



# Interleukin-6-mediated CCR9<sup>+</sup> interleukin-17-producing regulatory T cells polarization increases the severity of necrotizing enterocolitis

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## ABSTRACT

**Background:** Increased frequency of CCR9<sup>+</sup> CD4<sup>+</sup> T cells in peripheral blood is linked to several gastrointestinal inflammatory diseases; however, its relationship with necrotizing enterocolitis (NEC) is not understood. We investigated whether the frequencies of CCR9<sup>+</sup> CD4<sup>+</sup> T cells and related subsets were increased in peripheral blood of both patients and mice with NEC.

**Methods:** CCR9<sup>+</sup> CD4<sup>+</sup> T cells and related subsets were evaluated by flow cytometry in peripheral blood collected from both patients and mice with NEC and controls. The suppressive function of CCR9<sup>+</sup> regulatory T (Treg) cells in NEC was assessed *via in vitro* suppression assay. An *in vitro* T cell polarization assay was performed to investigate the role of proinflammatory cytokines in Treg cell polarization. *In vivo* Treg cell polarization analysis was performed using NEC mice treated with anti-interleukin-6 (IL-6) receptor antibody.

**Findings:** A higher proportion of CCR9<sup>+</sup> CD4<sup>+</sup> T cells occurred in peripheral blood of both patients and mice with NEC than in controls. Elevated CCR9<sup>+</sup> CD4<sup>+</sup> T cells were primarily CCR9<sup>+</sup> IL-17-producing Treg cells, possessing features of conventional Treg cells, but their suppressive activity was seriously impaired and negatively correlated with the severity of intestinal tissue injury. IL-6 promoted polarization of CCR9<sup>+</sup> Treg cells to CCR9<sup>+</sup> IL-17-producing Treg cells, and blocking IL-6 signalling inhibited this conversion *in vitro* and ameliorated experimental NEC *in vivo*.

**Interpretation:** Collectively, these data suggested that CCR9<sup>+</sup> IL-17-producing Treg cells may be a biomarker of severity and highlight the possibility that antibodies targeting IL-6R could ameliorate NEC by modulating lymphocyte balance.

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**Abbreviations:** aIL6R, anti-IL6 receptor antibody; ALC, absolute lymphocyte count; FCM, flow cytometry; NEC, necrotizing enterocolitis; PBMC, peripheral blood mononuclear cell; STAT, signal transducer and activator of transcription; Th17, interleukin-17 (IL-17)-producing T helper cell; Treg, Foxp3<sup>+</sup> regulatory T cell; WBC, white blood cell count.

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## Research in context

### Evidence before this study

The marked elevation of CCR9<sup>+</sup> CD4<sup>+</sup> T cells in peripheral blood has been linked to several gastrointestinal inflammatory diseases. However, circulating CCR9<sup>+</sup> CD4<sup>+</sup> T cells have never been investigated in both patients and mice with necrotizing enterocolitis (NEC).

### Added value of this study

Findings from this study indicate that peripheral blood CCR9<sup>+</sup> IL-17-producing Treg cells were significantly elevated in both patients and mice with NEC and the suppressive activity was seriously impaired. IL-6 could promote the conversion of CCR9<sup>+</sup> Treg cells to CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells and blocking IL-6 signalling inhibited the above conversion. More importantly, treatment with antibodies targeting IL-6R could ameliorate NEC severity.

### Implications of all the available evidence

CCR9<sup>+</sup> IL-17-producing Treg cells may be a biomarker of severity, and our research highlights the possibility that antibodies targeting IL-6R could ameliorate NEC by modulating lymphocyte balance.

## 1. Introduction

Necrotizing enterocolitis (NEC) remains a leading cause of morbidity and mortality in preterm infants [1,2]. The pathophysiology of the disease is characterized by an excessive inflammatory response and necrosis that can affect any part of the gastrointestinal tract, though it often targets the small bowel [1,2]. Moreover, although prematurity, bacterial colonization, formula feeding, and several other factors may predispose the intestinal mucosa to an excessive inflammatory response state in NEC [1,2], the underlying regulatory mechanism of mucosal imbalance remains largely unknown.

An imbalance caused by diminished tolerogenic Foxp3<sup>+</sup> regulatory T (Treg) cells and increased proinflammatory interleukin-17 (IL-17)-producing T helper 17 (Th17) cells in lamina propria contributes to the excessive inflammatory response caused by NEC [3–5]. Consistently, a recent study also showed that the infiltration of T cells to intestinal tissue and polarization of these cells towards Th17 cells over Treg cells led to necrosis of intestinal tissue in mice [3]. T cell migration from peripheral blood to small intestine is largely regulated by chemokine (CC motif) receptor 9 (CCR9) [6,7]. Intriguingly, the frequency of circulating CCR9<sup>+</sup> CD4<sup>+</sup> T cells was found to be increased in patients with gastrointestinal diseases such as small bowel Crohn's disease [8], functional dyspepsia [9], and delayed gastric emptying [10]. These findings reveal the importance of circulating CCR9<sup>+</sup> CD4<sup>+</sup> T cells in gastrointestinal disease, but whether or not this population has critical role in NEC remains unclear.

Treg and Th17 cells can develop from the same pool of naive T cells under a distinct microenvironment [11,12] and, in some conditions, can also trans-differentiate [13–15]. Further, an intermediate subset of IL-17-producing Treg (IL-17<sup>+</sup> Treg) cells can be generated from Treg cells upon polarization by cytokines such as IL-6 [16–18]. Accumulating data demonstrated that circulating IL-17<sup>+</sup> Treg cells are increased and play a critical role in inflammatory diseases such as inflammatory bowel disease (IBD) [19], psoriasis [20], and rheumatoid arthritis (RA) [13]. Interestingly, recent studies showed that the levels of IL-6 are significantly elevated in peripheral blood and intestinal tissue of patients with NEC [5,21]. Considering the critical role of circulating CCR9<sup>+</sup> CD4<sup>+</sup> T cells in gastrointestinal disease [8–10], we hypothesized that

increased IL-6 might promote CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cell polarization and accelerate the development of NEC.

In the present study, we investigated the CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells in both patients and mice with NEC and found this population was markedly elevated in peripheral blood. Elevated CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells exhibited phenotypic characteristics of Treg and Th17 cells, but the immunosuppressive activity was impaired *in vitro*. Furthermore, IL-6 promoted the polarization of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells, while blocking IL-6 mediated signalling inhibited this conversion *in vitro* and ameliorated experimental NEC *in vivo*. In addition, circulating CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells negatively correlated with the severity of intestinal tissue injury. Thus, we reveal an IL-6-CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells regulatory axis in NEC that correlates immune activation and disease progression.

## 2. Materials and methods

### 2.1. Study subjects

Peripheral blood samples were collected from 77 preterm neonates diagnosed with NEC (Bell stage I, n = 28; Bell stage II, n = 30; Bell stage III, n = 19) according to the clinical manifestations and radiographic findings using modified Bell's criteria [22], born at the Sixth Affiliated Hospital, Sun Yat-sen University, the Foshan Women and Children's Hospital, the Guangdong Women and Children Hospital, and the Fifth People's Hospital of Dongguan between March 2016 and September 2017. In the 19 patients having Bell stage III NEC, there were 11 that required surgical intervention and one NEC totalis patient who died. Blood specimens from another 80 gestational age (GA)-, birth weight (BW)-, and sex-matched preterm neonates admitted to the neonatal intensive care unit (NICU) without NEC during the same period served as control subjects (Tables 1 and 2). All infants recruited in this study were born at GA < 37 weeks and had no major congenital malformations. Spontaneous intestinal perforation (SIP) was distinguished through clinical and radiological testing and defined as the presence of an isolated intestinal perforation surrounded by apparently normal bowel tissues and the absence of characteristic gross or microscopic features of NEC through a laparotomy [23]. Infants diagnosed with SIP were excluded from our analysis. This study protocol was performed according to the Declaration of Helsinki and was approved by the Ethics Committee of the Sixth Affiliated Hospital, Sun Yat-sen University and the Fifth People's Hospital of Dongguan (2016001). Written informed consent was obtained from the parents of each participant.

### 2.2. Sample collection

One-millilitre peripheral venous blood samples used for the measurement of cytokines, intestinal barrier integrity biomarkers, and T cell subsets was obtained at the time of diagnosis before the initiation of treatment. For some patients whose peripheral venous blood were also tested for Treg cell polarization, suppressive activity and the genes expression of Foxp3 and RORγt, the lymphocytes were collected from additional 2 ml blood samples which were used for biochemical testing. In the control subjects, blood samples were taken after written informed consent was obtained.

### 2.3. Isolation of Treg and CCR9<sup>+</sup> CD4 T cells

Peripheral blood mononuclear cells (PBMCs) and cord blood mononuclear cells (CBMCs) were isolated by density gradient centrifugation with Ficoll-Hypaque (GE Healthcare, Little Chalfont, UK). Next, Treg cells were isolated from PBMCs and CBMCs using the CD4<sup>+</sup> CD25<sup>+</sup> CD127<sup>dim/-</sup> regulatory T cell Isolation Kit II (Miltenyi Biotec, Bergisch Gladbach, Germany), because identifying the expression of CD127 in combination with CD4 and CD25 is a reliable strategy to discriminate Treg cells (CD127<sup>dim/-</sup>) from conventional T cells [24,25], according to the manufacturer's instructions. CD4<sup>+</sup> CD25<sup>+</sup> CD127<sup>dim/-</sup> cells were

negatively purified by a cocktail of biotin-conjugated antibodies and anti-biotin monoclonal antibodies conjugated to MicroBeads. Then, CD4<sup>+</sup> CD25<sup>+</sup> CD127<sup>dim/-</sup> Treg cells were directly labelled with CD25 MicroBeads and isolated using a selection column (Miltenyi Biotec). Simultaneously, we also separated CD25<sup>+</sup> and CD25<sup>-</sup> T cells from PBMCs according to those described by Yates et al. [26]. For CCR9<sup>+</sup> CD4<sup>+</sup> T and CCR9<sup>+</sup> CD4<sup>+</sup> CD25<sup>+</sup> CD127<sup>dim/-</sup> Treg cell isolation, PBMCs, CBMCs or CD4<sup>+</sup> CD25<sup>+</sup> CD127<sup>dim/-</sup> Treg cells were stained with fluorochrome-conjugated anti-human antibodies against CD3, CD4, and CCR9 (Table S1) and sorted using the Aria II cell sorter (BD Biosciences, San Jose, CA, USA). The purity of all isolated cell subsets was >90%.

#### 2.4. Treg cell polarization assay

Treg cell polarization assay were cultured according to a previous report [13] and our preliminary experiment (Fig. S1). Briefly, purified CCR9<sup>+</sup> CD4<sup>+</sup> CD25<sup>+</sup> CD127<sup>dim/-</sup> Treg cells were cultured in TexMACS medium (Miltenyi Biotec) supplemented with 50 μM 2-mercaptoethanol (Thermo Fisher Scientific, Waltham, MA, USA), recombinant human interleukin (IL)-2 (rhIL-2, 100 IU/ml, Peprotech, Rocky Hill, NJ, USA), and penicillin/streptomycin (100 IU/ml, Invitrogen, Carlsbad, CA, USA) and then stimulated with anti-CD3/CD28-coated microbeads (Miltenyi Biotec). For Th17 cell polarization, cells were treated with recombinant human IL-1β (rhIL-1β, 10 ng/ml, Peprotech) recombinant human IL-6 (rhIL-6, 20 ng/ml, Peprotech), or both; when indicated, cells were further treated with neutralizing antibodies against IL-1β (10 μg/ml, R&D Systems, Minneapolis, MN, USA), IL-6 receptor (10 μg/ml, R&D Systems), or both for 4 or 8 days.

#### 2.5. T cell proliferation and suppression assay

Suppression of responder CD4<sup>+</sup> T cells proliferation by CD4<sup>+</sup> CD25<sup>+</sup> Treg cells was assessed using the standard carboxyfluorescein diacetatesuccinimidyl ester (CFSE) dilution method [27]. Briefly, freshly sorted CCR9<sup>+</sup> CD4<sup>+</sup> CD25<sup>+</sup> Treg cells or sorted CCR9<sup>+</sup> Treg and CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells ( $5 \times 10^4$ ) from cultured cord blood CCR9<sup>+</sup> Treg cells under Th17-polarizing conditions were co-cultured with purified CFSE-labelled CD4<sup>+</sup> CD25<sup>-</sup> responder T cells at a 1:1 ratio in RPMI-1640 medium containing 10% fetal bovine serum (Invitrogen), recombinant human interleukin (IL)-2 (rhIL-2, 100 IU/ml, Peprotech), and penicillin/streptomycin (100 IU/ml, Invitrogen), and then stimulated with anti-CD3/CD28-coated microbeads (Miltenyi Biotec). Activated

**Table 2**

Demographic and Clinical Characteristics of surgical and nonsurgical patients of Bell stage III.

Parameter	NEC Stage III		P
	Surgical	Nonsurgical	
Number	11	8	
Sex (male/female)	7/4	4/4	0.658
GA (week) <sup>a</sup>	31.10 ± 2.66	31.16 ± 1.63	0.657
BW (g) <sup>a</sup>	1476 ± 347	1403 ± 278	0.626
Cesarean section, n (%)	7 (63.63)	5 (62.50)	0.663
5-min apgar ≤ 5, n (%)	1 (9.09)	1 (12.50)	1.0
Postnatal age (d) <sup>a</sup>	16.91 ± 7.06	14.00 ± 4.41	0.314
FOBT	11 (100)	8 (100)	–
Blood pathogen culture	5 (45.45)	3 (37.5)	0.551
<i>E coli</i>	2 (25)	1 (12.5)	0.624
<i>Klebsiella</i> spp	1 (12.5)	1 (12.5)	0.322
<i>Enterococcus faecium</i>	1 (12.5)	0	0.579
<i>Pseudomonas aeruginosa</i>	1 (12.5)	0	0.579
Fungi	1 (12.5)	0	0.579

NEC, necrotizing enterocolitis; GA, gestational age; BW, Birth weight; FOBT, fecal occult blood testing.

<sup>a</sup> Mean ± SD.

and non-activated CD4<sup>+</sup> CD25<sup>-</sup> responder T cells without CCR9<sup>+</sup> CD4<sup>+</sup> CD25<sup>+</sup> Treg cells were used as controls. On Day 5, Tresp cell proliferation was determined by flow cytometry.

#### 2.6. Quantitative real-time PCR

Total RNA was extracted from CCR9<sup>+</sup> CD4 T cells using TRIzol reagent (Invitrogen) according to the manufacturer's protocol. cDNA was synthesized with M-Mulv Reverse Transcriptase (Promega, Madison, WI, USA) and amplified using the Fast SYBR Green PCR Master Mix (Applied Biosystems, Foster City, CA, USA) in the 7500 real-time PCR system (Applied Biosystems) for each target gene (Table S2). The relative expression of each gene was quantified after normalization against β-actin. All standards and samples were tested in triplicate wells. Data were presented as arbitrary units and calculated as  $2^{-(Ct(\beta\text{-actin}) - \text{gene of interest})}$ .

#### 2.7. Induction of experimental NEC

All experiments were approved by the Institutional Animal Care and Use Committee of Sun Yat-sen University. Ten-day-old C57BL/6 mouse

**Table 1**

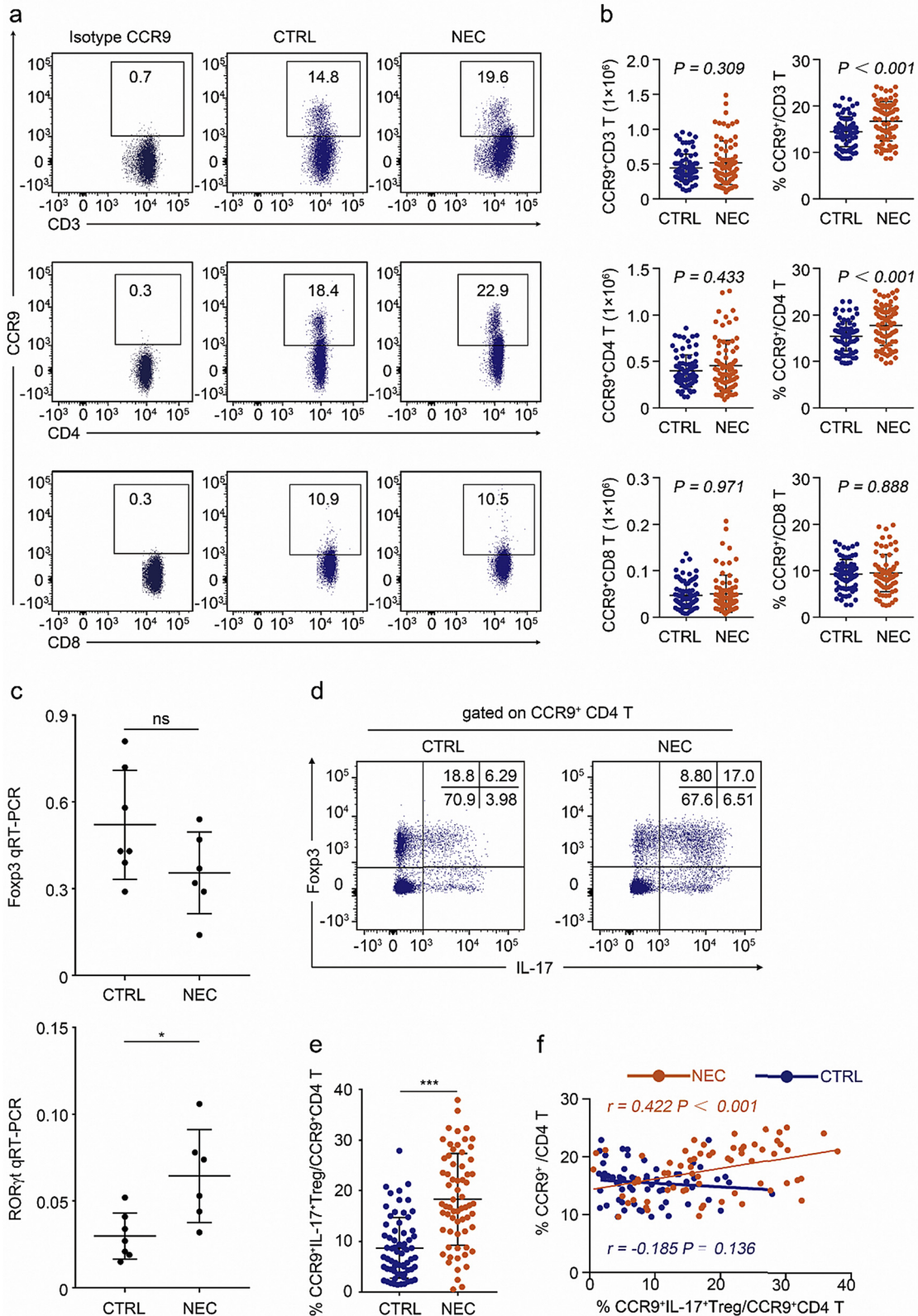
Demographic and Clinical Characteristics of NEC and Control Subjects.

Parameter	Controls	Total NEC	P	NEC Stage			P
				I	II	III	
Number	80	77	–	28	30	19	–
Sex (male/female)	42/38	40/37	0.945	16/12	13/17	11/8	0.481
GA (week) <sup>a</sup>	32.14 ± 2.73	31.45 ± 2.23	0.086	31.29 ± 2.17	31.82 ± 2.31	31.13 ± 2.23	0.511
BW (g) <sup>a</sup>	1684 ± 431	1586 ± 380	0.134	1616 ± 391	1647 ± 396	1445 ± 314	0.169
Cesarean section, n (%)	59 (73.75)	56 (72.73)	0.885	23 (82.14)	21 (70)	12 (63.16)	0.326
5-min apgar ≤ 5, n (%)	7 (8.75)	6 (7.79)	0.828	2 (7.14)	2 (6.67)	2 (10.53)	0.875
Postnatal age (d) <sup>a</sup>	14.80 ± 7.33	15.08 ± 7.32	0.812	15.82 ± 7.90	14.00 ± 7.60	15.68 ± 6.05	0.592
FOBT	0	77 (100)	–	28 (100)	30 (100)	19 (100)	–
Blood pathogen culture	0	16 (20.78)	–	2 (7.14)	6 (20)	8 (42.11)	0.015
<i>E coli</i>	0	8 (50)	–	2 (12.5)	3 (18.75)	3 (18.75)	0.632
<i>Klebsiella</i> spp	0	4 (25)	–	0	2 (12.5)	2 (12.5)	0.251
<i>Enterococcus faecium</i>	0	2 (12.5)	–	0	1 (6.25)	1 (6.25)	0.511
<i>Pseudomonas aeruginosa</i>	0	1 (6.26)	–	0	0	1 (6.25)	0.213
Fungi	0	1 (6.25)	–	0	0	1 (6.25)	0.213
Surgical, n (%)	0	11	–	0	0	11	–
NEC totalis, n (%)	0	1	–	0	0	1	–
Died, n (%) <sup>b</sup>	0	1	–	0	0	1	–

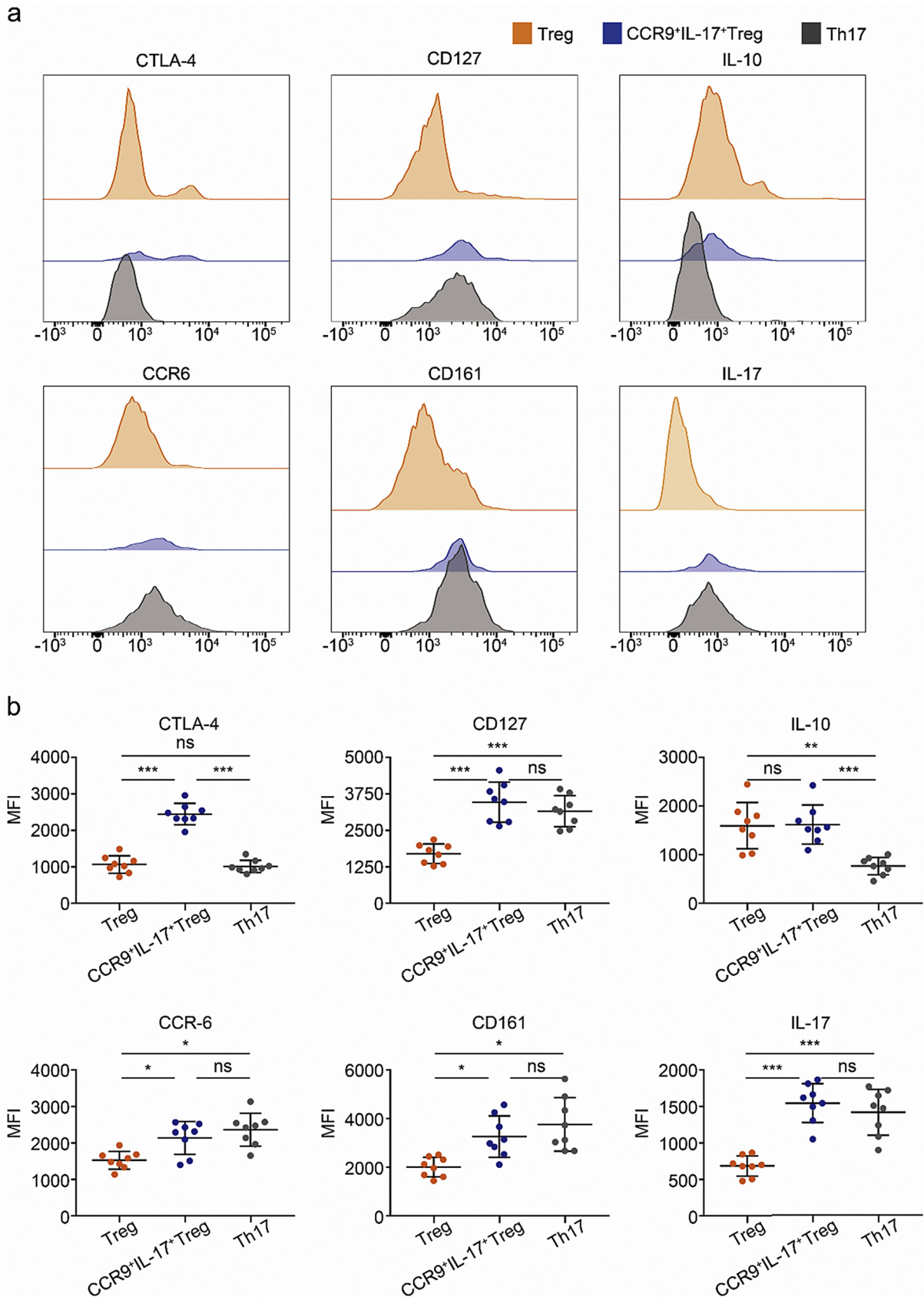
NEC, necrotizing enterocolitis; GA, gestational age; BW, Birth weight; FOBT, fecal occult blood testing.

<sup>a</sup> Mean ± SD.

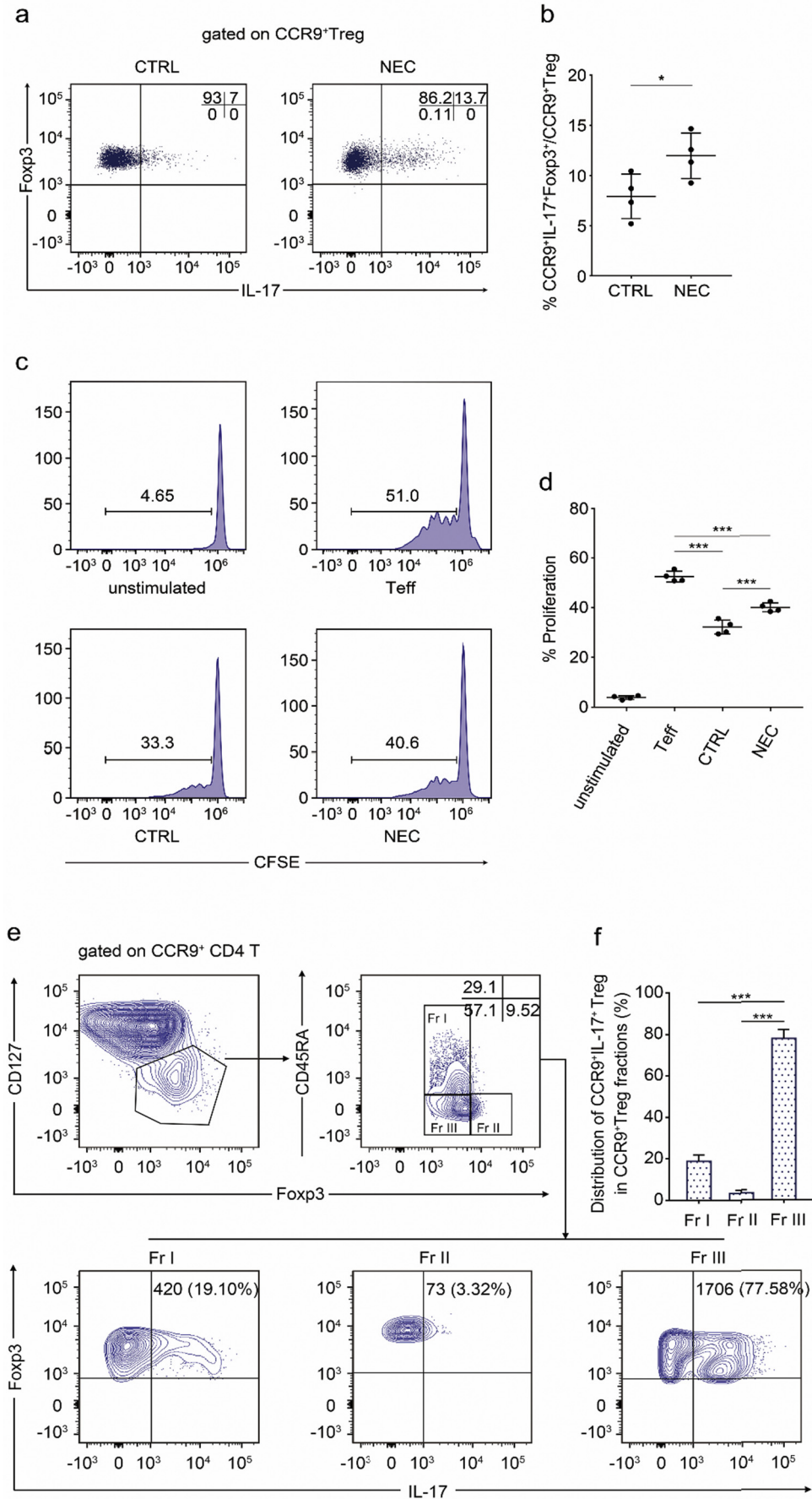
<sup>b</sup> The patient died from NEC totalis.



**Fig. 1.** CCR9<sup>+</sup> CD4<sup>+</sup> T cells and related subset in peripheral blood from NEC patients and controls. (a and b) CCR9 expression in lymphocytes was assessed by flow cytometry in peripheral blood mononuclear cells from NEC patients (n = 77) and controls (CTRL; n = 80). (a) Representative flow cytometric plots of CCR9 expression in gated CD3<sup>+</sup> T cells (top), CD4<sup>+</sup> T cells (middle) and CD8<sup>+</sup> T cells (bottom). Numbers on the representative flow cytometry graph indicate the percentage of CCR9<sup>+</sup> cells in that subset. (b) The absolute numbers (left) and frequencies (right) of CCR9<sup>+</sup> CD3<sup>+</sup> T cells (top), CCR9<sup>+</sup> CD4<sup>+</sup> T cells (middle), and CCR9<sup>+</sup> CD8<sup>+</sup> T cells (bottom). P-values were calculated using Mann-Whitney U-test. (c) The expression of IL-17 and Foxp3 in CCR9<sup>+</sup> CD4<sup>+</sup> T cells from patients with NEC (n = 6) and controls (CTRL; n = 7) was evaluated by quantitative real-time PCR (qRT-PCR). ns: not significant, \*,  $P < .05$ . (D - F) The expression of IL-17 and Foxp3 in CCR9<sup>+</sup> CD4<sup>+</sup> T cells from patients with NEC and controls (CTRL) was evaluated by intracellular cytokine staining and flow cytometry. (d) Representative flow cytometric plots showing IL-17 expression in CCR9<sup>+</sup> CD4<sup>+</sup> Foxp3<sup>+</sup> Treg (CCR9<sup>+</sup> Treg) cells in patients with NEC and controls. (e) The frequency of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells in patients with NEC (n = 65) and CTRL (n = 66). \*\*\*,  $P < .001$ . (f) Spearman correlation (r) between the frequencies of CCR9<sup>+</sup> CD4<sup>+</sup> T cells and CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells in NEC patients (n = 65) and CTRL (n = 66).



**Fig. 2.** CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells are phenotypically similar to Treg and Th17 cells. (a–b) Three subsets of CD4<sup>+</sup> T cells defined by the expression of Foxp3, CD127, IL-17, and CCR9: conventional Treg cells (Treg), CCR9<sup>+</sup> Foxp3<sup>+</sup> CD127<sup>dim/−</sup> cells; conventional Th17 cells (Th17), CCR9<sup>−</sup> Foxp3<sup>−</sup> IL-17<sup>+</sup> cells; CCR9<sup>+</sup> Foxp3<sup>+</sup> IL-17<sup>+</sup> Treg cells (CCR9<sup>+</sup> IL-17<sup>+</sup> Treg), CCR9<sup>+</sup> Foxp3<sup>+</sup> CD127<sup>dim/−</sup> IL-17<sup>+</sup> cells. Surface markers or cytokines of CD4<sup>+</sup> Foxp3<sup>+</sup> Treg cells, CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells, and Th17 cells were examined by flow cytometry after stimulation for 5 h with Leukocyte Activation Cocktail in the presence of brefeldin A protein transport inhibitor. Representative histograms (a) and mean fluorescence intensity (b) showing the expression of CTLA-4, CD127, CCR6, and CD161; the expression of the intracellular cytokines IL-10 and IL-17 was measured in the above samples. n = 8; \*, P < .05; \*\*, P < .01; \*\*\*, P < .001; ns: not significant; MFI, mean fluorescence intensity. P-values were calculated using Kruskal-Wallis with paired comparisons test.



pups were collected from the Experimental Animal Center of Southern Medical University (Guangzhou, China) and NEC-like injury was induced as previously described [28] using formula [Similac Advance infant formula (Abbott Nutrition, Columbus, OH, USA):Esbilac (PetAg) milk replacer for Puppies, 2:1] containing enteric bacteria from a patient with surgical NEC (12.5  $\mu$ l original stool slurry in 1 ml formula) via gavage five times daily. The mice were simultaneously exposed to hypoxic conditions (5% O<sub>2</sub>, 95% N<sub>2</sub>) for 10 min twice daily in a modular chamber (Billups-Rothenberg, San Diego, CA, USA) for 4 days. Pups were fed 50  $\mu$ l/g body weight gavage over 2–3 min, using a single oral gavage via fine polyethylene tubing. For the inhibition of IL-6 upon the onset of NEC, mice were inoculated with 100 ng anti-IL-6 receptor (NEC + aIL6R group) or control IgG (NEC + cIgG group) antibodies via intraperitoneal injection once daily. According to our preliminary experiment (Fig. S2, a–c), control animals were left with their dams to breastfeed. Animals were euthanized on day 5 after NEC induction, or earlier if they demonstrated moribund signs.

## 2.8. Tissue collection and injury evaluation

After the animals were sacrificed, the terminal 5 cm of the small intestine (ileum) was removed. The terminal 0.5 cm of each sample was fixed with 10% formalin. Fixed tissues were embedded in paraffin, then sectioned to 5- $\mu$ m slices, and stained with hematoxylin and eosin (H&E) for histological evaluation. The remaining 4.5 cm of the ileum was used for tissue preparation or isolation of lymphocytes. Two independent pathologists, blinded to the study conditions, determined the severity of mucosal injury. The histological scoring system was graded as follows: grade 0, normal intestine; grade 1, epithelial lifting or separation; grade 2, sloughing of epithelial cells to the midvillus level; and grade 3, necrosis of the entire villus. Tissues with histologic scores  $\geq 2$  were considered as having NEC [29,30].

## 2.9. Tissue preparation for immunoblot assay

Total protein from mouse ilea was prepared using a total protein extraction kit (Appligen, Beijing, China) according to the manufacturer's instructions. Protein samples were resolved by SDS-PAGE on pre-cast 4–15% gels (Bio-Rad, Hercules, CA, USA) and transferred to polyvinylidene fluoride (PVDF) membranes (Merck Millipore, Burlington, MA, USA) and incubated overnight at 4 °C with rabbit polyclonal antibodies against Foxp3 (ab10901), ROR $\gamma$ t (ab207082), STAT3 (ab68153), p-STAT3 (phospho S727, ab30647), STAT5 (ab16276), p-STAT5 (phospho Y694, ab32364), and  $\beta$ -actin (ab179467, Abcam, Cambridge, UK). Horseradish peroxidase-conjugated anti-rabbit polyclonal antibodies (Goat anti-rabbit IgG-HRP, ab6721, Abcam) were used as secondary antibodies and detected using enhanced chemiluminescence (ECL) substrate (Bio-Rad). Band densitometry was performed using Image Lab software (Bio-Rad). The relative index was represented as the ratio of the selected protein/ $\beta$ -actin, and was the average of three biological replicates.

## 2.10. Preparation of lamina propria mononuclear cells for flow cytometry

To obtain T cell-enriched lamina propria mononuclear cells (LPMCs), the Lamina Propria Dissociation Kit (Miltenyi Biotec) was used according to the manufacturer's instructions. Briefly, mouse ileum specimens were cleaned of mesentery, opened longitudinally, gently fragmented with scissors, and incubated in a pre-digestion solution at 37 °C. Tissues were incubated for 20 min with continuous shaking. Supernatants containing the intraepithelial lymphocytes (IELs) were then discarded. The remaining tissues were incubated in digestion solution at 37 °C for 30 min with continuous shaking. Cells were then washed with PB buffer (PBS with 0.5% BSA) and resuspended in PB buffer for further application.

## 2.11. ELISA measurement

The concentration of cytokines and intestinal barrier integrity biomarkers in blood plasma was tested using commercial ELISA kits for IL-1 $\beta$ , IL-6, trefoil factor 3 (TFF3), intestinal-fatty acid binding protein (I-FABP), and zonulin (CUSABIO, Wuhan, China) according to the manufacturer's protocols.

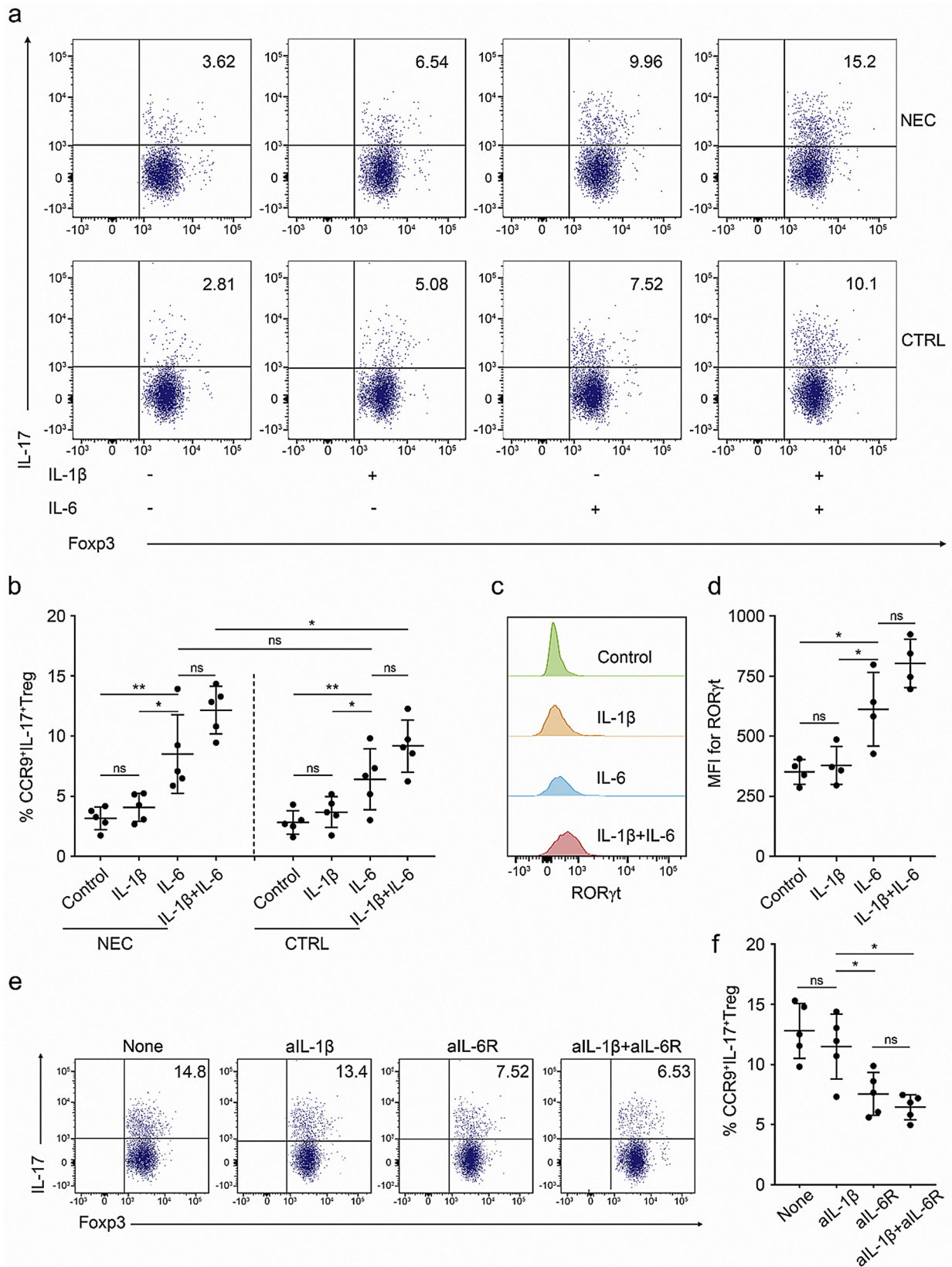
## 2.12. Flow cytometry

For surface staining of immune markers, fresh PBMCs or LPMCs and *in vitro* cultured Treg cells ( $1 \times 10^6$ /ml) were pretreated with Fc-blocking reagent (eBioscience, Waltham, MA, USA) to block non-specific binding, and then different combinations of fluorochrome-coupled antibodies (Supplementary Table 1) were added and samples were incubated on ice for 20 min. Intracellular detection of mouse Foxp3 and ROR $\gamma$ t was performed on fixed and permeabilized cells using Cytofix/Cytoperm (BD Biosciences). For the detection of intracellular cytokine production, PBMCs or *in vitro* cultured Treg cells were stimulated with the Leukocyte Activation Cocktail (BD Biosciences) in the presence of brefeldin A protein transport inhibitor (BD Biosciences) for 5 h, and then stained with fluorochrome-coupled antibodies against Foxp3, ROR $\gamma$ t, IL-10, and IL-17A (Table S3) after fixation and permeabilization. Fluorescence data were acquired using FACS Canto II (BD Biosciences) and analysed with FlowJo software (FlowJo, Ashland, OR, USA).

## 2.13. Statistical analysis

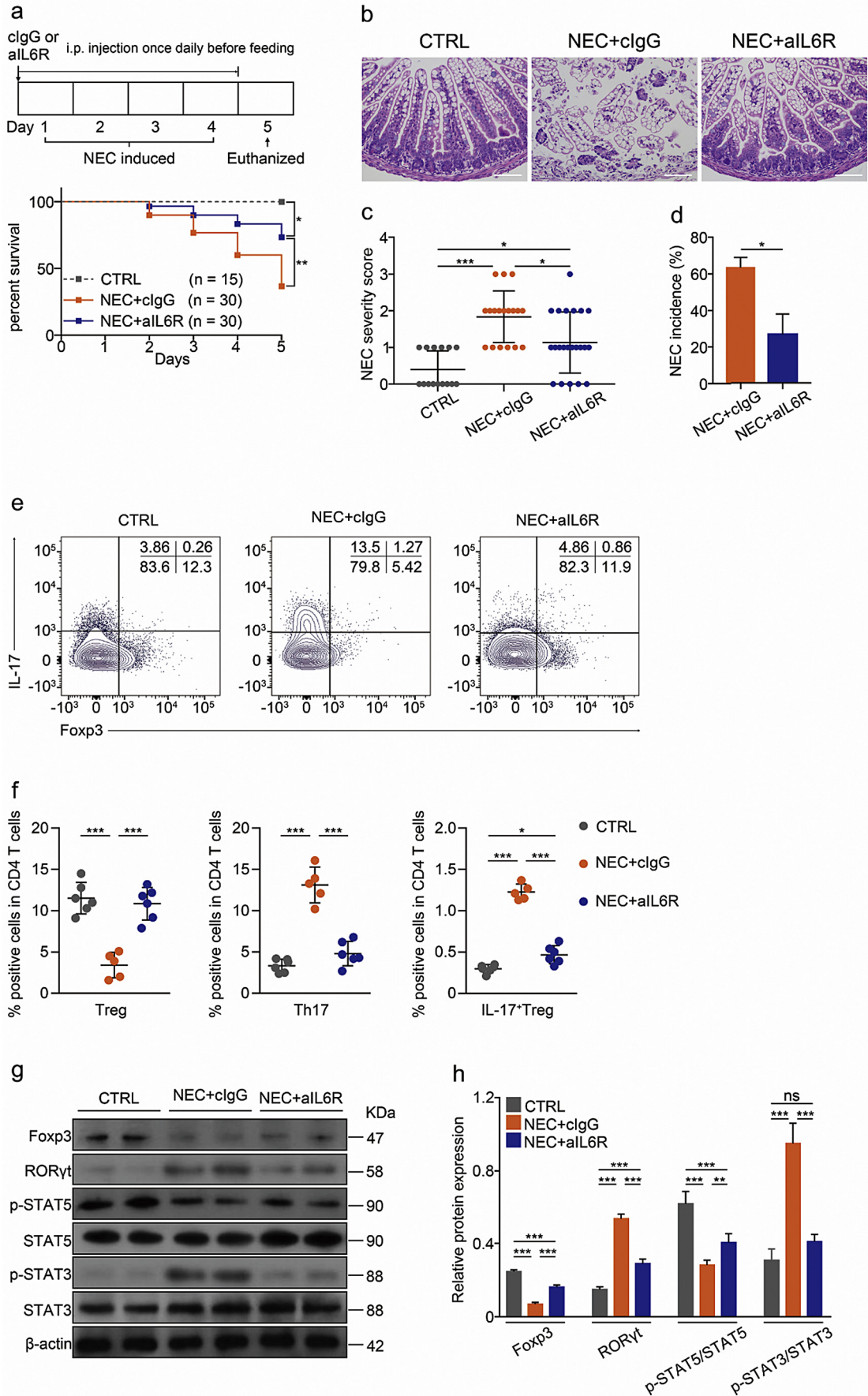
Unless otherwise specified, data are expressed as the mean  $\pm$  standard deviation (SD) and were analysed using Prism software version 7.0 (GraphPad, La Jolla, CA, USA) and SPSS software version 21 (IBM, Hampshire, UK). Statistical significance between two groups was analysed using the nonparametric Mann-Whitney U-test or Student's *t*-test. Differences among three or more groups were evaluated with Kruskal-Wallis with paired comparisons test or one-way ANOVA with Bonferroni multiple comparison test. Potential correlations were examined using Spearman's rank correlation test. A value of  $P \leq .05$  was considered statistically significant.

**Fig. 3.** The suppression activity of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells is impaired in patients with NEC. (a) Representative intracellular staining for CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells in gated freshly isolated CCR9<sup>+</sup> CD4<sup>+</sup> CD25<sup>+</sup> CD127<sup>dim/−</sup> Treg (CCR9<sup>+</sup> Treg) cells in NEC patients (n = 4) and controls (CTRL; n = 4). (b) The frequencies of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells in freshly isolated CCR9<sup>+</sup> Treg cells in NEC patients (n = 4) and CTRL (n = 4). \*,  $P < .05$ . (c) A representative T cell proliferation and suppression assay of freshly sorted CCR9<sup>+</sup> Treg cells ( $5 \times 10^4$ ) isolated from a NEC patient and a CTRL co-cultured with purified CD4<sup>+</sup> CD25<sup>−</sup> responder T cells at a 1:1 ratio. Responder CD4<sup>+</sup> T cells were stained with carboxyfluorescein diacetatesuccinimidyl ester (CFSE) and stimulated with anti-CD3/CD28-coated microbeads, and their proliferation in 5 days was determined by flow cytometry. Activated (Teff) and nonactivated (unstimulated) CD4<sup>+</sup> T cells without Treg were used as controls. (d) The proliferation of responder CD4<sup>+</sup> T cells in different situations. n = 4. P-values were calculated using Student's *t*-test or one-way ANOVA with Bonferroni multiple comparison test. (e) Distribution of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells in CD127<sup>dim/−</sup> CCR9<sup>+</sup> Treg fractions defined based on their expression of CD45RA and Foxp3. The numbers on the representative flow cytometry graph shows the number of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells in each CCR9<sup>+</sup> Treg fraction; percentages (shown in round brackets) indicate the frequencies of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells within each fraction of the total CCR9<sup>+</sup> Treg cells from a representative sample. (f) The percentage distribution of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells within each fraction of the total CCR9<sup>+</sup> Treg cells. n = 5. Fr: Fraction; Fr I: CD45RA<sup>+</sup> Foxp3<sup>low</sup> resting Treg cells; Fr II: CD45RA<sup>+</sup> Foxp3<sup>hi</sup> activating Treg cells; Fr III: CD45RA<sup>−</sup> Foxp3<sup>low</sup> non-Treg cells. P-values were calculated using Mann-Whitney U-test or Kruskal-Wallis with paired comparisons test.



**Fig. 4.** Inducing peripheral CCR9<sup>+</sup> Treg cells towards CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells polarization under NEC inflammatory conditions. (a–d) CCR9<sup>+</sup> CD4<sup>+</sup> CD25<sup>+</sup> CD127<sup>dim/−</sup> Treg (CCR9<sup>+</sup> Treg) cells were cultured with IL-1 $\beta$ , IL-6, or both in the presence of anti-CD3/CD28 antibody-coated microbeads and IL-2 for 4 days. (a) IL-17 and Fopx3 expression levels in CCR9<sup>+</sup> Treg cells from patients with NEC and controls (CTRL). (b) The frequency of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells in patients with NEC and CTRL. Representative histograms (c) and mean fluorescence intensity (d) showing the expression of ROR $\gamma$ t in CCR9<sup>+</sup> Treg cells in the presence or absence of IL-1 $\beta$  or IL-6. n = 5; MFI, mean fluorescence intensity. (e and f) CCR9<sup>+</sup> Treg cells were stimulated with IL-1 $\beta$ , IL-6, IL-2, and anti-CD3/CD28 antibody-coated microbeads in the presence of antibodies targeting IL-6 receptor (aIL-6R), IL-1 $\beta$ , or both for 4 days. (e) Representative flow cytometric plots showing IL-17 and Fopx3 expression levels in CCR9<sup>+</sup> Treg cells from patients with NEC. (f) The frequency of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells in the presence or absence of neutralizing antibodies to IL-6R, IL-1 $\beta$ , or both *in vitro*. n = 5; \*, P < .05; \*\*, P < .01; ns: not significant. P-values were calculated using one-way ANOVA with Bonferroni multiple comparison test.





### 3. Results

#### 3.1. CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells are elevated in peripheral blood of NEC patients

To determine the change of CCR9<sup>+</sup> T cells in the peripheral blood of patients with NEC, we performed quantitative flow cytometric analysis of CCR9 expression in CD3<sup>+</sup> T cells and found the frequency of CCR9<sup>+</sup> CD3<sup>+</sup> T cells was substantially increased in patients with NEC compared to controls (Fig. 1, a and b, top). Furthermore, we found that the increase in CCR9<sup>+</sup> CD3<sup>+</sup> T cells occurred primarily in CCR9<sup>+</sup> CD4<sup>+</sup> T cells (Fig. 1, a and b, middle), but not CCR9<sup>+</sup> CD8<sup>+</sup> T cells (Fig. 1, a and b, bottom). Additionally, we also examined the proportion of CD3, CD4, CD8, and CD19 lymphocyte subsets (Fig. S3a) but found that the absolute numbers and frequencies of the above subsets were not significantly different in NEC patients and controls (Fig. S3b). These data indicate that the frequency of peripheral blood CCR9<sup>+</sup> CD4<sup>+</sup> T cells is significantly increased in patients with NEC.

Next, we investigated whether CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells accounted for the majority increase of CCR9<sup>+</sup> CD4<sup>+</sup> T cells. Since IL-17<sup>+</sup> Treg cells co-express Foxp3 and RORγt [18], we analysed their expression in CCR9<sup>+</sup> CD4<sup>+</sup> T cells from patients with NEC and controls. Our analysis revealed a slight but not significant decrease in Foxp3, as well as a significant increase in RORγt, in NEC patients compared to controls (Fig. 1c), demonstrating that CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells might be elevated in patients with NEC. Flow cytometry confirmed the presence CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells within the subset of CCR9<sup>+</sup> CD4<sup>+</sup> T cells from NEC patients and controls (Fig. 1d), and the frequency and absolute number of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells were significantly higher in patients with NEC than in controls (Fig. 1e and Fig. S4a). More strikingly, in patients with NEC, the frequency and absolute number of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells showed a significant positive correlation with CCR9<sup>+</sup> CD4<sup>+</sup> T cells (Fig. 1f and Fig. S4b). Indeed, the frequency of CCR9<sup>+</sup> RORγt<sup>+</sup> Foxp3<sup>+</sup> Treg cells, especially CCR9<sup>+</sup> IL-17<sup>+</sup> RORγt<sup>+</sup> Foxp3<sup>+</sup> Treg cells, were also significantly elevated in patients with NEC than in controls (Fig. S5, a–c). Collectively, these findings suggest that the primary subset of elevated CCR9<sup>+</sup> CD4<sup>+</sup> T cells in peripheral blood of NEC patients was CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cell subset.

#### 3.2. Peripheral CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells from NEC patients exhibit Th17- and Treg-like features

To determine the characteristics of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells in patients with NEC, we first assessed the expression levels of regulatory factors known to be associated with Treg cells, such as CTLA-4 and IL-10 [31]. Notably, CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells expressed higher levels of CTLA-4 and similar levels of IL-10 compared to conventional Treg cells (Fig. 2, a and b). Conventional Treg cells are known to express low levels of CD127 [24,25]; furthermore, CCR6, CD161, and IL-17 are human Th17 cell markers [32]. Intriguingly, as in Th17 cells, CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells expressed higher levels of CCR6, CD161, IL-17, and CD127 compared to conventional Treg cells (Fig. 2, a and b). These data demonstrate that CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells exhibit phenotypes of conventional Th17 and Treg cells.

#### 3.3. Peripheral CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells from NEC patients exhibit impaired suppressive function

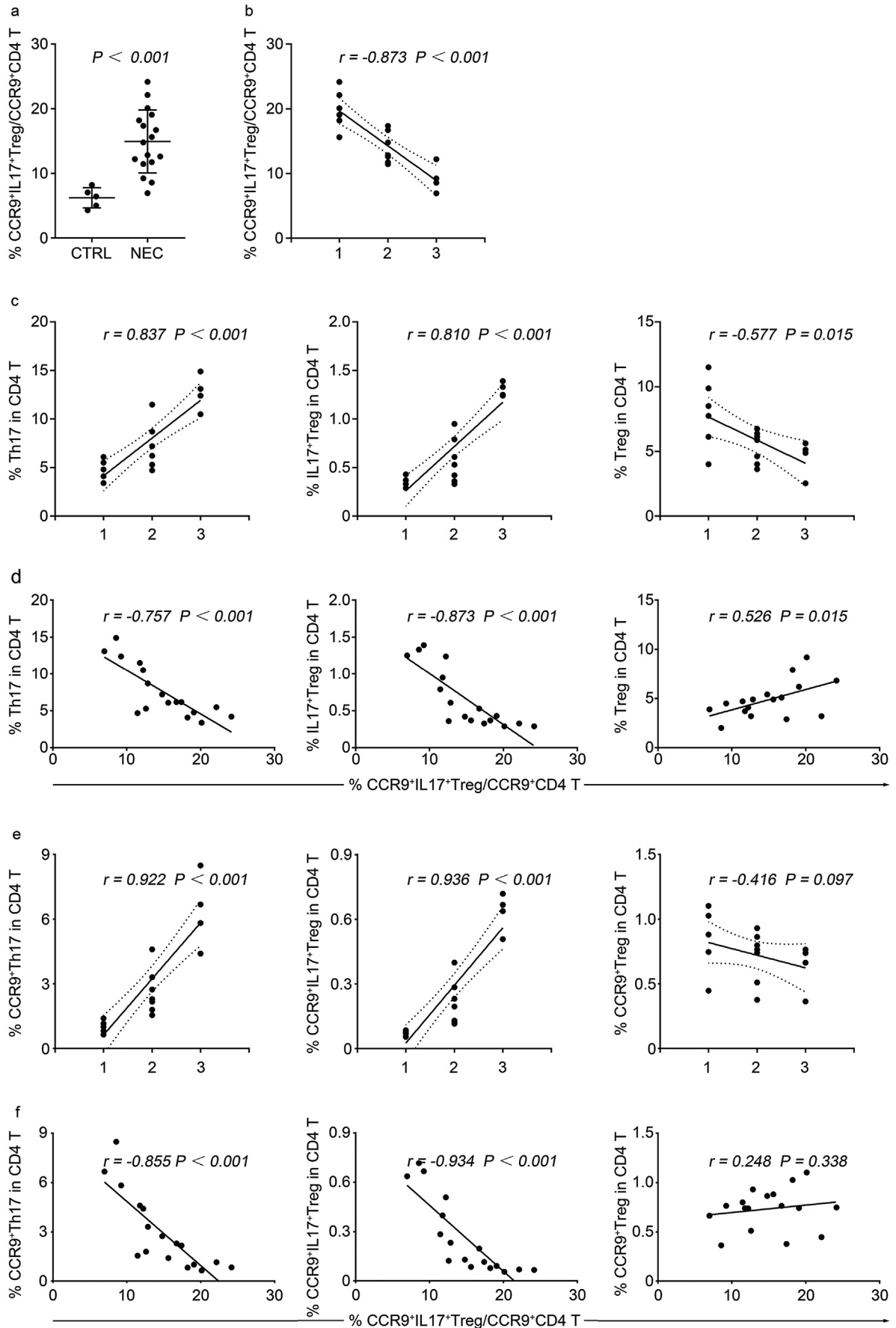
To further investigate whether CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells from patients with NEC possessed suppressive function, we sorted CCR9<sup>+</sup> CD4<sup>+</sup> CD25<sup>+</sup> CD127<sup>dim/-</sup> Treg (CCR9<sup>+</sup> Treg) cells from NEC patients and controls. In accordance with our findings (Fig. 1, d and e), the frequency of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells in freshly isolated CCR9<sup>+</sup> Treg cells was also significantly increased in patients with NEC compared to controls (Fig. 3, a and b). Considering the limited blood volume in preterm infants and the distinct proportion of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells in CCR9<sup>+</sup> Treg cells (Fig. 3, a and b), we analysed the function of CCR9<sup>+</sup> Treg cells to reflect CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells in NEC patients and controls. Interestingly, the CCR9<sup>+</sup> Treg cells from NEC patients showed reduced suppression activity on CD4<sup>+</sup> CD25<sup>-</sup> responder T cells compared to controls (Fig. 3, c and d). When we purified CCR9<sup>+</sup> Treg and CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells from cultured cord blood CCR9<sup>+</sup> Treg cells under Th17-polarizing conditions, we also found that the suppression activity of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells was significantly decreased compared to CCR9<sup>+</sup> Treg cells (Fig. S6, a and b).

A previous study demonstrated that Treg cells could be classified into three functionally distinct subpopulations, CD45RA<sup>+</sup> Foxp3<sup>low</sup> resting Treg cells, CD45RA<sup>-</sup> Foxp3<sup>hi</sup> activated Treg cells, both of which have suppressive function *in vitro*, and cytokine-secreting CD45RA<sup>-</sup> Foxp3<sup>low</sup> nonsuppressive T cells [18]. We further investigated the subsets of CCR9<sup>+</sup> Treg cells responsible for the secreting of IL-17. We found that over 75% of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells were enriched in the CCR9<sup>+</sup> CD45RA<sup>-</sup> Foxp3<sup>low</sup> fraction, approximately 20% of cells formed the CCR9<sup>+</sup> CD45RA<sup>+</sup> Foxp3<sup>low</sup> fraction, and <5% of cells were in the CCR9<sup>+</sup> CD45RA<sup>-</sup> Foxp3<sup>hi</sup> fraction (Fig. 3e, f), indicating that most of the CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells are nonsuppressive Treg cells. Together, these data indicate that the suppressive activity of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells in NEC patients is markedly impaired.

#### 3.4. IL-6 promotes the polarization of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells from NEC patients

To characterise the inflammatory milieu contributing to the polarization of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells in peripheral blood from patients with NEC, we examined the levels of TGF-β, IL-1β, IL-2, IL-6, IL-21, and IL-23 in plasma samples and found unchanged levels of IL-2, IL-21 and IL-23 but significantly increased levels of IL-1β and IL-6 in NEC patients compared to that of controls (Fig. S7). Since IL-6 and IL-1β together promote the development and expansion of Th17 cells [13,15], increased levels of the two cytokines in patients with NEC may also create the ideal conditions for the expansion of IL-17<sup>+</sup> Treg cells [13,33]. To test this hypothesis, we cultured the purified CCR9<sup>+</sup> Treg cells from the peripheral blood of patients with NEC and controls for 4 days (Fig. 4a) and identified a relatively low frequency of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells in culture without the addition of IL-6 or IL-1β. In the presence of IL-1β, there was a slight but not marked elevation in the frequency of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells. However, when cells were cultured with IL-6 or IL-6 and IL-1β, the frequency of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells was significantly

**Fig. 5.** Inhibiting IL-6R ameliorates NEC by restoring the balance of Treg/Th17 cells in NEC mice. (a) Experimental design (top) and Kaplan–Meier analysis of the survival rate for dam-fed pups (CTRL) and NEC pups treated with control IgG (NEC + cIgG) or anti-IL-6 receptor (NEC + aIL6R) antibodies from the first day of induction of NEC. Data are pooled from three independent experiments; \*, P < .05; \*\*, P < .01. (b) Representative intestinal histological changes in CTRL, NEC + cIgG, and NEC + aIL6R pups. Ileal tissues were stained with hematoxylin and eosin. Magnification × 200. (c) NEC severity scores of the histopathological evaluation of mouse ilea (n = 15 for CTRL, 18 for NEC + cIgG, and 22 for NEC + aIL6R groups), graded microscopically by two independent pathologists. \*, P < .05; \*\*, P < .01. (d) Incidence of NEC (damage scores >2) in NEC + cIgG and NEC + aIL6R groups. Columns represent the average values of each group (three independent experiments, n = 30); \*, P < .05. (e) Representative flow cytometric plots from the analysis of Foxp3 and IL-17 expression in gated CD4<sup>+</sup> T cells in CTRL, NEC + cIgG, and NEC + aIL6R groups. (f) The percentages of Treg (left), Th17 (middle) and IL-17 producing CD4<sup>+</sup> Foxp3<sup>+</sup> Treg cells (IL-17<sup>+</sup>Treg) (right) in CTRL (n = 6), NEC + cIgG (n = 5), and NEC + aIL6R (n = 6) groups. Data are shown as the mean ± SD; \*, P < .05; \*\*, P < .01; \*\*\*, P < .001. P-values were calculated using one-way ANOVA with Bonferroni multiple comparison test. (g) Representative immunoblot analysis of Foxp3, RORγt, STAT3, p-STAT3, STAT5, and p-STAT5 in ilea of CTRL, NEC + cIgG, and NEC + aIL6R mice. One of three independent experiments is shown. (h) Immunoblot results showing the expression of Foxp3, RORγt, STAT3, p-STAT3, STAT5, and p-STAT5; β-actin was used as an internal control. Data are pooled from three independent experiments (n = 6 per group)\*\*; P < .01; \*\*\*, P < .001; ns: not significant. P-values were calculated using one-way ANOVA with Bonferroni multiple comparison test.



increased (Fig. 4b). A significant increase in the mean fluorescence intensity (MFI) of ROR $\gamma$ t was also seen when cells were cultured with IL-6 or IL-6 with IL-1 $\beta$  (Fig. 4, c and d). Moreover, a neutralizing antibody against IL-6 receptor (anti-IL6R), but not against IL-1 $\beta$ , inhibited the generation of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells when Treg cells were cultured with IL-6 and IL-1 $\beta$  (Fig. 4, e and f). Taken together, these findings indicate that IL-6 plays a crucial role in the polarization of CCR9<sup>+</sup> Treg cells to CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells.

### 3.5. Treatment with antibodies targeting IL-6 receptor ameliorated NEC in mice

Considering the ability of the anti-IL6R antibody to inhibit IL-17<sup>+</sup> Treg cells polarization *in vitro* (Fig. 4, e and f) and imbalance of Treg/Th17 cells in NEC tissues [3], we assessed whether treatment with this antibody would ameliorate NEC. Notably, in mice with NEC, treatment with the anti-IL6R antibody resulted in a significant reduction in NEC mortality (Fig. 5a), severity (Fig. 5, b and c), and morbidity (Fig. 5d) compared to control IgG antibody treatment. Most significantly, treatment with the anti-IL6R antibody resulted in a significant increase in Treg cells and a reduction in Th17 and IL-17<sup>+</sup> Treg cells compared to those with control IgG antibody treatment, as revealed by flow cytometry (Fig. 5, e and f) and immunoblotting (Fig. 5, g and h). Because signal transducer and activator of transcription (STAT) activity plays an important role in the differentiation and balance of Th17 and Treg cells [34], we assessed the phosphorylation of STAT3 and STAT5, which are important for the generation of Th17 and Treg cells, respectively. Immunoblotting indicated that STAT3 phosphorylation was significantly decreased, while STAT5 phosphorylation was markedly increased by anti-IL6R antibody treatment compared to that by control IgG antibody treatment (Fig. 5, g and h). These data suggest the robust therapeutic benefit by anti-IL6R antibody is dependent on the reduced IL-17<sup>+</sup> Treg and Th17 cells polarization through IL-6-mediated STAT3 and STAT5 phosphorylation.

### 3.6. Peripheral CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells inversely correlate with histological scoring in NEC mice

Given the elevated Th17 and IL-17<sup>+</sup> Treg cells in experimental NEC tissues (Fig. 5, e and f) and increased CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells in peripheral blood of NEC patients (Fig. 1e and Fig. S4a), we sought to determine the frequency of circulating CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells in NEC mice. Using flow cytometric analysis of CCR9 expression in IL-17<sup>+</sup> Treg cells in peripheral blood, we found that the frequency of circulating CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells was substantially increased in NEC mice compared to controls, and was negatively correlated with histological scoring of intestine (Fig. 6, a and b). However, in NEC tissues, we found a significant positive correlation between the histological scoring and the frequencies of Th17 and IL-17<sup>+</sup> Treg cells, while the histological scoring showed negative correlation with Treg cells (Fig. 6c).

Next, we considered the possibility that circulating CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells could correlate with increased frequencies of intestinal Th17, IL-17<sup>+</sup> Treg and Treg cells in NEC mice. Consistent with this, there was a significant negative correlation between circulating CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells and the frequencies of intestinal Th17 and IL-17<sup>+</sup> Treg cells, and the circulating CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells were positive correlated with Treg cells (Fig. 6d). Furthermore, we also analysed intestinal CCR9<sup>+</sup> Th17, CCR9<sup>+</sup> IL-17<sup>+</sup> Treg and CCR9<sup>+</sup> Treg cells, and

found the same changing trend as Th17, IL-17<sup>+</sup> Treg, and Treg cells (Fig. 6e). Indeed, the frequency of circulating CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells also inversely correlated with both intestinal CCR9<sup>+</sup> Th17 and CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells, while no correlation with CCR9<sup>+</sup> Treg cells (Fig. 6f). These data demonstrate that the elevated circulating CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells negatively correlated with histological scoring could contribute to the elevation of intestinal Th17 and IL-17<sup>+</sup> Treg cells.

### 3.7. Elevated CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells inversely correlate with clinical severity in NEC patients

To assess whether the association between the peripheral CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells and clinical severity exists in NEC patients, we collected and analysed clinical data from 77 preterm neonates diagnosed with NEC. There were no marked differences among patients with different stages of NEC (Bell stage I, II, and III), or between surgical and nonsurgical patients of Bell stage III in terms of demographic features, delivery method, Apgar score ( $\leq 5$  at 5 min), and postnatal age of blood collection (Tables 1 and 2). Intriguingly, there was a significant negative correlation between the Bell stage and the frequency of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells (Fig. 7a). Similar to the negative correlation with Bell stage, the frequency of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells were significantly lower in surgical patients than in nonsurgical counterparts of Bell stage III (Fig. 7b). In fact, of the 77 NEC patients, only two NEC IIIA and two NEC IIIB patients experienced moderate feeding intolerance, NEC I, NEC II, NEC IIIA, and NEC IIIB (only for NEC IIIB patients), we also found a significant negative correlation between the Bell stage and the frequency of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells (Fig. 7c). Compared to controls, we also found a significant negative correlation between the expression of intestinal barrier integrity biomarkers (TFF3, IFABP, and zonulin) and the frequency of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells (Fig. 7d).

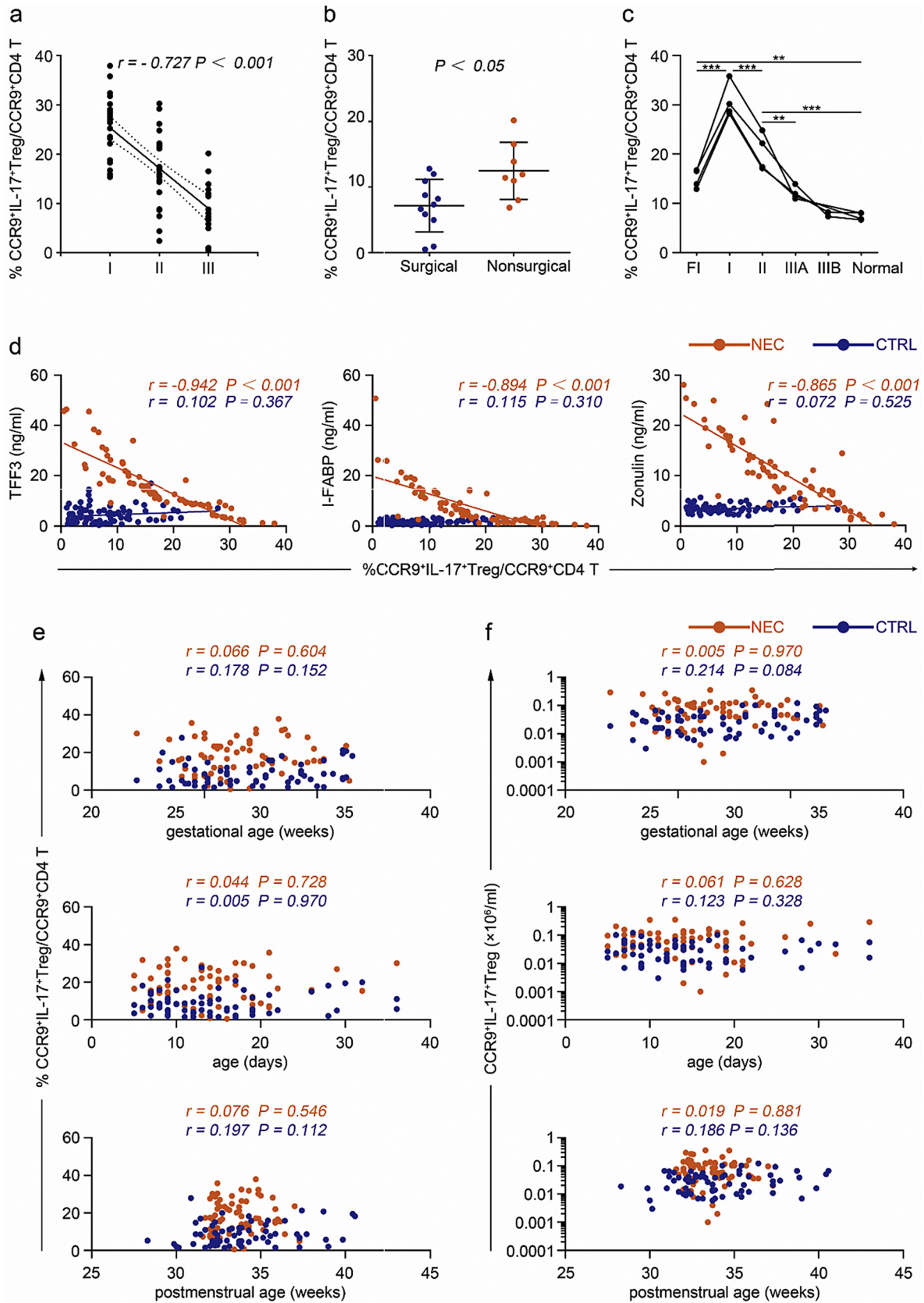
Finally, we assessed the distribution of peripheral blood CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells in NEC patients at different gestational ages. We investigated CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells to CCR9<sup>+</sup> CD4<sup>+</sup> T ratios (Fig. 7e) and the total number of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells (Fig. 7f) in peripheral blood against gestational age (GA), age, and postmenstrual age. No association was found between either total numbers or the ratios with GA, age, or postmenstrual age (Fig. 7, e and f). Together, these results demonstrate that a marked negative correlation is found between the circulating CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells and clinical severity of NEC patients.

## 4. Discussion

Our study shows that CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells are significantly increased in the peripheral blood of both patients and mice with NEC. These elevated CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells exhibit the phenotypic characteristics of conventional Treg cells, but the suppressive function is seriously impaired in NEC patients. *In vitro* studies demonstrate that IL-6 promotes the conversion of CCR9<sup>+</sup> Treg cells to CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells and that blocking IL-6 signalling could inhibit the above conversion. More importantly, treatment with antibodies targeting IL-6R could ameliorate NEC severity *in vivo*. We also demonstrate a strong negative correlation between circulating CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells and clinical severity including Bell stages, and intestinal barrier integrity biomarkers in patients and histological scoring in mice.

CCR9<sup>+</sup> CD4<sup>+</sup> T cells are markedly enhanced in the peripheral blood of patients with several gastrointestinal diseases [8–10]. Recent studies have shown that the infiltration of CCR9<sup>+</sup> CD4<sup>+</sup> T cells to the intestinal

**Fig. 6.** Negative correlation of peripheral CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells inversely correlate with histological scoring in NEC mice. (a) The frequencies of circulating CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells in normal neonatal mice (CTRL; n = 5) and mice with different grades of intestinal injury (NEC; n = 17). P-values were calculated using Student's *t*-test. (b) Spearman correlation (*r*) between the frequencies of circulating CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells and histological scoring in NEC mice (n = 17). (c) Spearman correlation (*r*) between the histological scoring and the frequencies of Th17 cells (left), IL-17<sup>+</sup> Treg cells (middle), and Treg cells (right) of intestine in NEC mice (n = 17). (d) Spearman correlation (*r*) between the frequencies of circulating CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells and the frequencies of Th17 cells (left), IL-17<sup>+</sup> Treg cells (middle), and Treg cells (right) of intestine in NEC mice (n = 17). (e) Spearman correlation (*r*) between the histological scoring and the frequencies of CCR9<sup>+</sup> Th17 cells (left), CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells (middle), and CCR9<sup>+</sup> Treg cells (right) of intestine in NEC mice (n = 17). (f) Spearman correlation (*r*) between the frequencies of circulating CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells and the frequencies of CCR9<sup>+</sup> Th17 cells (left), CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells (middle), and CCR9<sup>+</sup> Treg cells (right) of intestine in NEC mice (n = 17).



**Fig. 7.** Negative correlation of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells with clinical severity of NEC patients. (a) Spearman correlation ( $r$ ) between the frequencies of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells and Bell stage in NEC patients (n = 65) and CTRL (n = 66). (b) The frequencies of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells in surgical (n = 11) and nonsurgical (n = 8) patients of Bell stage III. P-values were calculated using Mann-Whitney U-test. (c) The frequencies of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells in two NEC IIIA and two NEC IIIB patients who experienced the course of moderate feeding intolerance (FI), NEC I (I), NEC II (II), NEC IIIA (IIIA), and NEC IIIB (IIIB) (only for NEC IIIB patients). \*\*,  $P < .01$ ; \*\*\*,  $P < .001$ . P-values were calculated using one-way ANOVA with Bonferroni multiple comparison test. (d) The frequency of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells were negatively correlated with intestinal barrier integrity biomarkers [TFF3 (left), I-FABP (middle), and zonulin (right)] in NEC patients (n = 65) compared to controls (CTRL; n = 66) analysed by Spearman's rank correlation test. (e-f) Spearman correlation ( $r$ ) between the frequencies (left) and absolute numbers (right) of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells and gestational age, age and postmenstrual age in NEC patients (n = 65) and CTRL (n = 66).

tract and their conversion into Th17 cells plays a key role in the progression of NEC [3]. However, it was unclear whether CCR9<sup>+</sup> CD4<sup>+</sup> T cells were increased in the peripheral blood of patients with NEC. Utilizing polychromatic flow cytometric analysis, we have demonstrated for the first time that the frequency of CCR9<sup>+</sup> CD4<sup>+</sup> T cells is significantly increased in the peripheral blood of both patients and mice with NEC. In addition, CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells are the major elevated component of CCR9<sup>+</sup> CD4<sup>+</sup> T cells. Interestingly, the enhanced CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells negatively correlate with clinical severity in patients and histological scoring in mice. These observations suggest that peripheral blood CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells and the severity of intestinal tissue injury are intertwined: on the one hand, it has been shown that CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells in peripheral blood are the main source of effector Th17 cells of inflammatory intestinal tissue [35,36], on the other hand, intestinal inflammation could promote the circulating CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells infiltrating into the inflamed intestine resulting in a reduced population in peripheral blood [3].

The functions of IL-17<sup>+</sup> Treg cells remain controversial [37]. A previous study showed that suppressive activity of IL-17<sup>+</sup> Treg cells was impaired [18]. In contrast, recent investigations in peripheral blood of RA indicated that IL-17<sup>+</sup> Treg cells retained their suppressive ability [13]. Here, we find that IL-17<sup>+</sup> Treg cells exhibit features of conventional Treg cells, but the suppressive functions of Treg cells are markedly impaired in patients with NEC. Through investigations of the distribution of IL-17<sup>+</sup> Treg cells in Foxp3<sup>+</sup> CD4<sup>+</sup> Treg subpopulations based on whether they expressed CD45RA and Foxp3 [18], we find that the major source (>75%) of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells is from CD45RA<sup>-</sup> Foxp3<sup>low</sup> fraction, which is defined as transiently and unstably expressing Foxp3 and is exhibited to impaired suppressive activity [18], while only 25% of CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells are distributed across the CD45RA<sup>+</sup> Foxp3<sup>low</sup> fraction (~20%) and CD45RA<sup>-</sup> Foxp3<sup>hi</sup> fraction (<5%) in patients with NEC. Taken together, these data from patients with NEC suggest that CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells might derive from different Treg lineages, but were shown to lack suppressive activity.

IL-6 is a key factor in Th17 cell differentiation from naive CD4<sup>+</sup> T cell precursors; it also controls the conversion of Treg cells to IL-17<sup>+</sup> Treg cells [15]. In the present study, we find that IL-6 alone or IL-6 and IL-1 $\beta$  potently promoted the polarization of CCR9<sup>+</sup> Treg cells to CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells from NEC and controls patients *in vitro*. Previous studies in various autoimmune and inflammatory diseases have shown that IL-6 blockade is a novel treatment strategy [38,39]. Similarly, we also find that blocking IL-6 signalling would ameliorate NEC through increased the ratio of Treg/Th17 cells in an experimental NEC model, suggesting that some of the beneficial effects of these therapies may derive from regulating the plasticity of Treg cell fate. IL-6 signalling, through the JAK-mediated phosphorylation of STAT3, is required for Th17 cell production [40]. Importantly, in our experimental NEC model, we observe a decrease in the levels of STAT3 phosphorylation and the frequency of Th17 cells in inflammatory tissues when mice were treated with anti-IL6R antibodies. As a key positive regulator of Foxp3, we also observe an increase in the levels of STAT5 phosphorylation and the frequency of Treg cells. These data suggested that elevated polarizing cytokines, especially IL-6, might promote the conversion of Treg cells to IL-17<sup>+</sup> Treg cells in peripheral blood and NEC tissues; blocking the signalling pathway might be a novel approach for the prevention or treatment of NEC.

Since blood volume is limited in preterm infants, we extrapolated from the suppressive activity of CCR9<sup>+</sup> CD4<sup>+</sup> CD25<sup>+</sup> Treg cells to CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells. Although we added the suppression assay of CCR9<sup>+</sup> Treg and CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells using cultured cord blood CCR9<sup>+</sup> Treg cells under Th17-polarizing conditions, these data need to be viewed cautiously. Additionally, our data highlight the negative correlation between circulating CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells and the severity of intestinal tissue injury, the data must be considered within the context of mouse model. Since this work was lacking in human surgical tissue specimens, we offer an indirect explanation of the correlation between

enhanced circulating CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells and clinical severity, which should also be viewed cautiously.

In conclusion, our observations of increased circulating CCR9<sup>+</sup> IL-17<sup>+</sup> Treg cells and its inverse correlation with the severity of intestinal tissue injury in NEC are novel. Simultaneously, our studies not only provide a potential explanation for these phenomena but also open a new avenue towards early interventions for NEC aiming to restore the balance of Treg/Th17 cells.

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## Declaration of interests

The authors have no potential conflicts of interest to disclose.

## Author contributions

F.M. conceived and designed the study, performed flow cytometry and animal studies, analysed and interpreted the data, and drafted the manuscript. S.T.L. performed cell sorting and immunoblot assays. X.Y.G. performed some of the animal studies. J.L.Z. performed ELISA. D.S.W., Y.C., F.L., Q.P.Y., X.G., W.P.G., and X.X.H. collected and processed the specimens. H.L.L., X.X., and H.H. conceived the study and revised the manuscript. X.C.Z., X.X., and H.H. supervised the project.

## Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.ebiom.2019.05.042>.

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