



# Mid-term results of completely portal robotic lobectomy for stage I & II non-small cell lung cancer

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**Background:** Minimally invasive robotic-assisted thoracic surgery is an increasingly popular platform for oncological thoracic resection. The aim of this study is to evaluate the feasibility of completely portal robotic lobectomy for patients with early non-small cell lung cancer (NSCLC), analysing the perioperative and mid-term results.

**Methods:** This is a single-institution retrospective cohort study of consecutive patients who underwent completely portal robotic lobectomy for early stage NSCLC over a 53-month period.

**Results:** A total of 59 consecutive patients were included in this study. Median operative time was 155 min (range, 80–313 min). Conversion rate was 13.6%. Median intensive care/high dependency unit stay, chest tube duration and length of hospital stay were 1 day (range, 0–4 days), 2 days (range, 1–20 days) and 4 days (range, 2–30 days) respectively; 98.2% of patients achieved R0 resection. Overall, 23.7% had minor complications. There was no perioperative (30-day) mortality in this study. Final pathological staging distribution was 55.9% stage 1A, 23.7% stage 1B, 10.2% stage 2A and 10.2% stage 2B; 23% were upstaged after pathological staging. Median follow-up was 33 months (range, 3–70 months). The 3-year overall survival and recurrence-free survival were 86.2% (95% CI, 72.0–96.8) and 69% (95% CI, 56.1–81.9) respectively. The 3-year overall survival and recurrence free survival for stage 1 patients were 88.4% (95% CI, 77.4–99.4) and 75.6% (95% CI, 62.3–88.9) respectively.

**Conclusions:** By clearly defining completely portal robotic lobectomy, it is possible to delivery promising perioperative and mid-term results for early stage primary lung cancer, even in a geographical location that has yet to assimilate this technology.

**Keywords:** Non-small cell lung cancer (NSCLC); robotic lobectomy; surgery

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## Introduction

Pulmonary lobectomy remains the gold standard for resectable lung cancer (1). Advances in minimally invasive techniques have completely altered the landscape of thoracic surgery. Video-assisted thoracoscopic surgery (VATS) lobectomy has demonstrated distinct benefits, including shorter hospital stay, reduced pain, better postoperative

lung function, lower rates of bleeding and transfusions and improved ease of commencing adjuvant chemotherapy (2,3). Despite these advantages, slow adoption of VATS is surprising. Less than 50% of lobectomies for primary lung cancers in the United States utilise the VATS approach (4).

The revolution of robotic-assisted thoracic surgery has provided surgeons with high magnification imaging, ergonomic articulation of instruments (7 degrees of

freedom), elimination of fine tremors, with a state-of-the-art master-slave console system for enhanced operator comfort. Since early reports of successful robotic-assisted lobectomy in 2002 (5), it has become an increasingly popular platform for oncological thoracic resection, demonstrating respectable perioperative and long-term outcomes (6,7).

In South East Asia, completely portal robotic lobectomy (CPRL4) is still in its early infancy and sparsely reported in the medical literature. The aim of this study is to evaluate the feasibility of completely portal robotic lobectomy (CPRL4) for patients with early stage non-small cell lung cancer (NSCLC), analysing the perioperative and mid-term results.

We present the following article in accordance with the STROBE reporting checklist (available at <http://dx.doi.org/10.21037/jtd-20-1915>).

## Methods

### Study design

This is a single-institution retrospective cohort study of consecutive patients who underwent CPRL4 for early stage NSCLC from June 2013–November 2017. This study was approved by the Domain Specific Review Board National Health Care Group (Singapore), with waiver of patient consent (Study Reference Number 2017/00762). The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). All procedures were carried out by a single surgeon at an academic and training institution in Singapore.

### Inclusion criteria

Eligibility for curative R0 surgical lobectomy included biopsy-proven or highly suspected primary NSCLC lung without locally advanced extra-thoracic disease demonstrated on computed tomography (CT) of the thorax and full body positron emission tomography-CT (PET-CT). Only subjects with NSCLC pathological stage I and stage II [7th edition of American Joint Committee on Cancer Staging (8)] were enrolled in the study. Although the latest 8th edition of TNM staging has been effective worldwide since 2018, these patients were treated during the time when the 7th edition of TNM staging was in effect. Hence, the 7th edition of TNM staging was used in this study. Small-cell lung cancers, carcinoid, metastatic or

benign lesions and rare thoracic parenchymal malignancies were excluded. To minimise bias, robotic resection was offered to all patients who fulfilled the above criteria. Before the introduction of the robotic platform, this cohort of patients would have otherwise had undergone open or video assisted thoracoscopic lobectomy. Patient who underwent robotic segmentectomy and wedge resections were excluded from this study as well.

### Operative technique

All patients underwent anatomical lobectomy with mediastinal lymph node dissection by CPRL4 technique as described by Cerfolio using the Xi Robot (da Vinci; Intuitive Surgical, Sunnyvale, California) (9). Paravertebral nerve block via paravertebral catheter or intravenous infusion of patient-controlled analgesia was employed for pain control. Post-operatively, patients were routinely admitted to the surgical high dependency unit.

### Data collection

Preoperative, intraoperative and postoperative data were extracted from existing medical records. Conversion was defined by rib-spreading thoracotomy after docking of the robot. Major morbidity and complication were defined according to The Society of Thoracic Surgeons database's definitions. Upon discharge, all patients were reviewed at two weeks with chest X-ray followed by interval 6 monthly CT chest. If suspicious lesions (>8 mm) were found, PET/CT would be performed along with other relevant investigations. Overall survival and recurrence-free survival were recorded from date of operation to date of death or last trackable follow-up.

### Statistical method

Continuous data was presented in frequencies and percentages, while categorical data was expressed in median and range. Overall survival and recurrence-free survival were analysed by using Kaplan-Meier method and compared by Log-rank test. All analyses were performed with SPSS statistical software version 25.

## Results

A total of 59 consecutive patients were included in this study. *Table 1* depicts the patient characteristic and co-

**Table 1** Patient characteristics

Characteristics	N=59
Age, median [range]	68 [40–82]
Gender (male:female)	29:30
Smoking history, n (%)	
Non-smoker	38 (64.4)
Ex-smoker	14 (23.7)
Current-smoker	7 (11.9)
Co-morbidities, n (%)	
Hypertension	36 (61.0)
Hyperlipidemia	35 (59.3)
Diabetes	15 (25.4)
Coronary artery disease	12 (20.3)
Atrial fibrillation	1 (1.7)
Cerebral vascular disease	3 (5.1)
Chronic obstructive pulmonary disease	2 (3.4)
Asthma	6 (10.2)
Renal disease	1 (1.7)
Thyroid disease	6 (10.2)
Previous malignancy	8 (13.6)
Rheumatologic diseases on steroids	3 (5.1)
ASA, median [range]	2 [1–3]

morbidities. *Table 2* reports the tumour characteristic and stage distribution. *Table 3* shows the peri-operative variables. The median age was 68 years (40–82 years). Co-morbidities included hypertension 61%, hyperlipidemia 59.3%, diabetes 25.4%, coronary artery disease 20.3%, atrial fibrillation 1.7%, cerebral vascular disease 5.1%, chronic obstructive pulmonary disease 3.4%, asthma 10.2%, chronic renal disease 1.7%, thyroid disease 10.2%, previous malignancy 13.6% and rheumatologic diseases on steroids 5.1%. Median operative time was 155 min (range, 80–313 min). Median ICU/HDU stay, chest tube duration and length of hospital stay were 1 day (range, 0–4 days), 2 days (range, 1–20 days) and 4 days (range, 2–30 days) respectively.

The conversion rate was 13.6% (N=8). Conversion case one was a right upper lobectomy with extensive calcified hilar lymphadenopathy and aberrant vessels supplying the right upper lobe. Conversion case two was a left lower

**Table 2** Tumour characteristics and staging

Variables	Number
Location of tumour	
Right:left	34:25
Right upper lobe (%)	22/59 (37.3)
Right middle lobe (%)	4/59 (6.8)
Right lower lobe (%)	12/59 (20.3)
Left upper lobe (%)	15/59 (25.4)
Left lower lobe (%)	10/59 (16.9)
Tumour size on CT (cm), median [range]	2.65 [1–4.6]
Tumour size on histology (cm), median [range]	2.5 [0.9–7]
Histological type (%)	
Adenocarcinoma	51/59 (86.4)
Squamous cell carcinoma	7/59 (11.9)
Adenosquamous carcinoma	1/59 (1.7)
Pre-operative clinical staging (%)	
Stage 1A	38/56 (67.9)
Stage 1B	13/56 (23.2)
Stage 2A	0/56
Stage 2B	2/56 (3.6)
Stage 3A	2/56 (3.6)
Final pathological staging (%)	
Stage 1A	33/59 (55.9)
Stage 1B	14/59 (23.7)
Stage 2A	6/59 (10.2)
Stage 2B	6/59 (10.2)
Upstaged after pathological staging (%)	13/56 (23.2)
Nodal	9/56 (16.1)
Tumour size	4/56 (7.1)
EGFR+	14/30 (46.7)
Tumour grade (%)	
Well	14/49 (28.6)
Moderate	23/49 (46.9)
Poor	12/49 (24.5)

lobectomy where abnormal vessels were encountered during hilar dissection which crossed over the left lower lobe vessels to supply the left upper lobe. This required a vascular

sleeve. The necessary minimally invasive instruments were not available for use at that time. Conversion case three was a right lower lobectomy where pulmonary artery haemorrhage occurred during dissection but it was safely controlled by applying pressure with a sponge stick.

**Table 3** Operative characteristics

Characteristics	N=59
Operative time, median [range] (min)	155 [80–313]
Blood loss	
Insignificant (<50 mL)	43 (72.9)
100 mL	8 (13.6)
200 mL	4 (6.8)
200–300 mL	4 (6.8)
Conversions	8 (13.6)
Intensive care/high dependency unit duration, median [range] (days)	1 [0–4]
Chest tube duration, median [range] (days)	2 [1–20]
Length of hospital stay, median [range] (days)	4 [2–30]
Readmission	3 (5.1)
Re-intervention (chest tube insertion)	1 (1.7)
30-day mortality	0 (0)
Complications	15 (25.4)
Prolonged chest tube (>7 days)	8 (13.6)
Atrial fibrillation	2 (3.4)
Haemothorax	1 (1.7)
Acute kidney injury	1 (1.7)
Delirium	1 (1.7)
Bleeding gastrointestinal tract	1 (1.7)
Subglottis oedema	1 (1.7)
Acute urinary retention	1 (1.7)

**Table 4** Mid-term results

Results	1-year (95% CI)	2-year (95% CI)	3-year (95% CI)
Stage I survival	97.8 (93.5–100)	95.3 (88.8–100)	88.4 (77.4–99.4)
Stage I recurrence free survival	91.1 (82.9–99.3)	81.1 (69.1–93.2)	75.6 (62.3–88.9)
Overall survival %	99.5 (91.6–100)	94.5 (88.4–100)	86.2 (72.0–96.8)
Overall recurrence free survival %	85.9 (76.9–94.9)	78.0 (66.8–89.2)	69.0 (56.1–81.9)

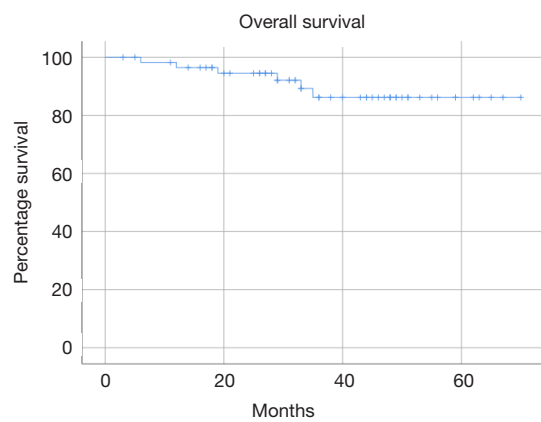
Conversion case four was a right middle lobectomy where the tumour was adherent to the pericardium and right middle lobe hilar structures. Conversion case five was a left upper lobectomy with aberrant anatomy of the hilar vessels that threatened safe transection of the left upper lobe vessels. Another 2 cases were converted to open surgery due to robot technical difficulties of failure of eye vision. The other remaining case had dense adhesions and fragile tissues. To summarise, reasons for conversion included aberrant vascular and hilar anatomy, non-progression of the case, pulmonary artery haemorrhage and robot technical difficulties.

Overall, 98.2% achieved R0 resection. One patient had positive parenchymal margins on final histopathology. Median tumour size on CT thorax was 2.65 cm (1–4.6 cm). Median tumour size on histology was 2.5 cm (0.9–7 cm). Histological type was predominately adenocarcinoma 86.4%, followed by squamous cell carcinoma 11.9% and adenosquamous carcinoma 1.7%. Pre-operative clinical staging was Stage 1A 67.9%, Stage 1B 23.2%, Stage 2A 0%, Stage 2B 3.6% and Stage 3A 3.6%. Final pathological staging was Stage 1A 55.9%, Stage 1B 23.7%, Stage 2A 10.2% and Stage 2B 10.2%. In comparison, 23.2% (N=13) were upstaged following pathological staging. Nodal upstaging was 16.1% (N=9), while tumour size upstaging was 7.1% (N=4).

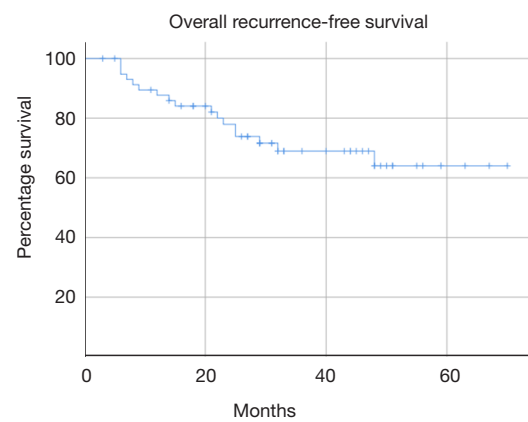
Median follow-up was 33 months (range, 3–70 months). The mid-term results are summarised in *Table 4* and *Figures 1–4*. The 3-year overall survival and recurrence-free survival were 86.2% (95% CI, 72.0–96.8) and 69% (95% CI, 56.1–81.9) respectively. The 3-year stage-specific overall survival and recurrence free survival for stage I patients were 88.4% (95% CI, 77.4–99.4) and 75.6% (95% CI, 62.3–88.9) respectively.

## Discussion

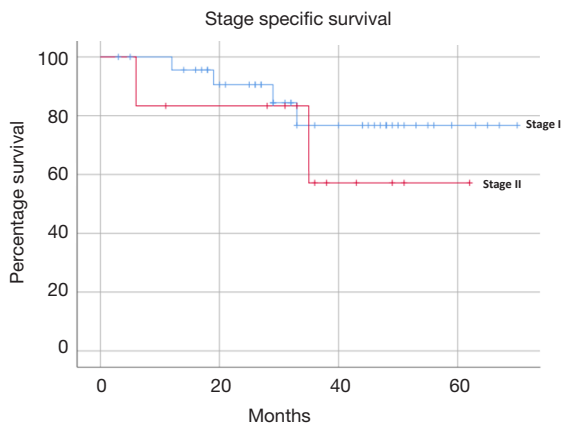
The true value of an oncological resection lies in the intent



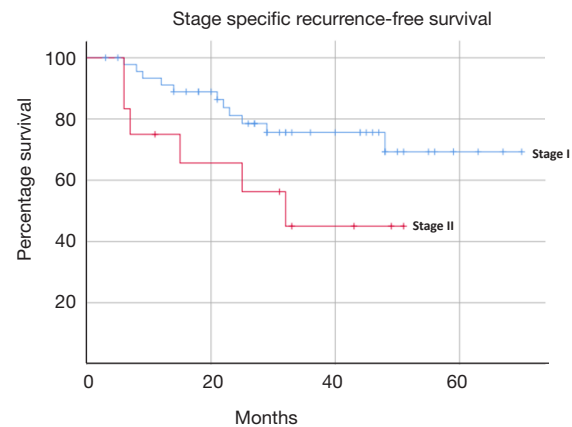
**Figure 1** Overall survival for Stage I&II NSCLC after CPRL4. NSCLC, non-small cell lung cancer.



**Figure 3** Recurrence-free survival for Stage I&II NSCLC after CPRL4. NSCLC, non-small cell lung cancer.



**Figure 2** Stage-specific overall survival for Stage I&II NSCLC after CPRL4. NSCLC, non-small cell lung cancer.



**Figure 4** Stage-specific recurrence-free survival for Stage I&II NSCLC after CPRL4. NSCLC, non-small cell lung cancer.

for cure and quality of life, whilst balancing the cost and downtime to the patient. Numerous studies in the literature have demonstrated reassuring results of the utility of robotic-assisted lobectomy for primary lung cancer (6,7,10,11). Cerfolio and colleagues published the largest multicentre series of 1,339 patients who underwent robotic lobectomy for primary lung cancer. They reported median operative time 136 minutes, 9% conversion rate, median hospital stay of 3 days (7). 8% experienced major complications, 16% minor complications with a 0.2% 30-day mortality. The 5-year stage-specific survival for patients with stage I&II NSCLC ranged from 68% to 83%. Another large series of robotic-assisted lobectomy for early stage NSCLC by Park and colleague that showed 3-year overall survival rates of Stage IA 97% (95% CI, 94–100), Stage IB 88% (95%

CI, 77–98), Stage II 72% (95% CI, 56–88) (6). Comparing VATS and robotic-assisted lobectomy for NSCLC, a multi-centre meta-analysis concluded that there were no significant differences in peri-operative morbidity and mortality in both groups (11). In a similar vein, Lee and colleagues reported 15.2% and 13.2% nodal upstaging in patients with clinically N0 NSCLC for the VATS and robotic-assisted approach respectively (10). Despite inclusion of the learning curve, key quality outcome indicators in this study such as operative time, conversion rate, length of hospital stay, complication rate, operative mortality, nodal upstaging rate and mid-term overall survival were comparable to other studies in the literature (6,7,9,10).

Fortunately, the learning curve for the robotic-assisted approach is less steep in contrast to VATS (11). In addition,

it has been demonstrated that training of surgical residents to perform robotic-assisted lobectomy whilst maintaining high quality outcomes was feasible (12). In this series, to bridge this learning curve, we set out to create protocols and training for the entire robotic surgery team. This involved multiple bi-monthly dry runs of docking and operating the robot with the aid of a simple laparoscopic training manikin and response to common critical intra-operative situations such as bleeding and non-progression of the case. Subsequently, we sought to adopt the use of the robotic-assisted approach in mediastinal operations and pulmonary wedge resections prior to embarking on the anatomical lobectomy. In our entire consecutive series of robotic lobectomy for primary lung cancer, there were 6 patients who were pre-operative clinical stage 3A and 4 patient stage 4 (Oligo-metastasis) there were not included in this study. These would be considered as locally advanced resectable lung cancer and they will be analysed separately in another paper. Also, 2 patients were not offered the robotic assisted approach. One patient had cost issues while another had extensive hilar fibrosis post neoadjuvant chemoimmunotherapy. Nevertheless, cost is a major concern. Studies revealed that robotic cases cost at least \$3,000 USD more than VATS (13,14), largely owing to the longer operating time and robotic supplies. It costs approximately \$100K–170K USD annually to maintain the robot (11).

There are a number of limitations in this study. The retrospective nature of the study is invariably subjected to selection bias. As the sample size is small, type 2 error is pronounced. Other important measurables such as pain score, functional status, lung function, cost effectiveness and long-term survival and recurrence will be analysed in future papers.

By clearly defining CRPL4, setting guidelines and following strict algorithms, it is possible to delivery promising perioperative and mid-term outcomes for early stage NSCLC, even in a geographical location that has yet to assimilate this technology.

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### Footnote

*Reporting Checklist:* The authors have completed the

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*Data Sharing Statement:* Available at <http://dx.doi.org/10.21037/jtd-20-1915>

*Conflicts of Interest:* All authors have completed the ICMJE uniform disclosure form (available at <http://dx.doi.org/10.21037/jtd-20-1915>). The authors have no conflicts of interest to declare.

*Ethical Statement:* All authors are accountable for all aspects of the work (if applied, including full data access, integrity of the data and the accuracy of the data analysis) in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). This study was approved by the Domain Specific Review Board National Health Care Group (Singapore), with waiver of patient consent (Study Reference Number 2017/00762).

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