1 Antigen-based multiplex strategies to discriminate SARS-CoV-2 natural and vaccine induced 2 immunity from seasonal human coronavirus humoral responses

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- 4 Eric D. Laing^{1*}, Spencer L. Sterling^{1,2}, Stephanie A. Richard^{2,3}, Nusrat J. Epsi^{2,3}, Si'Ana
- 5 Coggins^{1,2}, Emily C. Samuels^{1,2}, Shreshta Phogat^{1,2}, Lianying Yan^{1,2}, Nicole Moreno^{2,3}, Christian
- 6 L. Coles^{2,3}, Matthew Drew⁴, Jennifer Mehalko⁴, Caroline E. English^{2,3}, Scott Merritt^{2,3,5}, Katrin
- 7 Mende^{2,3,5}, Vincent J. Munster⁶, Emmie de Wit⁶, Kevin K. Chung⁷, Eugene V. Millar^{2,3}, David R.
- 8 Tribble³, Mark P. Simons^{1,3}, Simon D. Pollett^{2,3}, Brian K. Agan^{2,3}, Dominic Esposito⁴, Charlotte
- 9 Lanteri², G. Travis Clifton⁵, Edward Mitre¹, Timothy H. Burgess², and Christopher C. Broder^{1*}

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- ¹Department of Microbiology and Immunology, Uniformed Services University of the Health
- 13 Sciences, Bethesda, MD, USA
- ²Henry M. Jackson Foundation for the Advancement of Military Medicine, Inc., Bethesda, MD
 USA
- 16 ³Infectious Disease Clinical Research Program, Department of Preventive Medicine and
- 17 Biostatistics, Uniformed Services University of the Health Sciences, Bethesda, MD, USA
- ⁴Protein Expression Laboratory, National Cancer Institute RAS Initiative, Frederick National
- 19 Laboratory for Cancer Research, Frederick, MD, USA
- 20 ⁵Brooke Army Medical Center, JBSA Fort Sam Houston, TX, USA
- ⁶Laboratory of Virology, Rocky Mountain Laboratories, National Institute of Allergy and
- 22 Infectious Diseases, National Institutes of Health, Hamilton, MT, USA
- ⁷Department of Medicine, Uniformed Services University of the Health Sciences, Bethesda, MD,
- 24 USA
- 25
- 26

- 28 *Correspondence
- 29 Eric D. Laing, PhD
- 30 Department of Microbiology and Immunology
- 31 Uniformed Services University, Bethesda, MD 20814
- 32 Phone: 301-295-9884
- 33 Fax: 301-295-1545
- 34 Email: eric.laing@usuhs.edu
- 35 Christopher C. Broder, PhD
- 36 Department of Microbiology and Immunology
- 37 Uniformed Services University, Bethesda, MD 20814
- 38 Phone: 301-295-3401
- 39 Fax: 301-295-1545
- 40 Email: christopher.broder@usuhs.edu
- 41

42 ABSTRACT

Sensitive and specific SARS-CoV-2 antibody assays remain critical for community and hospital-43 44 based SARS-CoV-2 sero-surveillance. With the rollout of SARS-CoV-2 vaccines, such assays 45 must be able to distinguish vaccine from natural immunity to SARS-CoV-2 and related human 46 coronaviruses. Here, we developed and implemented multiplex microsphere-based 47 immunoassay strategies for COVD-19 antibody studies that incorporates spike protein trimers of 48 SARS-CoV-2 and the endemic seasonal human coronaviruses (HCoV), enabling high 49 throughout measurement of pre-existing cross-reactive antibodies. We varied SARS-CoV-2 50 antigen compositions within the multiplex assay, allowing direct comparisons of the effects of 51 spike protein, receptor-binding domain protein (RBD) and nucleocapsid protein (NP) based 52 SARS-CoV-2 antibody detection. Multiplex immunoassay performance characteristics are 53 antigen-dependent, and sensitivities and specificities range 92-99% and 94-100%, respectively, 54 for human subject samples collected as early as 7-10 days from symptom onset. SARS-CoV-2 55 spike and RBD had a strong correlative relationship for the detection of IgG. Correlation 56 between detectable IgG reactive with spike and NP also had strong relationship, however, 57 several PCR-positive and spike IgG-positive serum samples were NP IgG-negative. This spike 58 and NP multiplex immunoassay has the potential to be useful for differentiation between 59 vaccination and natural infection induced antibody responses. We also assessed the induction 60 of *de novo* SARS-CoV-2 IgG cross reactions with SARS-CoV and MERS-CoV spike proteins. 61 Furthermore, multiplex immunoassays that incorporate spike proteins of SARS-CoV-2 and 62 HCoVs will permit investigations into the influence of HCoV antibodies on COVID-19 clinical 63 outcomes and SARS-CoV-2 antibody durability.

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67 INTRODUCTION

68 Severe acute respiratory syndrome coronavirus-2 (SARS-CoV-2) is a novel zoonotic 69 positive-sense, single-stranded, RNA virus responsible for the third viral pandemic of the 21st 70 century, and the third zoonotic coronavirus outbreak in the past 20 years (1, 2). At this time, 71 SARS-CoV-2 has globally caused 106 million COVID-19 cases and over 2 million COVID-19 72 related deaths. Serology studies have demonstrated SARS-CoV-2 infection elicits an antibody 73 responses that can persist for as long as 8 months (3), and that the magnitude of the antibody 74 response is associated with COVID-19 severity (4, 5). A variety of antibody tests have been 75 developed and granted Emergency Use Authorization (EUA) by the U.S. Food and Drug 76 Administration (6), with the majority of these tests designed to assess for antibodies against the 77 SARS-CoV-2 spike (S) envelope glycoprotein, the primary target of virus-neutralizing antibodies 78 (7), in either its native-like oligomer conformation, or against one of its protein subunits or 79 domains.

80 A native-like SARS-CoV-2 prefusion stabilized S-2P glycoprotein ectodomain trimer 81 (hereafter referred to as spike) (8, 9) has been adopted for large-scale SARS-CoV-2 antigen-82 based serology and serosurveillance (10-13). The receptor-binding domain (RBD) located within 83 the more variable S1 subunit of the S glycoprotein, lacking potentially conserved epitopes with 84 endemic seasonal human coronavirus (HCoV) S glycoproteins and conferring specificity for 85 SARS-CoV-2, has also been extensively used in antigen-based immunoassays (10, 14-16). 86 Furthermore, immunoassay detection of IgG antibodies that can bind to RBD has been used as 87 a surrogate for neutralization tests which require cell-culture, pseudoviruses, or high biosafety-88 containment and wild-type SARS-CoV-2 (15, 17, 18). Lastly, the SARS-CoV-2 nucleocapsid 89 protein (NP) has been used in several lateral flow and antigen-based COVID-19 serology tests 90 (6).

A handful of microsphere-based SARS-CoV-2 serology assays have been developed,
 facilitating high throughput multiplex strategies for antibody detection (19-23). Multiplex

93 microsphere-based immunoassays (MMIA) have several advantages over traditional 94 immunoassays including optical improvements in sensitivity and specificity, as well as 95 reductions in sample volume and materials to test for antigen-specific antibodies since multiple 96 target antibodies can be simultaneously captured with multiple antigens coupled to unique 97 fluorescent microspheres. Additionally, multiplexing systems, e.g. Luminex xMAP-based 98 platforms, have a large dynamic range, which have been shown to be more sensitive than 99 ELISA for the detection of antibodies to viral infections (21, 24-26). As COVID-19 vaccine 100 rollouts continue in the U.S., MMIA strategies allowing simultaneous detection of spike and NP 101 reactive antibodies may facilitate differentiation of SARS-CoV-2 natural infection and 102 vaccination (27), as all current U.S. FDA EUA COVID-19 vaccines induce humoral responses to 103 the S glycoprotein (28, 29).

104 We have previously utilized a SARS-CoV-2 MMIA in cross-sectional serological analysis 105 of military healthcare workers deployed to the Jacob K. Javits Center COVID-19 field hospital. 106 (Javits Medical Station) (30) and U.S. Navy personnel deployed on the USNS COMFORT (31) 107 and during the first SARS-CoV-2 epidemic wave in New York City, NY. Utilizing sera from three 108 cohorts, a) SARS-CoV-2 naïve serum samples from the Acute Respiratory Infection Consortium 109 Natural History Study (ARIC) collected from 2012 – 2018 (32), b) serum samples from PCR-110 confirmed SARS-CoV-2 subjects enrolled in the ongoing Epidemiology, Immunology, and 111 Clinical Characteristics of Emerging Infectious Diseases with Pandemic Potential (EPICC) study 112 and c) serum samples from hospitalized patients at the Javits Medical Station (JMS) who 113 participated in the COVID-19 Antibody Prevalence in Military Personnel Deployed to New York 114 (CAMP-NYC) study (30), we describe the utility of three distinct antigen-based MMIAs for 115 COVID-19 serology studies. 116 Initially, we examined sensitivity differences in detection of SARS-CoV-2 antibodies

between widely used antigens: SARS-CoV-2 prefusion stabilized S-2P glycoprotein ectodomain
 trimer (spike), a monomeric receptor-binding domain (RBD) protein and nucleoprotein (NP).

119 Additionally, given the high seroprevalence of the seasonal HCoVs (33-35) and evidence of pre-120 existing antibody cross-reactivity with SARS-CoV S glycoprotein (36, 37), we validated 121 improvements to assay specificity by the concurrent measurement of SARS-CoV-2 and HCoV-122 specific immunoglobulin G (IgG) in a multiplex approach and report the stimulation of cross-123 reactive antibody responses across ARIC, EPICC and JMS cohorts. Furthermore, we 124 standardized SARS-CoV-2 and HCoV MMIA SARS-CoV-2 lgG detection in paired venous and 125 capillary blood specimens collected by serum separator tubes (SST) and dried blood spots 126 (DBS), respectively. 127 The objectives of this study were to develop and validate a high throughput antigen 128 based assay which can discriminate SARS-CoV-2 from seasonal human coronavirus (HCoV) 129 infections, as well as SARS-CoV-2 vaccination, including from specimens collected through self-130 collected DBS specimens which may greatly facilitate the performance of post vaccination 131 serosurveys and vaccine effectiveness studies. This SARS-CoV-2/HCoV MMIA strategy also 132 has the potential to enhance investigations of the interplay of pre-existing seasonal HCoV 133 antibodies on SARS-CoV-2-specific antibody durability, COVID-19 symptom presentation and 134 disease severity, and we present exploratory results here which show that coronavirus

serological cross-reactivity is influenced by the severity of SARS-CoV-2 illness.

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137 MATERIALS AND METHODS

138 Recombinant protein antigens and microsphere coupling

Prefusion stabilized SARS-CoV-2 spike (S) glycoprotein was used in serology testing to capture the full humoral response including all conformation-dependent antibodies. Prefusion stabilized SARS-CoV-2 S-2P glycoprotein ectodomain trimers (spike protein) and SARS-CoV-2 RBD were purchased from LakePharma, Inc. (Hopkinton, MA USA). This SARS-CoV-2 spike protein shares an equivalent ectodomain with the NIH Vaccine Research Center designed SARS-CoV-2 spike protein, and the Mount Sinai SARS-CoV-2 spike protein used in ELISA-

145 based serology (9, 10, 16, 38, 39).

146 Design and expression of prefusion stabilized betacoronavirus (β -CoV) HCoV-HKU1, 147 HCoV-OC43, SARS-CoV and MERS-CoV spike proteins have been previously described (37, 148 38). The alphacoronavirus (α -CoV) HCoV-229E and HCoV-NL63 spike proteins were similarly 149 constructed and prepared (LakePharma, Inc.). The SARS-CoV-2 nucleocapsid protein (NP) was 150 purchased from RayBiotech, Inc. (Peachtree Corners, GA, USA). A mock antigen, consisting of 151 cell culture supernatant from non-transfected HEK cells was collected via centrifugation then 152 filtered through a 0.22 µM PES filter to remove debris. Mock antigen-coupled beads were 153 included in each microtiter well to control for non-specific/artificial antisera binding; samples that 154 react with the mock antigen above an established 3-fold cutoff were retested. Proteins were 155 coupled to carboxylated magnetic MagPlex microspheres (Bio-Rad, Hercules, CA) at a protein 156 to microsphere ratio of 15 µg:100 µL, and antigen-coupled microspheres were resuspended in a 157 final volume of 650 µL following manufacturer's protocol (Bio-Rad) for amine coupling.

158 Participant enrollment and sera collection

159 SARS-CoV-2 negative human serum specimens utilized were from sera collected from 160 2012 – 2018 in the ARIC Natural History Study (IDCRP-045) (32). ARIC sera predate the 161 COVID-19 pandemic and were collected from subjects who had nasopharyngeal swabs tested 162 by nucleic acid amplification methods for virus etiologies of acute respiratory infections; samples 163 collected from individuals with rhinovirus and the seasonal HCoVs, HCoV-OC43, -HKU1, -229E 164 and -NL63 were used (40). In addition, we utilized serum samples collected since the 165 emergence of SARS-CoV-2 under the IDCRP EPICC (IDCRP-085) protocol; a prospective, 166 longitudinal observational cohort study to analyze the natural history of COVID-19 disease. 167 Subjects were enrolled at five hospitals across the continental U.S., including Walter Reed 168 National Military Medical Center (WRNMMC, Bethesda, MD), Brooke Army Medical Center 169 (BAMC, San Antonio, TX), Naval Medical Center San Diego (NMCSD, San Diego, CA),

170 Madigan Army Medical Center (MAMC, Tacoma, WA) and Fort Belvoir Community Hospital 171 (FBCH, Fort Belvoir, VA). Subjects of all race and gender seeking treatment for acute illness at 172 these military hospitals were offered enrollment into the ARIC, IDCRP-045 and EPICC, IDCRP-173 085 protocols. EPICC study enrollment included subjects with laboratory-confirmed SARS-CoV-174 2 infection by nucleic acid amplification test, subjects with compatible illness in whom SARS-175 CoV-2 infection is initially suspected but PCR confirmed as SARS-CoV-2 negative, and 176 asymptomatic subjects at risk of SARS-CoV-2 due to high risk exposure. Additionally, serum 177 samples from 35 subjects undergoing treatment at JMS under the CAMP-NYC protocol were 178 included in the assessment of assay performance. ARIC (IDCRP-045), EPICC (IDCRP-085) 179 and CAMP-NYC protocols were approved by the Uniformed Services University Institutional 180 Review Board.

181 Multiplex microsphere-based immunoassay screening procedures

182 Three antigen-distinct MMIA were established: a) β -CoV MMIA that included SARS-

183 CoV-2 spike and RBD, and SARS-CoV, MERS-CoV, HCoV-HKU1 and HCoV-OC43 spike; b) a

184 SARS-CoV-2 and HCoV MMIA (SARS-2/HCoV) that included SARS-CoV-2, HCoV-HKU1,

185 HCoV-OC43, HCoV-229E and HCoV-NL63 spike; and c) a SARS-CoV-2 spike and NP MMIA

186 (SARS-2 spike/NP) (Table 1).

187 Serum samples were collected from venipuncture in serum separator tubes, processed 188 and stored at -80 °C in 250 µL aliguots until use. At-home blood collection was performed with 189 Mitra Collection Kits (Neoteryx, Torrance, CA, USA). Microsampler tip dried blood spots (DBS) 190 were placed into deep-96-well microtiter plates containing 400 µL of 1X PBS-T and eluted 191 overnight at 4 °C with agitation, 300 rpm. The duplicate microsampler tip DBS eluents were 192 combined to 800 µL, vortexed, aliquoted to 200 µL and stored at -80 °C until antibody testing. 193 For each 96-well plate, a multiplex master mix of antigen-coupled microspheres was made by 194 diluting 100 µL of each antigen-coupled microsphere working stock into 10 mL (1:100) 1XPBS

195 without calcium and magnesium (Corning Inc., Corning, NY) (all mentions of PBS refer to solutions without calcium and magnesium), and 100 µL of this master mix were added to each 196 197 well so that each well contained 1 μ L (~23 ng) of each antigen-coupled microsphere per well. 198 Wells were washed with 1XPBS + 0.05% Tween20 + 0.02% sodium azide two times. One 199 hundred microliters of each serum sample was added to each well. Serum samples were diluted 200 within a class II type A2 biological safety cabinet (BSC) then subjected to thermal inactivation 201 for 30 min at 60 °C. Human serum samples (1.25 μL) were diluted 1:400 in PBS and eluted 202 DBS aliguots were diluted 1:10 in PBS, and tested in technical duplicate A and B plates. 203 Controls on each duplicate plate included a PBS blank (wells: A1, B1, G12, H12) and SARS-204 CoV-2 PCR-confirmed positive (C1, F12) and negative (D1, E12) serum sample. SARS-CoV-2 205 qualified controls for established expected inter- and intra-plate variations. 206 Samples were incubated at room temperature for 45 minutes with agitation (900 rpm), 207 and plates were washed three times by an automated plate washer. Secondary antibody (goat 208 anti-human IgG cross-absorbed biotin-conjugated or goat anti-human IgM cross-absorbed 209 biotin-conjugated; Thermo Fisher Scientific, Waltham, MA) was diluted 1:5000 in 1XPBS + 210 0.05% Tween20 (PBST) and 100 µL of each secondary was added to each well and incubated 211 for 45 minutes with agitation, and plates were washed three times. Streptavidin-phycoerythrin 212 (Bio-Rad) was diluted 1:1000 in PBST and 100 µL was then added to each well and incubated 213 for 30 minutes with agitation, and plates were washed three times. Lastly, 100 µL of PBST was 214 added to each well and plates were resuspended by agitation for 5 minutes. Plates were read

215 on Bio-Plex 200 multiplexing systems (Bio-Rad) with PMT voltage setting to the High RP1 target

and 100 bead count requirements. Antibody levels are reported as quantified median

217 fluorescence intensity (MFI). The average MFI of the four PBS-blank wells on each plate were

subtracted from the MFI of each sample well and MFI values for samples are reported as the

219 PBS adjusted average from duplicate plates.

220 Threshold cutoffs for SARS-CoV-2 antibody

221 To establish threshold cutoffs for SARS-CoV-2 spike protein-specific antibody reactivity, 222 we tested 127 archival acute and convalescent human serum samples from ARIC. Acute and 223 convalescent serum samples were collected within approximately three and twenty-eight days 224 of symptom onset, respectively. We established a cut-off of three standard deviations above the 225 mean (99.7% probability) MFI of archival HCoV PCR-confirmed convalescent serum samples 226 (n= 43) to establish a positivity threshold for detection of SARS-CoV-2 spike protein reactive 227 IgG and IgM antibodies. The remaining 84 archival serum samples were tested against this MFI 228 threshold cutoff for SARS-CoV-2 reactivity. The 127 archival ARIC serum samples were tested 229 in technical duplicates in three independent experiments (β -CoV MMIA) and two independent 230 experiments (SARS-2/HCoV MMIA and SARS-2 spike/NP MMIA) to establish threshold cutoffs 231 and specificity for SARS-CoV-2. A highly reactive HKU1 PCR+ convalescent serum sample to 232 SARS-CoV-2 NP was excluded in the 99.7% threshold sacrificing specificity for sensitivity.

233 Non-human primate sera

Archived sera were used from rhesus macaques inoculated with a total dose of 2.6x10⁶ TCID50 of SARS-CoV-2 via a combination of intranasal, intratracheal, oral and ocular inoculation routes (41). Serum samples were collected at dpi 0 (baseline) and 21. SARS-CoV-2 IgG antibody seroconversion was determined as a 4-fold increase in MFI compared to the baseline sera collection.

239 Statistical analysis

Figures were generated and statistical analyses were performed in GraphPad Prism version 7.0. When comparing zoonotic and endemic spike-specific IgG levels, MFI values were log10-transformed, checked for normality and parametric unpaired t-tests were employed to compare EPICC and JMS cohort to ARIC; statistical analysis with one-way ANOVA and Holm-Sidak's multiple comparison were also utilized. When normality was not met, non-parametric

245 unpaired Mann Whitney tests were performed. The positive predictive value and negative

246 predictive value were calculated with MedCalc statistical software. ROC analysis was conducted

- using R version 4.0.2.
- 248
- 249 **RESULTS**
- 250 Generation of threshold cutoffs for SARS-CoV-2 antibodies

251 Despite low sequence similarity and identity between SARS-CoV-2 spike protein and 252 seasonal HCoV spike proteins, pre-existing antibodies induced by prior infections with seasonal 253 HCoVs are potentially cross-reactive, seemingly driven by conserved epitopes shared by the 254 SARS-CoV-2 S glycoprotein S2 subunit (36, 37, 42). To enhance specificity for SARS-CoV-2 255 antibody detection, we established antibody positive/negative thresholds with SARS-CoV-2 256 naïve serum samples from human subjects with PCR-confirmed HCoV infections via the ARIC 257 study (32, 40). First, we examined pre-existing IgG and IgM reactivity to SARS-CoV-2 antigens: 258 spike, RBD and NP. IgG in convalescent sera collected ~28 days after PCR-confirmed HCoV 259 infections were more reactive with SARS-CoV-2 spike and NP than paired acute sera (Fig. 1A-260 C), suggesting that in a subset of persons recent HCoV-infection induces antibodies that are 261 reactive with SARS-CoV-2 S glycoprotein and NP antigens. In some specific samples IgG in 262 acute serum were more reactive with RBD (Fig. 1B). 263

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279 Figure 1. Pre-existing cross-reactivity with SARS-CoV-2 antigens informs threshold

280 **cutoffs for antibody positivity.** Acute and convalescent serum samples from SARS-CoV-2

281 naïve HCoV PCR-positive subjects were tested in β -CoV (A-B) and SARS-CoV-2 spike/NP (C)

282 MMIA. SARS-CoV-2 antigens are indicated. Subjects are grouped together based on HCoV

283 PCR confirmation, HCoV-OC43 (n= 16), HCoV-HKU1 (n= 6), HCoV-NL63 (n= 13) and HCoV-

284 229E (n= 10). MFI, median fluorescence intensity; IgG MFI values represent the MEAN of

285 independent experiments performed in technical duplicates.

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292 Second, accounting for this pre-existing cross-reactivity, we applied conventional 293 probability distributions three standard deviations above the mean (99.7%) of these ARIC 294 SARS-CoV-2 naïve HCoV-PCR confirmed convalescent sera to generate threshold cutoffs for 295 SARS-CoV-2 positive antibodies (Fig. S1A-C). In addition to 99.7% probability distribution of 296 ARIC sera, receiver operating characteristic (ROC) curve analysis of sera collected from SARS-297 CoV-2 RT-PCR confirmed subjects enrolled in the ongoing EPICC protocol were applied for 298 spike, RBD and NP reactive IgG thresholds (Fig. S2A-D). ROC analyses identified MFI cutoff 299 values, 4853 and 4144, retaining 100% sensitivity and 100% sensitivity with spike in both β -CoV 300 and SARS-2/HCoV MMIA, respectively. When we applied this ROC curve value as a threshold 301 for SARS-CoV-2 spike reactive IgG, we identified 2/43 (4.7%) serum samples from SARS-CoV-302 2 naïve ARIC with confirmed recent HCoV-OC43 and HCoV-229E infections above this cutoff 303 (Fig. 1A). Further ROC analyses of antigen-specific IgG reactivity identified optimal MFI cutoff 304 values for RBD of 4622 MFI (sensitivity= 82.7%, specificity= 91.5%) and NP of 6372 MFI 305 (sensitivity= 90.5%, specificity= 100%). Pre-existing antibody reactivity with SARS-CoV-2 RBD 306 and SARS-CoV-NP was further observed in 4/43 (9.3%) and 2/43 (4.7%), respectively, of ARIC 307 serum samples from subjects with recent HCoV infections (Fig. 1B-C). These two distinct 308 approaches to establish SARS-CoV-2 positive antibody threshold cutoffs resulted in very narrow 309 positive/negative indeterminate ranges between the ARIC generated 99.7% threshold cutoff and 310 the EPICC generated ROC curve threshold cutoff for spike, RBD and NP reactive IgG (Table 2). 311

312 Validation of multiplex microsphere-based immunoassay strategies

Next, we examined the influence of multiple antigen targets and multiplex composition on the diagnostic specificity and sensitivity of the MMIA strategies. Measurements of MMIA specificities were made using ARIC human serum samples, representing HCoV PCR-positive acute sera, rhinovirus PCR-positive acute/convalescent sera, and acute/convalescent sera from 'no pathogen detected' subjects, and were tested against our established threshold cutoffs. For

318 sensitivity measurements, we utilized sera from SARS-CoV-2 PCR-confirmed human 319 participants in EPICC and CAMP-NYC. Serum samples collected 10 – 73 dpso, median 23.5 320 dpso (IQR= 9.25) from hospitalized patients (n=35) at the JMS who participated in the cross-321 sectional CAMP-NYC protocol were screened for SARS-CoV-2 IgG antibody reactivity with 322 MMIA strategies. 323 In the β -CoV and SARS-2 S-2P/NP MMIA strategies, serum samples (n= 116) collected 324 10 – 60 days post-symptom onset (dpso), median 37 dpso (IQR= 13.75), from SARS-CoV-2 325 PCR-positive outpatient (n = 62) and hospitalized patient (n = 54) participants enrolled in the 326 EPICC protocol were tested for IgG reactivity with SARS-CoV-2 spike and RBD. Using the 327 established threshold cutoffs, SARS-CoV-2 S-2P reactive IgG antibodies were only detected in 328 PCR positive subjects from EPICC and JMS, retaining 100% specificity (Fig. 2A). The 329 respective geometric mean IgG level with 95% confidence intervals (CI) against spike and RBD 330 were 20,542 MFI (18,806-22,440 CI) and 18,271 MFI (16,251-20,542 CI) for EPICC samples 331 (Fig. 2A-B), whereas, the geometric mean IgG level against spike and RBD were 26,829 MFI 332 (25,757-27,945 CI) and 25,869 MFI (24,670-27,126) for JMS samples (Fig. 2A-B). These 333 differences in geometric mean IgG levels are likely a reflection of COVID-19 severity as all JMS 334 participants were hospitalized while outpatients made up a majority of the EPICC participants. 335 Simultaneously utilizing spike and RBD proteins to capture target SARS-CoV-2 reactive IgG, we 336 observed a significant correlation and strong linear relationship (Spearman r value= 0.9748; P= 337 < 0.0001) between antibody binding to spike and RBD (Fig. 2C). SARS-CoV-2 spike reactive 338 IgG antibody detection by β -CoV MMIA was calculated with 95% CI as follows, sensitivity= 339 99.3% (96.3%-99.9% CI), specificity= 100% (95.7%-100.0% CI). Assuming a US disease 340 prevalence of 5.0%, the the β -CoV MMIA had a positive predictive value (PPV) = 100.0%, and 341 negative predictive value (NPV) = 99.9% (99.8%-100.00% CI) (Table 3). Comparatively, utility of 342 SARS-CoV-2 RBD for IgG detection had a slightly reduced sensitivity= 96.0% (91.5%-98.5%

343 CI), specificity= 96.4% (89.9%-99.2% CI), PPV= 58.6% (31.8%-81.1% CI) and NPV= 99.8%
344 (99.5%-99.9% CI) with a 5.0% prevalence estimate.

345 Utility of a SARS-CoV-2 NP antigen was explored in a SARS-CoV-2 spike/NP MMIA 346 able to simultaneously capture antibodies specific to both S glycoprotein and NP. The 347 respective, geometric mean IgG levels of spike and NP were 25,526 MFI (23,703-27,490 CI) 348 and 20,165 MFI (17,241-23,584 CI) in EPICC, and 30,723 MFI (29,434-32,069) and 30,268 MFI 349 (29,350-31,215 CI) in JMS sera. In the absence of HCoV spike, two ARIC serum samples were 350 cross-reactive with SARS-CoV-2 spike above the positive threshold, and all SARS-CoV-2 PCR-351 confirmed samples had detectable spike reactive IgG (Fig. 2D). Five ARIC samples were cross-352 reactive with SARS-CoV-2 NP and 11 EPICC subjects developed IgG to spike but not NP (Fig. 353 2E). Regardless, the correlation between spike and NP was statistically significant (Spearman r 354 value= 0.898; P = < 0.0001) (Fig. 2F). Antigen-specific immune responses to spike and NP have 355 been observed in COVID-19 patients and are associated with disease severity and older age (4, 356 43, 44). The performance of SARS-CoV-2 NP IgG assay sensitivity= 92.7% (87.3%-96.3% CI), 357 specificity= 94.1% (86.7%-98.0% CI), PPV= 45.0% (25.9%-65.8% CI) and NPV= 99.6% (99.3%-358 99.8%) (Table 4). 359 360 361 362 363 364 365 366 367







384 SARS-CoV-2 IgG seroconversion has been detected early after exposure and sometimes in parallel with IgM seroconversion (45-47). For SARS-CoV-2 IgM detection, again 385 386 we applied a ROC analysis threshold, 1445 MFI (Fig. S3A), which was more conservative than 387 the 99.7% probability threshold cutoff, 840 MFI (Fig. S3B). No ARIC sera were reactive above 388 the threshold for SARS-CoV-2 spike protein IgM, and EPICC spike geometric IgM levels were 389 2612 MFI (1684-2775 95% CI) and JMS spike geometric IgM levels were 11,595 MFI (9438-390 14,244 95% CI) (Fig. S3C). EPICC sera tested represent a cohort of 62% outpatients, and 391 differences in detectable IgM levels between EPICC and JMS are probably reflections of 392 COVID-19 clinical outcomes that separate cohort. The SARS-CoV-2 spike reactive IgM 393 detection sensitivity was lower than IgG, with performance analysis conducted with serum 394 samples collected \geq 7 days post-symptom onset, sensitivity= 73.33% (65.51%-80.22% CI), 395 specificity= 100.00% (95.70-100.00%), PPV= 100.00 and NPV= 98.62% (98.20%-98.95%) 396 (Table S1). The temporal window to capture SARS-CoV-2 IgM was shorter than IgG, and as 397 serum samples included in β -CoV MMIA performance analysis ranged from 10 – 60 dpso, lower 398 IgM sensitivity is further driven by outpatient enrollments in the EPICC protocol with an average 399 28 dpso that were IgG positive, but IgM negative (Table S2).

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401 SARS-2/HCoV spike MMIA

402 The interplay of pre-existing HCoV spike-specific antibodies and COVID-19 outcomes, 403 as well as post vaccine responses, remains a critical question. As such, we also validated a 404 SARS-2/HCoV spike MMIA strategy that can simultaneously measure antibodies to SARS-CoV-405 2 and all four seasonal HCoVs. To validate this MMIA strategy, we utilized EPICC serum 406 samples (n= 148) from SARS-CoV-2 PCR-positive participants collected 7 – 60 dpso (median= 407 35, IQR= 23). Again, we detected no SARS-CoV-2 S-2P IgG positives in ARIC sera, and 408 geometric mean IgG levels were 21,487 MFI (19,568-23,584 CI) and 30,871 MFI (30,403-409 31,346 CI) from EPICC and JMS serum samples, respectively (Fig. 3A). In addition to serum

410	samples collected at hospitals, the EPICC protocol provides at-home blood collection of
411	capillary blood samples as DBS for longitudinal serology. Of additional importance, we validated
412	paired DBS IgG against serum collected by serum separator tubes (SST) and observed a
413	significant and strong linear correlation of SARS-CoV-2 MFI derived from DBS and SST
414	collected blood specimens (Fig. 3B). Reports have demonstrated anti-S glycoprotein IgG
415	seroconversion between 3 and 14 dpi (41, 48-50), to further assess the MMIA strategy,
416	sensitivity for the SARS-2/HCoV MMIA was measured within an early and narrow range of
417	EPICC and JMS blood specimen collection, 7-28 dpso. SARS-2/HCoV spike MMIA assay
418	performance was, sensitivity= 94.4% (86.4%-98.5% CI), specificity= 100.0% (95.7%-
419	100.0% CI), PPV= 100.0% and NPV= 99.7% (99.3%-99.9% CI) (Table 5). As previously
420	seen with the β -CoV assay, inclusion of HCoV spike improves specificity for SARS-CoV-2; both
421	β -CoV and SARS-2/HCoV MMIAs have near identical upper threshold cutoff values, 4910 MFI
422	and 4774 MFI, thus the inclusion of HCoV-HKU1 and HCoV-OC43 spike led to improvements in
423	specificity.
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443 Serum samples were screened with a SARS-2/HCoV spike protein MMIA and reactivity to

444 SARS-CoV-2 spike is shown; shaded grey bar indicates the threshold cutoff for IgG positivity.

(B) Paired blood specimens (n= 22) collected from capillary blood as a dried blood spot (DBS)

446 and serum collected by serum separator tubes (SST) were tested with the SARS-2/HCoV spike

447 protein MMIA; SARS-CoV-2 spike reactive IgG MFI is indicated on x- and y-axes.

461 COVID-19 subject antibody reactivity with zoonotic and endemic coronaviruses

462	Next, we investigated SARS-CoV-2 de novo IgG antibody cross-reactivity with SARS-
463	CoV and MERS-CoV spike proteins. Threshold cutoffs for SARS-CoV and MERS-CoV spike
464	reactive IgG were similarly set with ARIC sera as detailed for SARS-CoV-2 spike (Fig. 4A). An
465	indeterminate range (3840 – 4910 MFI) for positive/negative IgG reactivity was established with
466	SARS-CoV-2, SARS-CoV and MERS-CoV spike protein reactive IgG MFI and 4910 MFI
467	represents the threshold for positivity. We compared levels of SARS-CoV and MERS-CoV
468	reactive IgG in SARS-CoV-2 PCR positive subjects. SARS-CoV and MERS-CoV S glycoprotein
469	share 82% and 50% homology, respectively, with SARS-CoV-2 S glycoprotein (37). A
470	significant level of cross-reactive antibodies to SARS-CoV and MERS-CoV were observed in
471	SARS-CoV-2 PCR positive and IgG positive EPICC and JMS cohort sera, but not SARS-CoV-2
472	naïve ARIC sera (Fig. 4B). The geometric mean IgG MFI levels of SARS-CoV reactive IgG in
473	EPICC and JMS were 4007 (3422 – 4693 CI) and 9307 (7423 – 11,669 CI), respectively; and
474	the geometric mean IgG MFI levels of MERS-CoV reactive IgG in EPICC and JMS were 4255
475	(3517 – 5173 CI) and 8307 (6436 – 10,722 CI), respectively.
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488 Figure 4. SARS-CoV and MERS-CoV IgG increases after SARS-CoV-2 infection. (A) 99.7% 489 probability distribution of ARIC HCoV PCR+ convalescent serum samples (n= 43) reactive with 490 SARS-CoV (SARS-1) and MERS-CoV (MERS) spike; a dashed line and solid line indicates the 491 SARS-CoV and MERS-CoV spike protein threshold cutoff values, respectively. (B) Sera from 492 SARS-CoV-2 positive EPICC and JMS cohorts were tested in a β -CoV MMIA for IgG reactivity 493 to SARS-CoV and MERS-CoV spike, a shaded grey line indicates the threshold cutoff for IgG 494 positivity; error bars indicate the geometric mean and 95% confidence intervals; unpaired Mann-495 Whitney t-tests of EPICC and JMS compared to ARIC, **** P-values= < 0.0001. 496 497 498 499 500 501

503	In the absence of HCoV naïve human cohort, we turned to SARS-CoV-2 non-human
504	primates (NHP) model to inform the stimulation of HCoV cross-reactive antibodies. NHP had no
505	evidence of <i>de novo</i> IgG reactivity with HCoV-HKU1 and HCoV-OC43 spike proteins after
506	SARS-CoV-2 challenge and seroconversion (Fig. 5A). We extrapolated the 99.7% indeterminate
507	range of SARS-CoV, SARS-CoV-2 and MERS-CoV spike protein reactive IgG as a cutoff for
508	HCoV reactive antibodies (Fig. 4A). We found that IgG reactivity with HCoV spike proteins in the
509	SARS-CoV-2 PCR positive patient serum samples were significantly higher than those in
510	SARS-CoV-2 naïve ARIC cohort. We found significant geometric mean IgG increases of HCoV-
511	OC43, 26,518 (25,920-27,131 CI) and 29,454 (28,759-30,167 CI) in EPICC and JMS cohorts,
512	respectively; HCoV-HKU1 geometric mean IgG levels in EPICC and JMS cohorts were 15,739
513	(14,693-16,859 CI) and 19,360 (17,027-22,012 CI), respectively (Fig. 5B). Furthermore, we
514	detected a significant increase in IgG-reactive with HCoV-NL63 associated with SARS-CoV-2
515	infection in EPICC and JMS cohorts; a significant increase in HCoV-229E IgG was detected in
516	JMS sera (Figure 5C).
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537 Figure 5. SARS-CoV-2 infection is associated with rises in HCoV antibody levels.

(A) Serum samples collected 21 dpi from SARS-CoV-2 challenged non-human primates (n= 4) and tested in two independent experiments performed in technical duplicates with a SARS-2/HCoV spike protein MMIA; error bars represent mean±SD. IgG reactivity with seasonal HCoV, -HKU1 and -OC43 (β-CoVs) (B) and -229E and -NL63 (α-CoVs) (C), spike proteins were tested with a SARS-2/HCoV spike MMIA. Error bars indicate the geometric mean and 95% CI, IgG levels were compared by unpaired t-tests with Welch's correction of EPICC and JMS compared to ARIC, **** *P*-values= < 0.0001.

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555 **DISCUSSION**

556 In this study, we have demonstrated that use of a multiplex microsphere-based 557 immunoassay (MMIA) built using Luminex xMAP-based technology in which individual 558 microspheres are bound to pre-fusion stabilized S glycoprotein trimers of SARS-CoV-2 and the 559 seasonal endemic HCoVs enables sensitive and specific detection of SARS-CoV-2 IgG 560 antibodies. Our SARS-CoV-2 spike-based MMIA strategies have sensitivities ranging 94 – 99 % 561 as early as 7 – 10 days after symptom onset in PCR-confirmed cases of SARS-CoV-2 infection 562 and 100% specificity for SARS-CoV-2 IgG, comparable with several other EUA serology tests 563 (6). Conserved epitopes present in the prefusion stabilized native-like trimeric S glycoprotein 564 oligomers (spike protein) are the likely major factor in the observed cross reactions between the 565 coronavirus S glycoproteins, affecting specificity for some serology assays. By using HCoV-566 HKU1 and HCoV-OC43 spike proteins to capture pre-existing antibodies that would be cross-567 reactive with SARS-CoV-2 spike, the assay had a 100% specificity for SARS-CoV-2 serology. 568 Importantly, the ability to simultaneously capture SARS-CoV-2 spike and NP-specific antibodies 569 within a single assay will facilitate high-throughput approaches for differentiating antibody 570 responses between SARS-CoV-2 natural infections and vaccinations. 571 The magnitude of the antibody response to SARS-CoV-2 infection has been associated 572 with COVID-19 severity (51). This was apparent in our validation tests as geometric mean IgG 573 levels were consistently elevated in sera from the JMS cohort, comprised of all hospitalized 574 patients, whereas sera from the EPICC cohort had lower geometric mean IgG to SARS-CoV-2 575 antigens, of which the majority of EPICC study participants were outpatients. Interestingly, 576 geometric mean IgG level, 20,542 MFI (18,806-22,440 CI) to SARS-CoV-2 spike determined by 577 testing with the β -CoV MMIA was lower than the geometric mean IgG levels spike, 25,226 MFI 578 (23,703-27,490 CI) in the SARS-2 spike/NP MMIA. One possibility is that in the β -CoV MMIA, 579 inclusion of spike and RBD which represent overlapping epitopes leads to competition for 580 antibodies, decreasing overall MFI levels to either S glycoprotein antigen individually. The effect

581 of this binding competition could negatively affect durability studies of longitudinal samples 582 when concentrations of circulating SARS-CoV-2 sera IgG begins to wane. Whereas establishing 583 the SARS-CoV-2 spike protein and NP MMIA will have utility for the future differentiation 584 between antibody responses to SARS-CoV-2 vaccination and natural infection induced antibody 585 responses, with some inherent limitations to sensitivity and specificity. 586 Of additional importance, the MMIA approach demonstrated *de novo* IgG cross-reactivity 587 with SARS-CoV and MERS-CoV in the SARS-CoV-2 PCR+/IgG+ EPICC and JMS cohorts 588 compared with archival sera (ARIC). The EPICC geometric mean IgG levels reactive with 589 SARS-CoV spike were near the indeterminate range of positivity, suggesting that significant 590 rises in IgG levels after SARS-CoV-2 are driven by a subset of subjects that develop specific B 591 cell repertoires that can be cross-reactive with SARS-CoV. A higher geometric mean IgG level 592 to SARS-CoV and MERS-CoV were detected in JMS sera, which includes all hospitalized 593 patients and suggests that induction of cross-reactivity is associated with COVID-19 severity. 594 Conserved cross-neutralizing epitopes between SARS-CoV and SARS-CoV-2 S glycoproteins 595 have been identified (52, 53), whether SARS-CoV-2 induced *de novo* IgG antibody responses to 596 SARS-CoV and MERS-CoV spike proteins detected with this MMIA strategy are retained after 597 affinity maturation, or are cross-neutralizing requires further investigation. The induction of 598 cross-reactive SARS-CoV, SARS-CoV-2 and MERS-CoV antibodies further demonstrates that 599 shared spike proteins epitopes exist and that rational-vaccine designs may be able to develop 600 pan-zoonotic coronavirus vaccines.

Here, we observed increases in seasonal HCoV spike reactive IgG antibodies in SARS-CoV-2 positive cohort. SARS-CoV-2 has been shown to stimulate OC43 memory B cells through conserved epitopes in the SARS-CoV-2 S glycoprotein S2 subunit and serum samples from subjects with recent HCoV infection contain SARS-CoV-2 cross-reactive but not crossneutralizing antibodies (54). As *de novo* IgG cross-reactivity with HCoV-HKU1 and HCoV-OC43 spike protein was not observed in SARS-CoV-2 challenged NHPs (Figure 5A), the presence of

607 prior humoral memory to seasonal HCoV appears necessary to drive cross-reactivity. Overall, 608 the OC43 S glycoprotein only shares 30 to 40% amino acid sequence identity/similarity with 609 SARS-CoV-2 S glycoprotein (37). The S1 subunit, wherein resides the RBD, has more 610 sequence variance among OC43 and SARS-CoV-2, in contrast to the S2 subunit heptad repeat 611 regions where amino acid sequence similarity is between 50 to 75%. It would seem unlikely that 612 a SARS-CoV-2 de novo IgG response would result in cross-reactive antibodies that would bind 613 at immunoassay saturation to distantly-related seasonal β -CoVs. However, we did observe 614 increases in HCoV-NL63 spike reactive antibodies EPICC and JMS cohorts, suggestive that 615 SARS-CoV-2 infection and the subsequent heightened inflammatory state can induce a humoral 616 response with enough antibody diversity capable of binding to distantly-related human α -CoVs. 617 It will be important to expand on this observation with larger numbers of subjects in different age 618 groups to evaluate the extent of SARS-CoV-2 stimulated seasonal HCoV memory responses. 619 Other, preliminary evidence has estimated a negative relationship between HKU1 and 620 OC43 back-boosted responses and the generation of SARS-CoV-2 neutralizing antibodies (55), 621 implying that in some subjects OC43 immune imprinting may have similar effects akin to 622 immunological imprinting, antigenic epitope masking and/or original antigenic sin (56, 57). 623 Whether HCoV immune imprinting has any association with clinical outcomes or SARS-CoV-2 624 antibody longevity requires further investigation. Back-boosting stimulation of a cross-reactive 625 memory response might also explain cases of synchronous SARS-CoV-2 IgM and IgG 626 seroconversion and IgG seroconversion prior to IgM (58). To our knowledge there is no 627 evidence that HCoV-induced antibodies promote clinical protection in SARS-CoV-2 infected 628 individuals. The vast majority of the SARS-CoV-2 confirmed participants in these studies had 629 high levels of HCoV-OC43 IgG; however, they were also seeking treatment for mild to 630 moderate/severe COVID-19 which requires further investigation. Larger, prospective, 631 longitudinal observational studies in which serum samples are obtained before infection may 632 ultimately be required to definitively determine if HCoV-induced antibodies confer any protection

- 633 against COVID-19 and if the presence of HCoV memory affects the longevity and development
- 634 of a protective SARS-CoV-2 humoral response.
- 635

636 **DECLARATIONS**

- 637 These research protocols, IDCRP-085, IDCRP-045 and CAMP-NYC, were approved by the
- 638 USU IRB. The data that support the findings of this study are available from the corresponding
- 639 author(s upon reasonable request.
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641 ETHICS STATEMENT

- 642 The referenced human subjects protocols (IDCRP-045, IDCRP-085, and CAMP-NYC)
- 643 were approved by the Uniformed Services University Institutional Review Board and
- 644 participating sites. All subjects provided written or verbal informed consent using
- 645 approved documents and procedures; the consent forms include clauses allowing use
- of specimens for investigations including those conducted in this study.
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648 **CONFLICT OF INTEREST**

- 649 None of the authors have any conflicts of interest of relevance to disclose.
- 650

651 **DISCLAIMER**

- The contents of this publication are the sole responsibility of the author(s) and do
- not necessarily reflect the views, opinions, or policies of the Uniformed Services University
- 654 (USU), the Henry M. Jackson Foundation for the Advancement of Military Medicine, Inc. (HJF),
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- 656 Medical Center, the U.S. Army Medical Department, the U.S. Army Office of the Surgeon
- 657 General, the US Department of Defense (DoD), the Departments of the Air Force, Army or

658 Navy, or the U.S. Government. Mention of trade names, commercial products, or organization does not imply endorsement by the U.S. Government. A number of the co-authors are military 659 660 service members (or employees of the U.S. Government). This work was prepared as part of 661 their official duties. Title 17 U.S.C. §105 provides that 'Copyright protection under this title is not 662 available for any work of the United States Government.' Title 17 U.S.C. §101 defines a U.S. 663 Government work as a work prepared by a military service member or employee of the U.S. 664 Government as part of that person's official duties. 665 666 FUNDING 667 This project has been funded by the Defense Health Program, U.S. DoD, under award 668 HU0001190002 and the National Institute of Allergy and Infectious Diseases, National Institutes 669 of Health, under Inter-Agency Agreement Y1-AI-5072. This project has been funded in part with 670 Federal funds from the National Cancer Institute, National Institutes of Health, under contract 671 number HHSN261200800001E. VJM and EdW are supported by the Intramural Research 672 Program of the National Institutes of Allergy and Infectious Diseases. 673 674 ACKNOWLEDGEMENTS 675 We thank Kelly Snead, Vanessa Wall, John-Paul Denson, Simon Messing, and William 676 Gillette (Protein Expression Lab, FNCLR) for excellent technical assistance. We also 677 thank Kathleen Pratt (Department of Medicine, USUHS) for assistance with CAMP-NYC sample acquisition. 678 679 680 681 682

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967 **TABLES**

968 **Table 1. Antigen composition of each MMIA**

MMIA variation	Virus	Antigen
	SARS COV 2	spike
	3AR3-CUV-2	RBD
R Call	SARS-CoV	spike
p-00v	MERS-CoV	spike
	HCoV-HKU1	spike
	HCoV-OC43	spike
	SARS-CoV-2	spike
	HCoV-HKU1	spike
SARS-2/HCoV	HCoV-OC43	spike
	HCoV-229E	spike
	HCoV-NL63	spike
SARS 2 spike/NR	SARS COV 2	spike
SANS-2 Spike/NP	SAN3-00V-2	NP

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970 Table 2. MFI threshold cutoffs for SARS-CoV-2 positive IgG

MMIA variation	antigen	99.7% (ARIC)	ROC (EPICC)
R CoV	spike	4910	4853
p-C0v	RBD	4666	4622
SARS-2/HCoV	spike	4774	4144
SARS-2 spike/NP	NP	6649	6372

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972 Table 3. β-CoV MMIA performance

	SARS-CoV-2 PCR Status/Archival Sera			
		Positive ¹	Negative	Total
	Positive	149	0	149
SARS-Cov-2	Negative ²	1	84	85
Spike igg Antibody Test	Total	150	84	234
Antibody Test	Sensitivity	99.33		
	Specificity 100%			
		Positive	Negative	Total
	Positive	144	3	147
SARS-COV-2	Negative	6	81	87
Antibody Test	Total	150	84	234
Antibody rest	Sensitivity	96.00%		
	Specificity	96.43%		

⁹⁷³ ¹Performance analysis included serum samples from n= 116 PCR positive subjects including 62

974 outpatients, 54 hospitalized subjects and 34 JMS hospitalized subjects

975 ²Negative samples were drawn from the pre-2019 ARIC sera collection

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979 Table 4. SARS-2 spike/NP MMIA performance

	SARS-CoV-2 PCR Status/Archival Sera			
		Positive	Negative	Total
	Positive	139	5	144
SARS-Cov-2	Negative	11	79	90
NP IgG Antibody Test	Total	150	84	234
Antibody 103t	Sensitivity	92.7%		
	Specificity		94.1%	

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Table 5. SARS-2/HCoV MMIA performance

	SARS-CoV-2 PCR Status/Archival Sera			
		Positive	Negative	Total
	Positive	68	0	68
SARS-CoV-2	Negative	4	84	88
Spike igG Antibody Test	Total	72	84	156
Antibody rest	Sensitivity	94.4%		
	Specificity		100%	

983 ¹IgG antibody test included serum samples collected 7-28 days post-onset from PCR positive

984 EPICC and JMS participants.