


Circulating Tumor Cells Counting Act as a Potential Prognostic Factor in Cervical Cancer

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Abstract

Background: Circulating tumor cells (CTCs) hold huge potential for both clinical applications and basic research into the management of cancer, but the relationship between CTC count and cervical cancer prognosis remains unclear. Therefore, research on this topic is urgently required. **Objective:** This study investigated whether CTCs were detectable in patients with cervical cancer and whether CTC count was an indicator of prognosis. **Methods:** We enrolled 107 patients with pathologically confirmed cervical cancer. CTCs were detected after radiotherapy or concurrent cisplatin-containing chemotherapy in all patients. We evaluated all medical records and imaging data as well as follow-up information to calculate progression-free survival (PFS). PFS was defined as the time until first diagnosis of tumor progression or death. We also analyzed the relationship between CTC count and patient age, disease stage, histological differentiation, tumor size, and pathological type. **Results:** CTCs were identified in 86 of 107 patients (80%), and the CTC count ranged from 0 to 27 cells in 3.2 mL blood. The median progression-free survival (PFS) was 43.1 months. Patients in which CTCs were detected had a significantly shorter PFS than CTC-negative patients ($P = 0.018$). Multivariate analysis indicated that CTC count was an independent negative prognostic factor for survival. However, no correlation was observed between CTC count and patient age, disease stage, histological differentiation, tumor size, and pathological type. **Conclusion:** CTC count is an independent negative prognostic factor for cervical cancer.

Keywords

circulating tumor cells, cervical cancer, progression free survival, prognostic factor, NEImFISH

Abbreviations

ACD, acid-citrate-dextrose; CKs, cytokeratins; CTCs, circulating tumor cells; EBRT, external beam radiotherapy; EMT, epithelial-mesenchymal transition; imFISH, immune fluorescence in situ hybridization; NEImFISH, negative enrichment and immune fluorescence in situ hybridization of CTCs; PFS, progression-free survival.

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Introduction

Cervical cancer is the fourth most common cancer and the fourth leading cause of cancer-related death among women, with an estimated 570,000 cases and 311,000 deaths worldwide in 2018.¹ However, it is the most common cancer affecting women in 38 countries, including many countries in sub-Saharan Africa.² The 1- and 5-year net survival rates were 83.4% and 63.5%, respectively, for 2001–2003 and 82.9% and 62.8% in 2004–2009, respectively.³ This relatively high survival rate among all kinds of malignant cancers was due to the popularization of screening, HPV vaccine, slow progression of the disease as well as the pattern of cervical cancer metastasis.^{4,5} Local invasion is the earliest and most common route of

cervical cancer extension, followed by metastasis via the lymphatic and blood systems. During tumor metastasis, some cancer cells detach from the primary tumor or metastatic solid tumors to reach the blood or lymphatic system, and become circulating tumor cells (CTCs), which may specifically target

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distant organs and contribute to secondary tumor development.⁶⁻⁹ The presence of CTCs may also be a reflection of the metastatic potential of the tumor, and therefore may be related to survival.^{10,11} CTCs can predict the response to treatment and clarify prognosis in several tumors such as lung cancer, colorectal cancer, breast cancer, and esophageal cancer.¹²⁻¹⁵ However, there are limited studies on the significance of CTCs in patients with cervical cancer. The aim of the present study was to evaluate the prognostic relevance of CTCs in patients with cervical cancer. Furthermore, we also studied the relationship between the number of CTCs and the demographics and clinical characteristics of patients with cervical cancer.

Method

Study Design

Our study was based on data from patients treated for cervical cancer at Zhujiang Hospital in 2013 and 2016. A total of 107 patients with pathologically confirmed cervical cancer were enrolled in this retrospective study. Records for each patient included name, personal ID number, age, FIGO stage, date and method of treatment, tumor grade, tumor size, and pathological diagnosis. The patients in our study included those with FIGO stages I, II, III, and IV (limited to stage IVA without distant metastatic tumor) cancer. All treatment plans followed the NCCN guidelines.¹⁶ The primary treatment plans of patients with stage I and stage IIA cancer included radical hysterectomy, and patients with positive pelvic nodes and/or positive surgical margins and/or positive parametrium demonstrated by postoperative pathology were given adjuvant pelvic EBRT (external beam radiotherapy) and concurrent cisplatin-containing chemotherapy. The primary treatment plans of patients with stage IIB, IIIA, IIIB, and IVA cancer included pelvic EBRT + concurrent cisplatin-containing chemotherapy + brachytherapy. Blood samples were collected for CTC detection within 30 days of the end of the primary treatment. All patients underwent a pelvic and abdominal CT/MRI scan before treatment and per treatment course to assess whether the tumor had progressed. We evaluated all medical records and imaging data as well as follow-up information to calculate progression-free survival (PFS). PFS was defined as the time to first pathological diagnosis of tumor progression or death. Patient characteristics are summarized in Table 1. All medical examinations and therapies were carried out with patients' written or verbal consent.

Negative Enrichment and Immune Fluorescence In Situ Hybridization of CTCs

Peripheral blood (3.2 mL) was drawn into an ACD (acid-citrate-dextrose) anti-coagulant tube (MedRay, Shenzhen, China). The volume of the tube was 5 mL, containing 0.8 mL of anticoagulant. After the blood was collected, the mixture was inverted and mixed 8 times, before storing at room temperature (15°C–30°C). All blood samples were processed

Table 1. Patient Demographics and Clinical Characteristics and Their Relationship With CTCs.

Characteristics	<i>n</i>	Proportion (%)	Positive/negative	Positive rate (%)	<i>X</i> ²	<i>P</i>
Age					0.005	0.946
≥50	72	65	58/14	81.1		
<50	35	35	28/7	82.5		
FIGO stage					1.778	0.411
I	17	15	14/3	82.4		
II	47	45	35/12	76.5		
III/IV	43	40	37/6	87.0		
Treatment					3.448	0.063
Surgery	33	30	23/10	70.6		
Chemoradiotherapy	74	70	63/11	86.3		
Pathological type					0.135	0.731
Squamous cell carcinoma	100	94	80/20	81.3		
Adenocarcinoma	7	6	6/1	85.7		
Histological differentiation					5.444	0.142
Well differentiated	8	9	8/0	100		
Moderately differentiated	55	51	41/14	75.9		
Poorly or Undifferentiated	20	19	15/5	77.3		
Unknown (X)	24	21	22/2	91.7		
Tumor Size					2.124	0.145
≤ 4 cm	51	47	38/13	75.9		
> 4 cm	56	53	48/8	86.7		

within 24 h after collection. To avoid bias, all blood sample collection, enrichment, and analysis were performed blind by different people. The strategy of enrichment for cervical cancer CTCs was similar to that of a previous study.¹⁷ Peripheral blood samples were processed using the Human Blood Cell Deletion Kit (Cytel). First, red blood cell lysis was performed. Then, the residue cell pellet was suspended and subsequently incubated with anti-CD45 monoclonal antibody-coated magnetic beads for 20–30 min, followed by the separation of magnetic beads using a magnetic stand (Promega, Madison, WI). The supernatant was subsequently subjected to identification.

The identification of enriched cervical cancer CTCs was performed by immune fluorescence in situ hybridization (imFISH), which combined FISH with chromosome 8 (orange) centromere probes (Abbott Molecular Diagnostics, Des Plaines, IL, USA) and anti-CD45 monoclonal antibody (Red, Cytel).¹⁸ In brief, the probe CEP8 and specimen were hybridized at 37°C for 20–90 min in a hybridizer (DAKO). Subsequently, they were washed in 50% formamide at 43°C for 15 min, and then in a gradient alcohol. Finally, the specimens were incubated with Alexa Flour 594 (Invitrogen) conjugated anti-human CD45 at room temperature for 1 h. Afterward, they were washed again with 0.2% BSA. Finally, the specimens were covered with DAPI containing Vectashield mounting medium. The area of the fixed sample was observed entirely along the “S” track with a microscope (Nikon).

Positive CTCs were defined as hyperdiploid DAPI+/CEP8+ /CD45-. CEP8+ was defined as more than 2 CEP8 signals in each nucleus, and the corresponding cells were identified as hyperdiploid cells. The distance between 2 signals

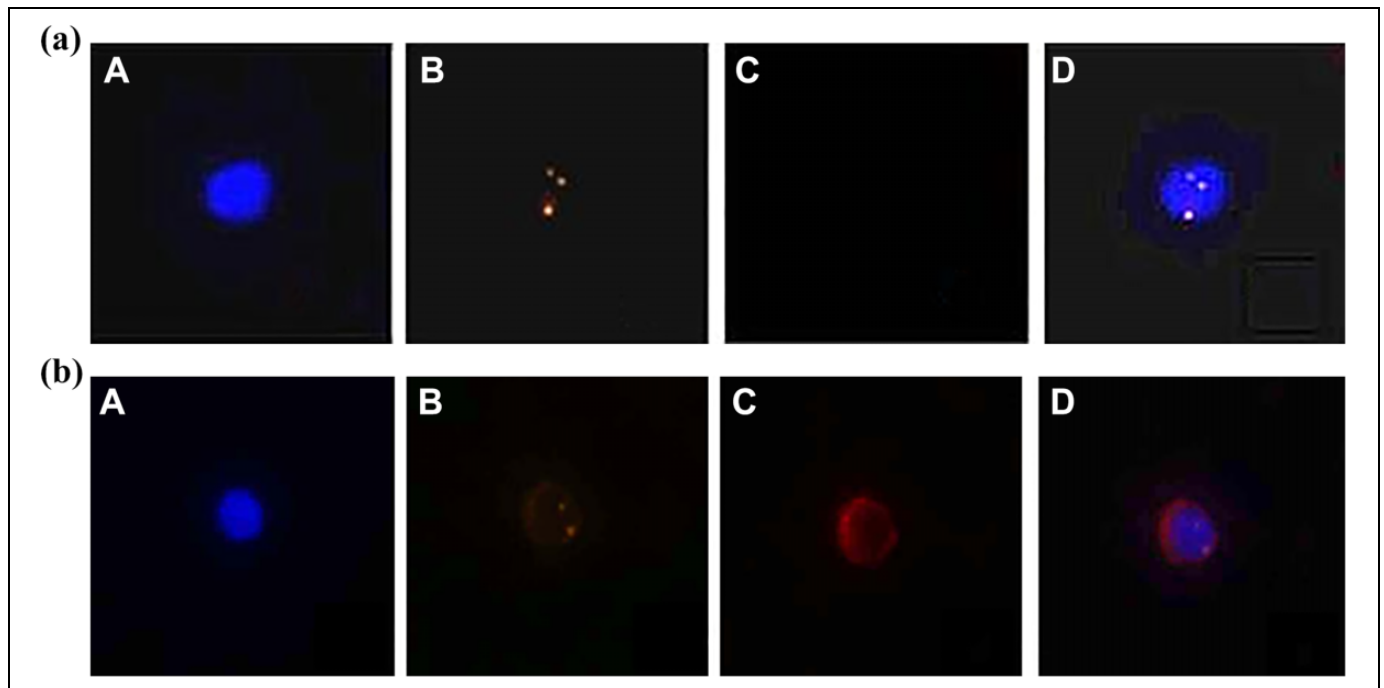


Figure 1. The microscopic identification results of enriched cervical cancer CTCs and white blood cells (WBCs) performed by immune fluorescence in situ hybridization. A: DAPI+, blue; B, CEP8+, orange; C, CD45+, red; D, merge (CEP8 signal point ≥ 3 , count as positive; CEP8 signal point ≤ 2 , count as negative); (1a) Identification of CTCs: DAPI+ / CEP8+ / CD45-. (1b) Identification of WBCs: DAPI+ / CEP8- / CD45+.

with less than 1 signal diameter was considered a split and enumerated as 1 signal. Aggregating, overlapping, or smearing cells were excluded. According to the manufacturer's instructions, less than 2% of cells without a CEP8 signal are considered to have acceptable hybridization efficiency (Figure 1).

Statistical Analysis

CTC count was correlated to several clinical factors, including the FIGO stage, Pathological type, tumor size, and distant metastasis. Chi-square tests were used to evaluate the relationship between CTC detection and clinical factors. OS is difficult to follow up because of the typically long survival time in cervical cancer, so we investigated the relationship between the number of CTCs and PFS. We performed a correlation analysis to explore the relationship between the number of CTCs and PFS. Survival analysis was performed using the Kaplan–Meier method to analyze the correlation of CTCs count 1, 2, 3 and PFS. The log-rank test was used to statistically compare the curves at the end of the primary treatment. To determine the most appropriate CTC cutoff value, the CTC counts of 1, 2, and 3 were tested for correlation with PFS by the Kaplan–Meier survival analysis, and the most statistically significant cutoff value was 1 based on these results. CTC count and clinical factors were subjected to univariate Cox proportional hazards regression analysis to determine if they were correlated with PFS. Only the clinical factors that were significantly associated with PFS by univariate analysis were included in the multivariate Cox regression analyses. Statistical

analyses were performed using SPSS for Windows (SPSS version 22, SPSS Inc., Chicago, IL) and GraphPad Prism (GraphPad Software, San Diego, CA). P-values of ≤ 0.05 were considered significant.

Results

Patient Characteristics

A total of 107 patients with cervical cancer from Zhujiang Hospital were enrolled in this study from July 2012 to May 2016. At the time of these data analyses, the disease progressed, or resulted in death, in 30 patients. The median age of the patients was 54 (range, 27–78) years; the median follow-up was 25.22 months (range, 12–57). The baseline characteristics of the 107 patients are listed in Table 1.

Relationships Between CTC Count, Patient Demographics, and Clinical Characteristics

CTC count was tested after completing therapy for all 107 patients. The CTC count ranged from 0 to 27 cells/3.2 ml (mean \pm standard deviation, 3.39 ± 0.45). About 80.4% (86/107) of patients had a CTC count of ≥ 1 cells/3.2 ml among them. There were no significant differences in circulating tumor cell positive rates in patients with different demographics and clinical characteristics (Table 1). We further analyzed the relationship between CTC count (0 and ≥ 1) and

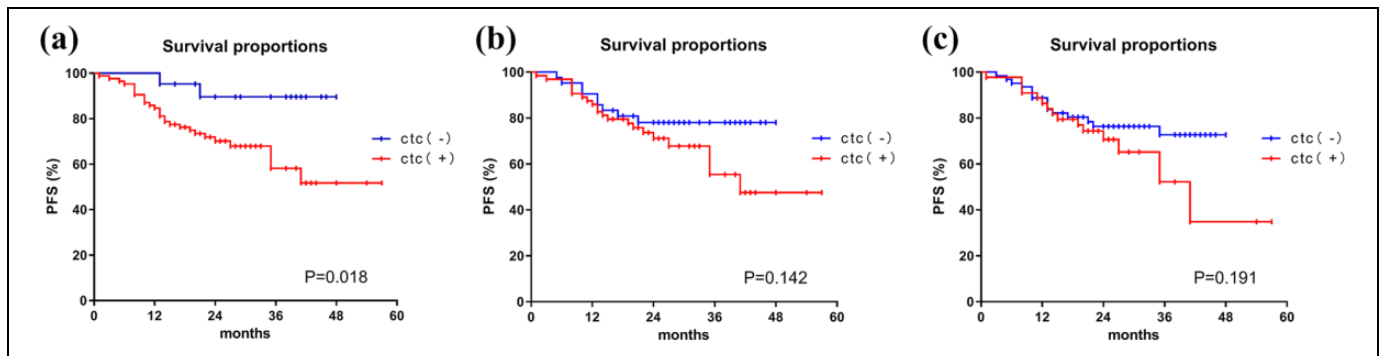


Figure 2. Kaplan–Meier curves for PFS in 107 cervical cancer patients with different CUT-OFF values of circulating tumor cells. (2a) Kaplan–Meier curve for PFS in patients with 0 and ≥ 1 circulating tumor cell in 3.2 ml of blood after the primary treatment. (2b) Kaplan–Meier curve for PFS in patients with < 2 and ≥ 2 circulating tumor cells (CUT-OFF value = 2) in 3.2 ml of blood after the primary treatment. (2c) Kaplan–Meier curve for PFS in patients with < 3 and ≥ 3 circulating tumor cells (CUT-OFF value = 3) in 3.2 ml of blood after the primary treatment.

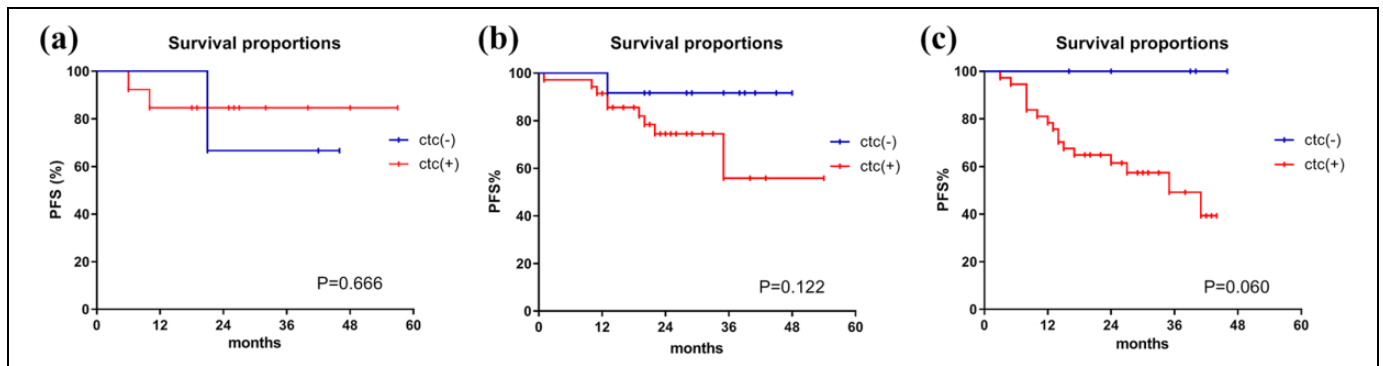


Figure 3. Kaplan–Meier curves for PFS of patients with detected CTCs or not in FIGO stage I, stage II, and stage III/IV. (3a) Kaplan–Meier curve for PFS of the patients with detected CTCs or not in FIGO stage I; (3b) Kaplan–Meier curve for PFS of the patients with detected CTCs or not in FIGO stage II; (3c) Kaplan–Meier curve for PFS of the patients with detected CTCs or not in FIGO stage III/IV.

tumor size with respect to T-descriptor. We found no difference in CTC count or tumor size.

Table 1. Relationship of CTCs with patient demographics and clinical characteristics: no significant relationship between CTC count and patient age, FIGO stage, treatment, pathological type, histological differentiation, or tumor size was seen.

Relationship Between CTC Count and PFS

All patients were followed for at least 12 months, and the longest case survived for 57 months. The median follow-up period was 25.22 months (range, 12–57 months). The average PFS was 43.1 months (95% CI: 38.9–47.3 months). We defined the cutoff value as 1 cell/3.2 ml. We defined ≥ 1 CTCs as “positive” and < 1 as “negative.” Patients who were CTC-positive had a significantly shorter PFS (median PFS, 39.8 months; 95% CI: 34.6–45.0 months) compared with patients who were CTC-negative (44.8 months; 95% CI: 40.6–49.0 months) ($P = 0.018$) (Figure 2A). The PFS of the patients with detected CTCs was not statistically different at different FIGO stages (Figure 3). However, due to the different times of patient enrollment and follow-up time, the survival results may not be

accurate. We set 1 year as the minimum follow-up time to verify our results. Although there was no significant difference in the 1-year PFS between the 2 groups ($P = 0.062$), the survival curve had already diverged (Figure 4A). We excluded 25 patients whose follow-up time was less than 24 months and calculated the 2-year PFS of the remaining 82 patients. We discovered that patients with a CTC count $\geq 1/3.2$ ml had a shorter PFS (median PFS, 19.4 months; 95% CI: 17.7–21.1 months) than patients CTC-negative (23.2 months; 95% CI: 21.9–24.4 months) ($P = 0.047$) in 2-year PFS (Figure 4B).

In the univariate Cox regression analyses, the number of CTCs, Pathological type, and tumor size were significantly associated with PFS (Table 2). We included factors with a P value < 0.1 from the univariate analysis into the multivariate analysis. In the multivariate Cox proportional hazards regression analysis, the presence of CTCs significantly predicted reduced PFS. (HR, 4.762; 95% CI: 1.127–20.117; $P = 0.034$) (Table 2).

Table 2. Univariate and multivariate Cox proportional hazards regression analysis for prediction of PFS: CTC count is a strong predictor of PFS in both univariate and multivariate Cox proportional hazards regression analyses.

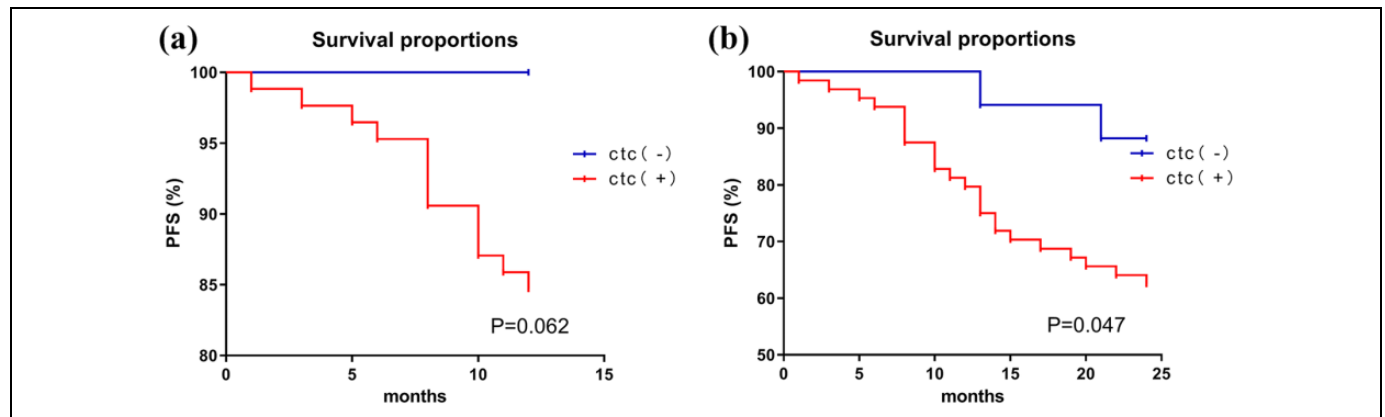


Figure 4. Kaplan–Meier curve for 1-year PFS in 107 and 82 patients with CTC (-) and CTC (+) in 3.2 mL of blood after the primary treatment. (4a) Kaplan–Meier curve for 1-year PFS in 107 patients with CTC (-) and CTC (+) in 3.2 mL of blood after the primary treatment; (4b) Kaplan–Meier curve for 2-year PFS in 82 patients with CTC (-) and CTC (+) in 3.2 mL of blood after the primary treatment.

Table 2. Univariate and Multivariate Cox Proportional Hazards Regression of Prediction of PFS.

Parameter	At-risk group		P	PFS risk	
	Positive	Negative		HR	95%CI
Statistically significant factors in univariate analysis					
Age	≥50	<50	0.276	0.669	0.325-1.379
FIGO stage	III/IV	I/II	0.073	1.908	0.941-3.873
Treatment	Surgery	Chemoradiotherapy	0.605	1.237	0.533-2.767
Tumor size	>4cm	≤4cm	0.029	2.372	1.092-5.156
Pathological type	Adenocarcinoma	SCC	0.021	3.509	1.209-10.186
CTC count	≥1	<1	0.030	4.931	1.166-20.848
Multivariate Cox proportional hazards regression analysis					
Pathological type	Adenocarcinoma	SCC	0.029	3.271	1.127-9.498
CTC count	≥1	<1	0.034	4.762	1.127-20.117

Note: CTCs were collected in 3.2 ml of peripheral blood.

CTCs, circulating tumor cells; HR, hazard ratio; CI, confidence interval; PFS, progression-free survival; SCC, squamous cell carcinoma

Discussion

At present, the most common approach for capturing CTCs is the cell search system, which is based on the expression of the adhesion molecule EpCAM on the tumor cell surface and cytokeratins (CKs).¹⁹⁻²¹ However, EpCAM expression can be highly heterogeneous with different dynamics among different types of epithelial tumor cells, and epithelial-mesenchymal transition (EMT) may decrease the expression of EpCAM and CKs.^{22,23} Therefore, this method may not accurately detect CTCs. The new technology named NEimFISH combines negative enrichment and immune fluorescence in situ hybridization (imFISH) to achieve the simultaneous detection of genes and proteins, so it could avoid cell loss caused by second exposure. The negative enrichment technique can largely remove leukocytes in the blood (up to 99.99%), and the remaining cell

count is approximately 10^3 . The blood volume was similar to that of previously published reports.^{17,24} Aneusomy chromosome 8 in cervical cancer has been reported by others^{25,26} as well as in ovarian carcinoma cells.²⁷ The NEimFISH method can improve the sensitivity and specificity of CTC detection. This innovative technology ensures the accuracy of our experimental data and enhances the reliability of the results.

Several studies have shown promising data on CTCs as a negative prognostic marker in cancer. In lung cancer, at least 1 CTC is seen per 7.5 ml of blood in approximately 70% of patients, but CTCs have not been detected in nonmalignant pulmonary diseases.²⁸ Pei-Pei Wang et al. demonstrated that patients with small cell lung cancer with ≥ 10 CTCs per 4–5 ml of blood had worse prognosis than those with ≤ 9 CTCs.¹⁵ In breast cancer, patients with a high total number of CTCs showed significantly shorter OS than those with smaller CTC numbers who were treated with eribulin.²⁹ The correlation between CTC count and patient age, disease stage, histological differentiation, tumor size, and pathological type is different in various cancers.^{15,30-33} In the present study, the positive rates of CTCs did not correlate with any of the following clinical characteristics: patient age, disease stage, histological

differentiation, tumor size, or pathological type. We found that the CTCs positive rate was 80.7% in patients with locally cervical cancer, which was within the CTC detection rates ranging from 66.6% to 98.1% reported by other investigators.^{24,28,34-36} Most prior investigations employed EpCAM-based CTC analysis.

However, few studies have investigated the prognostic role of CTCs in cervical cancer. We first conducted a correlation analysis between CTC count and PFS in 107 patients with cervical cancer. The result suggests that there is a negative correlation: patients with more CTCs detected may have short progression-free survival. We set different CUT-OFF values (1/2/3)/3.2 ml to further study the relationship between the CTC count and PFS. The Kaplan–Meier survival curves show that the PFS of patients with $CTC \geq 1$ /3.2 ml were significantly lower than that of patients without CTCs ($CTC = 0$) /3.2 ml (Figure 2A). However, when the CUT-OFF value was set to 2 or 3, there was no significant difference between the 2 groups (Figure 2B and C). Interestingly, in contrast to other trials, Lee et al. defined CTC count of ≥ 2 per 7.5 ml blood as positive, so patients with 1 CTC were qualified as CTC-negative.³⁴ Setting specific cutoff values in CTC-based clinical trials is also common in other tumors. For example, in an early stage NSCLC clinical trial, 5 CTCs per 1 mL blood were used as a threshold to differentiate between patients with favorable and unfavorable outcome,³⁷ whereas 10 CTCs per 5 ml of blood have been shown to be a more suitable cutoff value in breast cancer.¹⁴

Due to the different enrollment times and follow-up times, the survival results above may be inaccurate. The shortest follow-up time of the cases enrolled in our study was 12 months, so we set 1 year as the minimum follow-up time to compare the difference between the 2 groups at this timepoint. Although there was no significant difference between the 2 groups ($P = 0.062$) in the 1-year PFS, the survival curve had already diverged (Figure 4A). Subsequently, we removed 25 patients who were followed up for less than 24 months and calculated the 2-year PFS of the remaining 82 patients. We discovered that patients in which CTCs (≥ 1) /3.2 ml were detected had a shorter PFS (median PFS, 19.4 months; 95% CI: 17.7–21.1 months) compared with CTC-negative patients (23.2 months; 95% CI: 21.9–24.4 months) ($P = 0.047$) in the 2-year PFS (Figure 4B). In summary, we found that the difference in survival rates between the CTC-negative and CTC-positive groups became increasingly obvious as the follow-up time increased. We will follow up the prognosis and survival of these cervical cancer patients for the foreseeable future. In the multivariate Cox regression analyses, CTCs (CUT-OFF = 1 cell) were significantly associated with PFS. The results of this analysis demonstrate that in cervical cancer patients, the presence of CTCs in 3.2 ml of peripheral blood after therapy may be associated with shorter PFS. In other words, as long as CTCs can be detected in the peripheral blood of patients after radiotherapy or concurrent cisplatin-containing chemotherapy, this indicates a poor prognosis. We speculate that the shorter PFS is due to tumor metastasis caused by CTCs. In patients with CTC-positive tumors, tumor cells tend to metastasize distantly with

peripheral blood or lymph fluid flowing to various organs and tissues throughout the body and may form more micro-metastatic tumor lesions or secondary tumors. This leads to a more advanced stage of the tumor, loss of opportunity for radical treatment, greater spread, and damage to the body, resulting in shorter progression-free survival. Therefore, we conclude that CTC level could be used as a potential prognostic biomarker for progression-free survival in patients with cervical cancer after primary treatment.

Our study has several limitations. First, our study was retrospective in nature and the sample we enrolled was not adequate, at only 107 cases, which may have caused bias in the final results. Second because of the retrospective nature of the study, some medical records were missing, resulting in a few clinical characters being blank and accurate progression-free survival times could not be obtained. Nonetheless, our findings can serve as a foundation for future studies. We can reduce experimental error by performing prospective studies or expanding the number of cases included. Furthermore, we can explore the relationship between PFS and the baseline number of CTCs as well as the degree of decline in CTCs under standardized therapy.

Conclusions

In summary, for cervical cancer patients, CTC count after radiotherapy or concurrent cisplatin-containing chemotherapy is an independent negative prognostic indicator. Patients who with detectable CTCs ≥ 1 /3.2 ml may have a shorter PFS compared with CTC negative (<1) /3.2 ml. There was no significant relationship between CTC count and patient age, disease stage, treatment, histological differentiation, tumor size, and pathological type in this retrospective study.

Authors' Note

This clinical study was a retrospective study. We only collected clinical data of patients, did not interfere with the patient's treatment plan, and did not pose any risk to the patient's physiology. The researchers made every effort to protect the information provided by the patient from disclosing personal privacy-so the ethical permission was not applied for. All medical examinations and therapies have obtained patients' written or verbal consent.

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Declaration of Conflicting Interests

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