigated ER $\beta$ 1 protein expression, although some examined ER $\beta$  mRNA, in ER $\alpha$ -positive and/or ER $\alpha$ -negative BCa and TNBC [12–17]. The results were inconsistent; ER $\beta$  mRNA or protein expression of ER $\beta$  isoforms in various cohorts were shown to be associated with different clinical outcomes, both favorable and adverse. In ER $\alpha$ -negative and TNBC, ER $\beta$  expression was shown to be associated with high Ki-67 positivity, implicated in the estrogen-independent growth of BCa, with either favorable or adverse outcomes. Thus, an accurate determination of ER $\beta$  expression in ER $\alpha$ -negative BCa and TNBC could provide a basis to treat a large number of women with safe and effective hormonal-based therapies which at present are not considered as an option in this cohort of patients [17–19].

In a previous study [20], we found that high ER $\beta$ 1 protein expression in ER $\alpha$ -negative BCa was correlated with high Ki-67, P53, and Her2/neu expression. In this study, we simultaneously investigated both mRNA and protein expression and we tested ER $\beta$ 1, 2, and 5 isoforms in the entire cohort, as well as in various subtypes and subgroups, as the use of a single ER $\beta$  isoform is unlikely to reveal the complete biological significance of total

 $ER\beta$  isoform expression in BCa. The mRNA or protein expression of each  $ER\beta$  isoform was correlated with various clinical characteristics and clinical outcomes.

#### 2. Materials and Methods

# 2.1. Patients

All procedures involving study subjects were performed in accordance with the ethical standards of the Institutional Research Board, The Bridgeport Hospital, Bridgeport, CT (IRB# 090101). The study comprised 65 ERα-negative (43 TNBC) and 73 ERα-positive subjects in a total of 138 BCa patients with a follow-up period from 2003 to 2010. Demographic and clinical characteristics of all subjects were retrieved from medical records and cancer registry reports, as well as pathology records for hormone receptor reports, histologic types, tumor size, and AJCC tumor stages. The BCa histologic types included 109 infiltrating duct carcinoma NOS, seven atypical medullary, six medullary, three apocrine, three infiltrating lobular, two inflammatory, five mixed ductal/lobular, and three micropapillary types. Histological grades were assessed according to the Bloom-Richardson classification criteria. The AJCC tumor stages consisted of 75 stage I, 45 stage II, and 18 stage III. For treatment, nine underwent surgery only, 10 received hormonal therapy only, 42 underwent radiation followed by hormonal therapy, and 77 had chemoradiotherapy. The follow-up period ranged from 1 to 96 months (median, 60 months); 20 patients died during this period. The phenotypic BCa patterns were determined according to ER $\alpha$ , HER2/neu, and progesterone receptor (PR) status following consensus guidelines. The proliferation marker Ki-67) was evaluated for all tumors. The molecular types comprised 50 luminal A ( $\text{ER}\alpha^+/\text{PR}^+/\text{HER}2^-$ ), 25 luminal B ( $\text{ER}\alpha^+$  and/or  $\text{PR}^+/\text{HER}2^+/\text{Ki-67}^+$ ), 17 HER2 type  $(ER\alpha^{-}/PR^{-}/HER2^{+})$ , 17 basal-like type  $(ER\alpha^{-}/PR^{-}/HER2^{-}/CK5/6^{+})$ , and 29 unclassified [8].

### 2.2. Breast Cancer Cell Lines

Multiple BCC lines reflecting a range of BCa phenotypes and molecular types [21] were tested as control for ER $\beta$  expression assessment in different types of BCa, including luminal A type (ZR-75, MCF-7, and T-47D), luminal B type (MDA-MB-361, BT 474), HER2 type (SK-BR3), and basal-like type (MDA-MB-231, BT20, MDA-MB-468, and Hst578). BCCs were either purchased from American Type Culture Collection or kindly gifted by colleagues.

### 2.3. Tissue Microarray (TMA) Preparation

Hematoxylin and eosin sections of formalin-fixed paraffin-embedded (FFPE) tumor samples were evaluated. TMA blocks were constructed using triplicate 0.6 mm diameter cores selected from the most representative tumor cellular areas of the primary BCa and the FFPE block of BCa cell lines.

#### 2.4. RNA Isolation and Quantitative Reverse Transcription (qRT)-PCR of ER $\alpha$ and ER $\beta$ Isoforms

Three tumor cores were acquired from the same primary BCa used for TMA and from formalin-fixed cell buttons of BCC. RNA was isolated using the RNeasy RNA isolation kit according to the manufacturer's protocol (Qiagen, Hilden, Germany). RT-PCR was performed using FastStart Universal SYBR Green master mix (Roche, Basel, Switzerland) and monitored using an Eppendorf Realplex 2.0 (Eppendorf, Framingham, MA, USA). The RNA integrity of tumor tissues and BCC was verified via electrophoretic separation on 1.5% agarose gels and by amplification of the constitutively expressed *ACTB* gene. Expression of ER $\beta$ 1, ER $\beta$ 2, and ER $\beta$ 5 mRNA was tested via conventional qRT-PCR in an automatic thermal cycler (MJ Research, Waltham, MA, USA). The isoform-specific sense and antisense primers were as follows: *ER* $\alpha$ , 5'-TCCTCATCCTCTCCCACATC-3' and 5'-TCTCCAGCAGCAGGTCATAG-3' (ref. NM\_00125, 1757–1861, 105 bp); *ER* $\beta$ -1, 5'-GATGCTTTGGTTTGGGTGAT-3' and 5'-GGTCATACACTGGGACCACA-3' (ref. NM\_001437, 1771–1936: 166 bp); *ER* $\beta$ -2, 5'-TGGCTAACCTCCTGATGCTC-3' and 5'-TGGATTACAATGATCCCAGAGG-3' (ref. NM\_00140276, 2107–231: 208 bp and NM\_001040275,

1832–2039: 208 bp);  $ER\beta$ -5'–GTTTGGGTGATTGCCAAGAG–3' and 5'–TTGCAGACACTT-TTCCCAAA-3' (ref. DQ838583.1, 1312-1496: 185 bp), and ACTB, 5'-GATGAGATTGGCAT-GGCTTT-3' and 5'-CACCTTCACCGTTCCAGTTT-3' (ref. NM\_001101, 1276-1375: 100 bp). ACTB and hypoxanthine ribosyltransferase (HPRT) were used as control genes to determine RNA integrity and RT efficiency. The PCR reaction mixture consisted of 8 nmol/L each of the forward and reverse primers, 100 nmol/L probe, 125 µmol/L deoxynucleotide triphosphate, and 5 mmol/L MgCl<sub>2</sub>. PCR was performed using Perkin-Elmer 9600 thermal cyclers. The PCR program was 45 cycles at 95 °C for 15 s, and at 60 °C for 45 s. All samples were amplified in triplicate; RT-PCR was repeated for every isoform and normalized to the copy numbers of ACTB gene. The comparative Ct method was used to normalize mRNA copy numbers of ER $\alpha$  and ER $\beta$  in tumor samples. The absolute quantification of each isoform was compared to a standard graph generated using a serially diluted synthetic reference solution and normalized to ACTB. Positive and negative controls of BCa tissues and BCCs were included in each reaction plate. As quality control for RNA integrity in formalin-fixed breast tissue, fresh and formalin-fixed BCCs were tested for ER $\beta$  mRNA, and the levels of ER $\beta$  mRNA expression were compared in both samples. The cutoff value of ER $\beta$  isoform mRNA was determined separating lower- to high-level mRNA values by observing the cutoffs of the corresponding  $ER\beta$  proteins [22]. A logistic regression analysis for a range of possible cutoffs for the mRNA variable was performed using the cutoffs of ER\beta1, ER\beta2, and ER\beta5 protein expression at 20%, 20%, and 40%, respectively. The threshold maximizing the AUC (area under the receiver operating curve) was chosen. This resulted in mRNA positive cutoff values of  $14 \times 10^6$ ,  $13 \times 10^6$ , and  $1 \times 10^6$  for ER $\beta$ 1, ERβ2, and ERβ5 mRNA, respectively (SAS 9.4v, Cary, NC, USA).

### 2.5. Immunohistochemistry

Standard immunohistochemistry (IHC) was performed using 4  $\mu$ m thick sections of TMA slides of BCa and BCCs following antigen retrieval with a steam-heating (95 °C) system in 0.01 M citrate buffer (pH 6.0) for 20 min or 1 mmol/L Tris-EDTA buffer at pH 9.0. Sections were stained with appropriately diluted antibodies (Table 1) using an automated immunostainer (Dako, Santa Clara, CA, USA).

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Table 1. List of antibodies used for immunohistochemistry.

The selection of ER $\beta$  antibodies used in our study was determined by reviewing previous studies on various ER $\beta$  antibodies [23–25] and by reviewing the specificity and sensitivity of ER $\beta$  antibodies provided in the manufacturer's data. Different clones of each ER $\beta$  isoform antibody were tested for the optimum and reproducible immunoreaction in repeat testing using negative and positive staining and tissue controls, following the standard immunohistochemistry testing protocol established for ER $\alpha$  expression in our laboratory [26].

The immunoreaction of nuclear staining was evaluated using a semiquantitative Allred scoring system [27], summing the proportion of positive cells (scored on a scale of 0–5) and staining intensity (scored on a scale of 0–3) to produce a cumulative score of 8. A total score of 0–2 was regarded as negative, and a total score > 3, with 1–10% weakly positive cells or >20% nuclear positivity, were taken as the cutoffs of positivity for ER $\beta$ 1 and 2 isoforms, while >40% was applied for ER $\beta$ 5 protein expression [28–30]. Some commercially available ER $\beta$  antibodies were either nonspecific or insensitive for the detection of ER $\beta$  and exhibited an appreciable level of background, as well as variable nuclear and cytoplasmic staining. Polyclonal antibodies showed more background and cytoplasmic reaction. High levels of cytoplasmic staining were detected by the antibodies, the background and cytoplasmic reaction were reduced and optimized. As the significance of the cytoplasmic reaction of ER $\beta$  antibodies requires thorough characterization with regard to sensitivity and specificity [24,25], the cytoplasmic reaction was not evaluated for clinical outcomes in our study.

Over 1% of ER $\alpha$  and PR nuclear staining was considered positive, but cytoplasmic reaction of ER or PR in BCa was not assessed as in the ASCO/CAP guidelines [26]. HER2/neu expression was interpreted following the HercepTest kit guidelines. HER2 staining was scored according to the ASCO/CAP guidelines and considered positive for 3+ HER2 staining or 2+ HER2 staining with fluorescent in situ hybridization positivity. A nuclear immune reaction of Ki-67 > 15% with p53 > 5% and strong cytoplasmic staining of CK5/6 was considered positive.

# 2.6. Statistical Analysis

The associations between ER $\beta$  isoform protein and mRNA expression and clinical characteristics were assessed for the entire cohort and subtypes and subgroups of BCa by Fisher's exact test. The frequency of each ER $\beta$  isoform expression in subtypes was assessed by McNemar's test, while the correlation between ER $\beta$  isoform expression and clinical characteristics was assessed by Spearman's rank-order test. Overall survival (OS) was calculated from the date of BCa diagnosis to that of death or the last follow-up visit, and OS outcomes were estimated using Kaplan–Meier (KM) curves for censored data using the log-rank tests and using Cox univariate and multivariate proportional hazard (PH) regression models. Hazard ratios were determined with 95% confidence intervals. A *p*-value < 0.05 was defined as significant (SAS 9.4v, SAS Institute Inc, Cary, NC, USA).

### 3. Results

# 3.1. Differential mRNA and Protein Expression of ER $\alpha$ and ER $\beta$ Isoforms in Benign Breast Tissues and BCa Subtypes

ER $\beta$  mRNA expression levels in BCa were lower than those in benign breast tissue, and those of ER $\alpha$  expression. ER $\beta$ 1 mRNA in BCa ranged from 1.0 to 6000 × 10<sup>6</sup>, ER $\beta$ 2 ranged from 1.0 to 51,000 × 10<sup>6</sup>, and ER $\beta$ 5 ranged from 1.0 to 2400 × 10<sup>6</sup>, while ER $\alpha$  mRNA ranged from 1.0 to 620,000 × 10<sup>6</sup>. The ratios of ER $\beta$  to ER $\alpha$  mRNA in BCa ranged from 1:1 to 1:300. ER $\alpha$  mRNA was detected in 93.54% of BCa, whereas ER $\beta$ 1, 2, and 5 isoform mRNA was detected in 60.9%, 52.9%, and 41.3% of the cohort, respectively. ER $\beta$ 1 mRNA significantly correlated with ER $\alpha$  mRNA expression (r = 0.27, p = 0.002) and ER $\alpha$  protein expression (r = 0.18, p = 0.038), and it was co-expressed with ER $\alpha$  in 67% of BCa. All three (ER $\beta$ 1, ER $\beta$ 2, and ER $\beta$ 5) isoforms were detected in 14.5% (20/138) of tumors, while no ER $\beta$  isoforms were detected in 18.8% (26/138). Two or three ER $\beta$  isoforms were co-expressed in 68.8% (95/138) of BCa. The ER $\beta$ 1 isoform was more frequently expressed cohort-wide (p = 0.0007), while ER $\beta$ 2 expression was more frequent than that of ER $\beta$ 5 in HER2/neupositive tumors (p = 0.007), and HER2 molecular type (p = 0.007) in McNemar's test.

In the ER $\beta$  IHC study, the ER $\beta$  isoform protein was strongly positive in the nuclei of luminal epithelial and myoepithelial cells, fibroblasts, endothelial cells, and lymphocytes in benign breast tissues, whereas ER $\alpha$  protein was positive only in the nuclei of epithelial cells

(Figure 1). ER $\beta$ 1 antibodies of clones 14C8, PA1-313, and PPG5/10 showed inconsistent immune reactivity. PPG5/10 antibodies from two different vendors displayed discordant reactions, and 14C8 presented lower levels of detection than other ER $\beta$ 1 antibodies. The polyclonal ER $\beta$ 1 (385p/AR385-10R) and ER $\beta$ 5 (57/3) antibodies produced strong nuclear staining but also some cytoplasmic staining. The polyclonal  $\text{ER}\beta1$  (385p/AR385-10R) antibody exhibited the most consistent reaction after a careful titration of the antibody up to 1:800 dilution and overnight incubation. Thus, all ERβ1 protein expression studies in BCa and BCC were conducted using the polyclonal (385p/AR385-10R) ER<sub>β1</sub> antibody (Figures 1 and 2). Under the optimum immunostaining conditions, the immunoreaction by  $ER\beta2$  and 5 antibodies (Table 1) also displayed the same intensity of nuclear staining as that of ER $\beta$ 1 protein expression. ER $\beta$  isoform 1, 2, or 5 protein expression was detected in 61.5%, 44.9%, and 59.5% of the cohort, respectively. ERβ1 protein expression (Figure 2) using 385p/AR385-10R ER $\beta$  antibody showed differential expression in BCa subtypes, with a higher expression in well-differentiated duct BCa and lobular carcinoma than poorly differentiated BCa, and a high ER $\beta$ 1 protein expression in ER $\alpha$ -negative BCa with high co-expression of HER2/neu and p53 (Figure 2I-L).



**Figure 1.** Immunohistochemistry stains of estrogen receptor (ER)  $\beta$  protein expression in normal and benign breast tissue. ER $\beta$  expression (**A**) is expressed in the nuclei of benign epithelial cells and myoepithelial cells, stromal cells, and lymphocytes, whereas ER $\alpha$  (**B**) is expressed only in the nuclei of epithelial cells. The ER $\beta$  reaction (**C**) is abundant and stronger than that of ER $\alpha$  (**D**) (immunohistochemistry stain using polyclonal ER $\beta$ 1 (385p/AR385-10R) antibody, original magnification ×20).

When assessing the relationship between immunostaining and qRT-PCR for paired samples from each case, overall, the majority of cases with high ER $\beta$  mRNA levels also had high levels of ER $\beta$  protein. The level and rate of ER $\alpha$  protein expression were highly correlated with ER $\alpha$  mRNA (r = 0.41, p < 0.0001) and those of ER $\beta$ 5 protein expression were highly correlated with ER $\beta$ 5 mRNA in the entire cohort (r = 0.27, p = 0.0015), ER $\alpha$ -positive BCa (r = 0.32, p = 0.006), TNBC (r = 0.9, p = 0.051) and ER $\alpha$ -negative BCa (r = 0.21, p = 0.09). However, ER $\beta$ 1 (r = 0.004, p = 0.96) and ER $\beta$ 2 (r = 0.05, p = 0.605) protein expression was not correlated with their mRNA expression in the entire cohort of BCa. Overall, the levels



and rates of ER $\beta$  isoform protein and mRNA expression were consistent with 39.1% for ER $\beta$ 1, 40.6% for ER $\beta$ 2, and 53% for ER $\beta$ 5.

**Figure 2.** H&E stains of infiltrating duct carcinoma (**A**); immunohistochemistry stains of ER $\alpha$  (**B**) showing positive nuclear reaction only in neoplastic epithelial cells; ER $\beta$  expression (**C**) exhibiting strong and diffuse immunoreaction of the nuclei of neoplastic epithelial cells and stromal cells (original magnification  $\times 20$ ) (**D**); diffuse and intense staining of ER $\beta$  expression in the nuclei (original magnification  $\times 40$ ); differential expression of ER $\beta$  in breast cancer types; ILC (**E**), infiltrating lobular carcinoma; APO (**F**), apocrine carcinoma; MIC (**G**), micropapillary carcinoma; MUC (**H**), mucinous carcinoma. ER $\alpha$ -negative BCa (**I**) showing high ER $\beta$  expression (**J**); co-expression of ER $\beta$  with high Her-2/neu positivity (**K**) and high P53 expression (**L**). Original magnification  $\times 20$ .

Hence, there was a subset of cases with concomitant high ER $\beta$  mRNA and protein expression and another subset of cases in which high protein levels were not accompanied by high mRNA levels. When comparing ER $\beta$  protein expression with that of ER $\alpha$ , ER $\beta$ 1 protein expression correlated with ER $\alpha$  protein expression (r = 0.18, p < 0.039), but ER $\beta$ 2 and ER $\beta$ 5 expression did not. However, each ER $\beta$  protein expression was significantly correlated with another ER $\beta$  isoform (r = 0.34, p < 0.0001).

# 3.2. ER $\beta$ Isoform mRNA and Protein Expression Is Differentially Associated with Clinical Characteristics and Molecular Types in BCa

ER $\beta$  mRNA and protein expression was differentially associated with clinical characteristics and BCa subtypes according to Fisher's exact test (Tables 2 and 3). High ER $\beta$ 1 protein expression was significantly associated with large tumors (>2 cm), while ER $\beta$ 2 protein expression was significantly associated with node-positive tumors (Table 2). High ER $\beta$ 2 mRNA expression was inversely correlated with luminal A type BCa. High ER $\beta$ 5 protein was correlated with basal-like and HER2 type BCa (Table 3). However, there was no significant association between ER $\beta$  isoform mRNA or protein expression and grade, PR, HER2/neu, Ki-67, or p53 expression.

		ER	β1 mF	NA	ERß	1 Prot	ein	ER	Rβ2 mRI	NA	ER	β2 Prot	ein	ER	β5 mRN	NA	ER <sub>β5</sub> Protein		
Varia- bles		Pos	Neg	<i>p-</i> Value	Pos	Neg	<i>p-</i> Value	Pos	Neg	<i>p-</i> Value	Pos	neg	<i>p-</i> Value	Pos	Neg	<i>p-</i> Value	Pos	Neg	<i>p-</i> Value
ERα status	Pos	38	34	0.0548	50	22	0.477	34	38	0.176	44	27	0.39	29	43	0.863	57	15	0.508
	neg	46	20		42	24		39	27		35	31		28	38		56	10	
Her- 2/neu	Pos	27	16	0.851	31	12	0.437	27	16	0.142	21	22	0.198	13	30	0.0954	34	9	0.635
	Neg	57	38		61	34		46	49		58	37		44	51		79	10	
PR	Pos	34	30	0.159	45	22	1	30	36	0.125	39	28	0.864	27	39	1	52	15	0.269
	Neg	47	24		47	24		42	29		40	31		29	42		61	10	
Ki-67	>15%	19	10	0.67	23	6	0.123	14	15	0.779	20	9	0.205	16	13	0.095	26	3	0.285
	<15%	65	44		68	40		59	50		59	49		41	68		87	22	
Grade	Grade 2/3	74	46	0.6156	83	37	0.178	66	54	0.217	69	51	1	52	68	0.305	99	20	0.323
	Grade 1	10	8		9	9		7	11		10	8		5	13		13	5	
Tumor size	>2 cm	31	23	0.592	42	12	0.0282	30	24	0.727	32	22	0.727	23	31	0.86	47	7	0.26
	<2 cm	53	31		50	34		43	41		47	37		34	50		66	18	
Nodal status	Pos	17	14	0.531	24	7	0.195	21	10	0.0685	23	8	0.0392	11	20	0.536	29	2	0.0654
	Neg	67	40		68	39		52	55		56	51		46	61		84	23	
CK5/6	Pos	9	7	0.787	10	6	0.779	9	7	0.797	9	7	1	8	8	0.59	16	0	0.0764
	Neg	75	47		82	40		64	58		70	52		49	73		97	25	
P53	Pos	26	28	0.163	27	19	0.717	40	25	0.231	37	22	0.17	45	36	1	17	8	0.19
	Neg	51	33		50	42		37	36		40	39		32	25		60	53	

Table 2. Associations between  $ER\beta$  isoform mRNA and protein expression and clinical characteristics.

Bold: significant *p*-value < 0.05.

### 3.3. ER<sub>β</sub> Isoform mRNA and Protein Expression Is Associated with High Ki-67 Positivity

As shown in the Spearman rank correlation test (Table 4), ER $\beta$  isoform mRNA or protein expression in various BCa subtypes of BCa including molecular types and subgroups was associated with >15% Ki-67 positivity. High ER $\beta$ 1 and ER $\beta$ 2 protein expression in ER $\alpha$ -negative BCa, and ER $\beta$ 1 and ER $\beta$ 5 protein expression in TNBC and ER $\alpha$ -positive BCa were associated with >15% of Ki-67 positivity. The following cases were also associated with >15% Ki-67 positivity: ER $\beta$ 1 protein expression in luminal A type, basal-like type, and high-grade tumors; ER $\beta$ 5 protein expression in luminal B type, HER2 type, high-grade, large-size, and P53-positive tumors; ER $\beta$ 2 protein and luminal A type BCa; ER $\beta$ 2 mRNA and ER $\beta$ 5 mRNA expression in HER2 type BCa; ER $\beta$ 5 mRNA expression in high-grade and HER2/neu-positive BCa. The findings suggest that ER $\beta$ -expressing BCa cells are proliferating cells.

# 3.4. ERβ Isoform Protein and mRNA Expression and Overall Survival in the BCa Subtypes and Subgroups

Overall, the association between ER $\beta$ mRNA and protein expression and OS was more notable in the patient subgroups than in the entire cohort. The mRNA or protein expression of each ER $\beta$  isoform appeared to be distinctly associated with OS and clinical characteristics.

In KM log rank OS analysis (Figure 3), ER $\beta$  isoform 1, 2, or 5 mRNA and protein expression was associated with OS in the subgroups, but not in the entire cohort. High ER $\beta$ 5 mRNA expression in ER $\alpha$ -negative BCa and TNBC was associated with poorer OS. High ER $\beta$ 1 and ER $\beta$ 5 mRNA expression in tumors with <15% Ki-67 positivity and high ER $\beta$ 2 protein expression in tumors with >15% Ki-67 positivity were also predictive of poor OS. Basal-like type BCa showed a trend of poor OS.

	ER	β1 mR	NA	ER	β1 Prot	ein	ER	β <mark>2 mR</mark> l	NA	Er	β2 Prote	ein	ER	β5 mR	NA	EI	Rβ5 Pro	otein
Types	Pos	Neg	<i>p-</i> Value	Pos	Neg	<i>p-</i> Value	Pos	Neg	<i>p-</i> Value	Pos	Neg	<i>p-</i> Value	Pos	Neg	<i>p-</i> Value	Pos	neg	<i>p-</i> Value
Luminal A type (50)	27	23	0.276	33	17	1	20	30	0.0328	34	16	0.0732	21	29	1	40	10	0.653
	57	31		59	29		53	35		45	43		36	52		73	15	
Luminal B type (25)	14	12	0.505	19	7	0.497	17	9	0.193	12	14	0.271	9	17	0.511	18	8	0.0875
	70	42		73	39		56	56		67	45		46	64		95	17	
Basal- like type (17)	10	7	1	11	6	1	10	7	0.796	11	6	0.605	9	8	0.307	17	0	0.0419
	74	47		81	40		63	58		68	53		48	73		96	25	
HER2 type (17)	12	5	0.109	11	6	1	8	9	0.616	8	0	0.436	7	10	1	17	0	0.0418
	68	44		81	40		71	50		71	50		49	71		95	25	
TNBC (43)	31	13	0.137	29	17	0.439	26	18	0.363	24	20	0.713	23	21	0.0951	39	5	0.235
	53	41		65	27		47	47		55	39		34	60		74	20	

Table 3. Associations between  $ER\beta$  isoform mRNA and protein expression and molecular types.

Bold: significant *p*-value < 0.05.

Table 4.	Spearman rank-order	correlation between	ERβ isoform	mRNA a	and protein	expression	and
KI -67 >	15% positivity in brea	st cancer subgroups.					

	ERβ1 mRNA	ER <sub>β1</sub> Protein	ERβ2 mRNA	ERβ2 Protein	ERβ5 mRNA	ER <sub>β5</sub> Protein
Breast Cancer Subgroups (Cases)	rho ( <i>p-</i> Value)	rho ( <i>p-</i> Value)	rho ( <i>p-</i> Value)	rho ( <i>p-</i> Value)	rho ( <i>p-</i> Value)	rho ( <i>p</i> -Value)
$ER\alpha$ + and $ER\alpha$ - (138)	0.15 (0.86)	0.38 (<0.0001)	0.096 (0.26)	0.14 (0.088)	0.18 (0.032)	0.34 (0.0001)
$ER\alpha + (73)$	-0.077(0.52)	0.28 (0.017)	0.011 (0.93)	0.032 (0.79)	0.16 (0.21)	0.272 (0.021)
$ER\alpha$ -(65)	0.107 (0.39)	0.42 (0.005)	0.17 (0.18)	0.31 (0.012)	0.22 (0.073)	0.25 (0.041)
<b>TNBC (43)</b>	0.03 (0.85)	0.45 (0.0021)	0.09 (0.55)	0.19 (0.23)	0.104 (0.50)	0.31 (0.039)
Luminal A type (50)	-0.13 (0.38)	0.39 (0.0052)	-0.23 (0.0084)	0.19 (0.027)	0.22 (0.13)	0.21 (0.13)
Luminal B type (25)	-0.1(0.65)	0.11 (0.59)	-0.26 (0.19)	0.10 (0.62)	0.06 (0.75)	0.30 (0.014)
HER2 type (17)	0.07 (0.68)	0.36 (0.16)	0.52 (0.0060)	0.250 (0.010)	0.49 (0.045)	0.48 (0.048)
Basal-like type (17)	-0.084(0.75)	0.62 (0.0081)	-0.016 (0.99)	0.39 (0.14)	0.178 (0.49)	0.12 (0.65)
Grade 2/3 tumors (125)	0.28 (0.023)	0.38 (<0.0001)	0.009 (0.92)	0.17 (0.071)	0.189 (0.041)	0.33 (0.0003)
>2 cm tumor (40)	0.102 (0.48)	0.32 (0.017)	0.07 (0.61)	0.20 (0.15466)	0.07 (0.61)	0.33 (0.014)
Her2/neu+ (39)	0.06 (0.68)	0.255 (0.89)	-0.14 (0.35)	0.25 (0.10)	0.33 (0.031)	0.31 (0.045)
p53>5%	0.07 (0.59)	0.36 (0.0034)	-0.03 (0.81)	-0.01 (0.93)	0.12 (0.3481)	0.30 (0.0175)

r (rho): rank, Bold: significant *p*-value < 0.05, TNBC: triple negative BCa.



**Figure 3.** Kaplan–Meier curves of overall survival estimates stratified by estrogen receptor (ER)  $\beta$  mRNA and protein expression in breast cancers: (**A**) high vs. low ER $\beta$ 1 mRNA in the entire cohort; (**B**) high vs. low ER $\beta$ 1 protein in the entire cohort; (**C**) high vs. low ER $\beta$ 2 mRNA in the entire cohort; (**D**) high vs. low ER $\beta$ 2 protein in the entire cohort; (**C**) high vs. low ER $\beta$ 5 protein in the entire cohort; (**F**) high vs. low ER $\beta$ 5 protein in the entire cohort; (**F**) high vs. low ER $\beta$ 5 protein in the entire cohort; (**G**) high vs. low ER $\beta$ 5 mRNA expression in patients with triple-negative breast cancer; (**H**) high vs. low ER $\beta$ 5 mRNA expression in ECa estable triple bCa with trend of poor OS; (**J**) high or low ER $\beta$ 5 mRNA expression in BCa with <15% Ki-67 staining; (**K**) high vs. low ER $\beta$ 1 mRNA expression in BCa exhibiting <15% Ki-67.

In the univariate cox PH analyses (Table 5), ER $\beta$  mRNA expression was associated with poor patient outcomes in the subtypes and subgroups. ER $\beta$ 2 mRNA expression in ER $\alpha$ -negative BCa, HER2/neu-negative and PR-negative, high-grade, large-size (>2 cm), <15% Ki-67-positive and >5% p53 expression, and node-negative tumors was associated with poor survival outcomes. ER $\beta$ 5 mRNA in TNBC and node-negative BCa was also associated with a risk of poor OS. In the entire cohort, high ER $\beta$ 2 mRNA expression, large-size (>2 cm) tumors, and tumors with high P53 (>5%) positivity were risk factors for poor outcome. In contrast, ER $\beta$ 2 protein expression in high-grade tumors and node-negative BCa was associated with favorable OS.

**Table 5.** Cox univariate analysis of ER $\beta$  Isoform expression and overall survival in breast cancer subtypes and subgroups and in the entire cohort.

	ERβ1 mRNA		ERβ1 Pro- tein		ERβ2m	RNA	ERβ2 Pro- tein		ERβ5 mRNA		ERβ5 Pro- tein	
Subgroups (case#)	<i>p-</i> Value	HR( CI)	<i>p-</i> Value	HR(CI)	<i>p-</i> Value	HR(CI)		HR(CI)	<i>p-</i> Value	HR(CI)	<i>p-</i> Value	HR(CI)
ER <i>a-</i> positive BCa(73)	0.88	1.12 (0.27– 4.53)	0.98	1.02 (0.25– 4.06)	0.24	2.22 (0.59–8.3)	0.106	0.32 (0.08– 1.23)	0.93	1.07 (0.28– 4.10)	0.75	0.997 (0.98– 1.02)

	ERβ1 mRNA		ERβ1 Pro- tein		ERβ2m	RNA	ERβ2 Pro- tein		ERβ5 mRNA		ERβ5 Pro- tein	
Subgroups (case#)	<i>p-</i> Value	HR( CI)	<i>p-</i> Value	HR(CI)	<i>p-</i> Value	HR(CI)		HR(CI)	<i>p-</i> Value	HR(CI)	<i>p-</i> Value	HR(CI)
ERα- negative BCa(65)	0.33	1.79 (0.56– 5.72)	0.21	2.7 (0.59– 12.21)	0.034	3.59 (1.10– 11.72)	0.41	0.61 (0.19– 1.95)	0.09	3.22 (0.8512.2)	0.072	1.03 (0.997– 1.06)
TNBC (43)	0.12	3.03 (0.75– 12.22)	0.36	2.1 (0.43– 10.2)	0.056	4.005 (0.96– 16.63)	0.25	0.98 (0.96– 1.01)	0.069	6.98 (0.83– 56.45)	0.26	1.02 (0.99– 1.05)
TNBC- (95)	0.83	0.87 (0.23– 2.95)	0.6	1.42 (0.38– 5.26)	0.24	1.98 (0.63– 6.23)	0.24	0.49 (0.16– 1.58)	0.89	0.92 (0.28– 3.03)	0.5	1.006 (0.98– 1.02)
Her2/neu+ (39)	0.78	1.249 (0.28– 5.59)	0.89	1.11 (0.21– 5.82)	0.51	1.67 (0.37– 7.530	0.29	0.419 (0.08–2.2)	0.88	1.13 (0.24– 5.28)	0.73	0.98 (0.98– 1.01)
Her2/neu- (99)	0.33	1.71 (0.59– 4.950	0.3	1.96 (0.55– 7.04)	0.023	3.44 (1.198– 9.93)	0.16	0.47 (0.16– 1.36)	0.13	2.53 (0.77– 8.26)	0.077	1.02 (0.99– 1.05)
PR+ (54)	0.58	0.63 (0.12–3.2)	0.67	1.42 (0.29– 7.04)	0.58	1.59 (0.36– 6.28)	o.24	0.42 (0.10– 1.78)	0.74	1.28 (0.31– 5.31)	0.58	1.006 (0.99– 1.03)
PR- (84)	0.12	2.43 (0.78– 7.48)	0.41	1.79 (0.47– 6.35)	0.01	4.59 (1.45– 14.55)	0.18	0.46 (0.15– 1.43)	0.12	2.6 (0.78–8.6)	0.25	1.012 (0.99– 1.03)
Luminal A type (50)	0.46	0.43 (0.05– 4.03)	0.56	1.93 (0.22– 17.4)	0.38	2.35 (0.37– 13.8)	0.79	0.79 (0.13– 4.73)	0.71	0.7 (0.11– 4.47)	0.22	1.03 (0.98– 1.07)
Luminal B type (25)	0.2	3.6 (0.51– 25.59)	0.51	0.52 (0.07– 3.69)	0.65	1.58 (022– 11.23)	0.99	0.000 (0.00–1.5)	0.55	1.82 (0.26– 12.93)	0.26	0.98 (0.96– 1.01)
HER2 type (17)	0.63	0.99 (0.98– 1.011)	0.209	1.03 (0.99– 1.07)	0.967	0.99 (0.89– 1.11)	0.078	1.061 (0.993–1)	0.71	0.94 (0.583– 1.499)	0.34	1.213 (0.818– 1.798)
Basal type (17)	0.54	1.77 (2.89– 10.94)	0.35	1.012 (0.99–9.0)	0.15	4.29 (0.59– 30.18)	0.65	0.66 (0.11– 4.03)	0.26	3.53 (0.39– 31.80)	0.91	0.99 (0.930– 1.067)
Grade 2/3 (115)	0.55	1.31 (0.54– 3.19)	0.6	1.31 (0.47– 3.62)	0.016	1.005 (1.001– 1.010)	0.17	0.99 (0.97– 1.005)	0.29	1.63 (0.65– 4.060	0.33	1.007 (0.99– 1.02)
Grade 1 (23)	0.23	1.05 (0.97– 1.13)	0.38	1.044 (0.95–1.2)	0.83	0.99 (0.962– 1.032)	0.94	1.003 (0.93– 1.08)	0.8	0.96 (0.71– 1.31)	0.77	1.000 (0.96– 1.06)
>2 cm tumor (51)	0.47	1.45 (0.53– 3.95)	0.73	0.82 (0.26– 2.57)	0.035	2.88 (1.08– 7.72)	0.058	0.38 (0.14– 1.04)	0.23	1.84 (0.68– 6.04)	0.46	1.005 (0.97– 1.007)
<2 cm tumor (87)	0.37	2.29 (0.37– 14.06)	0.39	2.64 (0.29– 23.8)	0.2	3.2 (0.53– 19.20	0.5	0.54 (0.09– 3.26)	0.18	4.62 (0.94– 43.11)	0.45	1.01 (0.98– 1.04)
>15% Ki-67 (63)	0.83	1.22 (0.19– 7.44)	0.14	1.02 (0.99– 1.06)	0.86	0.83 (0.09– 7.45)	0.35	0.42 (0.065– 2.66)	0.61	0.58 (0.07– 4.77)	0.77	1.007 (0.96– 1.56)
<15% KI-67 (70)	0.032	1.65 (0.61– 4.42)	0.49	1.44 (0.50– 4.16)	0.014	3.56 (1.29– 9.82)	0.094	0.41 (014–1.17)	0.075	2.54 (0.91– 7.07)	0.18	1.01 (0.99– 1.027)
LN positive (34)	0.75	1.24 (0.33– 4.65)	0.173	4.27 (0.53– 34.3)	0.25	2.28 (0.55– 9.43)	0.97	0.97 (0.24– 3.95)	0.75	1.23 (0.33– 4.65)	0.52	1.008 (0.98– 1.034)
LN negative (104)	0.32	1.79 (0.57– 5.63)	0.92	1.07 (0.32– 3.57)	0.835	1.001 (0.993– 1.008)	0.019	0.16 (0.904– 0.7)	0.024	5.86 (1.26– 27.23)	0.39	1.008 (0.99– 1.03)
p53>5% (57)	0.28	2.01 (0.57– 7.105)	0.973	1.000 (0.98–1.2)	0.051	3.54 (0.99– 12.56)	0.066	0.29 (0.078– 1.0)	0.61	0.99 (0.96– 1.02)	0.33	1.017 (0.98– 1.05)

Table 5. Cont.

	ERβ1 mRNA		ERβ1 Pro- tein		ERβ2m	RNA	ERβ2 Pro- tein		ERβ5 mRNA		ERβ5 Pro- tein	
Subgroups (case#)	<i>p-</i> Value	HR( CI)	<i>p-</i> Value	HR(CI)	<i>p-</i> Value	HR(CI)		HR(CI)	<i>p-</i> Value	HR(CI)	<i>p-</i> Value	HR(CI)
p53<5% (81)	0.69	1.27 (0.38– 4.19)	0.38	1.80 (0.48–6.8)	0.19	2.18 (0.66– 7.16)	0.32	0.54 (0.16– 1.85)	0.47	1.55 (0.46– 5.19)	0.48	1.006 (0.98– 1.023)
ERα- + and ERα- BCa	0.335	1.53 (0.64– 3.65)	0.32	1.007 (0.993–1)	0.022	2.72 (1.15– 6.41)	0.074	0.447 (0.19–1.0)	0.65	1.003 (0.992– 1.0)	0.21	1.009 (0.99– 1.02)

Table 5. Cont.

HR (CL); Hazard Ratio (Confidence Limit), Bold: signifcant *p*-value < 0.05.

In Cox regression multivariate analysis with other clinicopathological parameters (Table 6), there was a trend of poor survival in tumors expressing ER $\beta$ 5 mRNA (p = 0.063, HR 1.015, 95% CI 0.99–1.031) and a trend of better survival outcome in tumors expressing ER $\beta$ 2 protein (p = 0.061, HR 0.98, 95% CI 0.958–1.001).

**Table 6.** Multivariate cox PH analysis of  $ER\beta$  expression and clinical characteristics for overall survival.

	<i>p</i> -Value	HR (95% CI)
ERβ1 mRNA	0.48	1.001 (0.998–1.006)
ERβ2 mRNA	0.12	1.006 (0.998-1.016)
ERβ5 mRNA	0.063	1.015 (0.999–1.031)
ERβ1 protein	0.65	1.005 (0.986-1.024)
ERβ2 protein	0.061	0.98 (0.958-1.001)
ER <sub>β5</sub> protein	0.097	1.019 (0.997-1.042)
ERα	0.73	1.005 (0.975–1.037)
TNBC *	0.67	0.424 (0.009-20.35)
Lum A type *	0.93	0.842 (0.016-42.99)
Lum B type *	0.75	1.672 (0.072–38.79)
HER2 type *	0.72	1.530 (0.152–15.38)
Basal Type *	0.53	0.209 (0.215-20.35)
HER2/neu *	0.94	1.158 (0.020-68.70)
Size of tumor **	0.02	0.207 (0.055-0.778)
Grade *	0.89	1.080 (0.338-3.451)
LN *	0.088	0.354 (0.107–1168)
PR	0.7	1.005 (0.982-1.028)
Ki-67	0.57	0.994 (0.972-1.016)
P53 **	0.066	1.018 (0.999–1.037)

Note: \* positive; \*\* reference is >2 cm; CI, 95% confidence interval; variable without symbol, numerical.

### 3.5. ER $\beta$ 2 and 5 Isoform Expression Is Predictive of Poor OS in ER $\alpha$ -Negative BCa and TNBC

ER $\beta$ 1, 2, and 5 isoform mRNA was detected in 67.7%, 53.8%, and 43.0% of ER $\alpha$ negative BCa and 58.1%, 60.0%, and 53.4% of TNBC, respectively. ER $\beta$  protein expression was lower than that of mRNA but was also highly and more frequently expressed for ER $\beta$ 2 compared to ER $\beta$ 5 (p = 0.0357) according to McNemar's test. The association between high ER $\beta$ 1 and ER $\beta$ 2 protein expression and ER $\alpha$ -negative BCa, and between ER $\beta$ 1 and ER $\beta$ 5 protein expression and TNBC with >15% Ki-67 positivity (Table 4) suggests a potential role of ER $\beta$  in tumor growth in ER $\alpha$ -negative BCa and TNBC. High ER $\beta$ 1 protein-expressing epithelial cells in ER $\alpha$ -negative BCa highly co-expressed HER2/neu and p53 (Figure 2I–L). In KM analysis (Figure 3), high ER $\beta$ 5 mRNA expression in TNBC and ER $\alpha$ -negative BCa was predictive of poorer OS. In univariate Cox OS PH analysis (Table 5), high ER $\beta$ 2 and ER $\beta$ 5 mRNA expression in ER $\alpha$ -negative BCa, and high ER $\beta$ 5 mRNA expression in TNBC were also predictive of poorer OS.

# 3.6. ER $\alpha$ and ER $\beta$ Isoform mRNA and Protein Expression in BCC Mirrored That in BCa

BCCs derived from different types of BCa served as an excellent control system for mRNA and protein analysis of different types of BCa. ER $\beta$  isoform mRNA or protein expression was high in luminal A and B type-derived BCC, but low in basal-like type BCCs. ER $\beta$  and ER $\alpha$  mRNA and protein were co-expressed in BCCs derived from luminal type BCa. Fresh and formalin-fixed BCCs yielded comparable levels of ER $\beta$  mRNA expression.

# 4. Discussion

While the tumor-promoting actions of ER $\alpha$  are well known, ER $\beta$  has been shown to act as an oncosuppressor. The exact role of ER $\beta$  in carcinogenesis and tumor progression is not yet fully understood. Highly variable and even opposite effects have been ascribed to ER $\beta$  in BCa, including both proliferative and growth-inhibitory actions. Overall, the outcome results of the studies are inconsistent. The mRNA and protein expression of ER $\beta$ isoforms in BCa is associated with favorable or adverse clinical outcomes and beneficial or poor responses to endocrine therapy [12–16]. The inconsistent and controversial results may be due to the complexity of ER $\beta$  isoforms as a function of their post-translational modification, study subject heterogeneity, and varied study designs targeting ER $\beta$  mRNA or protein expression or different ER $\beta$  isoforms. However, they might also be due to the lack of a standardized testing protocol for ER $\beta$  mRNA or protein expression.

### 4.1. ER $\beta$ 1, 2, and 5 Isoforms Are Differentially Associated with Clinical Outcomes in BCa

Many studies (Table 7) have shown that each ER $\beta$  isoform is differentially associated with favorable or adverse clinical outcomes. The observed favorable outcomes included increased OS, disease-free survival (DFS), association of good prognostic markers, and beneficial TAM responses in patients whose tumors expressing ER $\beta$ 1 [31–40], ER $\beta$ 2 [31,41–46], and ER $\beta$ 5 [41,45]. High ER $\beta$ 2 mRNA was associated with a favorable TAM response [43]. Higher ER $\beta$ 1 protein expression was detected in luminal A and B type BCa than HER2 or basal-like types [35]. Adverse outcomes were observed in tumors expressing high ERβ1 [36,47–56], ERβ2 [41,57–64], and ERβ5 [60,65]. High ERβ2 cytoplasmic expression without nuclear expression was associated with worse outcome [41,57]. Early disease recurrence and poor response to TAM have been observed in tumors with high ER<sup>β</sup>2 protein expression but low PR expression in a neoadjuvant setting [59]. High ER $\beta$  exon 5 splice variant mRNA was detected in grade III tumors in postmenopausal women [64]. In ER $\alpha$ negative BCa and TNBC, ERB isoforms are highly detectable, associated with high Ki-67 positivity; they have also been implicated in the growth of BCa, independent of estrogen or growth factors. Clinical studies on the expression of different ER $\beta$  isoforms in ER $\alpha$ negative BCa and TNBC also showed favorable or adverse outcomes. Favorable outcomes were observed in patients with tumors expressing  $ER\beta1$  [57,66–68]. Adverse outcomes were observed in patients with tumors expressing ER $\beta$ 1 [47,69–76], ER $\beta$ 2 [57,69,77], and ER<sub>β5</sub> [76,78]. ER<sub>β2</sub> cytoplasmic expression in basal-like BCa was associated with shorter survival in familial BCa [57]. A high level of ER $\beta$ 5 mRNA in patients with ER $\alpha$ -negative BCa in African American women was considered to contribute to poor survival, and this might be related to the estrogen-independent transcriptional properties of the ER $\beta$ 5 isoform [7]. Similar to the observations in BCa, high ER $\beta$ 5 protein expression in prostate carcinoma was shown to be associated with poor survival and invasiveness [79].

High ERβ Expression ERβ High ERβ Expression with Favorable # with Adverse References References # Cases Isoform or Beneficial Outcome Cases or Poor Outcomes Poor RFS, OS, and DFS in Increased DFS and OS, small size, post-ER<sub>β</sub>1 150 Sugiura [31] 138 O' Neill [47] low-grade and node-negative tumor menopausal TAM-treated  $ER\alpha^+$  BCa Adverse survival Increased DFS, RFS, and OS in Stage I Nakopoulou outcome and and II BCa, with inverse correlation 181 121 Markey [48] [32] recurrence with with HER2/neu high ERβ mRNA Worse prognosis and decreased Increased DFS, inverse correlation with tumor-free 150 Meyers [33] 589 Guo [49] HER2/neu and SRC-1 expression survival in endocrine therapy patients Recurrent BCa and Increased DFS in node-positive tumor 162 Zhang [34] 120 Chang [50] node-positive BCa Reduced DFS, large tumor in Inverse correlation with HER2/neu<sup>+</sup>, post-CK56 and EGFR; no association with 2170 195 Marotti [35] menopausal Guo [51] survival endocrinetreated  $ER\alpha^+$ BCa High-grade tumor, TAM Improved DFS in node-positive luminal 936 Novelli [36] resistance, 60 Speirs [52] A type LN-positive tumor TAM resistance, and high Ki-67<sup>+</sup> tumors with Better TAM response 489 Iwase [37] 34 Chang [53] high ERβ1 mRNA expression High ER<sup>β</sup>1 was associated with low High EGFR Knowlden tumor size and 4 year DFS, while ERβ2 1256 Speirs [39] positivity, TAM 95 [54] was associated with shorter DFS resistance Upregulation of High ERβ1 was associated with Ki -67 and 81 29 Dhimolea [38] Jenson [55] better DFS cyclin A, recurrent BCa

**Table 7.** Association of  $\text{ER}\beta$  isoform mRNA and protein expression with favorable and adverse clinical outcomes.

ERβ Isoform	High ERβ Expression with Favorable or Beneficial Outcome	# Cases	References	High ERβ Expression with Adverse or Poor Outcomes	# Cases	References
	Association with favorable prognostic marker in chemotherapy-treated patients	1026	Elebro [40]	Poor DFS in luminal B type node-positive tumor	936	Novelli [36]
				High ERβ with worse DFS and poorly differentiated BCa	95	Kim [80]
ER <sub>β2</sub>	Increased OS and DFS with nuclear FR62 expression	850	Shaaban [41]	Shorter survival	1256	Speirs [39]
	Increased DFS and OS with high ERβ2 mRNA	141	Sugiura [31]	Worse outcome in tumors with positive ERβ2 cytoplasmic expres- sion/negative nuclear expression Shorter or	757	Shaaban [41]
	Increased OS; low-grade tumor	150	Wurster [42]	worse DFS and OS in TAM-treated patients	101	Baek [58]
	Increased DFS and OS in TAM-treated $ER\alpha^+$ BCa (ER $\beta$ 2 mRNA)	100	Vinayagam [43]	Poor response to TAM in $ER\alpha^+/PR^-$ BCa High-grade	115	Saji [59]
	Better TAM response, longer OS	74	Palmieri [44]	tumor and progression of BCa	53	Leygue [60]
	Better RFS and OS in patients with TAM treatment	105	Davies [45]	Carcinogenesis and invasive BCa Associated with	151	Esslimani- Sahla [61]
	Better outcome in tumors with higher ER $\beta$ 2 than ER $\beta$ 1 in late-onset patients	74	Mandusic [46]	lympho- vascular invasion High	44	Bozkurt [62]
				during growth and tumor progression of BCa	57	Omoto [63]

# Table 7. Cont.

ERβ Isoform	High ERβ Expression with Favorable or Beneficial Outcome	# Cases	References	High ERβ Expression with Adverse or Poor Outcomes	# Cases	References
				ERβ exon5 splice variant mRNA in grade III tumors in post- menopausal women	40	Poola [64]
ERβ5	Improved survival with nuclear ERβ5 expression	850	Shaaban [41]	High ERß3 and ERß5 protein expression with large tumor and node-positive BCa	17	Chi [65]
	Better RFS with TAM treatment	105	Davies [45]	High-grade tumor and progression of BCa	53	Leygue [60]

### Table 7. Cont.

RFS, relapse-free survival; DFS, disease-free survival; OS, overall survival; TAM, tamoxifen; AAW, African American women; TNBC, triple-negative breast cancer.

### 4.2. ERβ mRNA or Protein Expression Is Differentially Associated with Clinical Outcomes in BCa

ER $\beta$  isoform protein or mRNA expression in BCa was shown to be associated with either favorable or adverse outcomes. Many studies (approximately 60–70% of studies) reported that ER $\beta$  isoform protein expression is associated with favorable outcomes including increased OS and DFS, as well as positive responses to endocrine therapy. However, some studies reported that ER $\beta$  isoform protein or mRNA expression is associated with poor outcomes or no association with outcomes [12–16]. Tan et al. observed differential outcomes in BCa types; in patients with ER $\alpha$ -positive tumors, ER $\beta$  protein positivity was not associated with DFS or OS but it was associated with increased DFS or OS in ER $\alpha$ -negative patients, while there was no association between ER $\beta$  mRNA levels and DFS and OS.

The studies on ER $\beta$  isoform mRNA expression in BCa are limited. The studies reviewed herein observed favorable outcomes in some studies [31,43,45,46], but adverse clinical outcomes including poor prognostic markers and poor response to TAM in many more studies [7,47,48,52,53,56,60,63,64,75,76,80]. ER $\beta$ 2 and ER $\beta$ 5 mRNA expression was associated with significantly better relapse-free survival (RFS), while ER $\beta$ 1 mRNA expression was not associated with any measure of OS [45]. Patients with high expression of ER $\beta$ 1 mRNA or ER $\beta$ 2 mRNA had a significantly better DFS and OS than those with low expression [31]. ER $\beta$ 2 mRNA levels were significantly associated with better outcome in ER $\alpha$ -positive BCa and in node-negative tumors, while high ER $\beta$  mRNA and protein expression was associated with a significantly better outcome [43]. Higher levels of ER $\beta$ 2 than ER $\beta$ 1 isoform were associated with a better outcome in late-onset patients [46].

In contrast, as adverse outcomes, ER $\beta 2/\beta cx$  mRNA levels were increased during growth and progression of BCa [63]. A high level of ER $\beta 5$  mRNA in African American patients with ER $\alpha$ -negative BCa was considered to contribute to their poor survival [76]. ER $\beta 1$  positivity (according to RT-PCR) in ER $\alpha$ -negative BCa led to larger tumors and higher-stage BCa than ER $\beta 1$  positivity in ER $\alpha$ -positive BCa [47]. Positive ER $\beta$  mRNA predicted higher recurrence and death rates [48] and high-grade tumors [56]. ER $\beta$  mRNA was significantly upregulated in the TAM-resistant group as compared with the tamoxifensensitive group [52]. ER $\beta$  mRNA was higher in tumors in the TAM-resistant group and highly Ki-67-positive tumors than in those from the control group [53]. Higher ER $\beta 2$  mRNA

than ER $\beta$ 1 or ER $\beta$ 5 mRNA expression was correlated with the level of tumor inflammation and tumor grade [60]. ER $\beta$  exon 5 $\triangle$  mRNA levels were significantly increased in grade III tumors and in tumors of postmenopausal women [64]. An absolute and relative increase in ER $\beta$  mRNA levels in ER $\alpha$ -negative and PR-negative BCa suggested a possible involvement of upregulation of ER $\beta$  mRNA in the development of estrogen-independent tumors [75]. Kim et al. [80] demonstrated that ER $\beta$  mRNA expression according to branched-chain QuantiGene2.0 assay using FFPE was associated with worse DFS, as well as poorly differentiated, lymph node-positive, and PR-negative tumors; ER $\beta$  mRNA is, thus, considered an independent predictor of disease recurrence in ER $\alpha$ -positive BCa.

When ER $\beta$  protein and mRNA expression in BCa was investigated simultaneously, the levels of ER $\beta$  mRNA were not consistent with ER $\beta$  protein expression whether the studies were conducted using frozen breast cancer tissue [31,47,81] or archived FFPE breast cancer tissue [43,80]. The levels of ER $\beta$  mRNA expression correlated with ER $\beta$  protein levels in 34–54% of the cases [43,47], similar to our study. The clinical outcomes of ER $\beta$ mRNA or protein expression were not always consistent [43,47,63,80,81]. ER $\beta$  mRNA was associated with worse DFS, as well as poorly differentiated, lymph node-positive, and PR-negative tumors, in ER $\alpha$ -positive BCa, whereas ER $\beta$ 1 protein was associated with smaller tumors [80]. High ER $\beta$ 2 mRNA was associated with a favorable TAM response and improved survival in node-negative BCa, ER $\alpha$ -positive BCa, and the entire cohort of TAM -treated patients, whereas  $ER\beta 2$  protein levels were associated with better outcome only in ER $\alpha$ -positive BCa [43]. Oneille et al. [47] demonstrated that ER $\beta$ 1 protein and mRNA levels were inconsistent (p = 0.08). ER $\beta$ 1 mRNA (according to RT-PCR) showed no association with outcome, while  $ER\beta1$  protein expression showed a trend for a worse outcome in all cases, as well as in ER $\alpha$ -positive tamoxifen-treated cases. High ER $\beta$  total protein expression was associated with TAM-sensitive tumors, whereas ER $\beta$  1, 2, and/or 5 mRNA expression was not [81]. Such discrepant findings were reported by the same research group in two different studies; in the first study, they reported TAM resistance in tumors expressing high ER $\beta$  mRNA [52], while, in the second study, they demonstrated better DFS in patients on exemestane therapy with low  $ER\beta1$  protein expression, as well as better DFS in patients on TAM therapy in tumors with high ER $\beta$ 1 protein expression [39]. High mRNA and low protein levels may have been due to the fact that mRNA was analyzed in tissue homogenates containing other cell types, whereas IHC immunostaining results were evaluated only on epithelial cells. Furthermore, the different clinical outcomes observed in studies on ERB mRNA or protein expression may partly have been due to varied testing protocols for ER<sup>β</sup> mRNA expression (RT-PCR) or protein expression (IHC).

### 4.3. ER $\beta$ as a Potential Therapeutic Target in BCa

ERß expression has been shown to be associated with favorable or adverse clinical outcomes; hence, agonists or antagonists to ERβ or downstream targets have been suggested as potential therapeutic targets [17-19]. ER $\beta$  expression has been associated with good or poor responses to endocrine therapies. High ER<sup>β</sup>1 protein expression in patients with  $ER\alpha$ -positive or  $ER\alpha$ -negative BCa or TNBC tumors was predictive of a good response to TAM therapy [31,34,39,57,66,68]. ERβ2 mRNA expression was associated with a favorable TAM response and with significantly improved relapse-free survival (RFS) and OS [43], while ER<sub>β5</sub> mRNA expression was associated with improved RFS in a subset of patients receiving TAM [45]. High ER $\beta$ 1 nuclear expression in tumors in familial BCa was predictive of TAM therapy response [57], and it was a significant discriminating factor for DFS in node-negative luminal A type BCa, predicting the response to hormonal therapy [36]. High ERβ2 protein expression is associated with a favorable response [31]. High Ki-67 positivity (>15%) in ER $\beta$ -expressing cells with a high proliferation rate might render the cells more sensitive to TAM. In contrast, ER $\beta$  protein expression was indicative of a poor response or resistance to TAM therapy in patients with tumors expressing high levels of  $ER\beta1$  protein [47,55,74], tumors expressing high levels of  $ER\beta2$  protein [58], and tumors expressing high levels of ER $\beta$ 2 protein with low levels of PR in a neoadjuvant setting [59]. ER $\beta$  mRNA expression was significantly upregulated in the TAM-resistant group as compared with the TAM-sensitive group [52]. ER $\beta$ 1 protein expression was associated with a trend of worse RFS outcome in all cases, as well as in ER $\alpha$ -positive TAM-treated cases [47]. Furthermore, ER $\beta$ 2/ER $\beta$ 5 and ER $\beta$ 1 have exhibited sharply contrasting activities in TNBC cells. ER $\beta$ 2 and 5 exhibited pro-oncogenic activities in TNBC; thus, the development and clinical use of specific antagonists can be applied in TNBC treatment, while ER $\beta$ 1 activation might be used to limit the growth and spread, as well as to increase the drug sensitivity, of TNBC [82]. This implies that delineating the absolute amounts and relative ratios of the different ER $\beta$  isoforms might have prognostic and therapeutic relevance, and it could enable better selection of optimal approaches for treatment of this often aggressive form of BCa.

# 4.4. ERβ Studies in Breast Cancer Cell Lines (BCCs)

Studies on ER $\beta$  in BCCs derived from ER $\alpha$ -negative BCa or TNBC have also shown contrasting growth-inhibitory or -stimulatory effects [82–86].

# 4.5. Variation in ERβ mRNA and ERβ Protein Testing Protocols

The studies reviewed herein showed variable  $ER\beta$  protein or mRNA testing protocols. The validation methods of IHC involving primary and secondary antibodies, visualization systems, equipment, and controls were not consistent. Immunohistochemistry studies were conducted using a wide range of commercially available monoclonal and polyclonal ERß antibodies or in-house developed antibodies with or without in vivo validation. ER<sup>β</sup>2 isoform protein expression has been analyzed using clone 57/3 and other polyclonal ER $\beta$ 2 antibodies. ER $\beta$ 5 isoform protein expression has been investigated using clone 5/25 and other ER $\beta$ 5 antibodies. ER $\beta$ 1 isoform protein expression has been analyzed using different clones of ER $\beta$ 1 antibodies, both single and combined, such as PPG5/10, 14C8, PA313, polyclonal ER $\beta$ 1 (385p/AR385-10R), and in-house-raised antibodies. The 14C8, PA1-313, and PPG5/10 ER $\beta$ 1 antibodies reportedly yield high and specific detection levels of full-length ER $\beta$ , but they seemed to only produce reliable results in some studies [23,24]. Wu et al. [24] reported that the subcellular localization of ER $\beta$  as detected by the PPG5/10 and MC10 antibodies is variable. Increased levels of cytoplasmic staining as detected by the MC10 antibody are likely explained by the presence of ER $\beta$  variants 3–5, while the significance of cytoplasmic localization of ER $\beta$  antibodies may hinder an assessment of their sensitivity and specificity in the absence of thorough characterization. The differences in nuclear staining between PPG5/10 and MC10 antibodies was explained by the fact that the PPG5/10 antibody recognizes the C-terminal end, while the MC10 antibody recognizes the N-terminal region. Further research is needed to determine whether such staining patterns in BCa could be of predictive and/or prognostic value. The cutoff threshold to define ER $\beta$  staining positivity for ER $\beta$  protein expression varies significantly, with the detection rate of ER $\beta$  positivity ranging from 15.9% to 92.0%. Thus, the results of many studies on ER $\beta$  protein expression have varied [87].

Studies on ER $\beta$  mRNA studies have been conducted using fresh tumor tissues [47,48, 52–54,63,76], as well as archived formalin-fixed tissues [43], by RT-PCR or by branchedchain QuantiGene2.0 assay [80,88]. Total ER $\beta$ /ER $\beta$ 1 was most frequently analyzed, and more than one ER $\beta$  isoform mRNA was analyzed including ER $\beta$ 2, ER $\beta$ Δ5, and ER $\beta$ 5 mRNA. Although ER $\beta$  mRNA measurement can provide a more accurate determination of ER $\beta$  at the molecular level, ER $\beta$  mRNA analysis has drawbacks for routine application. The mRNA expression may not necessarily reflect protein expression, and it can be degraded to undetectable levels during processing or become contaminated with stromal cells on disaggregated tissue preparations. ER $\beta$  mRNA from other cell types might account for a positive RT-PCR but negative IHC.

# 5. Conclusions

Our study was a comprehensive, simultaneous investigation of the mRNA and protein expression of ER $\beta$ 1, ER $\beta$ 2, and ER $\beta$ 5 isoforms, in the entire cohort, as well as in various subtypes and subgroups of BCa. The main findings of our studies were that ER $\beta$  isoforms and their mRNA and protein expression differ and are associated with different clinical outcomes in subtypes and subgroups. ER $\beta$ 2 and ER $\beta$ 5 mRNA expression is predictive of poor outcomes in ER $\alpha$ -negative BCa and TNBC. Overall, ER $\beta$  isoform-expressing BCa cells are proliferating cells exhibiting high Ki-67 positivity. The findings in our study are consistent with some previous studies demonstrating adverse outcomes associated with high ER $\beta$  expression [41,48,54,55,58,61,65,69,72,74,77,80,82]. Our study was limited by its relatively small sample size in some subgroups, as well as a lack of endocrine therapy responses and the usage of commercial ER $\beta$  antibodies without in vivo validation for ER $\beta$  protein assays.

Our study and previous studies reviewed herein demonstrated that the mRNA or protein expression of different ER $\beta$  isoforms seemingly plays a significant role in favorable or adverse outcomes in BCa. The inconsistent clinical outcomes observed may be related to many factors, while they may also be partly related to the lack of a standardized testing protocol. Thus, standardizing ER $\beta$  mRNA or protein testing and evaluation protocols by setting a cutoff value of ER $\beta$  positivity may be needed for consistent and reproducible measurements of ER $\beta$  expression to investigate its clinical relevance in BCa, as seen for ER $\alpha$  [26,89,90]. Standardizing ER $\beta$  testing would facilitate its clinical application in BCa [17–19]. Further investigation of ER $\beta$  isoforms in a large cohort of BCa subgroups is warranted to explore the role of ER $\beta$  as a prognostic and predictive factor, and as a potential therapeutic target in BCa.

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