# Persistent expression of autoantibodies in SLE patients in remission

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A majority of the antibodies expressed by nascent B cells in healthy humans are selfreactive, but most of these antibodies are removed from the repertoire during B cell development. In contrast, untreated systemic lupus erythematosus (SLE) patients fail to remove many of the self-reactive and polyreactive antibodies from the naive repertoire. Here, we report that SLE patients in clinical remission continue to produce elevated numbers of selfreactive and polyreactive antibodies in the mature naive B cell compartment, but the number of B cells expressing these antibodies is lower than in patients with active disease. Our finding that abnormal levels of self-reactive mature naive B cells persist in the majority of patients in clinical remission suggests that early checkpoint abnormalities are an integral feature of SLE.

In healthy humans, self-reactive antibodies are removed from the B cell repertoire during the transition from early immature to immature B cells in the bone marrow and from the new emigrant to the mature naive B cell compartment in the periphery (1). Untreated systemic lupus erythematosus (SLE) patients show defective early B cell tolerance checkpoints and therefore accumulate self-reactive and polyreactive antibodies in the circulating mature naive B cell compartment (2). Mature naive B cells, however, do not secrete antibodies and are unlikely to play a direct role in autoimmune pathology, but they are precursors of antibody-secreting cells and therefore aberrant self-tolerance in this compartment would predispose to the development of the high affinity autoantibodies characteristic of SLE (3, 4).

Immunosuppressive or cytotoxic drugs and anti-CD20-mediated B cell depletion can induce long-term remission in patients with SLE (5). However, current treatment protocols frequently fail to prevent relapses (5). Furthermore, SLE patients show high levels of serum antinuclear antibodies (ANAs) long before the onset of disease. The absence of clinical signs and symptoms does not necessarily correlate with the absence of serum autoantibodies (6). Therefore, SLE patients may fail to establish or maintain B cell self-tolerance independent of their clinical status. Whether this persistent tolerance defect involves early stages in B cell development has not been examined.

To determine the status of early B cell tolerance in SLE patients in clinical remission, we cloned 278 antibodies from mature naive B cells from six such patients and tested them for binding to HEp-2 cell lysates and for polyreactivity against a panel of purified antigens. Here, we report that SLE patients in clinical remission continue to show increased numbers of selfreactive and polyreactive antibodies in the mature naive B cell compartment, but they have fewer B cells expressing these antibodies than patients with active disease.

### **RESULTS AND DISCUSSION**

### Ig repertoire in SLE patients in remission

To study early B cell tolerance in SLE patients during clinical remission, we cloned, expressed, and tested antibodies from mature naive B cells from six adolescent patients (SLE100CR, SLE101CR, SLE122CR, SLE14CR, SLE21CR, and SLE33CR; Table I) and compared them

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to recombinant antibodies cloned from three previously published healthy controls (1, 7). Three of the remission patients described here had been studied at the time of diagnosis before any therapeutic intervention (SLE100, SLE101, and SLE122; reference 2). All patients met the Revised Criteria of the American College of Rheumatology, and their treatment is summarized (Table I; reference 2). Remission was defined by resolution of clinical symptoms, normalization of laboratory findings, and minimal maintenance therapy, but we did not assay for remission of organ damage (Table I). Samples were obtained at least 3 mo after initial remission, and 278 antibodies were cloned from cDNA libraries created from single mature naive B cells purified on the basis of surface markers (CD19<sup>+</sup>CD10<sup>-</sup>IgM<sup>+</sup>CD27<sup>-</sup>; Tables S1–S6 and Fig. S1, which are available at http://www.jem.org/cgi/content/full/ jem.20061446/DC1; reference 8). Sequence analysis confirmed that all clones were unrelated and derived from naive B cells because they lacked somatic hypermutation (8).

Several different abnormalities in Ig gene usage have been reported for patients with SLE, including bias toward  $V_H3$ ,  $V_H4-34$ ,  $V\kappa1$ , and  $V\kappa4$  gene family usage (2, 9). We found some of these abnormalities, but no consistent abnormalities and no consistent differences between active disease and remission (Fig. 1). For example, SLE122 initially showed increased  $V_H3$  gene family representation and unusually short IgH CDR3

regions, and this pattern remained unchanged after treatment
$(P = 0.948 \text{ for } V_H \text{ repertoire}, P = 0.454 \text{ for } IgH \text{ CDR3 length}),$
but these abnormalities were not found in other patients (Fig. 1,
A and B; reference 2). In contrast, overrepresentation of V $\kappa$ 4–1
was found in active disease in SLE100 and SLE122, but not in
remission (Fig. 1 C; reference 2). We conclude that there are
no consistent abnormalities in the IgH or IgL repertoires in SLE
patients with active disease or in remission, and that although
some specific features such as long CDR3s are associated with
increased self-reactivity, they are not predictive.

### Autoreactive antibodies

Antibodies reactive with HEp-2 cell lysates as measured in ELISA assays (used to detect ANAs, ANA ELISA) are significantly enriched in mature naive B cells from untreated SLE patients compared with healthy controls (2). To measure the frequency of these antibodies in clinical remission, we tested the cloned antibodies in HEp-2 cell ELISA assays and confirmed positives by indirect immunofluorescence assay on HEp-2 cell–coated slides (Fig. 2 A, Tables S1–S6, and Fig. S2, which is available at http://www.jem.org/cgi/content/full/jem.20061446/DC1; references 1 and 2). Although there was individual variation, antibodies cloned from mature naive B cells from SLE patients in remission remained more self-reactive in the HEp-2 ELISA than healthy controls

Patient	SLE100		SLE101		SLE122		SLE14	SLE21	SLE33
Gender	Female		Male		Female		Female	Female	Female
Age at diagnosis	15 yr		15 yr		9 yr		11 yr	17 yr	7 yr
Date of diagnosis	10/2002		10/2002		2/2003		11/1998	10/1999	7/2001
Sample	SLE100	SLE100CR	SLE101	SLE101CR	SLE122	SLE122CR	SLE14CR	SLE21CR	SLE33CR
Sample date	10/10/2002	2/12/2004	10/24/2002	3/3/2004	2/22/2003	9/14/2004	6/1/2005	7/21/2005	8/8/2005
Clinical course	Arthritis, nephritis IIB	Clinical remission	Myocarditis, nephritis III	Clinical remission	Chorea, nephritis IIB	Clinical remission	Clinical remission	Clinical remission	Clinical remission
Therapy	None	Initially IV steroids (stopped ~3 mo), continues on low dose oral steroids, PL, aspirin	None	Initially IV steroids, CPH (stopped ~10 mo), continues on IV steroids, MMF, PL	None	Initially IV steroids (stopped $\sim$ 10 mo), continues on low dose oral steroids	Initially IV CPH and steroids (stopped ~4 yr), continues on PL	Initially IV steroids (stopped ~5 yr), continues on PL	Initially IV steroids (stopped ~3 yr), continues on PL
Hematology	L, A, T	Normal	А	Normal	L, A	Normal	Normal	Normal	Normal
$CD19^+$ cells $\times 10^3/ml$		284		245		168	378	145	210
Renal	Abnormal	Normal	Abnormal	Normal	Borderline	Normal	Normal	Normal	Normal
ANA	+	+	+	+	+	+	NA	NA	NA
Serology	D, P, Sm, RNP	Normal	Р	Normal	D	Borderline D	D	Normal	Borderline D
ESR, mm/hr	11	25	>120	11	NA	41	50	10	12
Complement	Low	Normal	Low	Normal	Low	Normal	Normal	Normal	Normal

A, anemia; CPH, cyclophosphamide; D, anti-double-stranded DNA antibody; ESR, erythrocyte sedimentation rate; L, lymphopenia; MMF, mycophenolatemofetil; NA, not available; P, antiphospholipid antibody; PL, Plaquenil; RNP, anti-RNP antibody; Sm, anti-Smith antibody; T, thrombocytopenia.

Table I.	Patient characteristics
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**Figure 1.** Ig heavy and light chain gene features. The absolute number of sequences analyzed is indicated in the center of each pie chart. (A)  $V_{\rm H}$  and  $J_{\rm H}$  repertoire. (B) IgH CDR3<sup>+</sup> charges and length. Pie charts show percent of IgH CDR3s with zero, one, two, or three positively charged amino acid (aa) residues. Bar graphs show frequency of IgH CDR3s with  $\leq$ 9 aa (white bars), 10–14 aa (light gray bars), 15–19 aa (dark gray bars), and 20 aa (black bars). (C) Ig $\kappa$  V and J gene family usage. (D) Ig $\lambda$  V and J

gene family usage. p-values indicated below the charts or below horizontal lines are in comparison with healthy controls or data obtained from the same SLE patient during active disease, respectively (references 1, 2, and 7). Values for controls (GO, JB, and JH) and untreated SLE patients (SLE100, SLE101, and SLE122) in this and other figures were previously published and are shown here for comparison (references 1, 2, and 7).

(34.25 vs. 19.7%, respectively, P = 0.025; Fig. 2 A; references 1 and 7). In all three cases where paired samples were available, the frequency of HEp-2 reactive antibodies was lower in remission than in crisis, but the difference only reached statistical significance in SLE101, who showed near normal levels of HEp-2–reactive antibodies in clinical remission

(22.4% in SLE101CR vs. 19.7% in control, P = 0.710, and vs. 50.0% in SLE101, P = 0.009). We conclude that there is variability in the HEp-2 reactivity of the antibodies produced by mature naive B cells among SLE patients in crisis and remission, but most SLE patients in clinical remission show persistent abnormalities in this respect.

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Polyreactivity, i.e., antibody binding to diverse nonrelated antigens including self-antigens such as DNA and insulin, is another measure of self-reactivity. In normal humans, polyreactive antibodies comprise  $\sim 6\%$  of antibodies in the circulating mature naive B cell compartment, whereas in untreated SLE patients, these antibodies account for  $\sim 31\%$  of the repertoire (1, 2, 7). To determine whether this abnormality persists during remission, we tested our collection of remissionderived antibodies for binding with single-stranded DNA, double-stranded DNA, insulin, and LPS (Fig. 2 B). Although the number of polyreactive antibodies varied between individuals, all but one (SLE101CR) of the clinical remission patients showed significantly elevated levels (16.7% in SLE100CR and SLE122CR, P = 0.083 and 0.041, respectively; 17.8% in SLE21CR, P = 0.033; 19.0% in SLE33CR, P = 0.028; and 25.0% in SLE14CR, P < 0.001 vs. 6.2% in healthy controls; reference 1). The one patient that differed from the rest, SLE101, had higher than normal levels of



**Figure 2. Autoreactive antibodies.** (A) Pie charts summarize selfreactivity as measured by HEp-2 cell ELISA and HEp-2 cell immunofluorescence assay (Tables S1–S6, Fig. S2, and not depicted) with the number of tested antibodies indicated in the center of each pie chart. Graphs show HEp-2 cell ELISA results. Red lines show the low positive serum control and horizontal lines indicate cutoff OD<sub>405</sub> levels for positive reactivity. (B) Polyreactivity was measured by ELISA with single-stranded DNA, double-stranded DNA, insulin, and LPS. The frequency of polyreactive

clones is summarized in the pie charts with the number of tested antibodies indicated in the pie chart centers. Graphs show polyreactivity ELISA results. Dotted lines show ED38<sup>+</sup> control (references 1 and 29) and horizontal lines indicate cutoff  $OD_{405}$  levels for reactivity. p-values indicated below the pie charts or below the horizontal lines are in comparison with healthy controls or data obtained from the same SLE patient with active disease, respectively (references 1, 2, and 7). polyreactivity before treatment (25.8%, P = 0.004; reference 2) but reverted to normal levels of polyreactivity in clinical remission (3.0% in SLE101CR vs. 6.2% in control, P = 0.499). The other paired samples showed decreased polyreactivity in remission, but the difference did not reach statistical significance (30.8% in SLE100 and 16.7% in SLE100CR, P = 0.444; 24.4% in SLE122 and 16.7% in SLE122CR, P = 0.433). Finally, when all remission samples, including SLE101CR, were combined, the overall level of polyreactivity in the mature naive B cell compartment was significantly greater than in healthy controls (15.5 vs. 6.2%, respectively, P = 0.009), but the frequency of polyreactive antibodies in clinical remission was significantly lower than at the time of diagnosis (15.5 vs. 31.5%, respectively, P < 0.001; Fig. 2 B).

The abnormalities in early B cell self-tolerance in active SLE might be secondary to disease activity per se because lymphopenia and mediators of acute inflammation such as type I IFNs and TNF- $\alpha$  can directly alter B cell development in vivo (10–12). Indeed, we found a decrease in the number of HEp-2–reactive and polyreactive antibodies expressed by circulating mature naive B cells during remission. However, despite normal numbers of circulating lymphoid and myeloid cells (Table I), the majority of patients in clinical remission continued to show persistent abnormalities in antibody tolerance in the mature naive B cell compartment, suggesting that defects in early tolerance defects are in part independent of active disease.

### Ig gene usage and antibody self-reactivity

Primary Ig gene rearrangements frequently involve 3' V $\kappa$  genes and 5' J $\kappa$  genes (13–15). This allows for replacement of self-

reactive antibodies by secondary recombination between 5' Vĸ genes and 3' Jk genes during receptor editing (13-15). Abnormalities in IgL chain receptor editing based on sequence analyses have been reported in patients with autoimmune diseases including SLE (9, 16, 17), but these reports did not discriminate between patients with active disease and patients in clinical remission. To determine whether receptor editing associated genomic alterations occurs in the Igk locus in SLE patients, we analyzed the  $V\kappa$  and  $J\kappa$  usage of self-reactive versus nonselfreactive antibodies (Fig. 3). Vĸ genes were divided into four groups according to their 5' to 3' orientation in the Ig $\kappa$  locus  $(V\kappa A - V\kappa D)$ .  $J\kappa$  genes were grouped into 5'  $(J\kappa 1/2)$  and 3'  $(]\kappa 3/4/5)$  genes. In healthy controls, few self-reactive or polyreactive antibodies were present in the mature naive B cell compartment (1, 7), and there was no apparent difference in Jk usage between self-reactive and nonself-reactive antibodies (0.2 and 0.4, respectively; Fig. 3 A). In contrast, untreated SLE patients with active disease showed high levels of self-reactive antibodies that used 5' Jk genes (ratio of self-reactive/nonselfreactive: 1.5 for SLE100, P = 0.006; 1.4 for SLE101, P = 0.01; and 1.3 for SLE122, P = 0.013 when compared with healthy controls; references 1 and 7) and a variable level of self-reactive antibodies that used 3' J $\kappa$  genes (0.3 for SLE100, P = 1.0; 0.4 for SLE101, P = 1.0; and 1.5 for SLE122, P = 0.047). However, SLE patients in remission resembled healthy controls in terms of frequency of self-reactive antibodies that used 5' JK genes and were significantly different from patients with active disease (0.4 in total SLECR vs. 1.4 in total SLE, P = 0.001; 0.4 in totalSLECR vs. 0.2 in controls, P = 0.229; references 1, 2, and 7). We found a significant bias toward 3' V $\kappa$  gene usage in



Figure 3. Ig gene usage and antibody self-reactivity of mature naive B cells from SLE patients in clinical remission. (A) J $\kappa$  genes were grouped according to their 5' (J $\kappa$ 1) and 3' (J $\kappa$ 3/4/5) position, and the proportion of self-reactive (black) versus nonself-reactive antibodies (white) is indicated as a bar graph for each group with the ratio of self-reactive versus nonself-reactive (S/NS) antibodies indicated below.

p-values are in comparison to antibodies of the corresponding J $\kappa$  group from healthy controls. (B) V $\kappa$  genes were divided into four groups according to their 5' to 3' orientation in the Ig $\kappa$  locus (V $\kappa$ A–V $\kappa$ D). The proportion of self-reactive antibodies in each V $\kappa$  group for healthy controls (references 1 and 7), SLE patients before treatment (reference 2), or SLE patients in clinical remission is indicated. self-reactive antibodies in active patients, but not in remission (Fig. 3 B; P = 0.003 and 0.052, respectively; references 1 and 2). In summary, mature naive B cells from SLE patients with active disease express self-reactive antibodies that are biased to contain 3' V $\kappa$ 's in association with 5' J $\kappa$ 's, whereas those from patients in remission do not. Such antibodies are more likely to originate from primary rearrangements (13–15), suggesting that active SLE is associated with increased expression of unedited self-reactive antibodies by mature naive B cells.

B cell tolerance is regulated by distinct checkpoints in the bone marrow and in the periphery. Either of these might be altered in SLE (for review see reference 18). Elegant studies with transgenic mice have demonstrated that nascent selfreactive B cells can be regulated by receptor editing, deletion, or anergy, and that receptor editing appears to be the preferred mechanism to establish early B cell self-tolerance (13, 14, 19). Consistent with the primary importance of editing in shaping the B cell repertoire, this mechanism produces 25-50% of all antibodies (20, 21). In patients with active SLE or rheumatoid arthritis, and in mice with chronic autoimmune disease, the increase in self-reactive antibodies is consistent with abnormalities in editing and/or selection (16, 17, 22). In SLE patients in clinical remission, normalization of Igk gene usage is consistent with an overall decrease in the number of self-reactive antibodies in these patients.

Less is known about how B cell tolerance is established in the periphery. Both positive and negative selection mechanisms have been proposed (18), and several genetic loci have been implicated in disease etiology (23). Under normal conditions, one important determinant appears to be decreased expression of BAFF receptor on autoreactive B cells rendering them less able to compete for limiting amounts of BAFF, which is a key B cell survival factor (24). Consistent with this idea, excess BAFF expression leads to autoimmunity in mice (25–27), and patients with SLE show high levels of BAFF that could enable self-reactive B cells to survive in the mature naive B cell repertoire (28).

In conclusion, patients with SLE have increased numbers of circulating mature naive B cells that express self-reactive and polyreactive antibodies regardless of disease status. We speculate that these cells may increase disease susceptibility by serving as precursors for cells that produce pathogenic lupus antibodies.

### MATERIALS AND METHODS

**Patient samples and single B cell sorting.** The study was performed in accordance with institutional review board–reviewed protocols of the UT Southwestern Medical Center (IRB no. 0199-017) and the Rockefeller University (SYU-0571-1005), and all samples were obtained after signed informed consent at the Division of Pediatric Rheumatology of UT Southwestern Medical Center. Control data and data from SLE100, SLE101, and SLE122 before treatment were previously published and are shown for direct comparison. Single B cell isolation was performed as described previously (1, 2, 7).

**PCR amplification and expression vector cloning.** Single cell cDNA synthesis and RT-PCR reactions were performed as described previously (1, 2, 7). All sequences matched published germline sequences of human Ig genes or could be attributed to polymorphisms (not depicted).

Antibody production, ELISA, and indirect immunofluorescence assay. Antibodies were expressed in vitro as described previously (1, 2, 7). Antibody concentrations were determined by ELISA (1, 2, 7). ELISAs were performed as described previously (1, 2, 7), and dilutions are indicated in the figures. Samples from SLE patients were considered negative if the OD<sub>405</sub> did not exceed a threshold value as indicated in each graph at any of the four dilutions in at least three independent experiments (Tables S1–S6). Threshold values for reactivity with specific antigens were set in all assays using antibodies from healthy donors and included our previously published control antibodies, mGO53 (negative), eiJB40 (low positive), and ED38 (strong positive; references 1 and 29). HEp-2 ELISAs were performed as described previously (1, 2, 7). The threshold OD<sub>405</sub> levels below which samples were considered negative are indicated in the graphs. Positive and negative controls included sera from patients and healthy individuals (INOVA Diagnostics) and were included in every experiment.

Indirect immunofluorescence assays were performed as described previously (1). Controls included ED38 (1) and positive and negative sera (Bion Enterprises, Ltd.).

**Statistics.** p-values for Ig gene repertoire analyses, analysis of positive charges in IgH CDR3, and antibody reactivity were calculated by  $2 \times 2$  or  $2 \times 5$  Fisher's Exact test or Chi-square test. p-values for IgH CDR3 length were calculated by Student's *t* test.

**Online supplemental material.** Fig. S1 shows representative FACS profiles of B cells from SLE patients in clinical remission. Fig. S2 shows antibody staining patterns in HEp-2 cell immunofluorescence assay. Tables S1–S6 show IgH and IgL chain characteristics and antibody reactivity for mature naive B cells of SLE patients in clinical remission. The online supplemental material is available at http://www.jem.org/cgi/content/full/jem.20061446/DC1.

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