BMJ Open How well are sepsis and a sense of urgency documented throughout the acute care chain in the Netherlands? A prospective, observational study

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ABSTRACT

Objective To investigate the documentation of sepsis and a sense of urgency throughout the acute care chain. **Design** Prospective cohort study.

Setting Emergency department (ED) in a large district hospital in Heerlen, The Netherlands.

Participants Participants included patients ≥18 years with suspected sepsis who visited the ED during outof-hours between September 2017 and January 2018 (n=339) and had been referred by a general practitioner and/or transported by ambulance. We defined suspected sepsis as suspected or proven infection and the presence of ≥2 quick Sepsis-related Organ Failure Assessment and/ or ≥2 Systemic Inflammatory Response Syndrome criteria. **Outcome measures** We analysed how often sepsis and a

sense of urgency were documented in the prehospital and ED medical records. A sense of urgency was considered documented when a medical record suggested the need of immediate assessment by a physician in the ED. We described documentation patterns throughout the acute care chain and investigated whether documentation of sepsis or a sense of urgency is associated with adverse outcomes (intensive care admission/30-day all-cause mortality).

Results Sepsis was documented in 16.8% of medical records and a sense of urgency in 22.4%. In 4.1% and 7.7%, respectively, sepsis and a sense of urgency were documented by all involved professionals. In patients with an adverse outcome, sepsis was documented more often in the ED than in patients without an adverse outcome (47.9% vs 13.7%, p<0.001).

Conclusions Our study shows that in prehospital and ED medical records, sepsis and a sense of urgency are documented in one out of five patients. In only 1 out of 20 patients sepsis or a sense of urgency is documented by all involved professionals. It is possible that poor documentation causes harm, due to delayed diagnosis or treatment. Hence, it could be important to raise awareness among professionals regarding the importance of their documentation.

INTRODUCTION

Sepsis is a potentially lethal syndrome, and its incidence is still rising.¹ Prior to emergency department (ED) arrival, many patients

Strengths and limitations of this study

- This is the first study investigating out-of-hours documentation of 'sepsis' and the presence of a sense of urgency in acute care chain medical records.
- ▶ This study had only 2.3% missing medical records.
- We investigated written documentation without taking verbal handovers into account.
- We defined suspected sepsis based on vital parameters in the emergency department; these parameters could have been different on assessment in the prehospital setting.

with sepsis have one or more contacts with a general practitioner (GP) and/or emergency medical services (EMS).^{2 3} As early recognition and treatment can improve outcome, prehospital professionals are key players in the recognition and management of patients with sepsis.^{4 5} This is especially true during out-of-office hours, when patients are assessed by GPs on duty, who most often do not know the patient and his or her history and comorbidities.

To date, it is not exactly known how well sepsis is recognised and documented by healthcare professionals working in acute care during out-of-office hours. A few studies, mainly focusing on EMS, have found documentation rates between 10% and $40\%^{3-6-7}$ In addition, the urgency with which patients need to be treated is often not mentioned.⁸ Possible explanations for these low rates include the absence of a gold standard for sepsis and a lack of awareness that adequate documentation of both the diagnosis and the urgency of sepsis is important.

In other time-dependent conditions such as stroke or acute coronary syndrome (ACS), early recognition and interventions to increase awareness among health professionals on the importance of timely diagnosis and treatment

To cite: Latten G, Hensgens K, de Bont EGPM, *et al.* How well are sepsis and a sense of urgency documented throughout the acute care chain in the Netherlands? A prospective, observational study. *BMJ Open* 2020;**10**:e036276. doi:10.1136/ bmjopen-2019-036276

Prepublication history and additional material for this paper are available online. To view these files, please visit the journal online (http://dx.doi. org/10.1136/bmjopen-2019-036276).

Received 10 December 2019 Revised 17 March 2020 Accepted 26 May 2020

Check for updates

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Correspondence to Dr Gideon Latten; g.latten@zuyderland.nl have been shown to improve survival substantially.^{9–11} Due to improved awareness and specific care systems, patients with a possible stroke or ACS immediately raise a sense of urgency. Taking the similar mortality rates of stroke, ACS and sepsis into account, one could assume that documenting sepsis when referring or transporting a patient to the hospital will also directly generate a sense of urgency. It is likely, however, that physicians in (crowded) EDs will prioritise patients, even across those meeting sepsis criteria, as not all patients with sepsis are equally ill. To our knowledge, no studies have investigated the documentation of a sense of urgency in acute care chain medical records, in relation to documentation of 'sepsis'.

In this prospective, observational study of ED patients with suspected sepsis, we investigated (1) how often the suspicion of sepsis is documented throughout the acute care chain during out-of-hours, (2) how often a sense of urgency is documented throughout the acute care chain, and (3) the association between documentation of sepsis and the documentation of a sense of urgency. Fourth, we investigated the association of documentation of sepsis or a sense of urgency with adverse outcomes (intensive care admission and/or 30-day mortality).

METHODS

Design and setting

In this study, ED patients with suspected sepsis were enrolled prospectively, after which their medical records (ie, GP referral letters, EMS charts and ED charts) were reviewed retrospectively. We included patients at the ED in a large district hospital providing care to a region with 260 000 inhabitants (Zuyderland Hospital Heerlen, The Netherlands), during out-of-hours (Monday through Friday from 17:00 to 08:00, and during weekends) between 2 September 2017 and 6 January 2018. The ED in Heerlen provides general and specialised acute medical care to the region, including patients referred by GPs and EMS. Less than 3% of ED patients are walk-ins (ie, unreferred, without involvement of GP and/or EMS), which is a common percentage in the Netherlands.¹² We focused on patients during out-of-hours as the co-located GP cooperative provides a digital referral for nearly 100% of patients.

Out-of-hours primary care in the Netherlands is organised in large-scaled GP cooperatives, which serve as the first step in emergency care. At these cooperatives, 50–150 GPs take rotating shifts during out-of-hours. For out-of-hours medical complaints, patients have to contact their nearby GP cooperative by telephone. If physical assessment by a GP is deemed necessary based on the telephone triage system (Netherlands Triage Standard, NTS), they can either get a GP appointment at the cooperative's facility or be scheduled for a home visit by a GP.¹³ GPs have a gate-keeping function in the Netherlands, which means that most patients are seen by a GP before they are referred to an ED. The participating cooperative's location in this study adjacent to the ED is customary in the Netherlands. $^{\rm 14}$

For life-threatening complaints, patients are supposed to call the national emergency telephone number (112). If a patient inadvertently calls the GP cooperative and life-threatening complaints are suspected, immediate assessment by EMS is ordered. EMS nurses in the Netherlands are highly trained, usually with experience in acute and/ or intensive care. They treat and, if necessary, transport the patient to the ED. Similar to the GP cooperatives' triage, EMS dispatch codes are assigned by the ambulance dispatch centre, using NTS.¹³ A1 is the most urgent category, indicated for life-threatening situations. A2 is urgent but not life-threatening, and B is for non-urgent conditions.

When a GP refers a patient to the ED, he/she informs the receiving physician—usually a senior staff member by telephone, and writes a (digital) referral letter from the patient's medical record, which includes the reason for referral, vital parameters, and relevant comorbidities and medication. This letter is immediately available for the treating physician in the ED, but not always for the EMS. They rely on a summary of information, supplied by the EMS dispatch centre and the GP on site. In our ED, triage levels are determined using the Dutch version of the Manchester Triage System.^{15–17}

We used the Strengthening the Reporting of Observational Studies in Epidemiology guidelines for reporting this observational study.¹⁸

Patients

All patients ≥ 18 years old with suspected sepsis who visited the ED during out-of-hours and had been referred by a GP and/or transported by EMS were included. We defined suspected sepsis as suspected or proven infection and the presence of ≥ 2 quick Sepsis-related Organ Failure Assessment (qSOFA) and/or ≥ 2 Systemic Inflammatory Response Syndrome (SIRS) criteria, based on the vital parameters and laboratory results measured in the ED.¹¹⁹ We included patients who had been referred by a GP and/or transported by EMS. We used qSOFA, as it is considered a risk stratification tool for adverse outcomes in patients with an infection.¹ However, the sensitivity of qSOFA has been found to be low when used as a screening tool for sepsis.^{20 21} We therefore included patients with ≥ 2 SIRS criteria as well.

Patients were excluded if they were walk-ins or had been referred by a different physician than a GP (eg, elderly care physician), in case of an ED diagnosis of sterile inflammation (eg, pancreatitis, pericarditis), and when a patient visited the ED for a second time during the inclusion period. The screening process for eligibility was conducted by two independent researchers, according to an established protocol. Follow-up data (30 days after hospital discharge) were obtained by retrieval of hospital records or by telephone contact with the patient's GP.

Data collection

Patient data were collected using a case report form, comprising data from the patient's medical records. We retrieved general patient information, as well as information regarding the patient's referral pathway (GP, EMS, ED, hospital).

Definitions

Comorbidities were quantified using the Charlson Comorbidity Index.²² For the calculation of SIRS and qSOFA, the most abnormal vital parameters (blood pressure, heart rate, respiratory rate, oxygen saturation, Glasgow Coma Scale, temperature) in the ED were used. Adverse outcomes were defined as intensive care unit (ICU) admission, 30-day all-cause mortality or both.

We retrieved the documentation of the word 'sepsis' (literally) and the documentation of a sense of urgency. The documentation of a sense of urgency (yes/no) was based on the complete text in each medical record (ie, not on the documentation of 'sepsis' alone). This was judged by an assessment panel of three acute healthcare professionals (a GP, an acute internist and an ED consultant) who independently assessed patients' medical records. The medical records were anonymised and randomly shuffled in such a way that the assessors could not match (GP, EMS, ED) records of a patient in the acute care chain. In addition, the assessors were blinded to the clinical outcomes of patients after the ED visit. A record was considered to have documented a sense of urgency when it suggested that the patient was in need of immediate assessment by a physician in the ED. No specific cues were provided to the panel, as their judgement regarding the sense of urgency reflects daily practice. In case of disagreement, the panel discussed the case face-to-face, aiming to reach consensus. In case of persistent disagreement, the majority rule was applied.

Analyses

Descriptive analysis was performed in order to provide insight into the baseline patient characteristics and referral pathways. We analysed how often sepsis and a sense of urgency were documented in the medical records. We described the patterns of documentation of both sepsis and a sense of urgency throughout the acute care chain. In order to test the hypothesis that 'sepsis' is documented more often in patients with a documented sense of urgency, we analysed the association and agreement between these two. Finally, we investigated whether there was an association between the documentation of sepsis or a sense of urgency and adverse outcomes (ICU admission and/or 30-day mortality).

Statistical methods

All statistical analyses were performed using IBM SPSS V.25 statistical software. Continuous data were reported as mean with SD and compared using Student's t-test, or as median with IQR, and compared using the Mann-Whitney U test. We reported categorical data as absolute numbers

and as valid percentages (to correct for missing data); these were compared using χ^2 or Fisher's exact tests. A p value <0.05 was considered statistically significant.

Regarding the documentation of a sense of urgency, we calculated the number of medical records in which there was immediate agreement between the three professionals and the proportion in which there was agreement after face-to-face discussion. Fleiss kappa values were calculated to determine the level of agreement.

To investigate the association between the documentation of sepsis and a sense of urgency, we calculated OR with 95% CI. We reported kappa values for the agreement between the documentation of sepsis and a sense of urgency. Kappa values of 0.6–0.8 represent moderate, values of 0.8–0.9 strong, and values >0.9 almost perfect agreement.²³

For this study, we calculated the minimum sample size to be able to detect a difference in sepsis documentation of 25% between patients with and without an adverse outcome. With an estimated adverse event rate of 12.5%, and documentation of sepsis at least once in 50% of patients with and in 25% of patients without an adverse outcome, we required 35 patients with an adverse outcome and 280 without one, resulting in a target sample size of 315 patients.

RESULTS

Patients and referral pathways

We recruited 339 patients with (suspected/proven) infection and ≥ 2 SIRS and/or qSOFA criteria who visited the ED during out-of-hours (table 1), with a median age of 68 years. Of all patients, 269 (79%) were referred by the GP and 193 (57%) were assessed and transported by EMS. The included 339 patients had a total of 800 medical records: 268 GP referral letters, 193 EMS charts and 339 ED charts. Of these, 16 GP referral letters and 2 EMS charts could not be retrieved, leaving 782 complete medical records available for analyses (online supplementary file – database).

Documentation of sepsis

Sepsis was literally documented in 131 (16.8%) of the 782 records (figure 1). GP referral letters contained the word 'sepsis' in 35 (13.9%), EMS charts in 33 (12.3%) and ED charts in 63 (18.6%) cases. In 92 (27.1%) patients, sepsis was documented by at least one healthcare professional in the acute care chain.

The different patterns of sepsis documentation in the acute care chain are illustrated in figure 2. In 14 (4.1%) patients, all involved professionals documented sepsis, while in 247 (72.9%) none mentioned it. In all other cases (n=78, 23.0%), sepsis was documented at least once, but not by all professionals.

Sense of urgency

The assessment panel agreed on the sense of urgency being present/absent in 515 (65.9%) cases (online

Table 1 Baseline patient characteristics (N=339)*							
General							
Age (years)	68 (53–78)						
Male	151 (44.5)						
Comorbidities (CCI)	1 (0–2)						
Referral pathway							
Referred by GP	268 (79.1)						
Transport by EMS	193 (56.9)						
Referral pathway, contact with:							
GP+EMS+ED	122 (35.6)						
GP+ED	146 (43.1)						
EMS+ED	71 (20.9)						
EMS dispatch code (n=185†)							
A1	77 (41.6)						
A2	83 (44.9)						
В	25 (13.5)						
ED							
qSOFA ≥2	47 (13.9)						
SIRS ≥2	336 (99.1)						

*Values are n (%) for ordinal variables and median (IQR) for continuous variables.

†Eight missing.

CCI, Charlson Comorbidity Index; ED, emergency department; EMS, emergency medical services; GP, general practitioner; qSOFA, quick Sepsis-related Organ Failure Assessment; SIRS, Systemic Inflammatory Response Syndrome.

supplementary table 1). Face-to-face discussion was necessary for 267 (34.1%) medical records. After discussion, agreement was reached for 90.5% of records. Fleiss kappa values varied between 0.36 and 0.43 before discussion, and between 0.71 and 0.91 after discussion.

In the end, the panel agreed that in 175 (22.4%) medical records, a sense of urgency was documented (figure 1). GPs documented a sense of urgency in 38 (31.0%), EMS in 46 (24.1%) and ED physicians in 51 (15.0%) cases. In 123 (36.3%) patients, a sense of urgency was documented in at least one record within the acute care chain.

Figure 2 shows the different patterns in the acute care chain of the documented sense of urgency in medical records. In 26 (7.7%) patients, all medical records contained a sense of urgency, and in 216 (63.7%) none did.

Association and agreement between the documentation of 'sepsis' and a sense of urgency

In 71 (9.1%) medical records, 'sepsis' as well as a sense of urgency were documented (table 2). In 547 (69.9%) records, neither was documented.

We found a significant association between the documentation of sepsis and the documentation of a sense of urgency. ORs varied between 2.9 for EMS charts and 16.6 for GP referral letters. Kappa values for the agreement between the documentation of 'sepsis' and a sense of urgency were 0.40 for GP referral letters, 0.19 for EMS charts and 0.39 for ED charts.

Comparison between patients with and without an adverse outcome

In total, 48 (14.2%) patients experienced an adverse outcome. ICU admission was necessary for 36 (10.6%) patients and 16 (4.7%) died within 30 days (table 3). In patients with an adverse outcome, 'sepsis' was more often documented at least once in the acute care chain (54.2% vs 22.7%, p<0.001). We found 'sepsis' documentation

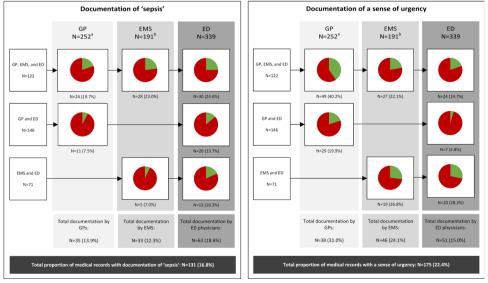


Figure 1 Documentation of 'sepsis' and a sense of urgency in medical records. Values are n (%); ^a16 missing, ^b2 missing. Left figure – documentation of 'sepsis': green: 'sepsis' documented; red: 'sepsis' not documented. Right figure – documentation of a sense of urgency: green: sense of urgency documented; red: no sense of urgency documented. ED, emergency department; EMS, emergency medical services; GP, general practitioner.

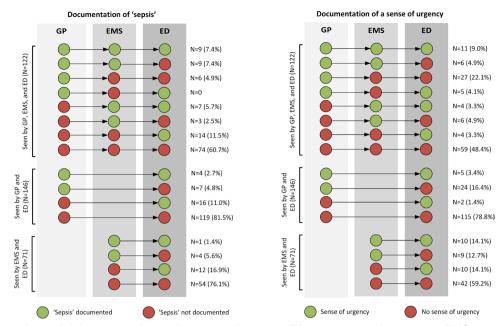


Figure 2 Patterns of 'sepsis' documentation and a sense of urgency. ED, emergency department; EMS, emergency medical services; GP, general practitioner.

more often in ED records of those with than in those without an adverse outcome (47.9% vs 13.7%, p<0.001), but this pattern was not found in GP and EMS medical records.

Throughout the acute care chain, a sense of urgency was documented more often in patients with than in patients without an adverse outcome (table 3).

DISCUSSION Main findings

In this prospective observational study, we found that in ED patients with suspected sepsis, the word 'sepsis' was literally documented in 16.8% of all prehospital and ED medical records. In only 4.1% of patients 'sepsis' was documented by all professionals involved in the acute care chain. We found similar results for the documentation of a sense of urgency. Despite a significant association between the documentation of 'sepsis' and of a sense of urgency, agreement between these two was low (kappa

0.19–0.40). In patients with an adverse outcome, sepsis and a sense of urgency were documented more often than in patients without an adverse outcome.

Comparison with existing literature

In our study, 'sepsis' was documented at least once in the acute care chain in 27.1% of patients. Previous studies found similar results, with prehospital documentation rates between 10% and 40%.^{3 6 7} A likely contributor to poor recognition is the absence of a gold standard test for sepsis. Furthermore, loss of information and semantics (eg, documenting pneumonia instead of pneumosepsis) are possible explanations. It is also possible that the term 'sepsis' does not cover the severity of the disease or the professional's sense of urgency.

An important finding in our study is the fact that 'sepsis' was documented by all professionals in only 4.1% of patients. Poor handover strategies, disagreement between professionals and varying vital parameters over time are possible explanations. In our region, GPs and

Table 2 Association and agreement between the documentation of 'sepsis' and a sense of urgency								
				Sense of urgency documented				
			Yes	No	OR (95% CI)	P value	Карра	
GP referral letters	'Sepsis' documented	Yes No	29 49	6 168	16.6 (7 to 42)	<0.001	0.4	
EMS charts	'Sepsis' documented	Yes No	14 32	19 126	2.9 (1 to 6)	0.007	0.19	
ED charts	'Sepsis' documented	Yes No	28 23	35 253	8.8 (5 to 17)	<0.001	0.39	

ED, emergency department; EMS, emergency medical services; GP, general practitioner.

Table 3 Comparison between patients with and with	nout an adverse outcome*		
General	Adverse outcome (n=48, 14.2%)	No adverse outcome (n=291, 85.8%)	P value
Age (years)	68 (60–82)	68 (52–77)	0.35
Male	21 (43.8)	130 (44.7)	0.91
Comorbidities (CCI)	2 (1–3)	1 (0–2)	0.08
Referral pathway			
Referred by GP	35 (72.9)	233 (80.1)	0.26
Transport by EMS	35 (72.9)	158 (54.3)	0.02
Referral pathway			0.05
GP, EMS and ED	22 (45.8)	100 (34.4)	
GP and ED	13 (27.1)	133 (45.7)	
EMS and ED	13 (27.1)	58 (19.9)	
EMS dispatch code†			0.88
A1	15 (45.5)	62 (40.8)	
A2	14 (42.4)	69 (45.4)	
В	4 (12.1)	21 (13.8)	
ED			
qSOFA ≥2	20 (41.7)	27 (9.3)	<0.001
SIRS ≥2	48 (100.0)	288 (99.0)	0.48
'Sepsis' documentation			
Documentation of 'sepsis' in:			
GP letter‡	6/31 (19.4)	29/221 (13.1)	0.35
EMS chart§	6/35 (17.1)	27/156 (17.3)	0.98
ED chart	23/48 (47.9)	40/291 (13.7)	<0.001
'Sepsis' documented in ≥1 medical record	26 (54.2)	66 (22.7)	<0.001
Sense of urgency documentation			
Sense of urgency in:			
GP letter‡	17/31 (54.8)	61/221 (27.6)	0.002
EMS chart§	17/35 (48.6)	29/156 (18.6)	<0.001
ED chart	27/48 (56.3)	24/291 (8.2)	<0.001
Sense of urgency in ≥ 1 medical record	35 (72.9)	88 (30.2)	<0.001

*Values are n (%) for ordinal variables and median (IQR) for continuous variables, unless otherwise specified.

†n=185 (8 missing).

‡16 missing.

§2 missing.

CCI, Charlson Comorbidity Index; ED, emergency department; EMS, emergency medical services; GP, general practitioner; qSOFA, quick Sepsis-related Organ Failure Assessment; SIRS, Systemic Inflammatory Response Syndrome.

EMS use digital handovers. These are transmitted directly to the ED (and are thus immediately available), but EMS personnel cannot see the complete GP's handover. Therefore, they rely on a summary of information, supplied by the EMS dispatch centre, supplemented by an analogue letter supplied by the GP. Verbal handover may reduce loss of information, but an adequate written handover is still necessary, as previous research has shown that a substantial amount of information is lost in verbal handovers.^{8 24}

In addition to documentation of 'sepsis', we were, to our knowledge, the first to also investigate the documentation of a sense of urgency in medical records. In only 7.7% of patients all medical records of the same patient documented a sense of urgency. When we compared the documentation of 'sepsis' with the documentation of a sense of urgency in the medical records, we found a significant association between these two (OR 6.2). However, agreement was low with kappa values of 0.40 for GP referral letters, 0.19 for EMS charts and 0.39 for ED charts. This may suggest two things. First, mentioning 'sepsis' in a medical record does not automatically generate a sense of urgency. Possibly, professionals use the word 'sepsis' when a patient meets a specific set of criteria (eg, SIRS criteria), even when they do not consider the patient to be severely ill. Second, patients who do not appear severely ill are not considered to be 'septic'. Either can be caused by professionals not considering sepsis as the most important differential diagnosis, the lack of a gold standard test and the belief documenting sepsis is not useful.⁸

When comparing patients with and without an adverse outcome, we found that ED charts of patients with an adverse outcome more often contained the word 'sepsis' (47.9% vs 13.7%, p < 0.001), which is in line with previous studies.²⁵ We did not find this difference in prehospital (GP, EMS) documentation, possibly due to the fact that the suspicion of sepsis can be made more definite once diagnostics-leucocytes or partial pressure of carbon dioxide, both SIRS criteria-are performed in the ED. Noteworthy is that we found that GP and EMS medical records of patients with an adverse outcome significantly more often documented a sense of urgency than those without an adverse outcome (GP 54.8% vs 27.6%; EMS 56.3% vs 8.2%). This suggests that these professionals acknowledged the urgency with which these patients needed to be treated, but that they did not document sepsis or did not consider this as a differential diagnosis. Half of the medical records of those with an adverse outcome, however, still did not contain a sense of urgency. This suggests there is still room for improvement.

Strengths and limitations

Our study has two major strengths. First, to our knowledge, this is the first study comparing out-of-hours documentation of 'sepsis' with the presence of a sense of urgency in acute care chain medical records. Second, our study had only 2.3% missing medical records. Our results therefore reflect a best-case scenario. It is likely that in daily practice, there is more missing information due to lost records, causing poorer results than found in our study. A limitation could be that we investigated written documentation without taking verbal handovers into account. It is possible that GPs mentioned sepsis over the phone, but did not document it, especially in patients requiring urgent care. Prehospital medical records, however, should be an adequate representation of the information that needs to be communicated, especially since there can be loss of information within the hospital as well. Second, we defined 'sepsis' based on vital parameters in the ED. It is possible that prior to ED arrival, patients did not meet sepsis criteria, or that laboratory results-available only in the ED-made the diagnosis of sepsis more likely.⁷ Finally, the subjectivity in judgement of handovers by three healthcare professionals may be a limitation. After discussion, there was still disagreement in 9.8% of the records by the assessment panel, showing how difficult it is to adequately judge documented information on this topic.

CONCLUSION AND IMPLICATIONS

In conclusion, our study shows that in prehospital and ED medical records, sepsis and a sense of urgency are documented in one out of five patients. In only 1 out of 20 patients sepsis or a sense of urgency is documented by all involved professionals in the acute care chain. It is possible that poor documentation causes harm, due to delayed diagnosis or treatment. Hence, it could be important to raise awareness among healthcare professionals regarding the importance of their documentation. Our study provides a basis for future, preferably qualitative, research investigating why 'sepsis' and a sense of urgency are documented so infrequently in handovers; is it uncertainty about the diagnosis, lack of knowledge or disagreement regarding the severity of illness? If it is found that professionals are hesitant to mention 'sepsis', since they are not certain of the diagnosis, this should be a target for future interventions. Similar to myocardial infarction and stroke, patients are sent to the ED with a probability diagnosis, and once a prehospital professional suspects sepsis this suspicion should be carried on throughout the acute care chain.

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Contributors PS and GL were involved in the conception and design of the study. KH included the patients. GL organised the data, had full access to the data and wrote the first draft. EGPMB, GL and PS comprised the assessment panel. KH, EGPMB, JWMM, JWLC and PS revised the manuscript and approved the final version for submission.

Funding The authors have not declared a specific grant for this research from any funding agency in the public, commercial or not-for-profit sectors.

Competing interests None declared.

Patient and public involvement Patients and/or the public were not involved in the design, or conduct, or reporting, or dissemination plans of this research.

Patient consent for publication Not required.

Ethics approval The study was reviewed and approved by the medical ethics committee Zuyderland-Zuyd (METC-Z nr. 17-N-120).

Provenance and peer review Not commissioned; externally peer reviewed.

Data availability statement All data relevant to the study are included in the article or uploaded as supplementary information.

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