## **Original Article**

J Korean Soc Coloproctol 2012;28(1):35–41 http://dx.doi.org/10.3393/jksc.2012.28.1.35



# Multivariate Analysis of the Survival Rate for Treatment Modalities in Incurable Stage IV Colorectal Cancer

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Purpose: The aim of this study was to compare survival in patients that underwent palliative resection treatment versus non-resection for incurable colorectal cancer (ICRC).

Methods: The case records of 201 patients with ICRC between January 2000 and December 2009 were reviewed. Demographics, American Society of Anesthesiologists (ASA) score, carcinoembryonic antigen (CEA) level, the location of the colon cancer, histology, metastasis, treatment options and median survival were analyzed retrospectively. We divided the patients into four groups according to the treatment modalities: resection alone, resection with post-operative chemotherapy, non-resection treatment by chemotherapy alone, and stent or bypass. Median survival times were compared according to each treatment option, and the survival rates were analyzed.

**Results**: 105 patients underwent palliative resection whereas 96 were treated with non-resection modalities. A palliative resection was performed in 44 cases for resection alone and in 61 cases for resection with post-operative chemotherapy. In patients treated with non-resection of the primary tumor, chemotherapy alone was done in 65 cases and stent or bypass in 31 cases. Multivariate analysis showed a median survival of 14 months in patients with palliative resections with post-operative chemotherapy, which was significantly higher than those for chemotherapy alone (8 months), primary tumor resection alone (5 months), and stent or bypass (5 months). Gender, age, ASA score, CEA level, the location of colon cancer, histology and the presence of multiple metastases were not independent factors in association with the median survival rate.

Conclusion: In the treatment of ICRC, palliative resection followed by post-operative chemotherapy shows the most favorable median survival compared to other treatment options.

Keywords: Colorectal cancer; Palliative; Stents; Bypass

## **INTRODUCTION**

According to recent cancer statistics released by the Korean government, the incidence rate of colorectal cancer takes 3rd place, following stomach and thyroid cancer, and in terms of death rate, colorectal cancer takes 4th place, following lung cancer, liver cancer and stomach cancer [1]. A radical resection is understood to

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This is an open-access article distributed under the terms of the Creative Commons Attribution Non-Commercial License (http://creativecommons.org/licenses/by-nc/3.0) which permits unrestricted noncommercial use, distribution, and reproduction in any medium, provided the original work is properly cited. be the most effective treatment for colorectal cancer [2]. However, in about 20% of the cases, the colorectal cancer is found to be progressive, and complete removal of the lesion at the time of diagnosis is impossible; accordingly, various palliative treatments are required [3, 4]. Since the majority of these patients are old and have bad condition overall with various underlying diseases, it is still debatable whether surgical removal of the primary lesion will enhance survival rate or not [3, 5-10].

As non-operative methods, chemotherapy, stent insertion, and bypass are used. A number of studies have reported that in the case of chemotherapy, the median survival period and the overall survival rate can be increased by using a single treatment modality without removing the primary lesion [11-13]. In addition, stent insertion and bypass, which is expected to develop fewer complications, are restrictively chosen to relieve the symptoms of the primary obstructive lesion [3]. Further, efforts have been made to find the best treatment modality for advanced colorectal cancer and to Multivariate Analysis of the Survival Rate for Treatment Modalities in Incurable Stage IV Colorectal Cancer

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confirm whether a palliative resection contributes to enhancing the survival rate of colorectal cancer patients. For these reasons, the authors wanted to find the optimal treatment modality by comparing survival rates attained by using various treatment modalities for colorectal cancer.

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## **METHODS**

A group of colorectal cancer patients who were pathohistologically given a definite diagnosis of colorectal cancer and who had received treatments but had been confirmed as being unable to undergo a radical resection due to multiple or single metastasis findings at Chonbuk National University Hospital between January 2000 and December 2009 were selected. Advanced colorectal cancer is defined as cases with multiple lesions of the liver or with lung metastasis, which could not be resected according to preoperative findings, such as chest X-ray, chest computed tomography, magnetic resonance imaging, and positron emission tomography, or according to operative findings; cases with multiple metastasis findings; and cases with local invasions that could not be R0 resected due to disseminated peritoneal metastases, synchronous multiple metastasis to organs in the abdominal cavity, and lymphatic metastases whose lesion could not be resected. The present study was carried out over 10 years and involved 207 individuals diagnosed with advanced colorectal cancer. Of the 207 patients, 201 individuals were finally selected. Three cases that could not be monitored and another three cases of neuroendocrinal tumors were excluded from this retrospective study.

Subjects were divided by sex, age and level of anesthetic risk provided by American Society of Anesthesiologists (ASA), and correlations between survival rate and location of primary lesion, pretreatment carcinoembryonic antigen (CEA), tumor differentiation, symptoms and metastasis were examined. In order to find survival rates by treatment modality, subjects were divided into groups of palliative resection, palliative resection followed by chemotherapy, stent insertion and bypass for comparison. Post-operative complications were defined as respiratory or wound infection, anastomotic leakage, bleeding, intraperitoneal abscess, ileus, voiding difficulty, anastomosis site obstruction, and conditions requiring additional surgical or medical treatments. Post-operative death was defined as surgery-related death occurring within 30 days of surgery.

The SPSS ver. 17.0 (SPSS Inc., Chicago, IL, USA) was used for statistical treatment. For the survival rate analysis, the Kaplan-Meier method was used. After the log rank test, statistical significance was established with a P-value less than 0.05. When sufficiently meaningful data were obtained through a univariate analysis, a multivariate analysis was conducted using the Cox proportional hazards regression model.

## **RESULTS**

#### Properties of the resection and the non-resection groups

Out of the total 201 patients, 105 individuals (52%) underwent a palliative resection, and 96 (48%) were in the non-resection group (Fig. 1). The male-to-female ratios in the palliative resection and the non-resection groups were 2.18:1 (72:33) and 1.82:1 (62:34), respectively. The numbers of patients 75 years or older were 14 (13.3%) and 21 (21.9%) in the respective palliative resection and the non-resection groups. The numbers of patients having 5 ng/mL or more of CEA (normal range, 0.0 to 5.0 ng/mL) prior to treatment were 72 (73.5%) and 78 (83.0%) in the respective groups. The numbers of patients of colon cancer were 60 (57.1%) and 54 (56.2%) in the respective palliative resection and non-resection groups. In addition, the numbers of patients



**Fig. 1.** Evaluation of 207 patients with newly diagnosed stage IV incurable colorectal cancer (CRC) at Chonbuk National University Hospital. Six patients were excluded due to incomplete data (3 patients) and neuroendocrine tumors (3 patients). The resection group included 105 patients (only resection, 42 patients; resection and chemotherapy, 63 patients). The non-resection group included 96 patients (only chemotherapy, 62 patients; stent or bypass surgery, 34 patients).

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who had good ASA values (1 to 2) were 96 (91.4%) in the resection group and 77 (80.2%) in the non-resection group, meaning a better ASA score in the resection group (P = 0.02) (Table 1).

Major complaints of the resection group at the first visit included abdominal pain (46.4%), bowel habit change (21.4%), and bleeding (5.1%); those of the non-resection group included abdominal pain (31.2%), nonspecific symptoms (29.3%), and bowel habit change (16.4%). Ileus was confirmed with radiological findings with symptoms of abdominal distention and nausea. Surgery was conducted when the primary lesion could be surgically resected according to the characteristics of the tumor and the judgment of the surgeon (Table 2).

#### Properties of primary cancer

The numbers of primary cancer cases by location of the lesion in the respective resection and non-resection groups were 37 (35.2%) and 43 (44.8%) in rectum, followed by 28 (26.7%) right colon cases in the resection group, and 15 (15.6%) sigmoid colon cases in the

Table 1. Baseline characteristics of study patients

Characteristic	All (n = 201)	Resection (n = 105)	Non- resection (n = 96)	P-value
Male sex	134 (66.7)	72 (68.6)	62 (64.6)	0.55
Old age (≥75 yr)	35 (17.4)	14 (13.3)	21 (21.9)	0.11
Good ASA score (1-2)	173 (86.1)	96 (91.4)	77 (80.2)	0.02
CEA elevation $(n = 192)$	150/192 (78.1)	72/98 (73.5)	78/94 (83.0)	0.11
Primary colon cancer	114 (56.7)	60 (57.1)	54 (56.2)	0.90
Multiple metastases <sup>a</sup>	89 (44.3)	50 (47.6)	39 (40.6)	0.32

Values are presented as number (%).

ASA, American Society of Anesthesiologists; CEA, carcinoembryonic antigen. <sup>a</sup>According to classification of American Joint Committee on Cancer, 7th edition.

 
 Table 2. Main presenting symptoms of patients with incurable colorectal cancer

	Resection — group	Non-resect	Non-resection group	
Symptom		Chemo- therapy	Stent or bypass	Total
Pain	52 (46.4)	20 (29.4)	15 (31.2)	35 (30.2)
Obstruction	12 (10.7)	2 (2.9)	8 (16.6)	10 (8.6)
Bleeding	17 (15.1)	3 (4.4)	5 (10.4)	11 (9.5)
Bowel habit change	24 (21.4)	10 (14.7)	9 (18.7)	19 (16.4)
Screening	4 (3.6)	33 (48.5)	1 (2.0)	34 (29.3)
Palpable mass	3 (2.7)	0 (0)	0 (0)	0 (0)
Total	112ª	68	48	116 <sup>b</sup>

Values are presented as number (%).

<sup>a</sup>There were 112 symptoms in 105 patients of the resection group; <sup>b</sup>There were 116 symptoms in 96 patients of the non-resection group.

non-resection group (Table 3). According to the results of endoscopic biopsies for the non-resection group and tumor differentiation of the surgically-removed lesion, the number of well-differentiated adenocarcinoma cases was 16 (84.2%) in the non-resection group compared with only 3 cases (15.8%) in the resection group (Table 4).

#### Metastasized lesion

The number of hepatic metastasis cases were 50 (47.6%) and 32 (33.3%) in the respective resection and non-resection groups, followed by 28 (26.7%) and 24 (25.0%) of peritoneal metastasis in the

#### Table 3. Sites of the primary tumor

Site	Resection (n = 105)	Non-resection (n = 96)	Total (n = 201)
Right colon	28 (26.7)	14 (14.6)	42 (20.9)
Transverse colon	8 (7.6)	9 (9.4)	17 (8.5)
Descending colon	4 (3.8)	8 (8.3)	12 (6.0)
Sigmoid colon	19 (18.1)	15 (15.6)	34 (16.9)
Recto-sigmoid colon	9 (8.6)	7 (7.3)	16 (8.0)
Rectal	37 (35.2)	43 (44.8)	80 (38.9)

Values are presented as number (%).

 Table 4. Comparison of the frequency of treatment methods according to tumor differentiation (test for trend)

Tumor differentiation	Resection <sup>a</sup> $(n = 105)$	Non-resection <sup>b</sup> (n = 96)	P-value
Well (n = 19)	3 (15.8)	16 (84.2)	0.01
Moderate (n = 140)	80 (57.1)	60 (42.9)	
Poor $(n = 29)$	10 (34.5)	19 (65.5)	
Mucinous (n = 13)	12 (92.3)	1 (7.7)	

Values are presented as number (%).

<sup>a</sup>Resected specimen biopsy; <sup>b</sup>Endoscopic biopsy.

Table 5. Sites of metastasis in incurable colorectal cancer

Site	Resection (n = 105)	Non-resection (n = 96)	P-value <sup>a</sup>
Liver	50 (47.6)	32 (33.3)	0.174
Lung	10 (9.5)	8 (8.3)	0.681
Liver and lung	6 (5.7)	7 (7.3)	0.042
Peritoneal seeding	28 (26.7)	24 (25.0)	0.853
Pelvic organ	4 (3.8)	5 (5.2)	0.743
Bone	2 (1.9)	5 (5.2)	0.031
Nominated lymph nodes	3 (2.8)	3 (3.1)	0.931
Combined	2 (1.9)	12 (12.5)	0.014

Values are presented as number (%).

<sup>a</sup>Fisher's exact test.

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respective groups. The numbers of multiple metastases and bone metastasis in the non-resection group were 12 (12.5%; P = 0.014) and 5 (5.2%; P = 0.031), respectively, and showed a statistically difference (P = 0.014, P = 0.031) from the values of 2 (1.9%) and 2 (1.9%) for the resection group (Table 5).

#### Treatment modality

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In the resection group, 35 (33.5%) right hemicolectomies were conducted, followed by 26 (24.8%) low anterior resections and 20 (19.0%) anterior resections (Table 6). In the resection group, 63 patients (60.0%) underwent post-operative chemotherapy, and in the non-resection group, 83 patients (86.5%) did the same. The numbers of patients who underwent radiotherapy were 5 (4.8%) and 24 (25%) in respective resection and non-resection groups. Further, in the resection group, 42 patients (40%) did not undergo chemotherapy: 19 cases of refusal of treatment, 8 cases of general weakness, 8 cases of being unable to monitor the patients, and 7 cases of post-operative complications (Table 7). Out of the total of 62 subjects in the chemotherapy group, 2 patients underwent an emergency colostomy during chemotherapy.

Four patients who were originally supposed to undergo a bypass switched to a resection: one right hemicolectomy and three low anterior resections. Out of the 20 bypass patients, 1 patient had to undergo surgery again due to an adhesive ileus. Additionally, out of the 14 stent insertion patients, 1 patient underwent a colostomy due to recurrent colonic obstruction, and another patient underwent surgery due to peritonitis caused by a perforation.

#### Table 6. Types of surgery

Туре	Resection (n = 105)	Non-resection (n = 96)
Right hemicolectomy	35 (33.3)	1 (1.0)
Left hemicolectomy	4 (3.8)	
Anterior resection	20 (19.0)	
Low anterior resection	26 (24.8)	3 (3.1)
Hartmann's operation	10 (9.5)	
Miles' operation	3 (2.9)	
Subtotal colectomy	6 (5.7)	
Stoma formation or bypass	18 (17.1)	20 (20.8)

Values are presented as number (%).

#### Table 7. Patients with other combination therapies

Therapy	Resection (n = 105)	Non-resection (n = 96)
Chemotherapy	61 (58.1)	71 (74.0)
Radiation therapy	3 (2.9)	12 (12.5)
Chemotherapy and radiation	2 (1.9)	12 (12.5)

Values are presented as number (%).

#### Major post-operative complications and death rate

After resection, 17 (16.2%) major complications, 5 cases (4.7%) of anastomotic leakage, 4 cases (3.8%) of bleeding, 3 cases (2.9%) of lung complications, 3 cases (2.9%) of ileus, 1 case (0.9%) of heart disease, and 1 case (0.9%) of acute renal failure, were reported. Three patients (2.86%) died of pulmonary edema, sepsis and multiple organ failure after surgery.

#### Analysis of the prognosis factors and the survival rate

In both the resection and the non-resection groups of advanced colorectal cancer patients, factors such as sex, age, ASA score, location of the colorectal cancer lesion, existence of multiple metastases and tumor differentiation were not proven to be prognostic factors affecting survival rates. In terms of treatment modality, chemotherapy alone appeared to be a significant prognosis factor according to the univariate analysis, but was confirmed to be statistically insignificant through the multivariate analysis. Palliative resection followed by chemotherapy was confirmed to be a factor significantly affecting survival rates according to both the univariate and the multivariate analyses (relative risk [RR], 0.44; 95% confidence interval [CI], 0.28 to 0.69; RR, 0.47; 95% CI, 0.28 to 0.79) (Table 8). In terms of survival rates, the group of palliative resection followed by chemotherapy showed a 14 months (range, 9.6 to 18.4 months) median survival rate while the chemotherapyalone treatment group and the group of either stent insertion or bypass showed 8 months (range, 5.6 to 10.4 months) and 5 months median survival rates, respectively (Fig. 2).

 Table 8. Univariate and multivariate analyses of factors associated with survival in incurable colorectal cancer

Factor	Univariate	Multivariate
Female sex	1.23 (0.91-1.67)	1.27 (0.92-1.75)
Old age (≥75 yr)	1.90 (1.28-2.82)	1.49 (0.98-2.28)
Good ASA score	0.84 (0.56-1.27)	0.95 (0.61-1.48)
Primary colon cancer	1.32 (0.98-1.77)	1.22 (0.89-1.66)
Multiple metastases	1.24 (0.93-1.66)	1.33 (0.98-1.81)
Tumor differentiation		
Well	1.00	1.00
Moderate	0.84 (0.50-1.42)	1.02 (0.58-1.80)
Poor	0.98 (0.52-1.83)	1.23 (0.62-2.41)
Mucinous	0.59 (0.28-1.28)	0.80 (0.35-1.85)
Treatment modality		
Stent or bypass	1.00	1.00
Only chemotherapy	0.62 (0.40-0.97)	0.64 (0.39-1.05)
Only resection	0.97 (0.60-1.55)	0.93 (0.55-1.57)
Resection + chemotherapy	0.44 (0.28-0.69)	0.47 (0.28-0.79)

Values are presented as relative risk (95% confidence interval).

ASA, American Society of Anesthesiologists.



**Fig. 2.** Kaplan-Meier overall survival rate curve for patients with incurable stage IV colorectal cancer according to their initial management with resection and non-resection therapy. The survival rates for adjuvant chemotherapy, only resection therapy, only chemotherapy, and stent or bypass surgery were 14 months (range, 9.6 to 18.4 months), 5 months (range, 4.1 to 5.9 months), 8 months (range, 5.6 to 10.4 months), and 5 months (range, 3.2 to 6.8 months), respectively.

#### DISCUSSION

Colorectal cancer is one of the most common cancers in the US and Europe. In Korea, according to the 2008 statistics, colorectal cancer shows the third highest incidence following stomach cancer and thyroid cancer, and the rate is sharply increasing. About 20% of colorectal cancer cases are considered progressive, meaning radical treatment in not possible, and debates on appropriate treatment modalities are still going on. The palliative resection is one of the most frequently used methods, but not all advanced colorectal cancer patients are candidates for this treatment. Moreover, the post-operative death rate and the complication rate are known to be higher compared with those for chemotherapy, stent insertion or bypass; consequently, the palliative resection has been restrictively applied to relatively healthy patients with good condition overall and with low operative risk. This means that adopting a palliative resection as a standard treatment modality for advanced colorectal cancer patients is debatable [14]. A stent insertion or a bypass is used for the patients who cannot undergo a resection, but the use of those methods as a standard treatment modality is still questionable.

Different from the study results showing high survival rates after palliative resection treatments [3, 5, 6, 15], patients who underwent only resection of the primary lesion showed a 5-month median survival rate, which was shorter than the 8 months for the chemotherapy-alone treatment patients. This result implies that the conventional palliative-resection-only modality cannot promise a good result. According to other studies, compared with chemotherapy,

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survival periods may be further extended when the palliative resection is restrictively used for patients having remote metastasis without peritoneal metastasis [3, 9, 10].

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According to some studies, chemotherapy results in higher survival rates than palliative-resection-only does. Costi et al. [16] reported cases of higher survival rates with chemotherapy-alone treatment for patients having multiple metastases or peritoneal metastasis than with palliative-resection-only treatment [16]. Chemotherapy, such as the use of 5-fluorouracil, which was developed in the late 1980s, and combination therapy of various agents, which was developed in the late 1990s, can enhance response rates of treatments and extend survival periods. Use of irinotecan in the late 1990s extended survival rates further, and introduction of oxaliplatin in the 2000s contributed to much better treatment results [12, 17]. In addition, with the combination therapy of bevacizumab and cetuximab, which were developed in the mid-2000s as targeted chemotherapy agents with oxaliplatin, 5-fluorouracil and leucovorin or irinotecan, 5-fluorouracil and leucovorin, tumor response rates and survival periods were further improved [13, 18]. Furthermore, studies on stent insertion followed by chemotherapy and on a palliative resection followed by chemotherapy are in progress, and the importance of enhancing survival rate has been emphasized [19, 20].

At the point of diagnosing advanced colorectal cancer, 10 to 20% of the total cases are accompanied by partial obstruction and 8 to 29% by complete obstruction [21]. When emergency surgery is conducted for these patients, a 15 to 20% death rate and about a 50% complication rate are reported [22]. Since stent insertion was introduced in 1991 for treating colorectal cancer, it has been reported to be an effective non-surgical treatment that can relieve the symptoms of acute obstruction caused by the tumor [23-25]. In spite of the very common complications of stent insertion, such as migration of the stent, colon perforation and obstruction, the 6-month clinical success rate has been reported at 81% or more [24], and the median survival rate calculated through the present study was 5 months. Based on these results, stent insertion may be considered to be an effective treatment modality for advanced colorectal cancer. In addition, the stent-related death rate has been reported at about 0 to 0.6% [26, 27]. Considering the high post-operative complication rate and death rate of colorectal cancer patients with obstructions, stent insertion is considered to be an effective treatment modality for advanced colorectal cancer with acute obstructions not allowing a resection. However, stent-related complications reach about 30% [7, 28], and patients who undergo stent insertion are usually in unfavorable conditions compared with surgical resection or chemotherapy patients. Moreover, it should be taken into consideration that stent insertion is not a treatment that can enhance the survival rate.

Another treatment for advanced colorectal cancer, such as a bypass including a colostomy, may also be an effective treatment modality for releasing an obstruction in colorectal cancer. Nevertheless, considering the risks involved in general anesthesia, as well as Multivariate Analysis of the Survival Rate for Treatment Modalities in Incurable Stage IV Colorectal Cancer

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the complications and deaths related to surgery, this modality can be used only for releasing the obstruction, not for enhancing the survival rate. Like stent insertion, this is a limitation of the bypass [29]. In general, patients who receive non-resection treatments tend to have unfavorable conditions overall and to have many underlying diseases compared with patients who receive resection treatments [15]. According to many studies, such factors as multiple metastases, a tumor with poor differentiation, existence of ascites and age of 75 or older are related to low survival rates [3, 10, 20]. Additionally, Kim et al. [29] reported that stage, pre-operative CEA values, invasions into blood vessels and nerves, and lymphatic metastasis affected the 5-year survival rate of perforated colorectal cancer patients. According to the present study, however, age, location of the primary lesion, sex, pre-operative CEA values, multiple metastases and level of differentiation of the tumor do not affect survival rates.

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Currently, no established guideline for the treatment of advanced colorectal cancer exists. As reported through many studies, it is evident that no single treatment modality can achieve an improvement in survival rate. Kleespies et al. [10] reported statistical numbers in an acceptable range of complication development after surgical resection of primary lesions. According to the authors' study, a 16.2% (17/105) post-operative major complication rate and a 2.86% (3/105) post-operative death rate, which are similar to those reported by Kleespies et al. [10], are in an acceptable range of complication development after surgical resection of primary lesions. Therefore, when patients are carefully selected, resection of the primary lesion may enhance the survival of the patients. By virtue of development of continuous and effective chemotherapy agents, significant improvements in survival rates may be attained. In addition, through the present study, a combination of palliative resection and post-operative chemotherapy was confirmed to further enhance survival rates, and this result coincides with that of Costi et al. [16].

Even though a palliative resection of the primary lesion of advanced colorectal cancer can relieve pain, bleeding and ileus and can enhance survival rates compared with chemotherapy-alone treatment, stent insertion or a bypass, a palliative-resection-only treatment is not considered to be effective due to the high complication rates caused by unfavorable conditions such as old age, chronic diseases, recurring ileus, high surgery-related death rate, and anticipated limited survival period. Accordingly, selection of patients should be very careful before conducting a palliative resection and post-operative chemotherapy. For acute obstruction patients who cannot undergo a resection, adjuvant therapy, such as stent insertion and bypass, may be conducted to relieve symptoms and to provide a bridge for a future palliative resection to enhance survival.

Because this study was a retrospective one, some attention is required for interpretation of the results. Patients, guardians and doctors were involved in selecting the treatment method, in which may increase the risk of error. Different chemotherapy agents were administered to patients, which also increase the in risk of errors. That the best treatment modality can only be decided based on a random prospective study is common knowledge.

In case of advanced colorectal cancer, good treatment results can hardly be obtained through a palliative-resection-only treatment compared with a non-resection treatment. Accordingly, upon consideration of the general condition of advanced colorectal cancer patients having accompanying symptoms, a combination of a palliative resection of the primary lesion and post-operative chemotherapy may enhance survival rates.

## **CONFLICT OF INTEREST**

No potential conflict of interest relevant to this article was reported.

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