

Atherectomy-assisted versus percutaneous angioplasty interventions for treatment of symptomatic infra-inguinal peripheral arterial disease

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Abstract

Introduction: The role of atherectomy (ATHERO) for the treatment of symptomatic infra-inguinal arterial lesions remains controversial. We evaluated the effectiveness and safety of atherectomy-assisted endovascular interventions in comparison with percutaneous angioplasty (PTA).

Material and methods: A systematic search utilizing MEDLINE, EMBASE and the Cochrane Central Register of Controlled Trials was conducted for studies comparing ATHERO with PTA from February 1995 to May 2018. Only studies comparing ATHERO to PTA for symptomatic infra-inguinal disease were included. Random-effects meta-analysis was used to pool the data and endpoints across studies. Study endpoints included vessel dissection, distal embolization, residual stenosis (> 30%), vessel patency at 6 months, target lesion revascularization (TLR) at 12 months and major amputation rates at 1, 6, and 12 months.

Results: A total of 2923 patients were included from 8 studies. PTA was associated with higher vessel dissection (OR = 4.00, 95% CI: 1.15–13.86) and lower 12-month major amputation rates (OR = 0.73, 95% CI: 0.59–0.90). There was no significant difference between ATHERO and PTA groups in terms of distal embolization (OR = 0.45, 95% CI: 0.04–4.63), residual stenosis (OR = 1.28, 95% CI: 0.58–2.80), vessel patency at 6 months (OR = 1.27, 95% CI: 0.50–3.22), TLR at 12 months (OR = 1.07, 95% CI: 0.46–2.51), or limb amputation at 1 month (OR = 0.69, 95% CI: 0.44–1.07) or 6 months (OR = 1.54, 95% CI: 0.38–6.15).

Conclusions: In patients undergoing infra-inguinal endovascular interventions, PTA was associated with higher peri-procedural vessel dissection and lower 12-month major amputation rates. Both modalities were associated with similar distal embolization, residual stenosis, and 6-month vessel patency and amputation rates.

Key words: atherectomy, percutaneous angioplasty, infra-inguinal disease, peripheral interventions.

Introduction

The incidence of peripheral arterial disease (PAD) increases with age and the presence of risk factors [1]. Claudication and critical limb ischemia (CLI) are common presentations of these patients [2]. CLI accounts

for 70–80% of major lower limb amputations [3, 4]. The efficacy of balloon angioplasty (PTA) is well established in the treatment of focal vascular occlusive disease and has resulted in good limb salvage in patients with CLI. However, it is susceptible to acute vessel recoil and a high restenosis rate especially in cases of eccentric and severely calcified atherosclerotic lesions [5–8]. Additional techniques such as atherectomy and stenting are used to improve the efficacy of PTA mainly by lowering residual stenosis after angioplasty and improving long-term patency [9]. Plaque excision using AHERO devices offers the advantage of removing the obstructive plaques in heavily calcified vessels but its role and cost-effectiveness remain controversial [10, 11].

This analysis aimed to compare outcomes associated with AHERO versus PTA for the treatment of infra-inguinal PAD.

Material and methods

Medline, PubMed and the Cochrane Central Register of Controlled Trials were queried from Feb 1995 to May 2018. Only studies comparing AHERO with PTA were included.

Inclusion and exclusion criteria

Studies comparing AHERO versus PTA for symptomatic infra-inguinal PAD, double-armed,

and published in the English language were included irrespective of the date of publication. Non-infra-inguinal PAD, use of drug-coated balloons (DCB), unpublished data, non-English language articles, single-armed studies, review articles, commentaries, letters, case reports, animal and in-vitro studies were excluded.

The initial search identified (450) citations. Three hundred citations were excluded due to not meeting inclusion criteria or investigating a different outcome. The final search identified eight original papers that fulfilled the criteria for inclusion and exclusion. Figure 1 identifies the study selection process.

Device description

Chosen devices included a transcatheter extraction catheter (TEC), Excimer laser AHERO, Diamondback 360 orbital AHERO, SilverHawk Directional AHERO, and Simpson Directional AHERO (Table I). PTA was performed with appropriately sized balloons [12–15] and balloon inflation times ranged from 60 to 180 seconds in four studies [12, 13, 15, 16]. There were sparse data regarding the frequency of inflations.

Data extraction

Data elements were extracted from included studies by two independent reviewers (OA & TE)

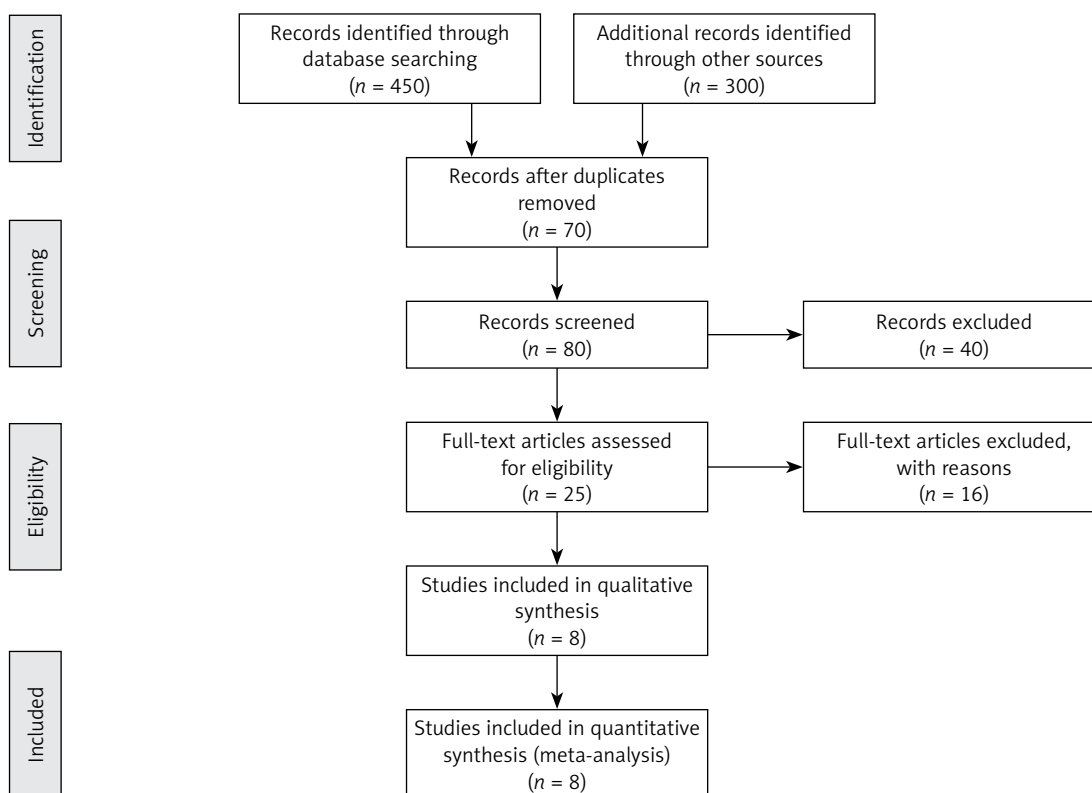


Figure 1. PRISMA Flow data chart

Table I. Details for the chosen devices

Study/year	Chosen device	Company	Mechanism of action
Nakamura/1995	Transcatheter extraction catheter (TEC)	Not reported	Excises and aspirates atheroma [10]
Shammas/2013	Diamondback 360 orbital atherectomy	Cardiovascular Systems, Inc., St. Paul, MN, USA [11, 13]	Eccentric diamond-coated crown on the end of a drive shaft powered by a pneumatic drive console
Ott/2017, Tan/2011, Shammas/2011	SilverHawk Directional atherectomy	ev3 Endovascular Inc [14], Covidien [15, 16], (ev3, Plymouth, Minnesota [17]), (ev3 Endovascular Inc Plymouth, Minn, and FoxHollow Technologies Inc., Redwood City, CA, USA [11])	Uses a cutting blade to shave and excise plaque
Vroegindeweyj/1995	Simpson Directional atherectomy	Devices for Vascular Intervention, Inc., Redwood City, CA [18]	

using a pre-specified datasheet. These included baseline demographics, lesion characteristics, study design, sample size, type of endovascular intervention, and endpoints of interest. One review author extracted the data from included studies when available and a second author verified the extracted data.

Study endpoints, device and balloon description

Study endpoints were classified into procedural and clinical endpoints. Procedural endpoints included vessel dissection, distal embolization, residual stenosis (> 30%), and vessel patency at 6 months. Clinical endpoints included target lesion revascularization (TLR) at 12 months, and major amputation rates at 1, 6 and 12 months. All reported amputations were major. Embolization was evaluated by debris captured in the filter when the distal protection device was used. Assessment of residual stenosis was made angiographically, by intravascular ultrasound (IVUS) or via quantitative vascular analysis. Dissections were assessed angiographically or by IVUS and were classified into small, large, type A through F or flow-limiting. The severity of calcification was evaluated fluoroscopically or scored as none to severe (Table II).

Risk of bias assessment

Methodological quality was defined as the control of bias assessed through the reported methods in each individual study using the Cochrane risk of bias tool to assess the quality of randomized trials [17]. The Newcastle–Ottawa Scale (NOS) was used to assess the quality of observational studies [18]. This method tests for several types of biases and classifies them into low, intermediate or high risk based on the authors' judgment. There was

a risk of inadequate randomization, lack of allocation concealment, lack of blinding and inadequate baseline characteristics matching for prospective studies. Attrition bias was intermediate in one study and low in the remaining four prospective studies (Table III). There was no evidence of high risk of inadequate representativeness or comparability of the cohorts. There was adequate ascertainment of exposure to the interventions of interest, absence of the outcomes at the beginning of the studies and an adequate follow-up period in all retrospective studies (Table IV). The funnel plot test showed no high risk of publication bias by showing symmetrical distribution of the studies (Figure 2).

Statistical analysis and data synthesis

From the abstracted data, we calculated the odds ratio (OR) using the inverse variance method for each study outcome to allow for the pooling of similar outcomes. The average effects for the outcomes and the 95% confidence intervals (CI) were obtained using a random-effects model, as described by DerSimonian [19].

To assess heterogeneity of the treatment effect among trials, we used the I^2 statistic. The I^2 statistic represents the proportion of heterogeneity of the treatment effect across trials that was not attributable to chance or random error. Hence, a value of 50% or higher reflects significant heterogeneity that is due to real differences in study populations, protocols, interventions, and outcomes [19]. The p -value threshold for statistical significance was set at 0.05 for effect sizes. Analyses were conducted using features in RevMan version 5.3.5 (The Nordic Cochrane Center, Copenhagen, Denmark). The study was performed in accordance with the recommendations set forth by the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) workgroup [20].

Table II. Details on the use of distal protection, residual stenosis, dissection, calcification, and patency assessment

Study/year	Use of distal protection	Assessment of residual stenosis	Dissections assessment	Types of dissections	Calcification assessment	Patency assessment
Nakamura/1995	NA	IVUS	Angiographically + IVUS	NA	Scored as none to severe	NA
Vroegindewei/1995	NA	Angiographically	NA	Used the term small and large for dissections	NA	Color-flow duplex surveillance
Tan/2011	NA	Angiographically	NA	NA	NA	Freedom from reintervention and changes in ABI
Gallagher/2011	Yes; at the discretion of the operating surgeon (~25% of atherectomy procedures)	Angiographically	NA	Flow limiting dissections	NA	Physical examinations and physical examinations and an ABI < 0.4
Shammas/2011	Yes; but not mandated by the protocol and was used more commonly in the atherectomy group	Angiographically	Angiographically	Included all types of dissection (A to F)	Excluded heavily calcified vessels as subjectively determined by the operator	NA
Shammas/2012	NA	Via quantitative vascular analysis	NA	Included all types of dissection (A to F)	Fluoroscopically visible calcium of more than or equal to 25% of the treated segment	NA
Reynolds/2013	NA	NA	NA	NA	NA	NA
Ott/2017	Yes; in the atherectomy group	Angiographically	Angiographically	Flow limiting dissections	Scored as none to severe	NA

Table III. Bias risk assessment of prospective studies

Study ID	Study Design	Adequate Randomization	Allocation concealment	Blinding	Baseline characteristics Balanced	Lost to follow-up %	Incomplete data (attrition bias)
Vroegindewei/1995	Prospective (randomized)	Yes	No	No	Yes	1.46	Intermediate risk
Nakamura/1995	Prospective (randomized)	Yes	No	No	Yes	0	Low risk
Shammas/2011	Prospective (randomized)	Yes	No	No	Yes	0	Low risk
Shammas/2012	Prospective (randomized)	Yes	No	No	Yes	0	Low risk
Ott/2017	Prospective (randomized)	Yes	No	No	Yes	22.47	Low risk

Table IV. Bias risk assessment of retrospective observational studies

Study ID	Study design	Selection			Outcome		
		Representativeness of exposed cohort	Comparability	Ascertainment of exposure	Demonstration that outcome of interest was not present at start of study	Assessment of outcome	Enough follow-up length
Tan/2011	Cohort	Truly representative	Single center	Secured records	Yes	Independent assessment	No (retrospective study)
Gallagher/2011	Cohort	Truly representative	Single center	Secured records	Yes	Independent assessment	No (retrospective study)
Reynold/2013	Cohort	Truly representative	Multicenter	Secured records	Yes	Independent assessment	No (retrospective study)

Methods for including zero events in both arms

In the case of zero events for an endpoint in both arms of an included study simultaneously, we used the continuity factor of 1 added to all arms to avoid computational errors. Studies without reported outcomes were not included in the analysis [21].

Results

A total of 2923 patients (mean: 70.0 years; 61.5% male) were included from 8 studies (5 randomized prospective, and 3 observational retrospective) comparing ATHERO with PTA in patients with symptomatic infra-inguinal PAD between February 1995 to May 2018, all published in peer-reviewed journals [12–16, 22–24]. Approximately 85% of patients had critical limb ischemia and 15% had claudication. Both groups were comparable in terms of gender and the presence of diabetes. Hypertension, however, was higher in the ATHERO group (69% vs. 54%) (Table V).

The ATHERO intervention group included 960 patients with 1115 lesions. ATHERO alone was performed on 38% of lesions. The rest included ATHERO + PTA, ATHERO + stenting, and ATHERO + PTA + stenting. The PTA group included 1963 patients with 2114 lesions. PTA alone was performed on 70% of the lesions and PTA + stenting on the remaining 30% (Table VI).

Four studies reported rates of distal embolization in relation to the total number of lesions and there was no significant difference between ATHERO and PTA groups (OR = 0.45 with 95% CI: 0.04–4.63, $p = 0.50$; Figure 3). Five studies reported residual stenosis rates in relation to the total number of lesions and there was no significant difference between the two groups (OR = 1.28 with

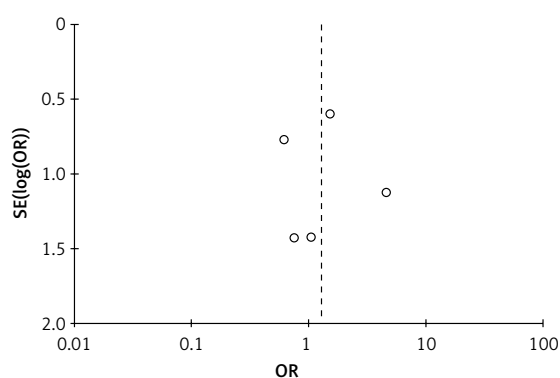


Figure 2. Funnel plot

95% CI: 0.58–2.80, $p = 0.54$, Figure 4). Only two studies reported vessel patency rates in relation to the total number of lesions at 6 months and there was no significant difference (OR = 1.27 with 95% CI: 0.50–3.22, $p = 0.61$, Figure 5). Three studies reported TLR rates in relation to the total number of patients at 12 months. Similarly, there was no significant difference between the two groups (OR = 1.07 with 95% CI: 0.46–2.51, $p = 0.87$, Figure 6).

Major limb amputation rates were evaluated at 1 month in 5 studies, at 6 months in 3 studies and at 12 months in 4 studies. There was no significant difference between ATHERO and PTA at 1 month (OR = 0.69 with 95% CI: 0.44–1.07, $p = 0.10$; Figure 7 A) and 6 months (OR = 1.54 with 95% CI: 0.38–6.15, $p = 0.54$, Figure 7 B). However, PTA was associated with a lower major amputation rate when compared with PTA at 12 months of follow-up (OR = 0.73 with 95% CI: 0.59–0.90, $p = 0.004$, Figure 7 C). Three studies reported dissection rates in relation to the total number of lesions and PTA was associated with a higher rate of vessel dissection when compared to PTA (OR = 4.00 with 95% CI: 1.15–13.86, $p = 0.03$, Figure 8).

Table V. Studies included, patients' characteristics and demographics

Variable	Study/year							Total/ percentage/ mean	
	Nakamura/ 1995	Vroegindeweij/ 1995	Tan/ 2011	Gallagher/ 2011	Shammas/ 2011	Shammas/ 2012	Reynolds/ 2013		Ott/ 2017
Site of stenosis/occlusion	SFA	Proximal and distal SFA and popliteal	Tibioperoneal trunk, anterior tibial artery, posterior tibial artery, and peroneal artery	SFA, Popliteal, Tibial, and multilevel	All infraininguinal lesions	Popliteal, tibial, peroneal arteries, and cross segments	Tibioperoneal	SFA	NA
Lesions length [mm]	194 ±117	0-50	28 ±25	142.4 ±107.9	81.9 ±88.8 PTA 96.4 ±79.8 ATHERO	69 PTA, 91 ATHERO	NA	65.9 ±46.8	NA
Both groups	39	73	35	481	58	50	2080	107	2923
Number of intervention/lesions	84	73	49	688	84	64	NA	107	NA
CLI %	0	0	29	304	12	50	2080	0	2475
	0%	0%	83%	63%	21%	100%	100%	0%	85%
Claudication %	39	73	6	177	46	0	0	107	448
	100%	100%	17%	37%	79%	0%	0%	100%	15%
TASC D %	NA	NA	NA	59%	27%	NA	NA	NA	NA
Rutherford 4-6	NA	NA	NA	NA	41%	100%	NA	12%	NA
Number of patients	26	38	20	194	29	25	573	55	960
Number of interventions/lesions	53	38	30	302	36	29	572	55	1115
Diabetics	8	4	16	121	11	18	306	16	500
	31%	11%	80%	62%	38%	72%	53%	29%	52%

Table V. Cont.

Variable	Study/year										Total/ percentage/ mean
	Nakamura/ 1995	Vroegindeweij/ 1995	Tan/ 2011	Gallagher/ 2011	Shammas/ 2011	Shammas/ 2012	Reynolds/ 2013	Ott/ 2017			
ATHERO Assisted	24	19	9	100	22	15	NA	31			NA
	92%	50%	45%	52%	76%	60%	NA	56%			NA
	8	8	19	161	20	21	385	44			666
	31%	21%	95%	83%	69%	84%	67%	80%			69%
	4	11	13	110	22	20	NA	50			NA
	15%	29%	65%	57%	76%	80%	NA	91%			NA
	67	64	73	70.1	67.4	70.7	77.8	68.8			69.85
	25	28	14	121	20	17	333	41			599
	96%	74%	70%	62%	69%	68%	58%	75%			62%
	13	35	15	287	29	25	1507	52			1963
PTA	31	35	19	386	48	35	1508	52			2114
	3	3	9	205	15	14	721	15			985
	23%	9%	60%	71%	52%	56%	48%	29%			50%
	11	20	9	178	16	15	NA	34			NA
	85%	57%	60%	62%	55%	60%	NA	65%			NA
	12	4	13	NA	26	21	938	40			1054
	92%	11%	87%	NA	90%	84%	62%	77%			54%
	4	8	11	184	21	18	NA	45			NA
	31%	23%	73%	64%	72%	72%	NA	87%			NA
	61	64	74	72.15	70.9	71.8	78.6	69.2			70.20625
13	27	12	203	17	15	868	37			1192	
100%	77%	80%	71%	59%	60%	58%	71%			61%	

Table VI. Performed interventions

Parameter	Study/year										Total/ percentage	
	Nakamura/ 1995	Vroegindewei/ 1995	Tan/ 2011	Gallagher/ 2011	Shammas/ 2011	Shammas/ 2012	Reynolds/ 2013	Ott/ 2017				
Both groups	Number of patients	39	73	35	481	58	50	2080	107			2923
	Number of intervention/ lesions	84	73	49	688	84	64	2080	107			3229
Atherectomy	Number of patients	26	38	20	194	29	25	573	55			960
	Number of interventions/ lesions	53	38	30	302	36	29	572	55			1115
Atherectomy Alone	Number of patients	0	38	26	302	18	0	0	40			424
	Number of interventions/ lesions	0%	100%	87%	100%	50%	0%	0%	73%			38%
Atherectomy + angioplasty	Number of patients	53	0	2	NA	0	27	572	0			NA
	Number of interventions/ lesions	100%	0%	7%	NA	0%	93%	100%	0%			NA
Atherectomy + stenting	Number of patients	0	0	2	NA	8	0	0	15			NA
	Number of interventions/ lesions	0%	0%	7%	NA	22%	0%	0%	27%			NA
Atherectomy + angioplasty + stenting	Number of patients	0	0	0	NA	10	2	NA	0			NA
	Number of interventions/ lesions	0%	0%	0%	NA	28%	7%	NA	0%			NA
PTA	Number of patients	13	35	15	287	29	25	1507	52			1963
	Number of interventions/ lesions	31	35	19	386	48	35	1508	52			2114
Angioplasty alone	Number of patients	31	35	16	178	24	30	1171	0			1485
	Number of interventions/ lesions	100%	100%	84%	46%	50%	86%	78%	0%			70%
Angioplasty + stenting	Number of patients	0	0	3	208	24	5	337	52			629
	Number of interventions/ lesions	0%	0%	16%	54%	50%	14%	22%	100%			30%

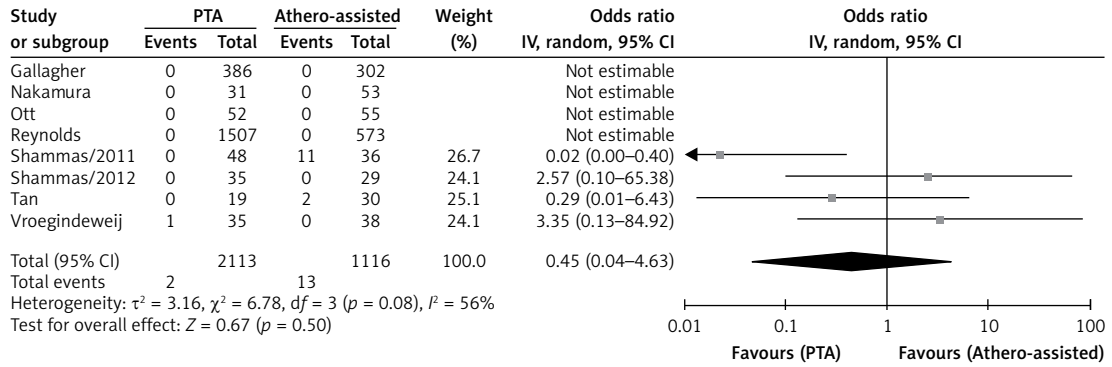


Figure 3. Embolization (per lesion)

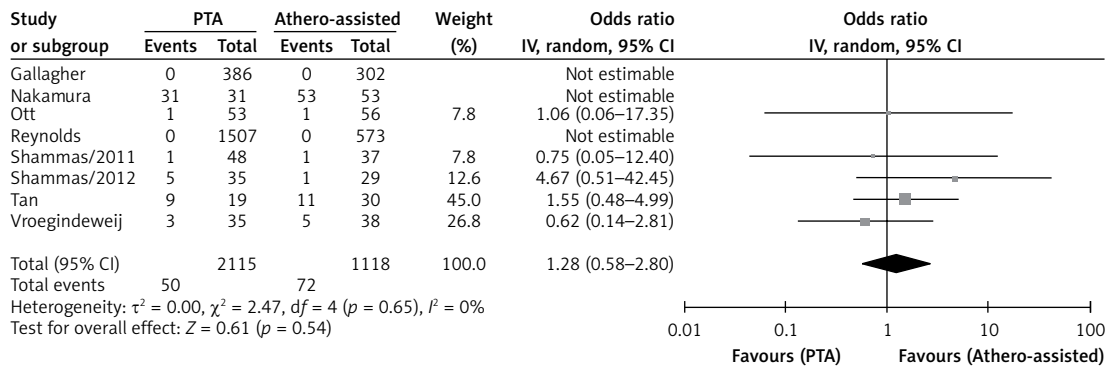


Figure 4. Residual stenosis > 30% (per lesion)

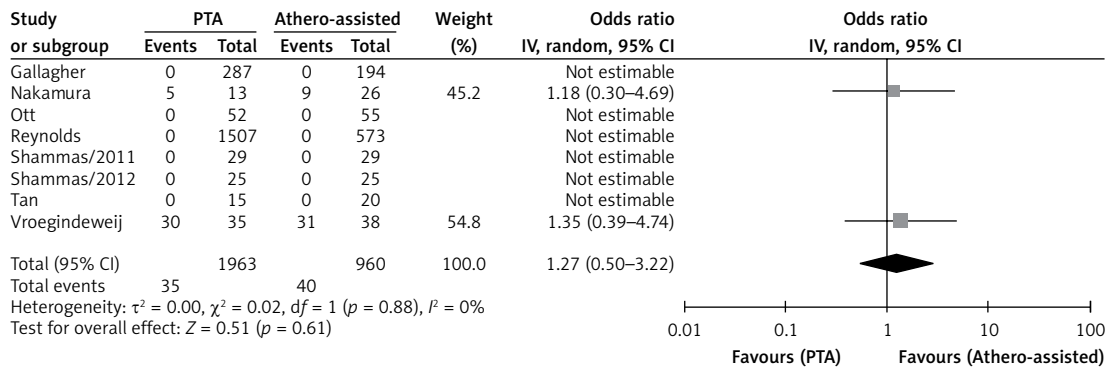


Figure 5. Six-month patency (per patient)

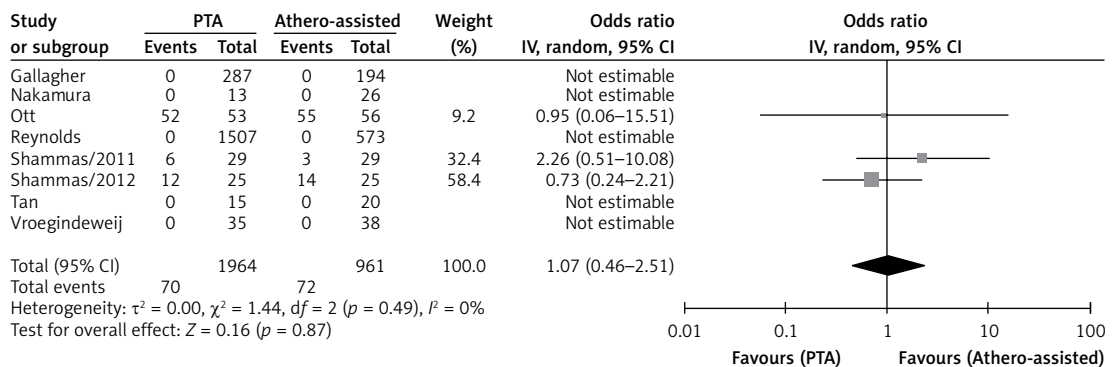


Figure 6. 12-month TLR (per patient)

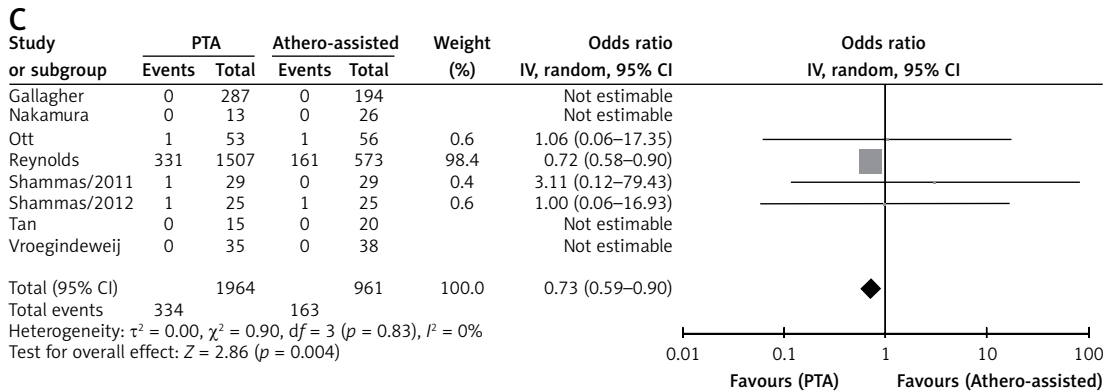
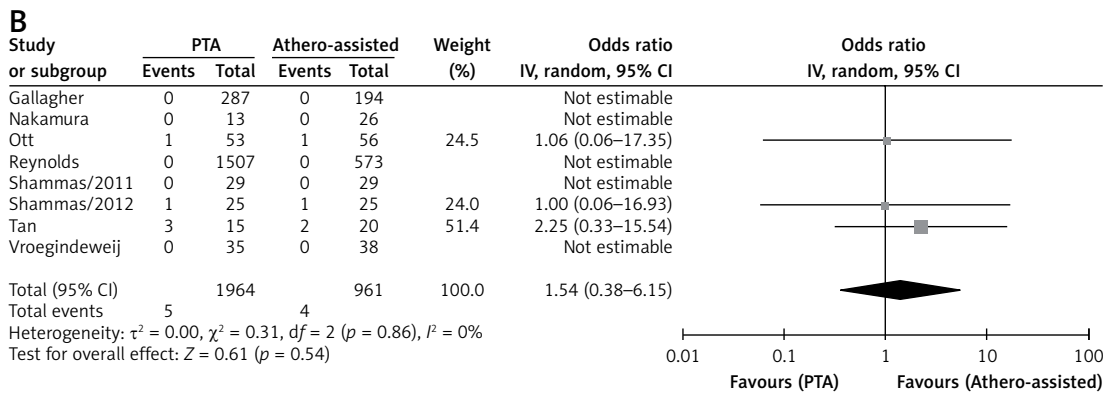
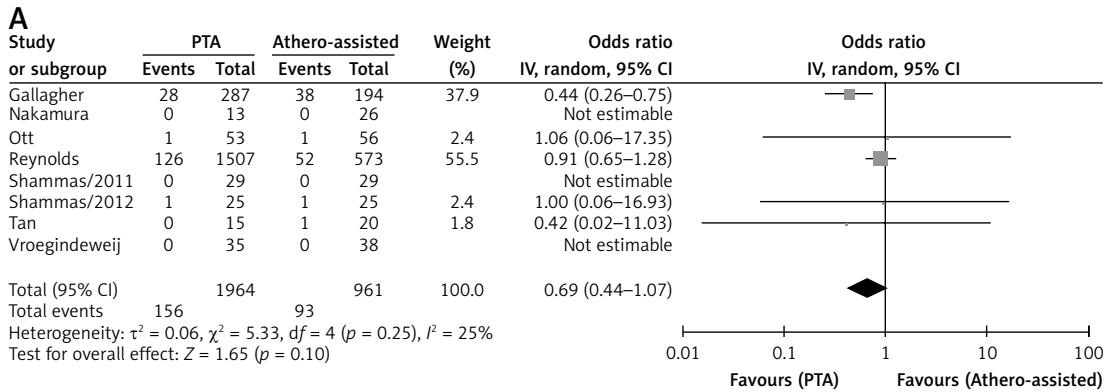


Figure 7. A – 1-month amputation (per patient). **B** – 6-month amputation (per patient). **C** – 12-month amputation (per patient)

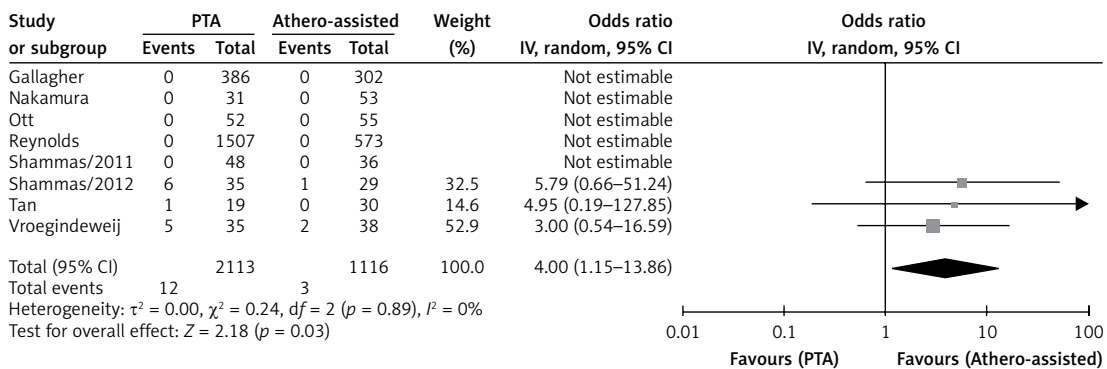


Figure 8. Dissection (per lesion)

Other analyses

We chose the random effects method as the primary analysis because of its conservative summary estimate and incorporation of between- and within-study variance. When the analysis was repeated using the fixed-effect method, the results remained unchanged.

Sensitivity analysis was performed to assess the effects of selected measures of study design/size on the pooled effect of ATHERO and PTA. The influence was estimated by performing a subgroup analysis and test for subgroup differences. The subgroup analysis was performed on the seven studies after excluding the largest study by Reynolds *et al.* [14–16, 22–24]. The results remained unchanged except for the statistically significantly lower 12-month amputation rates in the PTA group, which became insignificant.

Discussion

In this meta-analysis, we observed comparable endpoints when comparing ATHERO with PTA in terms of distal embolization, residual stenosis, vessel patency at 6 months, TLR at 12 months, and 1- and 6-month major amputation rates. Although ATHERO was associated with lower vessel dissection, it was associated with higher major amputation rates at 12 months.

Previous data reported an increased risk of distal embolization associated with ATHERO in general, and with PTA when used for long complex lesions [25–27]. This study showed a similar risk for both modalities, which suggests that dislodgment of debris is independent of the strategy used. Since embolic filter protection is mostly used with ATHERO, this could have underestimated the distal embolization in this group. The rate of embolization differs between devices. In one study that included 10,875 procedures, embolization was reported to be the highest with excisional atherectomy, followed by laser atherectomy, followed by orbital atherectomy (5.1% vs. 4.4% vs. 4.1%, respectively) then PTA [25].

Theoretically, ATHERO should be associated with lower residual stenosis; however, this study shows similar residual stenosis between ATHERO and PTA. This could be explained by the difference in efficacy between used ATHERO devices (residual stenosis of $\leq 30\%$ seen in 45–62% of patients with Directional atherectomy vs. 56% with Orbital and 0% with transluminal extraction (TEC) ATHERO device) [13–16, 24]. Further analysis was not possible with some studies either not indicating the type of device used or using different devices. Additionally, the created debris induced by PTA and/or ATHERO devices could have contributed to these findings.

Data regarding the primary patency rate at 6-month and 12-month target lesion revascular-

ization (TLR) were lacking in most of the studies. However, available data showed no significant difference between the two modalities. These results could be explained by the endothelial damage and the generalized endothelial dysfunction induced by these devices.

The severity of illness, the degree of amputation, gender, comorbidities and endothelial dysfunction all are factors to consider when evaluating amputation rates. This study indicates similar major amputation rates at 1 and 6 months. However, ATHERO was associated with a higher 12-month rate of major amputation than PTA. This result was mainly driven by the largest study by Reynolds *et al.* [22]. Subgroups analyses, after excluding the largest study, did not show higher amputation rates with ATHERO. That correlation could possibly be a statistical coincidence since 1- and 6-month major amputation rates were not different.

Vessel dissection rates were lower in the ATHERO group, which is consistent with current literature. This can be explained by improving vessel compliance with ATHERO when applied in calcified plaques or total occlusions leading to a lesser need for higher balloon inflation pressures [26, 27].

Drug-eluting stents (DES) have recently attracted interest as an alternative or adjunctive therapy to PTA. Paclitaxel-eluting stents showed superior clinical efficacy when compared with balloon angioplasty (BA) and standard stenting. Moreover, when comparing bare-metal stents (BMS) with sirolimus-eluting stents, the latter have shown significantly improved long-term event-free survival, amputation rates, and changes in Rutherford-Becker class after treatment of focal infra-popliteal lesions [28, 29].

This analysis has several limitations. It combines studies with variable designs and contains both retrospective and randomized prospective studies. Some of these studies were small and underpowered to assess clinical outcomes and they differed in the choice of primary endpoints. Furthermore, there were low events rates for some of the outcomes of interest. The presence of heterogeneity between studies is an important factor that should be acknowledged. Differences between baseline endovascular techniques between ATHERO and PTA groups, type of device used, lack of information regarding the severity of calcification in the treated vessel, and variability in operator experience and institution procedural volume are all contributors to the heterogeneity. Additionally, our results may not apply to newer atherectomy devices such as Pantheris, Phoenix, Rotarex, and JetStream, which were not included in our study.

In conclusion, this study shows that ATHERO was associated with lower vessel dissection. The 12-month major amputation rate was higher

in the ATHERO group, but this result was driven by only one retrospective study and was not observed in the others. Otherwise, both strategies were associated with comparable procedural and clinical endpoints. With controversial data regarding ATHERO use in infra-inguinal PAD management and the fact that they significantly increase the procedural cost, there is a need for large randomized clinical trials to answer these questions and further define the role of ATHERO.

Conflict of interest

The authors declare no conflict of interest.

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