1	Coping, Symptoms, and Insomnia among People with
2	Heart Failure during the Covid-19 Pandemic
3	
4	Meghan O'Connell, MPH ^a
5	meghan.oconnell@uconn.edu
6	https://orcid.org/0000-0002-8293-0150
7	
8	Sangchoon Jeon, PhD ^a
9	Sangchoon.jeon@yale.edu
10	https://orcid.org/0000-0003-2855-2053
11	
12	Samantha Conley, PhD, RN ^a
13	conley.samantha@mayo.edu
14	https://orcid.org/0000-0002-4501-5244
15	
16	Sarah Linsky, MPH ^a
17	Sarah.linsky@yale.edu
18	https://orcid.org/0000-0002-4204-7881
19	
20	Nancy S. Redeker, PhD, RN, FAHA, FAAN ^a
21	Nancy.redeker@yale.edu
22	https://orcid.org/0000-0001-7817-2708

© The Author(s) 2022. Published by Oxford University Press on behalf of European Society of Cardiology. All rights reserved. For permissions, please e-mail: journals.permissions@oup.com This article is published and distributed under the terms of the Oxford University Press, Standard Journals Publication Model (https://academic.oup.com/journals/pages/open_access/funder_policies/chorus/standard_publication_model) 1

1	
2	Yale School of Nursing
3	PO Box 27399 West Haven CT 06516-0972
4	Office 400 West Campus Drive, Orange CT 06477, USA
5	001-203-631-4193
6	
7	^a Yale School of Nursing, 300 Heffernan Drive, West Haven, CT 06516, United States
8	Corresponding author: Nancy S. Redeker
9	
10	This work was supported by the National Institute of Nursing Research under Grant
11	R01NR016191.
12	CERTEN

1 Abstract

2 Aim: Increases in stress, symptoms of anxiety and depression and sleep problems have been 3 reported during the Covid-19 pandemic, and people with chronic medical conditions such as 4 heart failure (HF) are especially vulnerable. The purpose of this study was to examine the extent 5 to which sleep characteristics, sleep-related cognitions, anxiety, depression, perceived stress, and 6 changes in these phenomena over time predict ways of coping with pandemic-related stress among participants in the HeartSleep study, a randomized controlled trial of the effects of 7 cognitive behavioral therapy for insomnia (CBT-I) in people with HF. 8 9 Methods: Participants completed questionnaires to elicit sleep characteristics, daytime symptoms, mood and stress at baseline, six-months after the intervention and during the Covid-10 19 pandemic. We added measures of coping during the pandemic (June-August 2020). 11 **Results:** The sample included 112 participants (M age = 63 + 12.9 years; 47% women; 13% 12 Black; 68% NY Heart Class II or III). Participants (43%) reported pandemic-related stressors and 13 most often used secondary control coping. Insomnia severity, anxiety, depression, perceived 14 stress, and sleep-related cognitions predicted secondary control coping (positive thinking, 15 16 cognitive restructuring, acceptance, distraction), involuntary engagement (physiological arousal, 17 rumination) and involuntary disengagement (emotional numbing).

18 Conclusions: Insomnia and mood disturbance are important determinants of coping and
19 responses to stress. Improving sleep and symptoms among people with HF may improve coping
20 during stressful events, and CBT-I may have protective effects.

21 **Keywords**: Covid-19, heart failure, insomnia, sleep, coping, stress, cognitive behavioral therapy.

1 Background

Increases in stress, anxious and depressive symptoms, and sleep problems were reported
during the Covid-19 pandemic.^{1,2} People with pre-existing chronic conditions are at high risk for
these problems³ and reported greater stress and anxiety during the pandemic compared to healthy
counterparts.⁴ People with heart failure (HF) experienced anxiety about interrupted healthcare.⁵
Stress,⁶ depression,⁷ insomnia, and sleep disturbance⁸ may exacerbate HF symptoms.

Stress and Coping Theory⁹ posits that stress occurs when people assess a circumstance as 7 personally relevant and threatening (primary appraisal) and beyond what they believe they have 8 resources to deal with (secondary appraisal). Coping involves cognitive and behavioral strategies 9 used to alleviate stress.⁹ Stress was associated with dysfunctional coping strategies (e.g., denial) 10 among people with chronic conditions during the pandemic.¹⁰ Adequate sleep may reduce stress 11 and improve coping;¹¹ however, there are several pandemic-specific precipitating (e.g., worry 12 about contracting the virus) and perpetuating factors (e.g., not being able to see loved ones) that 13 the 3P model of insomnia¹² suggests could increase insomnia during this time.¹³ Behavioral 14 treatments may have protective effects on these perpetuating factors as well as coping. Healthy 15 adults who received cognitive behavioral therapy for insomnia (CBT-I) compared to a control 16 condition had less insomnia, depression, stress, and pandemic-related cognitive intrusions.¹⁴ 17 18 Although the effects of CBT-I were not examined among people with HF during the pandemic, it improved insomnia, sleep duration and quality, sleep onset, and dysfunctional sleep related 19 cognitions at six-months post-treatment prior to the pandemic.¹⁵ There were smaller 20 improvements on these outcomes among people receiving HF self-management education. 21 22 Anxious and depressive symptoms decreased in both groups, but there were no group-by-time effects.15 23

The purpose of this study was to examine the extent to which sleep characteristics, sleeprelated cognitions, anxiety, depression and perceived stress prior to insomnia treatment and changes in these phenomena predicted ways of coping with pandemic-related stress among participants in a study of the effects of CBT-I compared with HF self-management education in people with HF.¹⁵

1 Methods

Design. We report data from participants in the HeartSleep Study, a randomized
 controlled trial of CBT-I, compared with HF self-management education among adults with
 stable HF (NCT 02660385).¹⁶ We obtained human subjects' approval and written informed
 consent. We used the STROBE cross sectional reporting guidelines.¹⁷

Setting. We conducted the study in June-August 2020 in a U.S. northeastern state that
was undergoing phased reopening after "all in-person functions" were prohibited in non-essential
businesses. We collected data electronically via REDCap¹⁸ or by mail.

Participants. HeartSleep study participants were adults with stable HF and mild to severe
 insomnia (scored >7 on the Insomnia Severity Index¹⁹). Exclusions were: more than mild
 untreated sleep apnea, severe sleepiness, seizure disorders, restless legs syndrome, narcolepsy,
 end stage renal failure, severe mental illness, current illicit drug use, and shift work.¹⁶

Procedures. We collected data from participants at three timepoints: baseline (prior to 13 participation in CBT-I or HF self-management intervention/attention control condition); 6 14 months following completion of the intervention; and during the pandemic (June-August 2020). 15 Participants reported sleep characteristics, daytime symptoms, mood, and perceived stress at 16 each timepoint, with the addition of measures of coping with stress during the pandemic. 17 HeartSleep Study enrollment spanned 48 months and participants were followed for six months; 18 therefore, data collection dates varied. The time between six-month follow-up and the pandemic 19 ranged from 6-48 months. We provided \$25 gift cards for completing surveys during the 20 pandemic and stipends in earlier phases of the study.¹⁶ 21

22 Variables and Measures

Demographic and clinical characteristics. We collected self-reported demographic data
 (age, sex, race/ethnicity, marital and work status, education) and used medical records to elicit
 the New York Heart Association Functional Classification (NYHA), ejection fraction, body mass
 index and health history. We used the Charlson Comorbidity Index.²⁰

Sleep characteristics. We used the Insomnia Severity Index (ISI), an internally consistent (0.74 - 0.88) and sensitive¹⁹ measure and the Pittsburgh Sleep Quality Index (PSQI) to measure

- 1 perceived sleep quality, efficiency, duration, and latency (Alpha coefficient = 0.72). We
- 2 measured daytime dysfunction using the PROMIS Sleep Related Impairment Scale²¹ (Coefficient
- 3 alpha = .90), sleep related beliefs and cognitions with the Sleep Disturbance Questionnaire
- 4 $(SDQ)^{22}$ (e.g., restlessness, agitation, worry about the consequences of insomnia) (Coefficient

5 alpha= 87), and the Dysfunctional Beliefs and Attitudes About Sleep scale $(DBAS)^{23}$ to measure

- 6 maladaptive beliefs about sleep (e.g., worry about sleep, consequences of poor sleep)
- 7 (Coefficient alpha = .87).

Mood and Stress. We used the reliable and valid 10-item Perceived Stress Scale (PSS)²⁴
 (Coefficient alpha = .91) and PROMIS Anxiety and Depression scales²⁵ (Coefficient alpha = .94).

11 Covid-19 Related Measures

The Response to Stress Questionnaire (RSQ)- [COVID-19-19] (SR-A)²⁶ presents 12 participants with a list of stressors commonly experienced during the Covid-19 pandemic and 13 asks for respondents to consider the level of stress each has caused them; this exercise is used to 14 prime respondents for responding to items about coping with Covid-19 related stress (and is not 15 considered a measure of stress). The instrument elicits five characteristics of coping and 16 involuntary stress responses: primary control engagement coping (problem solving, emotional 17 expression, emotional modulation); secondary control engagement coping (positive thinking, 18 cognitive restructuring, acceptance, distraction); disengagement coping (avoidance, denial, 19 wishful thinking); involuntary engagement (physiological arousal, rumination); and involuntary 20 disengagement (emotional numbing). The scores are calculated as the ratio of the total items in 21 each subscale to the total of all items. The RSQ was used in clinical populations.²⁶ Reliability 22 was adequate (Coefficient alpha = 0.83). 23

24 Statistical Analysis

We computed descriptive statistics and imputed missing data using the Markov Chain Monte Carlo (MCMC) algorithm when the missing response rate was less than 30%. We calculated summary scores with observed and imputed data. We computed the cross-sectional correlations between the sleep, mood, and stress variables and coping with Pearson coefficients and partial Pearson coefficients, controlling for covariates. We examined whether insomnia, anxiety, depression, perceived stress, and sleep-related cognitions at baseline and change from 6
months post-treatment predicted types of coping, after controlling for comorbidity using the
Generalized Linear Model (GLM). All variables were standardized for zero means and one
standard deviation before running GLM. The residuals were assessed for normality.
Multicollinearity was checked using variance inflation (VIF) and tolerance for each GLM. VIFs
and tolerance scores indicated the absence of multicollinearity.

7 **Results**

8 One hundred and twelve (74%) of the 152 participants who completed the parent study 9 agreed to participate, including 52 (46%) who completed the HF self-management intervention 10 and 60 (54%) who completed CBT-I. The mean age was 63 (12.9) years, 53% were male, 13% 11 were Black/African American and approximately half were married/partnered (52%) and college 12 educated (54%). Most had HF with preserved ejection fraction and NYHA functional class II 13 (experiencing HF symptoms with moderate physical exertion) or III (experiencing HF symptoms 14 with minimal physical exertion). The mean Charlson Comorbidity Index score was 2.5 (1.8).

Descriptive statistics and the changes in sleep characteristics, sleep impairment, anxiety, 15 depression, and dysfunctional beliefs and attitudes about sleep from the six-month follow-up to 16 the pandemic have been previously reported ²⁷. In brief, at baseline, participants had poor sleep 17 quality (PSQI) [M = 9.82 (3.84)], prolonged sleep latency (minutes) [M = 36.07 (41.32)] and low 18 sleep efficiency (%) [M = 77.68 (14.64)], and clinical levels of insomnia (ISI) [M = 15.11]19 20 (4.87)]. They scored higher than the T-score of 50 (the population norms) on anxiety [M = 51.30](8.64)] and sleep impairment [M = 53.49 (8.55)], but not depressive symptoms [M = 49.68 21 (8.10)]. Stress levels were moderate (PSS) [M = 14.39 (7.19)]. Mean sleep disturbance (SDQ) 22 was 5.18 (1.52) and mean dysfunctional beliefs/attitudes about sleep (DBAS) was 2.92 (0.70). 23 During the pandemic timepoint, there were no statistically significant differences between groups 24 25 of participants who received CBT-I and those who received HF self-management intervention 26 (on any variables); therefore, we combined groups for these analyses.

As previously reported,¹⁵ there were statistically significant improvements in all
outcomes at six-month follow-up from baseline and all outcomes were related to sleep variables.
From six-months post treatment to the pandemic timepoint (June-August 2020) insomnia
severity improved (ISI) [-1.05 (5.30)] and levels of sleep disturbance, quality, efficiency, latency

- 1 and dysfunctional beliefs about sleep, perceived stress, anxiety and depression remained
- consistent with measurements at six-month follow-up. Sleep duration became shorter (.59 hours),
 and there was an increase in the sleep impairment [M = 6.77 10.41)].²⁷

The most frequently endorsed pandemic-related stressors included inability to spend time with friends and family (64%) and participate in regular activities (69%), needing to cancel plans (54%) and uncertainty about when the pandemic would end (57%) (see Table 1). Fifty-seven (51%) participants thought of "different ways to change or fix the situation." They suggested taking expert advice (wear masks, etc.) (n=10; 18%), finding new ways to access food (n=8; 14%) and socialize (n=6; 11%), keeping busy (n=6; 11%) and keeping in touch with healthcare providers.

Secondary control coping (positive thinking, cognitive restructuring, acceptance, 11 distraction) was the most frequently used coping style. Mean (SD) coping scores were: Primary 12 Control Coping (problem solving, emotional expression and modulation) 0.18 (0.04); Secondary 13 Control Coping (positive thinking, cognitive restructuring, acceptance, distraction) 0.29 (0.06); 14 Disengagement Coping (avoidance, denial, wishful thinking) 0.15 (0.03); Involuntary 15 Engagement (physiological arousal, rumination) 0.22 (0.04) and Involuntary Disengagement 16 (emotional numbing) 0.17 (0.03). Correlations between sleep and mood variables and RSQ 17 scales at the Covid survey timepoint appear in table 2. The sleep, perceived stress (Perceived 18 Stress Scale) or mood variables were not associated with primary control (problem solving, 19 emotional expression and modulation) or disengagement coping (avoidance, denial, wishful 20 thinking). Therefore, we did not include these in further multivariable analyses. Comorbidity 21 was negatively associated with primary (problem solving, emotional expression and modulation) 22 (r=-0.16; p=.09) and secondary control coping (positive thinking, cognitive restructuring, 23 acceptance, distraction), (r=-0.27; p=.004) and positively associated with involuntary 24 engagement (physiological arousal, rumination) (r=0.20; p=.04) and involuntary disengagement 25 26 (emotional numbing) (r=0.36; p=.0001). There were small to moderate statistically significant negative relationships between insomnia severity, poor sleep quality, anxiety, depression, sleep 27 28 impairment, perceived stress, dysfunctional beliefs and cognitions about sleep and secondary 29 control coping (positive thinking, cognitive restructuring, acceptance, distraction), controlling for comorbidity. These variables had positive correlations with involuntary engagement 30

9

(physiological arousal, rumination). Anxious and depression symptoms, perceived stress, sleep
 impairment and dysfunctional sleep-related beliefs and cognitions were associated with
 involuntary disengagement coping (avoidance, denial, wishful thinking).

4 Table 3 presents the data on the extent to which baseline insomnia severity, anxiety, depression, perceived stress, and sleep-related beliefs and cognitions and the changes in these 5 variables from six-months to the pandemic (post-treatment) predicted coping during the 6 7 pandemic, while controlling for comorbidity. Baseline levels predicted secondary control coping (positive thinking, cognitive restructuring, acceptance, distraction), involuntary engagement 8 9 (physiological arousal, rumination), and involuntary disengagement (emotional numbing). Changes in perceived stress and dysfunctional beliefs and cognitions (SDQ) from six-months to 10 the pandemic period were significantly associated with these coping strategies. Improvements of 11 all predictors were associated with secondary control coping (positive thinking, cognitive 12 restructuring, acceptance, distraction). Improvements in perceived stress and sleep related 13 cognitions predicted involuntary engagement (physiological arousal, rumination), and improved 14 anxiety, perceived stress, and sleep related cognitions predicted involuntary disengagement 15 (emotional numbing). There was a trend suggesting that improvements in anxiety predicted 16 involuntary engagement (physiological arousal, rumination) (p = .0655). 17

18 Discussion

Our findings suggest that insomnia, anxiety, and depressive symptoms are important 19 20 determinants of coping and responses to stress in people with HF, with more severe insomnia symptoms associated with involuntary coping behaviors and lower levels associated with 21 22 control-oriented coping. These findings align with research suggesting that people with insomnia are more likely than those without insomnia to use maladaptive coping strategies.²⁸ 23 Baseline levels of dysfunctional beliefs and cognitions about sleep, important psychological 24 25 mechanisms for insomnia, also predicted coping outcomes. Although there were no differences 26 in coping outcomes between the HF self-management and CBT-I groups, both treatments may have improved these outcomes or prevented deterioration; in the parent study both groups 27 experienced statistically significant improvements on several important outcomes (insomnia 28 29 severity, depression, and dysfunctional beliefs and attitudes about sleep), although improvements were smaller in the HF self-management group.¹⁵ 30

Like others, ²⁹ participants experienced pandemic-related stressors including social 1 2 isolation, inability to participate in usual routines, uncertainty about when Covid-19 will end, and a commonly reported stressor, "watching or hearing distressing news reports." Additional 3 stressors may be particularly salient for people with HF. For example, fear of dying from Covid 4 was a significant source of emotional distress³⁰ among people with comorbid conditions and may 5 be especially important to people with HF who were at very high risk due to exposure to Covid-6 19. Although others with HF reported that obtaining care was a source of anxiety,⁵ only 18% of 7 our sample reported this concern. Although the reasons for this are not clear, the HF, cardiology, 8 9 and primary care providers in our area were early adopters of telehealth approaches. This may have alleviated some of these concerns. 10

The 3P model of insomnia¹² posits that there are predisposing (e.g., prior insomnia), 11 precipitating (e.g., stressful life events) and perpetuating factors (thoughts and behaviors that 12 maintain insomnia) that together lead to chronic insomnia. Cox and Olatunji¹³ explain the impact 13 of the pandemic on sleep using the 3P Model¹² and identified pandemic specific predisposing, 14 precipitating and perpetuating factors, many of which were experienced by participants in this 15 study. Study participants had a history of chronic insomnia, multiple comorbidities (predisposing 16 factors) and the majority reported several Covid-related stressors that may be considered both 17 precipitating (e.g., worry about self or others contracting the virus) and perpetuating factors (e.g., 18 inability to spend time with loved ones). Given these factors, we expected that study participants 19 20 would experience increased insomnia during the pandemic, despite the improvements made during their participation in the main study. Instead, participants maintained the significant 21 improvements in insomnia severity, depression, and dysfunctional beliefs and attitudes about 22 sleep achieved during the main study. It is possible that CBT-I and HF self-management 23 24 education exert protective effects, but future research is needed in a prospective study. In a prior study, stress was associated with use of dysfunctional coping strategies (e.g., 25 denial, behavior disengagement) among people with chronic conditions during the pandemic.¹⁰ 26 Studies suggest that obtaining adequate sleep may reduce stress and increase use of adaptive 27 coping strategies,^{11,31,32} and this may have occurred for the people in our study, given that 28 participation both the treatment and control conditions decreased insomnia and improved sleep 29 quality.¹⁵ People with insomnia, mood disturbance and higher pre-pandemic stress, including 30 people with HF,⁶ are at higher risk for difficulty with coping. While the ratio of secondary 31

control coping (positive coping method) was higher compared to the other stress responses, the
 lower proportion scores of all 5 RSQ factors, suggest that participants use both positive and
 negative coping strategies or used coping strategies and responded to stress in ways not
 measured with the RSQ.

Our findings align with research showing significantly lower levels of positive attitude 5 and optimism among people with HF compared to people without a chronic condition.³³ Coping 6 7 has important implications for HF outcomes. For example, there was a significant relationship between emotion-focused coping strategies (similar to disengagement coping) and poor physical 8 and psychological health-related quality of life among people with HF. In contrast to the lack of 9 association between sleep, symptoms or sleep related cognitions with primary control coping in 10 our study, problem-focused coping strategies (similar to primary control coping) were associated 11 with fewer depressive symptoms, better self-care, and diminished HF-related physical 12

13 symptoms.³⁴

The findings of our study suggest the critical role of insomnia, anxiety, and depression to 14 coping among people with HF, especially during a stressful time such as a pandemic. Screening 15 and identifying people with HF for these symptoms will help to determine the need for early 16 intervention to strengthen coping. Although our study was not designed a priori to evaluate the 17 effects of CBT-I on coping and pandemic related stress, our finding of an association between 18 improved dysfunctional beliefs and cognitions about sleep and coping suggest that insomnia 19 20 treatment focused on these cognitive factors may have beneficial effects. However, future studies are needed. 21

22 Strengths of the study include the use of standardized, valid and reliable measures and long-term follow-up. However, the study also had limitations. There are potential threats to 23 24 internal and external validity. For example, the average age of participants in this study is younger than the average age of people with HF; this may limit generalizability. Lack of baseline 25 26 data on coping, reliance on secondary analysis and varying times between the 6 month and pandemic period follow-ups may also have biased the results. We are unable to draw conclusions 27 28 about whether participating in the main study (developing new behavioral skills and changing 29 negative sleep related cognitions) led to greater resilience and better coping during the pandemic. Our study included only people with chronic HF and insomnia, and it is possible that the findings 30 31 of our study are similar to the experiences of other people with insomnia and in insomnia

12

- 1 treatment. However, to our knowledge, no comparable data are available. Future studies are
- 2 needed to uncover the extent to which are findings are unique to the HF population.

3 Conclusions

- 4 Improving sleep and symptoms (stress, anxiety, depression) among people with HF has the
- 5 potential to improve clinical outcomes and contribute to positive ways of coping with stressful
- 6 life events. Future prospective studies are needed to further examine the extent to which
- 7 improving sleep and symptoms among people with HF contributes to coping with stressful
- 8 events, and whether CBT-I or HF self-management education exert protective effects. Efforts to
- 9 assure maintenance of treatment effects after behavioral interventions may be especially
- 10 important.

11 Data Availability Statement

- 12 The data underlying this article will be shared on reasonable request to the corresponding author.
- 13

3	1.	Casagrande M, Favieri F, Tambelli R, Forte G. The enemy who sealed the world: effects
4		quarantine due to the COVID-19 on sleep quality, anxiety, and psychological distress in
5		the Italian population. Sleep Med. 2020;75:12-20.
6	2.	Huang Y, Zhao N. Generalized anxiety disorder, depressive symptoms and sleep quality
7		during COVID-19 outbreak in China: a web-based cross-sectional survey. Psychiatry
8		Res. 2020;288:112954.
9	3.	Gualano MR, Lo Moro G, Voglino G, Bert F, Siliquini R. Effects of Covid-19 Lockdown
10		on Mental Health and Sleep Disturbances in Italy. Int J Environ Res Public Health.
11		2020;17(13).
12	4.	Xiong J, Lipsitz O, Nasri F, et al. Impact of COVID-19 pandemic on mental health in the
13		general population: A systematic review. J Affect Disord. 2020;277:55-64.
14	5.	Sankaranarayanan R, Hartshorne-Evans N, Redmond-Lyon S, et al. The impact of
15		COVID-19 on the management of heart failure: a United Kingdom patient questionnaire
16		study. ESC Heart Fail. 2021;8(2):1324-1332.
17	6.	Gaffey AE, Jeon S, Conley S, et al. Perceived Stress, Subjective, and Objective
18		Symptoms of Disturbed Sleep in Men and Women with Stable Heart Failure. Behav
19		Sleep Med. 2021;19(3):363-377.
20	7.	Aloisi G, Zucchelli A, Aloisi B, Romanelli G, Marengoni A. Depression and heart
21		failure: an intricate relationship. Monaldi Arch Chest Dis. 2019;89(3).
22	8.	Redeker NS, Jeon S, Muench U, Campbell D, Walsleben J, Rapoport DM. Insomnia
23		symptoms and daytime function in stable heart failure. Sleep. 2010;33(9):1210-1216.

1	9.	Biggs A, Brough P, Drummond S. Lazarus and Folkman's psychological stress and
2		coping theory. In: Cooper CL, Quick JC, Eds. The handbook of stress and health: A
3		guide to research and practice. Wiley Blackwell; 2017: 351–364.
4	10.	Umucu E, Lee B. Examining the impact of COVID-19 on stress and coping strategies in
5		individuals with disabilities and chronic conditions. Rehabil Psychol. 2020;65(3):193-
6		198.
7	11.	Wang Y, Yip T. Sleep Facilitates Coping: Moderated Mediation of Daily Sleep,
8		Ethnic/Racial Discrimination, Stress Responses, and Adolescent Well-Being. Child Dev.
9		2020;91(4):e833-e852.
10	12.	Spielman AJ, Caruso LS, Glovinsky PB. A behavioral perspective on insomnia treatment.
11		Psychiatr Clin North Am. 1987;10:541-553.
12	13.	Cox RC, Olatunji BO. Sleep in a pandemic: Implications of COVID-19 for sleep through
13		the lens of the 3P model of insomnia. Am Psychol. 2021; 76: 1159-1171.
14	14.	Cheng P, Casement MD, Kalmbach DA, Castelan AC, Drake CL. Digital cognitive
15		behavioral therapy for insomnia promotes later health resilience during the coronavirus
16		disease 19 (COVID-19) pandemic. Sleep. 2021;44(4).
17	15.	Redeker NS, Yaggi HK, Jacoby D, et al. Cognitive behavioral therapy for insomnia has
18		sustained effects on insomnia, fatigue, and function among people with chronic heart
19		failure and insomnia: the HeartSleep Study. Sleep. 2022;45(1).
20	16.	Redeker NS, Knies AK, Hollenbeak C, et al. Cognitive behavioral therapy for insomnia
21	Y	in stable heart failure: Protocol for a randomized controlled trial. Contemp Clin Trials.
22		2017;55:16-23.

1	17.	von Elm E, Altman DG, Egger M, et al. The Strengthening the Reporting of
2		Observational Studies in Epidemiology (STROBE) Statement: guidelines for reporting
3		observational studies. Int J Surg. 2014;12(12):1495-1499.
4	18.	Harris PA, Taylor R, Thielke R, Payne J, Gonzalez N, Conde JG. Research electronic
5		data capture (REDCap)a metadata-driven methodology and workflow process for
6		providing translational research informatics support. J Biomed Inform. 2009;42(2):377-
7		381.
8	19.	Bastien CH, Vallieres A, Morin CM. Validation of the Insomnia Severity Index as an
9		outcome measure for insomnia research. Sleep Med. 2001;2(4):297-307.
10	20.	Charlson ME, Pompei P, Ales KL, MacKenzie CR. A new method of classifying
11		prognostic comorbidity in longitudinal studies: development and validation. J Chronic
12		Dis. 1987;40(5):373-383.
13	21.	U.S. Department of Health and Human Services, National Institutes of Health. (Last
14		updated 2019, January 30). Patient-Reported Outcomes Measurement Information
15		System (PROMIS). Retrieved September 1, 2021, from:
16		https://commonfund.nih.gov/promis/index.
17	22.	Espie CA, Inglis SJ, Harvey L, Tessier S. Insomniacs' attributions. psychometric
18		properties of the Dysfunctional Beliefs and Attitudes about Sleep Scale and the Sleep
19		Disturbance Questionnaire. J Psychosom Res. 2000;48(2):141-148.
20	23.	Morin CM, Vallieres A, Ivers H. Dysfunctional beliefs and attitudes about sleep (DBAS):
21		validation of a brief version (DBAS-16). Sleep. 2007;30(11):1547-1554.
22	24.	Cohen S, Kamarck T, Mermelstein R. A global measure of perceived stress. J Health Soc
23		Behav. 1983;24(4):385-396.

1	25.	Pilkonis PA, Choi SW, Reise SP, et al. Item banks for measuring emotional distress from
2		the Patient-Reported Outcomes Measurement Information System (PROMIS(R)):
3		depression, anxiety, and anger. Assessment. 2011;18(3):263-283.
4	26.	Connor-Smith JK, Compas BE, Wadsworth ME, Thomsen AH, Saltzman H. Responses
5		to stress in adolescence: measurement of coping and involuntary stress responses. J
6		Consult Clin Psychol. 2000;68(6):976-992.
7	27.	O'Connell M, Jeon S, Conley S, Linsky S, Redeker N. Sleep, Stress and Symptoms
8		among People with Heart Failure during the Covid-19 Pandemic. Journal of
9		Cardiovascular Nursing 2022; doi: 10.1097/JCN.000000000000906. Online ahead of
10		print.
11	28.	Otsuka Y, Itani O, Matsumoto Y, Kaneita Y. Associations between coping strategies and
12		insomnia: a longitudinal study of Japanese workers. Sleep. 2022; 45:1-10. DOI:
13		10.1093/sleep/zsab244
14	29.	Park CL, Russell BS, Fendrich M, Finkelstein-Fox L, Hutchison M, Becker J. Americans'
15		COVID-19 Stress, Coping, and Adherence to CDC Guidelines. J Gen Intern Med.
16		2020;35(8):2296-2303.
17	30.	Bhattacharjee B, Acharya T. "The COVID-19 Pandemic and its Effect on Mental Health
18		in USA - A Review with Some Coping Strategies". Psychiatr Q. 2020;91(4):1135-1145.
19	31.	Blaxton JM, Bergeman CS, Whitehead BR, Braun ME, Payne JD. Relationships Among
20		Nightly Sleep Quality, Daily Stress, and Daily Affect. J Gerontol B Psychol Sci Soc Sci.
21		2017; 72: 363-372. DOI: 10.1093/geronb/gbv060
22	32.	Kim HJ, Oh SY, Joo JH, Choi DW, Park EC. The Relationship between Sleep Duration
23		and Perceived Stress: Findings from the 2017 Community Health Survey in Korea. Int J

- 1 Environ Res Public Health. 2019; 16: DOI: 10.3390/ijerph16173208
- 2 33. Konrad C, Lossnitzer N, Boehlen FH, et al. Coping resources of heart failure patients a
- 3 comparison with cancer patients and individuals having no chronic condition results from
- 4 the esther study. Heart Lung. 2020;49(6):829-835.
- 5 34. Graven LJ, Grant JS. Coping and health-related quality of life in individuals with heart
- 6 failure: an integrative review. Heart Lung. 2013;42(3):183-194.

1 Table 1. Covid-19 Related Stressors

tressor	Not at all	Somewhat
	Or A little	or Very
in a sink was been as a f COVID 10 (a subsect in some	N (%)	N (%) 4
inancial problems because of COVID-19 (e.g., reduced income, obligation of the second se	86 (79%)	23 (21%) 5
Inable to spend time in person with close friends or family because of COVID-19	40 (37%)	69 (63%)
Inable to participate in normal routines and activities because of COVID-19 (e.g., spiritual services, shopping, dining at restaurants, oing to the gym)	34 (31%)	75 (69%) 8
laving to change, postpone, or cancel important plans or events	50 (46%)	59 (54%)
ecause of COVID-19 (e.g., family events, travel, or vacation, work elated events)	5	10
Challenges at home or with others because of COVID-19 (e.g., onflicts, lack of privacy, lack of personal space)	87 (80%)	22 (20%)
rouble obtaining groceries or other needed supplies because of COVID-19 (e.g., food, medicine, household goods)	81 (74%)	28 (26%)
Vatching or hearing distressing news reports about COVID-19	59 (54%)	50 (46%)
Incertainty about myself or someone close to me getting COVID- 9, including being unable to access testing	61 (56%)	48 (44%)
Ayself or someone close to me experiencing symptoms or being liagnosed with COVID-19	83 (76%)	26 (24%)
rouble getting medical care or mental health services because of COVID-19	89 (82%)	20 (18%)
Incertainty about when COVID-19 will end or what will happen in he future	47 (43%)	62 (57%)
Difficulty completing my work responsibilities remotely because of COVID-19	92 (84%)	17 16%)
Inable to complete educational or work requirements because of COVID-19	96 (88%)	13 (12%)
leeding to take on greater family and/or work responsibilities because of COVID-19	98 (90%)	11 (10%)

1 Table 2. Partial Correlations Between insomnia, sleep quality, mood, perceived stress, and dysfunctional beliefs and cognitions and coping

2 after controlling for CCI

	Primary Control	Secondary Control	Disengagement	Involuntary	Involuntary
	Coping	Coping	Coping	Engagement	Disengagement
				Coping	Coping
		Partial Col	rrelation after controll	ling for CCI	
Insomnia Severity index	0.07 (.4449)	-0.35 (.0002)	0.01 (.8887)	0.26 (.0057)	0.22 (.0238)
Poor Sleep Quality	0.06 (.5664)	-0.24 (.0119)	-0.02 (.8704)	0.19 (.0506)	0.17 (.0862)
Anxiety (PROMIS)	0.06 (.5068)	-0.57 (<.0001)	0.06 (.5553)	0.43 (<.0001)	0.42 (<.0001)
Depression (PROMIS)	0.01 (.9478)	-0.51 (<.0001)	0.11 (.2463)	0.42 (<.0001)	0.35 (.0002)
Sleep Impairment (PROMIS)	0.00 (.9814)	-0.46 (<.0001)	0.06 (.0958)	0.36 (.0001)	0.35 (.0002)
Perceived Stress (PSS)	-0.07 (.4792)	-0.67 (<.0001)	0.16 (.0958)	0.59 (<.0001)	0.50 (<.0001)
DBAS	-0.06 (.5416)	-0.42 (<.0001)	0.14 (.1383)	0.38 (<.0001)	0.29 (.0027)
SDQ	0.00 (.9673)	-0.41 (<.0002)	0.02 (.8674)	0.39 (<.0001)	0.28 (.0031)

4 Note--Correlations are at Covid survey timepoint except CCI.

Definitions. Primary Control Coping: problem solving, emotional expression, emotional modulation; Secondary Control Coping: positive thinking, cognitive

6 restructuring, acceptance, distraction; Disengagement Coping: avoidance, denial, wishful thinking; Involuntary Engagement: physiological arousal, rumination;

7 Involuntary Disengagement: emotional numbing

Table 3. Prediction of Coping Scales at the COVID Survey with Insomnia and mood changes from 6 months after controlling for CCI

Predictor Variables		Outcome Variables		
		Prediction of Secondary	Prediction of <i>Involuntary</i>	Prediction of <i>Involuntary</i>
		Control Coping at Covid	Engagement Coping at	Disengagement Coping at
		Survey	Covid Survey	Covid Survey
Insomnia Severity	Change from 6 months	-0.19±0.10 (.0488)	0.10±0.10 (.3299)	0.03±0.10 (.8072)
	Baseline	-0.24±0.10 (.0157)	0.21±0.10 (.0455)	0.08±0.10 (.3765)
	ССІ	-0.21±0.10 (.0408)	0.16±0.10 (.1282)	0.34±0.10 (.0008)
	Model Fit: F-Test	F=5.63, p=.0014	F=2.85, p=.0415	F=4.58, p=.0049
Anxiety	Change from 6 months	-0.29±0.10 (.0026)	0.19±0.10 (.0655)	0.25±0.10 (.0064)
	Baseline	-0.30±0.10 (.0020)	0.21±0.10 (.0418)	0.25±0.10 (.0061)
	ССІ	-0.18±0.10 (.0553)	0.14±0.10 (.1711)	0.29±0.10 (.0019)
	F-Test	F=9.50, p<.0001	F=3.93, p=.0109	F=10.65, p<.0001
Depression	Change from 6 months	-0.20±0.10 (.0420)	0.16±0.10 (.1164)	0.14±0.10 (.1350)
	Baseline	-0.34±0.10 (.0008)	0.30±0.10 (.0039)	0.24±0.10 (.0131)
	CCI	-0.15±0.10 (.1293)	0.10±0.10 (.3203)	0.28±0.10 (.0131)
	F-Test	F=7.99, p<.0001	F=5.16, p=.0024	F=7.60, p=.0001
Perceived Stress	Change from 6 months	-0.31±0.09 (.0009)	0.26±0.09 (.0067)	0.18±0.09 (.0504)
	Baseline	-0.43±0.09 (<.0001)	0.44±0.09 (<.0001)	0.35±0.09 (.0002)
	CCI	-0.14±0.09 (.1374)	0.08±0.09 (.4167)	0.27±0.09 (.0008)
	F-Test	F=14.18, p<.0001	F=11.26, p<.0001	F=7.33, p=.0002
DBAS	Change from 6 months	-0.32±0.10 (.0017)	0.30±0.10 (.0040)	0.14±0.10 (.1468)
	Baseline	-0.45±0.10 (<.0001)	0.44±0.10 (<.0001)	0.35±0.10 (.0004)
	CCI	-0.24±0.09 (.0090)	0.16±0.09 (.0772)	0.36±0.09 (.0001)
	F-Test	F=11.19, p<.0001	F=8.50, p<.0001	F=10.45, p<.0001
SDQ	Change from 6 months	-0.45±0.10 (<.0001)	0.42±0.11 (.0001)	0.28±0.10 (.0077)
7	Baseline	-0.46±0.10 (<.0001)	0.42±0.10 (.0001)	0.34±0.10 (.0017)
	ССІ	-0.19±0.09 (.0385)	0.12±0.09 (.2088)	0.30±0.09 (.0014)
	F-Test	F=11.97, p<.0001	F=8.39, p<.0001	F=9.40, p<.0001

1 Acknowledgements

- 2 The authors thank the following people for their contributions to this study: Henry Yaggi, Daniel
- 3 Jacoby, Christopher Hollenbeak, Stephen Breazeale, Youri Hwang, Joanne Iennaco, Uzoji
- 4 Nwanaji-Enwerem, Lesa Moemeka, John C. Cline, Anna Sullivan, Andrea Knies, Jessica Kelly-
- 5 Hauser, Lisa Finoia, Edward Gaiser III, James Darden IV, Joy Powell, Amanda Irion, Dawn
- 6 Bickley, Patrick Richardson, Alice Tian, Beeba Mathew, Andrew Bessette, Radu Radulescu,
- 7 Stephanie Cram, Garrett Ash, Jeffrey Turner, Maria Paulina Lopez, Jennifer Hichar and Sherry
- 8 Van Lange. The authors are also grateful to those who participated in this study.

9 Funding

10 This work was supported by the National Institute of Nursing Research under Grant

11 R01NR016191.

12 Disclosure Statement

13 The authors declare that there are no conflicts of interest.