

## Special Review Functional Assessment in Neurorehabilitation

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# Assessment of Lower Limb Motor Function, Ambulation, and Balance After Stroke

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## **HIGHLIGHTS**

- For motor function, we reviewed Motricity Index and Fugl-Meyer Assessment.
- For walking, we reviewed Functional Ambulation Category, 10-m, and 6-minute Walk Test.
- For balance, Berg Balance Scale, Timed Up and Go, Functional Reach Test, and Trunk Impairment Scale were reviewed.



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# Assessment of Lower Limb Motor Function, Ambulation, and Balance After Stroke

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## **ABSTRACT**

Restoration of ambulation is important for stroke patients. Valid and reliable methods are required for the assessment of lower limb functional status. We reviewed the psychometric properties of methods employed to assess lower extremity motor function, ambulation, and balance, with a focus on stroke patients. We define "motor function" as the ability to produce bodily movements when the brain, motor neurons, and muscles interact. "Ambulation" is defined as the ability to walk with or without a personal assistive device, and "balance" as the ability to maintain stability (without falling) during various physical activities. The Motricity Index and Fugl-Meyer Assessment of Lower Extremities assess the motor function of the lower limbs. The Functional Ambulation Category, 10-m Walk Test, and 6-minute Walk Test assess ambulation. The Berg Balance Scale, Timed Up and Go Test, Functional Reach Test, and Trunk Impairment Scale explore balance. All these tests exhibit high-level validity and have good inter-rater and test-retest reliabilities. However, only 3 methods have been formally translated into Korean. The methods discussed here can be used for standardized assessment, personalized goal setting, rehabilitation planning, and estimation of therapeutic efficacy.

**Keywords:** Stroke; Postural Balance; Ambulation; Physical Functional Performance; Lower Extremity; Balance; Motor Function; Assessment

## **INTRODUCTION**

Standing and ambulation employing the lower limbs are complex processes and are managed by high-level brain centers, whereas locomotor programming occurs at the level of the cerebral cortex in conjunction with the basal ganglia and cerebellum [1]. Motor impairments after stroke manifest as impaired muscle strength and tone as well as poor motor coordination, which also impair mobility. Following a stroke, spontaneous neurological recovery of motor function was found to plateau after progressive improvement in the first 3–6 months in previous longitudinal studies [2]. However, even after this period of active neuroplasticity, full restoration of motor function and ambulation remain key goals for many stroke patients. Rehabilitation seeks to restore locomotor function and help the patient to successfully return to society. To this end, it is necessary to identify and quantify the physical condition, set realistic goals, and plan a patient-tailored intervention strategy. Various

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#### **Conflict of Interest**

The corresponding author of this manuscript is an editor of *Brain & NeuroRehabilitation*. The corresponding author did not engage in any part of the review and decision-making process for this manuscript. The other authors have no potential conflicts of interest to disclose. evaluation tools have been developed to assess motor function, ambulation, and balance, but most are research-oriented and are difficult to apply in the clinic because of time, cost, and facility constraints. Furthermore, clinicians need reasonable clinical outcome measures that are both valid and reliable.

Here, we review the psychometric properties of tools assessing lower extremity motor function, ambulation, and balance, with a focus on stroke patients. We define "motor function" as the ability to produce bodily movements when the brain, motor neurons, and muscles interact. "Ambulation" is defined as the ability to walk with or without a personal assistive device, and "balance" as the ability to maintain stability (without falling) during various physical activities.

## **MOTOR FUNCTION ASSESSMENT METHODS**

#### **Motricity Index (MI)**

The MI was developed in 1980 by Demeurisse et al. [3] to evaluate stroke patients with hemiplegia. With this index, muscle strength is assessed by analyzing single essential movements of all joints of the upper and lower extremities. The MI can be used to rapidly assess impairment, but potential ceiling effects have been reported [4]. The test is a modification of the Medical Research Council (MRC) system in which the MI evaluates the strengths of 6 muscles. To evaluate the upper extremities, the pinch grip, elbow flexion, and shoulder abduction are tested; for the lower extremities, ankle dorsiflexion, knee extension, and hip flexion are explored. Muscle activity is divided into 6 grades (using the ordinal MRC scale) that are converted to weighted scores. For each joint, the score ranges from 0 (no movement) to 33 (normal power); the total score thus has a range of 0–99 for the combined extremities. The MI exhibits excellent inter-rater and test-retest reliabilities in patients with chronic stroke [5,6]. The test validity was high when the isometric strength of the same muscle was measured using a hand-held dynamometer [7], and the MI has been employed to measure responsiveness in research scenarios [8,9]. However, the minimal clinically important difference (MCID) has not yet been established.

#### Fugl-Meyer Assessment of Lower Extremity (FMA-LE)

The FMA was established in 1975 to evaluate the recovery of sensorimotor function in stroke patients [10]. The FMA-LE explores hip, knee, and ankle movements, and hierarchical recovery from reflexive to synergic and non-synergic movements based on the Brunnstrom recovery stage is recorded. The FMA-LE motor domain uses a 3-point ordinal scale as follows: 0, unable to perform; 1, partially performance; and 2, complete performance. The possible score ranges from 0 to 34. Patient coordination, sensory function, joint range of motion, and joint pain are also assessed. The FMA has been widely used to evaluate stroke patients, but potential floor and ceiling effects have been reported [11]. The inter-rater and test-retest reliabilities were excellent in early, subacute, and chronic stroke patients [11-13]. Several studies have evaluated the assessment validity and found that the scores were correlated with measures of the activities of daily living or walking performance [14,15]. Although evidence on criterion validity is lacking, the FMA-LE score at admission predicted mobility on discharge [16]. However, FMA-LE responsiveness was low to moderate during inpatient rehabilitation of stroke patients [11]. The MCID of the FMA-LE in terms of both the Functional Ambulation Category (FAC) and a global rating of patient-perceived change was 6 points in chronic stroke patients [17].



## **AMBULATION ASSESSMENT METHODS**

#### FAC

The FAC was developed by Holden et al. in 1984 [18]. The 6-point scale assesses how much human support is needed (regardless of whether a personal assistive device is used) when walking. The FAC can be quickly evaluated in clinical practice and has been used to assess patients suffering from stroke or other neurological conditions. A score of 0 indicates a non-functional ambulator, and scores of 1–3 indicate dependent ambulators. A score of 1 indicates the need for continuous manual contact, a score of 2 intermittent or continuous light touching, and a score of 3 supervision or verbal cueing. Those with scores of 4–5 are independent ambulators, with a score of 4 indicating independent ambulation only on level surfaces and a score of 5 indicating independent ambulation on any surface including stairs. Mehrholz et al. [19] reported excellent inter-rater and test-retest reliabilities in patients with subacute stroke. The FAC exhibited good construct validity compared to the Rivermead Mobility Index, the 6-minute Walk Test (6minWT), and gait parameters. An FAC score of 4 or higher after 4 weeks of rehabilitation predicted functional ambulation after 6 months. The MCID of the FAC is unknown.

#### The 10-m Walk Test (10mWT)

The 10mWT calculates walking speed by measuring the time taken to walk 10 m at a speed selected by the patient. The test is repeated 3 times (with 30-seccond gaps between each pair of tests) and an average calculated. A personal assistive device can be used; additional acceleration and deceleration phases are permitted and are not used when determining speed. Although the test is applicable only when a patient can in fact walk 10 m, the test rapidly evaluates ambulation and is widely used to assess stroke patients, those with brain injuries and neurodegenerative and musculoskeletal diseases, and healthy populations.

The 10mWT exhibits excellent inter-rater and test-retest reliabilities [20,21] and excellent correlations with the 6minWT results of stroke patients, confirming its construct validity [22]. As a comfortable walking speed is selected, the test reflects real-world ambulation, although responsiveness has been little studied. Assessment employing the modified Rankin Scale to evaluate stroke patients yielded an MCID of 0.16 m/s [23]. The cut-off values for ambulatory ability based the walking speed of stroke patients were < 0.4 m/s for household ambulators, 0.4–0.8 m/s for limited community ambulators, and > 0.8 m/s for community ambulators [24].

#### The 6minWT

The 6minWT evaluates submaximal aerobic capacity; the patient walks as far as possible on a flat 30-m-long corridor for 6 minutes at a self-paced speed. The principal outcome is the distance walked in meters. A personal assistive device or supplemental oxygen can be used during examination, and safety is ensured by continuous monitoring of oxyhemoglobin saturation, the heart rate, and the Borg Dyspnea Scale. The test is used principally to measure cardiopulmonary endurance and treatment outcomes in patients with cardiopulmonary diseases but has also been employed to measure gait performance in patients with various neurological diseases including stroke and healthy populations. However, like the 10mWT, the patient must be able to walk independently without the risk of falling. The test evidenced excellent test-retest reliability in patients with acute-to-chronic stroke [20,22] and excellent inter-rater reliability in patients with acute-to-subacute stroke [25]. The construct validity was excellent in comparison with other gait performance tests



(the 10mWT, Timed Up and Go [TUG] Test) in patients with chronic stroke [20]. However, the distance traveled seems to be not or weakly correlated with the maximum oxygen consumption of stroke patients, such that the distance traveled appears to (principally) reflect balance [26,27]. Therefore, caution is needed when interpreting the 6minWT distance as indicative of aerobic capacity. Responsiveness was associated with gait training and inpatient rehabilitation of patients with subacute-to-chronic stroke [25,28]. The MCID of the 6minWT in stroke patients is unknown.

## **BALANCE ASSESMENT METHODS**

### The Berg Balance Scale (BBS)

The BBS was developed by Katherine Berg in 1989 after a 3-step survey of 32 healthcare professionals to objectively measure the balance and fall risk of community-dwelling elderly people. The BBS explores 14 movements of daily life on a 5-point ordinal scale (range 0–4). A score of 0 indicates the lowest functional level and a score of 4 normal performance; the total score range is 0–56. In a study on community-dwelling elderly people, those with BBS scores greater than 45 were at increased risk of falls [29]. The BBS is widely used to assess stroke patients, and its inter-rater and test-retest reliabilities were excellent in patients with acute and chronic stroke [30-32]. Good-to-excellent construct validity was apparent on comparison with other balance measures including the Postural Assessment Scale for Stroke and the Functional Reach Test (FRT) [33,34]. Mao et al. [33] reported that the BBS exhibited excellent predictive validity compared to the walking subscale of the Motor Assessment Scale and was moderately-to-highly responsive to changes in the initial 14 to 90 days after stroke onset. In a recent study, the MCID of the BBS correlated with an increase of 1 point or more in the FAC in patients with early subacute stroke, but with increases of 5 points in those requiring walking assistance. Further evaluation is required [35].

#### **TUG Test**

The TUG Test is a modification of the "Get Up and Go" test of Mathias et al. [36] that measures balance and functional ambulation in the frail elderly. A chair with a back and an arm rest is placed at the end of a 3-m walkway. The examiner measures the time (in seconds) that elapses when a subject gets up from the chair, walks 3 m, turns, walks back to the chair, and sits down, with or without a personal assistive device. The TUG Test is widely used to evaluate the elderly, stroke patients, and patients with Parkinson's disease, traumatic brain injury, and several musculoskeletal diseases. The TUG Test exhibited excellent inter-rater and test-retest reliabilities in patients with acute-to-chronic stroke [20,37]. Good-to-excellent construct validity was apparent in patients with subacute-to-chronic stroke compared to other measures including the BBS, 10mWT, and 6minWT [20,38,39]. In stroke patients, the difference in TUG Test can predict fall risk [40-42]. In acute stroke patients, one study observed good responsiveness in patients with moderate-to-fast walking speeds [43]. The MCID of the TUG Test in stroke patients is unknown.

#### FRT

The FRT was developed in 1990 by Duncan et al. [44] to simply measure dynamic balance in the frail elderly. The patient stands perpendicular to a wall with the shoulders adjacent to the wall and raises the hands forward through 90 degrees. The examiner measures the maximal distance to which the subject can extend the hands forward without lifting a foot.



The FRT has been widely used to predict fall risk, principally in the frail elderly [45]. Katz-Leurer et al. [46] developed a modified FRT in which patients with impaired balance (such as stroke patients) sit and reported a significant (but moderate) correlation to results from a computerized force platform. The FRT is simple in terms of time, space, and cost, and reliably evaluates ambulatory ability and fall risk. The modified FRT exhibited excellent testretest reliability and responsiveness in patients with subacute stroke. A moderate-to-good correlation between the FRT and BBS was reported in stroke patients [47]. The MCID of the FRT remains unknown.

#### Trunk Impairment Scale (TIS)

The TIS was developed in 2004 by Verheyden et al. [48] to measure motor impairment of the trunk after stroke. It has 3 subscales with 3–10 items in each subscale to measure static and dynamic balance and trunk coordination in a sitting position; the total score range is 0–23. Patients were instructed to cross legs, lean against a table, lift the pelvis, and rotate the trunk without losing balance in sitting position. The TIS showed excellent test-retest and inter-rater reliability in subacute to chronic stroke patients [48]. Compared with Barthel Index, excellent construct validity was established [48]. Concurrent validity compared with the Trunk Control Test and Postural Assessment Scale was also excellent [48,49]. Because trunk stability plays an important role in performing activities of daily living, TIS predict Functional Independent Measure score at discharge and Barthel Index after 6 months in acute stroke patients [49,50]. The responsiveness and MCID of TIS is not well established.

### CONCLUSION

**Table 1** summarizes the characteristics and original languages of all methods, and states whether Korean versions are available. Stroke patients exhibit varying extents of motor function, ambulation, and balance impairment. Although various evaluation tools have been developed for such patient, standardized methods are still needed to quantify functional disability before and after treatment. It would then be possible to tailor treatment for each patient. Further research is needed to establish a scoring system that reflects the real physical disabilities of stroke patients.

Measure	Original language	Korean translation?	Inter-rater reliability	Test-retest reliability	Validity
MI	English	х	0	0	0
FMA-LE	English	O*	0	0	0
FAC	English	Х	0	0	0
10mWT	English	Х	0	0	0
6minWT	English	х	0	0	0
BBS	English	O <sup>+</sup>	0	0	0
TUG Test	English	Х	0	0	0
FRT	English	х	0	0	0
TIS	English	O‡	0	0	0

Table 1. Psychometric properties and Korean translation status of measures of lower extremity motor function, walking, and balance

MI, Motricity Index; FMA-LE, Fugl-Meyer Assessment of Lower Extremity; FAC, Functional Ambulation Category; 10mWT, 10-m Walk Test; 6minWT, 6-minute Walk Test; BBS, Berg Balance Scale; TUG, Timed Up and Go; FRT, Functional Reach Test; TIS, Trunk Impairment Scale.

\*Translation into Korean was done by Kim et al. [51].

<sup>+</sup>Translation into Korean was done by Jung et al. [52].

\*Translation into Korean was done by Seo et al. [53].



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