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Short-term immune-checkpoint inhibition partially rescues perturbed bone marrow hematopoiesis in mismatch-repair deficient tumors

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ABSTRACT

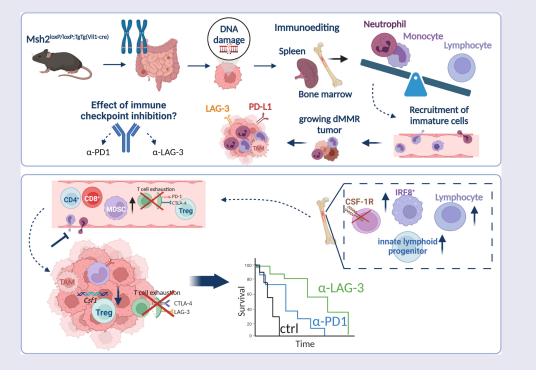
Wide-spread cancer-related immunosuppression often curtails immune-mediated antitumoral responses. Immune-checkpoint inhibitors (ICIs) have become a state-of-the-art treatment modality for mismatch repairdeficient (dMMR) tumors. Still, the impact of ICI-treatment on bone marrow perturbations is largely unknown. Using anti-PD1 and anti-LAG-3 ICI treatments, we here investigated the effect of bone marrow hematopoiesis in tumor-bearing Msh2^{loxP/loxP;TgTg(Vil1-cre)} mice. The OS under anti-PD1 antibody treatment was 7.0 weeks (vs. 3.3 weeks and 5.0 weeks, control and isotype, respectively). In the anti-LAG-3 antibody group, OS was 13.3 weeks and thus even longer than in the anti-PD1 group (p = 0.13). Both ICIs induced a stable disease and reduced circulating and splenic regulatory T cells. In the bone marrow, a perturbed hematopoiesis was identified in tumor-bearing control mice, which was partially rescued by ICI treatment. In particular, B cell precursors and innate lymphoid progenitors were significantly increased upon anti-LAG-3 therapy to levels seen in tumor-free control mice. Additional normalizing effects of ICI treatment were observed for lin⁻c-Kit⁺IRF8⁺ hematopoietic stem cells, which function as a "master" negative regulator of the formation of polymorphonuclear-myeloid-derived suppressor cell generation. Accompanying immunofluorescence on the TME revealed significantly reduced numbers of CD206⁺F4/80⁺ and CD163⁺ tumor-associated M2 macrophages and CD11b⁺Gr1⁺ myeloid-derived suppressor cells especially upon anti-LAG-3 treatment. This study confirms the perturbed hematopoiesis in solid cancer. Anti-LAG-3 treatment partially restores normal hematopoiesis. The interference of anti-LAG-3 with suppressor cell populations in otherwise inaccessible niches renders this ICI very promising for subsequent clinical application.

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Msh2^{loxP/loxP;TgTg(ViI1-cre)} mice develop tumors in the gastrointestinal tract because of a constitutional Msh2 knock out. Tumor growth is accompanied by global immunological changes in the peripheral blood, along with perturbations in the bone marrow and eventually spleen. These perturbations include a shifted hematopoiesis toward and unbalanced myelopoiesis. These immature cells are recruited to the tumor and polarized toward an immunosuppressive M2-like phenotype. This finally leads to tumor progression and global immunosuppression or exhaustion. Immune-checkpoint inhibitors may have the capacity to break this vicious circle via re-activation of the host's immunity. Here, we could show that immune-checkpoint inhibition with anti-PD1 or anti-LAG-3 partially rebalances bone marrow perturbations via targeting of CSF-1R on hematopoietic progenitor cells. This suppressed recruitment of myeloid cells to the tumor and thus reshaped the tumor microenvironment. Finally, the outcome of tumor-bearing mice was significantly improved after short-term immune-checkpoint blockade.

Background

Mismatch-repair-deficient (dMMR) tumors are highly immunogenic and predestined to be treated with immunotherapy, such as immune checkpoint inhibitors (ICI)^{1,2}. In dMMR, neoadjuvant and adjuvant ICIs settings are clinically effective and sufficiently safe^{3,4}. Consequently, the anti-PD1 antibody Pembrolizumab received approval as first-line therapy for metastatic dMMR/high-grade microsatellite instability colorectal cancer⁵. DMMR has become a biomarker for tumor-agnostic anticancer therapy approval^{6,7}. Adding to this, we previously reported prolonged overall survival of dMMR tumor-bearing mice under ICI therapy^{8,9}. Despite the prevailing success of ICIs in treating dMMR-related tumors, developing resistance, mainly attributable to the widespread immunosuppression, is clinically challenging. Finding combination partners for ICIs is another task. Reports for beneficial effects of combined Cyclindependent kinase (CDK)/immune-checkpoint inhibition^{10,11} could only partially withstand clinical testing^{12,13}. Using two different mouse models of spontaneous dMMR tumorigenesis, we additionally reported abrogation of the beneficial immunemodulatory effects of the CDK4/6 inhibitor abemaciclib upon the combination with an anti-PD-L1 antibody. Mechanistically, this was due to the activation of epithelial-mesenchymal transition¹⁴.

Hence, targeting alternative immune checkpoints constitutes a novel treatment approach. An increasingly recognized target is the lymphocyte-activation gene 3 (LAG-3). LAG-3 is expressed on activated immune cells¹⁵. It blocks cellular proliferation and is involved in dendritic cell signaling¹⁶. In addition, LAG-3 is responsible for CD8⁺ T cell homeostasis. In tumor cells, binding of LAG-3 to MHC II works as a tumor escape mechanism by calming T and NK cells due to its structural homology to CD4 that LAG-3 outcompetes for binding to MHC II¹⁷. A current study described reduced anti-apoptotic signaling in tumor cells and restored T and NK cell responses upon inhibition of LAG-3¹⁸. The safety of anti-LAG-3 antibody treatment either alone or in combination with anti-PD1 was additionally proven in phase I/II studies on patients with advanced/metastatic solid tumors^{19,20}. Still, the activity against dMMR gastrointestinal tumors is unknown.

The tumor microenvironment (TME) significantly affects the response to ICI treatment. In solid tumors, the TME consists of highly immunosuppressive myeloid cells, i.e. tumorassociated macrophages (TAM), myeloid-derived suppressor cells (MDSC), and tumor-associated neutrophils (TANs)²¹⁻²³. These usually immature, bone marrow-derived cells are heterogeneous and have high plasticity, i.e. their activation, differentiation, and maturation status varies within tumors ^{reviewed in 24,25}. Recent elegant work even identified erythroid precursor-differentiated myeloid cells as causes of reduced ICI treatment efficacy²⁶. Hence, the bone marrow is an important reservoir to maintain supply with immunosuppressive precursors into the tumor. A better understanding of the tumor-driven hematopoietic changes and the impact of ICI treatment on bone marrow may help improve diagnosis and ultimately treatment.

In this preclinical study, we examined the efficacy of anti-LAG-3 monotherapy compared to the approved anti-PD-1 antibody in a model of spontaneous dMMR-driven tumorigenesis. Additionally to the clinical outcome, we studied the bone marrow compartment in tumor-bearing mice to decipher factors that might contribute to the dysregulation of cancerdriven hematopoiesis. We found that Msh2^{loxP/loxP/TgTg(Vill-cre)} mice with clinically proven gastrointestinal tumors experienced prolonged overall survival upon LAG-3 blockade, which was even better than under anti-PD1 treatment. Notably, therapeutic effects were partially traceable in the bone marrow, characterized by a trend toward rebalanced hematopoiesis, including reduced the numbers of CSF-1 R⁺ precursors and mature monocytic cells. Therefore, we propose anti-LAG-3 as a good alternative for treating dMMR-related tumors.

Methods

In vivo experiments

Ethical statement

The German local authority approved all animal experiments: Landesamt für Landwirtschaft, Lebensmittelsicherheit und Fischerei Mecklenburg-Vorpommern (7221.3-1-062/19), under the German animal protection law and the EU Guideline 2010/63/EU. Mice were bred in the animal facility of the University Medical Center in Rostock under specific pathogen-free conditions. Msh2 genotyping was done according to^{27,28}. During their whole life-time, all animals received enrichment in the form of mouse-igloos (ANT Tierhaltungsbedarf, Buxtehude, Germany), nesting material (shredded tissue paper, Verbandmittel GmbH, Frankenberg, Deutschland), paper roles (75 × 38 mm, H 0528-151, ssniff-Spezialdiäten GmbH), and wooden sticks $(40 \times 16 \times 10 \text{ mm})$, Abedd, Vienna, Austria). During the experiment, mice were kept in type III cages (Zoonlab GmbH, Castrop-Rauxel, Germany) at 12-h dark:light cycle, the temperature of 21 ± 2°C, and relative humidity of $60 \pm 20\%$ with food (pellets, 10 mm, ssniff-Spezialdiäten GmbH, Soest, Germany) and tap water *ad libitum*. When mice were subjected to treatment (= time of tumor development or prophylactic interventions), they were given daily-prepared soaked pellets to ensure proper food intake.

Experimental protocol

Mice with suspected gastrointestinal tumors were subjected to ¹⁸F-FDG PET/CT screening and then treated with the following therapies (Figure 1a): (I) α -PD-1 (2.5 mg/kg bw, i.p., q2Wx3, n = 9 mice) or (II) α -LAG-3 antibody (2.5 mg/kg bw, i. p., q2Wx3 n = 8 mice). Control mice were given the isotype (2.5 mg/kg bw, q2Wx3, i.p., n = 9 mice) or were left untreated (n = 10 mice). The health status of the mice was monitored daily. Mice were euthanized according to predefined human endpoints (weight loss > 15%, modified social behavior). Blood, spleen, tumors, and bone marrow were removed for further analysis.

PET/CT imaging

A small animal PET/CT scanner (Inveon PET/CT, Siemens Medical Solutions, Knoxville, TN, USA) was used to measure the tumor size following a standard protocol as described²⁹. Mice were anesthetized and intravenously injected with ¹⁸F-FDG. Images were evaluated as described⁸.

Immune phenotyping

Blood was taken routinely from the retrobulbar venous plexus of anesthetized mice. At the endpoint, spleen and tumor were removed and homogenized. A panel of monoclonal antibodies was used to stain certain extra- and intracellular biomarkers¹⁴. First, staining with the Zombie NIR™ Fixable Viability Kit (30 min, RT, 1:5000, Biolegend, San Diego, United States) was performed following the manufacturers' protocol. Afterward, extracellular markers were stained using the BD Horizon Brilliant Stain Buffer (BD Bioscience, Heidelberg, Germany). Subsequently, fixation and permeabilization were conducted and intracellular markers were stained employing the protocol of True-Nuclear™ Transcription Factor Buffer Set by Biolegend. For extracellular stainings, the following monoclonal antimouse antibodies were used (20 min, RT, doses were titrated before): Alexa Fluor™700 Gr-1 (clone RB6-8C5), FITC CD8 (clone 53-6.7), APC/Fire[™]750 CD4 (clone GK1.5), BV570 CD11b (clone M1/70), BV421 PD-L1 (clone 10F.9G2), BV605 NK1.1 (clone PK136), Spark Blue[™] 550 CD19 (clone 6D5), (all from BioLegend), PerCP-eFluor[™] 710 CD25 (clone PC61.5) (Thermofisher, Waltham, Massachusetts, USA), BV750 CD83 (clone Michel-19), BV650 PD-1 (clone RMP1-30, BD Bioscience). Intracellular staining was done with the following monoclonal anti-mouse antibodies: PE/Cy7 CTLA-4 (clone UC10-4B9), PerCP CD3 (clone 145-2C11), and Alexa Fluor™

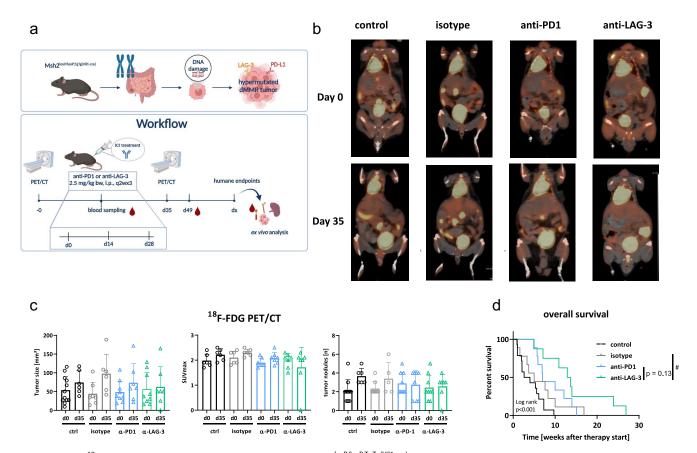


Figure 1. Workflow, ¹⁸F-FDG PET/CT imaging in vivo, and overall survival of Msh2^{loxP/loxP;TgTg(Vil1-cre)} mice treated with ICls. Notes: (a) Preclinical treatment schedule. (b, c) Tumor volume and SUV_{max} were measured *via*¹⁸F-FDG PET/CT imaging at start of therapy and after five weeks. (b) representative PET/CT images showing the impact of ICls on tumor growth. (c) Longitudinal assessment of tumor volumes. Quantification was done at start of treatment and at day 35. Mean + SD. Each dot is representative for one mouse/timepoint. (d) Kaplan–Meier curve representing overall survival of mice. Control n = 10; isotype n = 10; *a*-PD1n = 9; *a*-LAG-3 n = 8, # p < 0.05 *vs*. isotype; Log-rank analysis (Mantel–Cox test).

647 Foxp3 (clone MF-14), (BioLegend). Flow cytometry measurement was executed using a spectral flow cytometer (Cytek[™] Aurora) with 100,000 recorded events/sample. Analysis was done using SpectroFlow[™] Version 2.2.0.3. and FlowJo[™] Version 10.6.1. For data analysis, specific T cell subpopulations were characterized, i.e. naïve T cells (CD3⁺CD4⁺CD8⁻ CCR7⁺CD62L⁺CD4⁻), effector memory CD3⁺CD4⁺ or CD3⁺CD8⁺ T cells (T_{EM}, CCR7^{low}CD62L^{low}CD44⁺), and central memory CD3⁺CD4⁺ or CD3⁺CD8⁺ T cells (T_{CM}, CCR7⁺CD62L⁺CD44⁻).

Bone marrow isolation and further processing

The bone marrow was obtained from the femur and tibia of both hind legs, followed by extensive washing in PBS. Resulting cell suspensions were counted and parts were taken for pappenheim staining. Therefore, 100,000 cells were centrifuged on a slide at 700 rpm for 10 min via cytospin, air-dried, fixed, and stained for 6 min with May-Grünwald solution (MERCK, Darmstadt, Germany). Afterward, the slides were washed three times with buffer at pH 7.2 (MERCK) followed by staining with 10% Giemsa (MERCK) (in buffer at pH 7.2) for 20 min and subsequent washing steps. Air-dried slides were analyzed under the microscope. From each slide, 200 cells were counted and differentiated into the following cell types: myeloblasts, neutrophil pro-/myelocytes, metamyelocytes/neutroeosinophilic pro-/myelocytes, metamyelocytes/ phils, eosinophils, lymphocytes, plasma cells, monoblasts, and monocytes. Quantification was done blinded by an experienced researcher.

Remaining bone marrow cells were conducted to flow cytometry following staining with the appropriate monoclonal fluorochrome-labeled antibodies. Firstly, staining with the Zombie NIR™ Fixable Viability Kit (Biolegend) was done, followed by blocking of Fc receptors using the TruStain FcX™ PLUS (anti-mouse CD16/32) incubated for 10 min on ice) and True-Stain Monocyte Blocker[™] (Biolegend). Extracellular staining was done using the following monoclonal antimouse antibodies (20 min, RT, doses were titrated before): Pacific Blue[™] Lineage Cocktail, BV421 CD34, BV510[™] CD127 (IL-7 Ra), BV605[™] CD90.2 (Thy-1.2), BV711[™] Ly-6A/E (Sca-1), BV785[™] CD117 (c-Kit), PE CD123, PE/-Dazzle[™] 594 CD71, PE/Fire[™] 640 CD38, PE/Cyanine5 CD150 (SLAM), PE/Cy7 CD41, PE/Fire[™] 810 CD279, Alexa Fluor[®] 647 CD115 (CSF-1 R), APC/Cy7 CD63, APC/Fire[™] 810 CX3Cr1, Vio[®] Bright B515 REAfinity[™] CD48 (Miltenyi Biotec, Bergisch Gladbach, Germany), Alexa Fluor[™] 700 CD170 (Siglec F). Intracellular staining was done after fixation and permeabilization (True Nuclear Transcription Factor Buffer Set, BioLegend) using the following monoclonal antibodies (45 min, RT): APC MCP-1 (BioLegend), FITC CXCL12 (Thermo Fisher Scientific, Waltham, Massachusetts, United States), and PerCP-eFluor™ 710 IRF8 (ebioscience, Thermo Fisher Scientific). Flow cytometry measurement was executed using a spectral flow cytometer (Cytek[™] Aurora) with 100,000 events/sample. Analysis was done using SpectroFlow[™] Version 2.2.0.3. and FlowJo[™] Version 10.6.1. Specific subpopulations were defined as outlined in the results part.

Additionally, a classical colony formation was performed with bone marrow cells for isotype-controlled and ICI-treated mice. Therefore, 100.000 cells were seeded in 25 cm^2 flasks and cultured for 14 days in defined medium. Thereafter, colonies were stained with crystal violet (0.2%) and colonies were counted manually.

RNA isolation, cDNA synthesis & quantitative real-time PCR of spleen cells and GIT

RNA isolation, cDNA synthesis, and real-time PCR were done as described¹⁴. Reactions were performed in triplicate wells. The expression level of each sample was considered by calculating $2-\Delta CT$ ($\Delta Ct = Ct_{target} - Ct_{Housekeeping gene}$), followed by 2 $-^{\Delta\Delta CT}$ quantification, taking values of controls as calibrator.

Immunofluorescence

Cryostat sections of 4 μ m were processed as described^{9,14}. Staining was done using the extracellular surface marker *via* Alexa Fluor 488, Alexa Fluor 594, and Alexa Fluor 647 labeled antibodies CD206, F4/80, CD11b, Gr1, CD163, and PD-L1 (Biolegend). After staining, sections were washed with PBS to remove leftover antibodies and were embedded in Roti Mount Fluor Care DAPI (Roth, Karlsruhe). Visualization was performed on a confocal laser scanning microscope (ZEISS Elyra 7 Confocal Laser Microscope, Zeiss, Jena, Germany). Regulatory granulocytes and tumor-associated macrophages (TAM) were semi-quantitatively analyzed using a validated scoring system: 0 = no; 1 = mild (1–20 cells/HPF); 2 = moderate (21–40 cells/HPF); 3 = strong (>40 cells/HPF)¹⁴.

Functional assays evaluating immunosuppression mediated by myeloid-derived suppressor cells

Splenocytes were first stained with predefined amounts of Alexa Fluor^{*} 488 CD11b (clone M1/70) and Alexa Fluor^{*} 700 Ly-6 G/Ly-6C (Gr-1) antibodies (30 min, RT). Prior sorting of the positive fraction, splenocytes were stained with DAPI for dead cell exclusion. The top CD11b/Gr1-positive cells were bulk sorted on a BD FACSAria^{**}IIIu. Sorting was performed using a 100 µm nozzle, with max. 2000 evts/sec and an efficiency of > 90%. Data acquisition was done with the BD FACSDiva^{**}-software v9.4. Thereafter, sorted cells (1 × 10⁵ cells/ml) were incubated with LPS from *E. coli* (100 ng/ml) overnight. ROS production from total splenocytes and sorted MDSCs was determined using ROS Brite^{**} 670 (100 µM, AAT Bioquest). Fluorescence was measured on a preheated Tecan plate reader (ROS Brite^{**} 670 Ex/Em = 640/680 nm).

Statistics

Statistical analysis was performed using GraphPad PRISM software, version 8.0.2 (GraphPad Software, San Diego, CA, USA). The value of significance was set to p < 0.05. First normality was tested *via* the Shapiro–Wilk test. In case of normality, one-way ANOVA (Tukey's or Sidak's multiple comparison) was performed, and in the case of non-parametric data, Kruskal-Wallis was executed. Kaplan–Meier survival curves were analyzed using log rank test (Mantel cox). Outliers of flow cytometry data were

eliminated when data was not in the range of average plus/minus two times the standard deviation. * day 0 *vs*. experimental endpoint; # - ICI treatment *vs*. isotype; \$ - anti-PD1 vs. anti-LAG-3; § - tumor-free vs. early vs. late-stage (refers to bone marrow analysis only).

Results

ICI treatment prolongs the overall survival of tumor-bearing mice

Longitudinal quantification of tumor size showed a slight increase with anti-PD1 therapy (Figure 1b, c). In contrast, anti-LAG-3 treatment induced stable disease or partial remission within the observation period. Notably, the standardized uptake value (SUV)_{max} was decreased only in the anti-LAG-3 treatment group, while an increase was observed in the anti-PD1 and control tumors (Figure 1c, middle). Regarding the number of tumor nodules, no progression was seen under either ICI treatment, while new tumor lesions were seen in control mice (Figure 1c, right). The therapeutic effects of both ICIs resulted in a significantly prolonged overall survival (OS, Figure 1d). The OS under anti-PD1 antibody treatment was 7.0 weeks (vs. 3.3 weeks and 5.0 weeks, control and isotype, respectively, Figure 1d). In the anti-LAG-3 antibody group, OS was 13.3 weeks and thus longer than under anti-PD1 treatment (p = 0.13).

ICI treatment induces phenotypic changes in the blood

Focusing on the major cell populations in the peripheral blood, we observed increased numbers of T helper and cytotoxic T cells under anti-PD1 treatment and slightly decreased numbers under anti-LAG-3 treatment (Figure 2a,b and supplementary Figure S1A). Both ICIs led to an increase in myeloid-derived suppressor cells (MDSC), which was, however, similar to controls. NK cells remained virtually unchanged upon treatment (Figure 2b). Looking at exhausted T cells, i.e. PD1⁺, CTLA-4⁺, LAG-3⁺, and TIM-3⁺ cells, the effects of the two ICIs were different (Figure 2c). Anti-PD1 treatment reduced the number of LAG-3⁺ T cells. In contrast, the impact of the anti-LAG-3 antibody on exhaustion markers was more global, resulting in a decrease in all T cell populations studied (Figure 2c). Both ICIs reduced the number of circulating regulatory T cells.

In addition, the immunomodulatory effect of ICI treatment was evaluated on day 49, shortly after the end of treatment (supplementary Figure S1A). The exhaustion markers PD1, LAG-3, and TIM-3 were lower in the ICI-treatment groups compared to the isotype control (where only 4–6 values could be included). Notably, Tregs showed an inverse trend between anti-PD1 and anti-LAG-3 treated mice. LAG-3 led to a continuous reduction, while in anti-PD-1 treated mice, this effect was only seen at the experimental endpoint (supplementary Figure S1A).

Altered immunophenotype and hematopoiesis in the spleen

During tumorigenesis, the spleen becomes an important source for myeloid cells, and tumors frequently reprogram splenic hematopoiesis to a process called extramedullary hematopoiesis. Macroscopically evident is a splenomegaly, as seen here especially in control mice (approximately a twofold increase in size and splenocyte number). Hence, we analyzed the splenic immune subsets in detail (Figure 3a). The number of MDSCs significantly increased in both treatment groups. Likewise, NK cells were higher under therapy, particularly upon PD1 blockade. T helper and cytotoxic T cell level remained unchanged and were comparable to controls. Still, regulatory T cells and the level of exhaustion were lower upon both ICI treatments. Amounts of $CD3^+CD4^+CD25^+Foxp3^+$ cells decreased, and $CD3^+CTLA-4^+$ T cells were even significantly lower. $CD3^+PD1^+$ and $CD3^+LAG-3^+$ T cells showed a comparable trend.

To study the effects on myelopoiesis in more detail, we performed gene expression analysis and focused on specific markers involved in macrophage (Csf1/Csf2) and neutrophil polarization (Egr2, Alox5, Figure 3b). Colony stimulating factor 1 (Csf1), a marker for M2 macrophages, was significantly downregulated in the spleens of ICI-treated mice. By contrast, Csf2, which indicates an M1-like phenotype was higher upon anti-LAG-3 treatment compared to controls and anti-PD1 therapy (Figure 3b). Notably, the early growth response gene 2 (Egr2) and Alox5 were significantly lower in the spleens of both treatment groups compared to controls (p < 0.0001). Vice versa, T-cell factor 1 (Tcf1), a transcription factor involved in T-cell lineage specification and thymocyte differentiation³⁰, was slightly elevated upon anti-LAG-3 treatment. The expression of transforming growth factor beta ($Tgf\beta$) was significantly lower upon ICI treatment. While the latter is a well-established trigger for immunosuppression, we interpret this finding as at least partial - reconstitution of physiological immunity. To investigate this further, the function of total splenocytes and CD11b⁺Gr1⁺-sorted MDSCs (Figure 3c) was analyzed upon stimulation with LPS. We determined ROS production after overnight culture (Figure 3d). Given the fact that sorting may have activated MDSCs in the spleen, a comparative approach was performed with total splenocytes and sorted MDSCs. This experiment nicely confirmed the reduced ROS production of MDSCs from ICI-treated mice (Figure 3d). Notably, both splenocytes and positively sorted CD11b⁺Gr1⁺ MDSCs from α -LAG-3 treatment showed the lowest level of ROS production. Hence, a reversal of the immunosuppressive function of MDSC by ICI treatment can be expected.

Changes in the tumor microenvironment upon ICI treatment

Spectral flow cytometry was done on residual tumors (Figure 4a). Non-T cells and T cells were only marginally affected by either treatment. Specifically, MDSCs, CD83⁺CD19⁺ B cells, and NK1.1⁺ NK cells did not change significantly upon PD1 or LAG-3 blockade. Regulatory

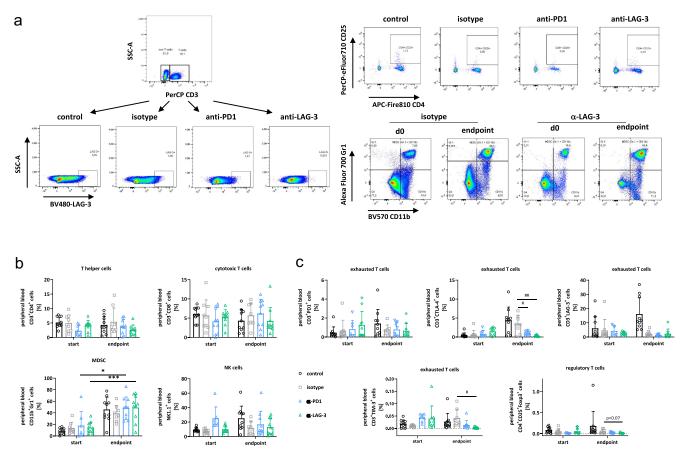


Figure 2. Spectral flow cytometry of the peripheral blood. Notes: Blood was taken routinely from the retrobulbar venous plexus. (a) Gating strategy for identifying immune cell subtypes in peripheral blood using multi-color spectral flow cytometry. (b, c) Given is the number of % immune cells before treatment (= day 0) and at the experimental endpoint resulting from 100,000 events measured on a flow cytometer. Each symbol represents one case. Control n = 10; isotype n = 10; a-PD1n = 9; a-LAG-3 n = 8. * p < 0.05 and *** p < 0.001 vs. start (= day 0); # p < 0.05 and ## p < 0.01 vs. isotype. Two-way ANOVA (Tukey's multiple comparisons test). (b) different immune cell populations; (c) T cell exhaustion marker (PD1, CTLA-4, LAG-3, and TIM-3) and regulatory T cells.

T cells were lower in ICI-treated tumors. Because of the variation within individual groups, this did not reach statistical significance. Exhausted T cells were significantly reduced upon anti-PD1 and/or anti-LAG-3 application. We found treatment-specific influences on specific exhaustion markers, i.e. PD1⁺ T cells were massively reduced upon anti-LAG-3, while anti-PD1 significantly suppressed CTLA-4⁺ T cells. LAG-3⁺ T cells were lower in both therapy groups.

Looking at naive, effector (T_{EM}) and central memory (T_{CM}) T cells, additional differences between the two treatment groups were observed (Figure 4b). The number of CD4⁺ T_{EM} was significantly higher in the anti-LAG-3 treatment group. In contrast, the number of CD8⁺ naive T cells decreased significantly (Figure 4b).

Accompanying immunofluorescence deciphered the spatial localization of immune subsets within tumors (Figure 4c,d). We focused on TAMs (including M2-subtype), MDSCs, and regulatory granulocytes as major immunosuppressive cell types. Notably, CD206⁺F4/80⁺ TAMs were significantly decreased under ICI treatment (Figure 4c,d). In particular, the CD163⁺ M2-subtype was significantly reduced. Residual tumors from anti-LAG-3 treated mice tended to harbor fewer tumor-infiltrating M2 macrophages than those following anti-PD1 treatment. A comparable reduction in CD11b⁺Gr1⁺

MDSCs and CD11b⁺Gr1⁺PD-L1⁺ was observed, particularly in anti-LAG-3 treated tumors. The Ki-67 proliferation index was then determined. This was done to determine whether the treatment also affects tumor cell proliferation and whether infiltrating cells actually proliferate within tumors (Figure 4c, d). Ki-67 staining identified fewer proliferating tumor cells after ICI treatment. Conversely, some infiltrating T cells were positive for Ki-67, but only after ICI-treatment. Effects were more pronounced after anti-PD1 than after anti-LAG-3 immunotherapy (Figure 4c,d).

Accompanying gene expression analysis for Csf1, Csf2, Egr2, Alox5, Tcf1, and Tgf β uncovered additional differences between the two interventions (Figure 5a). Anti-LAG-3-treated tumors exhibited higher levels of Csf1 and Csf2 than tumors from anti-PD1-treated mice, indicative of recruitment of hematopoietic cells into the tumors to polarize macrophages. Levels of Egr2 and Alox5 were comparable between the two treatment arms but remained higher compared to controls. Vice versa, the protooncogene Anterior gradient-2 (Agr2) was significantly suppressed by ICI-treatment (Figure 5a). Agr2 is associated with an immunologically "hot" and immunosuppressive phenotype, accompanied by increased level of TGF- β and activation of epithelial-mesenchymal transition (EMT) pathways^{31,32}. Here, Tgf β expression remained unaffected

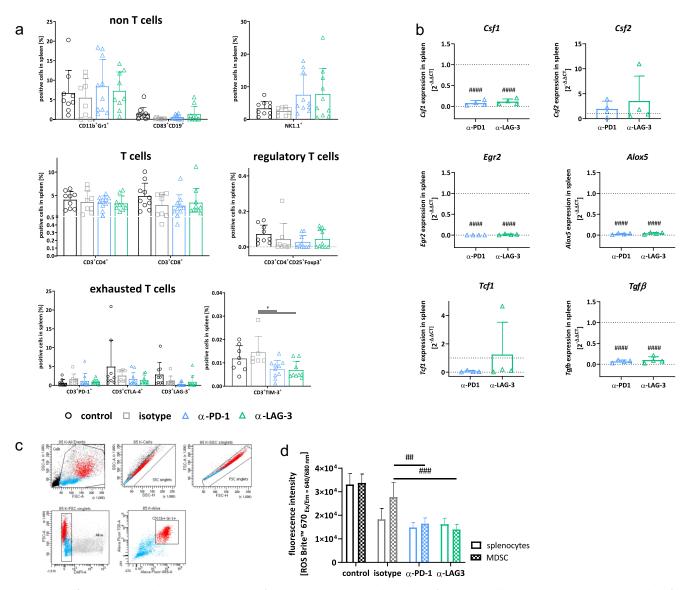


Figure 3. Spectral flow cytometry and gene expression analysis of spleens. Notes: (a) Given is the number of % immune cells at the experimental endpoint resulting from 100,000 events measured on a flow cytometer. Each symbol represents one case. Control n = 10; isotype n = 8-9; a-PD1n = 8-10; a-LAG-3 n = 8-10; Two-way ANOVA (Tukey's multiple comparisons test). (b) Quantitative qPCR of myeloid markers in the spleen. Total RNA from spleens was reverse transcribed into cDNA and qPCR was done as described in material and methods. Analysis was done in triplicates with n = 3-4 mice/group, respectively. All data are given as $2^{-\Delta CT}$ values + SD. Each symbol represents one case. #### p < 0.0001 vs. isotype. Two-way ANOVA (Tukey's multiple comparisons test). (c, d) Sorting approach (CD11b⁺Gr1⁺ fraction) and determination of reactive oxygen species for functional myeloid-derived suppressor cell function analysis. (c) Whole spleen cells were labeled with anti-CD11b and anti-Gr1 antibodies and double positive cells were sorted. (d) Thereafter, cells were stimulated overnight with lipopolysaccharide from *E. coli* (100 ng/ml). ROS production from unsorted and CD11b⁺Gr1⁺ sorted splenic MDSCs was determined using ROS NIR[™] 670. Fluorescence was measured on a preheated Tecan plate reader. Given is the fluorescence intensity (ROS determination). Mean + SD, n = 3-4 samples/group. # p < 0.05 and ## p < 0.01 vs. isotype; Two-way ANOVA (Tukey's multiple comparisons test).

by either therapy, but EMT markers vimentin and N-Cadherin tended to be higher after ICI treatment compared to isotype controls, indicating long-term immunestimulation (Figure 5a).

Then, the amount and distribution of IRF5⁺, a regulator of host immunity and B cell activation, were studied (Figure 5b). Both ICIs slightly increased IRF5⁺ cells within tumors. Co-staining for PD1 was done to uncover the functional status of these cells. Notably, tumors from anti-LAG-3 treated mice had no exhausted IRF5⁺ cells, while few double-positive cells were detectable in the control and anti-PD1 groups (Figure 5b).

DMMR-driven tumorigenesis is characterized by widespread changes in the hematopoiesis

In the hematopoietic compartment, myeloblasts, neutrophil pro-/myelocytes, lymphocytes, and plasma cells were dramatically reduced during tumor development compared to their tumor-free counterparts (Figure 6a). Reticulocytes and erythrocytes were also lower in tumorbearing mice, due to widespread anemia (*not shown*). *Vice versa*, the amounts of metamyelocytes/neutrophils increased significantly with tumor development (Figure 6a). Hence, dMMR-driven tumorigenesis is characterized by perturbed erythropoiesis and myelopoiesis.

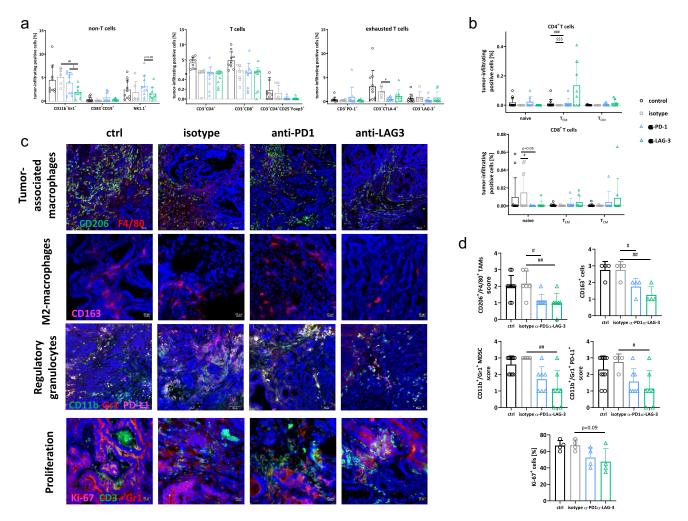


Figure 4. Characterization of the TME using spectral flow cytometry and immunofluorescence. Notes: (a, b) Spectral flow cytometry. A panel of antibodies was used to examine the infiltration pattern within residual tumors. Given is the number of % immune cells at the experimental endpoint resulting from 100,000 events measured on a flow cytometer. Each symbol represents one case. Control n = 10; isotype n = 6-9; a-PD1n = 6; a-LAG-3 n = 6. # p < 0.05, ## p < 0.01 and ### p < 0.001 vs. isotype; \$ \$\$ p < 0.001 vs. ICl; Two-way ANOVA (Tukey's multiple comparisons test). (c, d) The infiltration pattern of regulatory and tumor-associated (M2) macrophages, as well as Ki-67 proliferating cells was examined in residual tumor sildes. Confocal laser scanning microscopy was done on a Zeiss Elyra 7 microscope. (c) Representative images of tumor sildes. (d) Quantitative analysis of tumor-infiltrating immune cells counted in 2–3 HPFs/slide. Each symbol represents one case. Control n = 7-9; isotype n = 4-6; a-PD1n = 4-7; a-LAG-3 n = 4-7. # p < 0.05 and ## p < 0.01 vs. isotype; 0 ne-way ANOVA (Tukey's multiple comparisons test).

These changes in hematopoiesis were confirmed by flow cytometry (Figure 6b,c, and supplementary Figure S2). Specific subpopulations (please see Figure 6b for details) were detected in tumor-free, early-, and late-stage tumorbearing mice. Here, we focused on lin⁻c-Kit⁺ progenitor cells. Long-term hematopoietic stem cells (LT-HSC, Sca-1⁺CD150⁺CD48⁻), including their respective quiescent CD63^{high} LT-HSC subtype, and short-term HSC remained comparable between tumor-free and tumor-bearing mice. However, we observed significant differences in the number of multipotent progenitors (MPP, Sca-1⁺CD150⁻-CD48⁻), which increased during tumor development. This increase was due to an imbalance between common myeloid precursors (CMP, Sca-1⁻CD34⁺) and common lymphoid precursors (CLP, c-kit^{low}Sca-1^{low-}IL7R α ⁺Thy1⁻) (Figure 6c, lower part). Similarly, the number of CD41⁺ megakaryocyte progenitors was lower in tumor-bearing mice compared to tumor-free mice. A similar reduction was seen for B cell precursors (IL-7 R α^+ Thy1⁻CD38⁺) and innate lymphoid progenitors (IL-7 $R\alpha^+Thy1^-PD1^+$), which were significantly reduced in late-stage tumor-bearing mice. This reduction was counteracted by a significant increase in CD34⁺ CMPs, phenotypically assignable to the granulocyte-macrophage (GMP) lineage, i.e. positivity for CxCr3⁺ or CSF-1 R⁺. IRF8⁺ cells reduced during tumor development. IRF8 acts in the lineage-committed progenitors to selectively limit neutrophil production by granulocyte progenitors and promote monocyte production by their respective progenitors³³. PD1, a marker of T cell exhaustion that is also found on innate lymphoid progenitors, differed between tumor-free and tumor-bearing mice and among specific subpopulations. On innate lymphoid progenitors, PD1 was less frequently detected, whereas on hematopoietic progenitors, defined as lin⁻c-Kit⁺Sca-1⁺-PD1⁺, PD1 increased after tumor development. Hence, we interpret this finding as bone marrow exhaustion due to the perturbed hematopoiesis during dMMR-driven gastrointestinal tumor development.

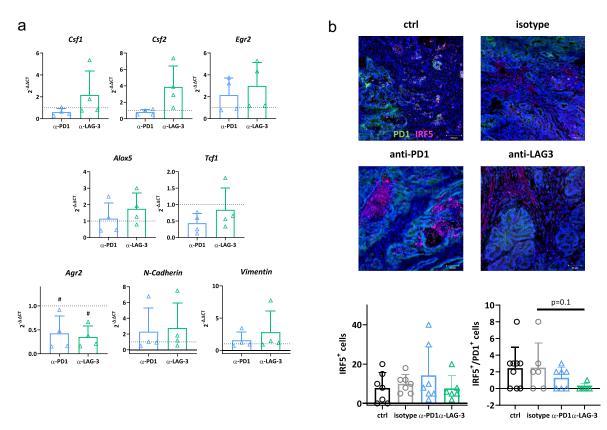


Figure 5. Gene expression analysis in residual tumors and IRF5 detection by tumor immunofluorescence. Notes: (a) Quantitative qPCR of myeloid and epithelialmesenchymal transition markers in the tumor. Total RNA from residual tumors was reverse transcribed into cDNA and qPCR was done as described in material and methods. Analysis was done in triplicates with n = 4 mice/group, respectively. All data are given as $2^{-\Delta CT}$ values + SD. Each symbol represents one case. # p < 0.05 vs. isotype; One-way ANOVA (Tukey's multiple comparisons test). (b) The infiltration pattern of IRF5⁺/PD1⁺ cells was examined in residual tumor slides. Confocal laser scanning microscopy was done on a Zeiss Elyra 7 microscope. (a) Representative images of tumor slides. (b) Quantitative analysis of tumor-infiltrating immune cells counted in 2–3 HPFs/slide. Control n = 10; isotype n = 4-6/marker; α -PD1n = 7; α -LAG-3 n = 7. The infiltration pattern was semi-quantitatively analyzed using a scoring system. 0 = no; 1 = mild; 2 = moderate; 3 = strong. Each symbol represents one case. One-way ANOVA (Tukey's multiple comparisons test).

Short-term ICI treatment partially rebalances hematopoiesis

We then investigated whether short-term ICI rescues the perturbed hematopoiesis in dMMR-driven tumors. First, by assessing cell counts from Pappenheim-stained cells, we identified significantly reduced amounts of metamyelocytes/neutrophils after anti-LAG-3, but not anti-PD1 treatment (p < 0.001, Figure 7a). *Vice versa*, lymphocyte levels were significantly higher in both treatment groups, approaching levels seen in tumor-free mice (please see Figure 6a for comparison). Plasma cells increased slightly with ICI therapy, while monoblasts decreased slightly.

To confirm these positive effects of ICI treatment on hematopoiesis, our in-house flow cytometric marker panel was applied (Figure 7b). LT-HSCs were not affected by either treatment. We observed a trend toward higher numbers of the quiescent LT-HSC subtype, ST-HSC, and megakaryocyte progenitors. Conversely, MPPs were reduced. Another interesting finding was the slightly rebalanced CMP:CLP ratio, where CMP decreased and CMP increased after anti-LAG-3 treatment (Figure 7b, lower part). Also, B cell precursors and innate lymphoid progenitors significantly increased upon anti-LAG-3 immunotherapy. Having a look on the CD34⁺ CMPs identified significantly lower numbers of CSF-1 R⁺ cells after both ICI and higher numbers of IRF8⁺. Notably, numbers of IRF8⁺ progenitors were nearly comparable to tumor-free mice. On hematopoietic progenitors, PD1 was not significantly altered after treatment. Using a functional colony formation assay, the number of viable colony forming cells was slightly higher after anti-LAG-3 treatment (Figure 7c).

To sum up, this analysis identified a partial conversion of the tumor-driven perturbed hematopoiesis, in which $lin^-c-Kit^+Sca-1^-CD34^+CSF-1R^+$ precursors are the main targets of ICI treatment.

Discussion

In this study, we confirm the therapeutic potential of ICI treatment by targeting two different checkpoints: PD1 and LAG-3. While the former has been targeted in different trials, the latter recently entered the clinic, and preclinical data are also scarce. In dMMR cancers, LAG-3⁺ T cells are detectable at early stages and high LAG-3 expression is associated with a poor prognosis³⁴. Hence, targeting this molecule is reasonable for this molecular subtype.

Low-dose treatment of Msh2^{loxP/loxP;TgTg(Vil1-cre)} mice was well tolerated, resulting in significantly prolonged overall survival after LAG-3 blockade. Notably, this was even longer than after anti-PD1 treatment. Accompanying *in vivo* PET/CT imaging revealed no significant differences in tumor growth

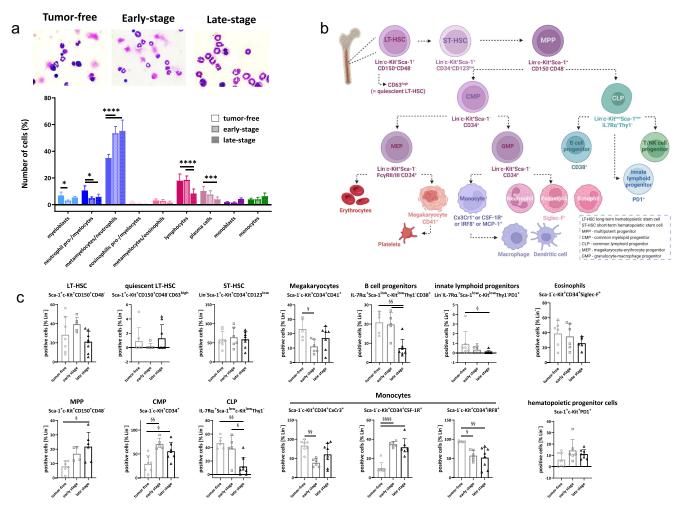
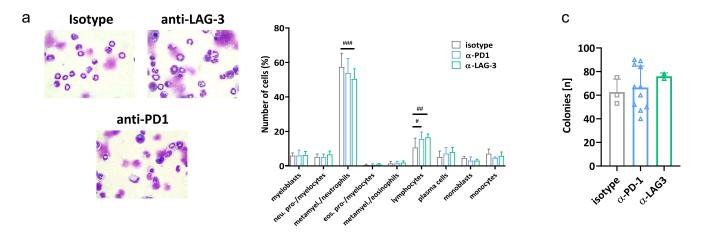


Figure 6. Altered murine haematopoiesis during tumor development. Notes: (a) Pappenheim staining of bone marrow cells in normal, tumor-free and tumor-bearing Msh2^{loxP/loxPVillCre} mice. From each slide, 200 cells were counted and differentiated according to a pre-designed differentiation scheme into the following cell types: myeloblasts, neutrophil pro-/myelocytes, metamyelocytes/neutrophil, eosinophilic pro-/myelocytes, metamyelocytes/eosinophils, lymphocytes, plasma cells, monoblasts, and monocytes. Upper part: representative images of each treatment. Magnification x1000; lower part: Quantification was done blinded by an experienced researcher. n = 7 mice/group. Mean + SD, * p < 0.05; *** p < 0.01; **** p < 0.001; One-way ANOVA (Sidak's multiple comparisons test). (b, c) Bone marrow differentiation using multi-color spectral flow cytometry. (b) Diagram of hematopoiesis based on the markers used in this study. (c) A 20-marker panel was applied as described in material and methods. (c) Quantitative analysis of lin⁻c-Kit⁺ precursors according to the diagram shown in Figure 6b. Results show data from 100,000 cells/sample. Mean + SD, each symbol represents one case; § p < 0.05; §§ p < 0.01; §§§§ p < 0.0001; One-way ANOVA (Sidak's multiple comparisons test).

between anti-PD1 and anti-LAG-3. Nevertheless, both ICIs induced a stable disease and prevented the occurrence of novel tumors in virtually all cases during short-term follow-up, explaining the improved outcome compared to control mice. In addition, the standardized uptake value (SUV)_{max} is an emerging imaging biomarker for response. Here, it was found to decrease only in the anti-LAG-3 treatment group, while an increase was observed in the anti-PD1 and control tumors. Furthermore, pseudoprogression, i.e. a transient increase in diameter due to local inflammation during ICI treatment is a common side effect that complicates the interpretation of PET/CT data^{35–37}. By combining three markers: tumor size, number of tumor nodules, and SUV_{max}, differences were seen only after anti-LAG-3 treatment, most likely leading to the superior survival.

ICI treatment also positively reshaped the tumor microenvironment. The number of TAMs, including the highly suppressive M2-subtype, MDSCs, and regulatory granulocytes decreased – especially after LAG-3 blockade. Conversely, the transcription factor IRF5 was slightly higher, indicating type I interferon signaling *via* reactivation of the innate immune response³⁸. Previous data showed that IRF5 is a direct target of p53, but is also involved in DNA damage- and IFN-induced apoptosis and cell death³⁹. The finding of higher focal IRF5 levels in the TME of ICI-treated tumors may indicate enhanced (T cell-mediated) cell death upon treatment. In support of this, tumor-infiltrating effector memory T cells (CD4⁺ subtype) increased after LAG-3 blockade.

The number of circulating T helper and cytotoxic T cells was largely unaffected by either treatment, consistent with our previous findings with anti-PD-L1 ICI treatment^{8,9,14}. However, levels of exhausted T cells, i.e. PD1⁺, CTLA-4⁺, LAG-3⁺, and TIM-3⁺ cells, and regulatory T cells were reduced after checkpoint blockade and represent a likely immune correlate of treatment. In support of this, a sustained reduction of the exhausted T-cell phenotype was recently reported in clinical samples from Hodgkin lymphoma patients receiving anti-PD1 antibodies⁴⁰. In melanoma patients, circulating LAG-3⁺



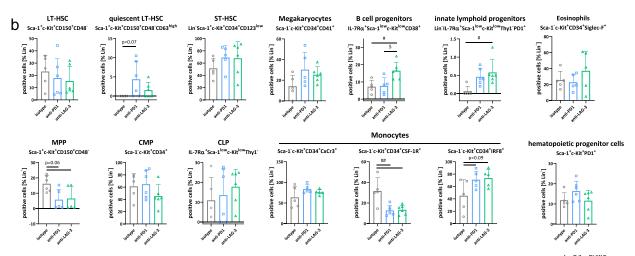


Figure 7. Impact of ICI treatment on murine haematopoiesis. Notes: (a) Pappenheim staining of bone marrow cells in tumor-bearingMsh2^{loxP/loxPVillCre} mice. From each slide, 200 cells were counted and differentiated according to a pre-designed differentiation scheme into the following cell types: myeloblasts, neutrophil pro-/myelocytes, metamyelocytes/neutrophil, eosinophilic pro-/myelocytes, metamyelocytes/eosinophils, lymphocytes, plasma cells, monoblasts, and monocytes. Upper part: representative images of each treatment. Magnification x1000; lower part: Quantification was done-blinded by an experienced researcher. isotype: n = 7 mice; anti-PD1 and anti-LAG-3: n = 6 mice/group. Mean + SD, #p < 0.05; ##p < 0.01; ##p < 0.01; One-way ANOVA (Sidak's multiple comparisons test's multiple comparisons test). (b) Bone marrow differentiation using multi-color spectral flow cytometry. A 20-marker panel was applied as described in material & methods. Quantitative analysis of lin⁻c-Kit⁺ precursors. Results show data from 100,000 cells/sample. Mean + SD, each symbol represents one case. Isotype n = 7; a-PD1n = 5; a-LAG-3 n = 5; #p < 0.05; ##p < 0.05; \$p < 0.05; p < 0.05

subsets have also been identified as a blood-based biomarker that significantly correlates with both survival and response outcomes⁴¹. Hence, the inhibition of circulating LAG-3 levels is imperative to reconstitute immunity. The beneficial effect of both ICIs on exhausted T cells was not seen on circulating MDSCs, whose numbers were highly elevated after treatment. This can be interpreted as a compensatory mechanism to maintain systemic immunosuppression. Indeed, circulating MDSCs are essential for TAM accumulation within tumors and the finding of elevated MDSC levels in the spleen of ICItreated mice add further credence to the relevance of these cells in curtailing effective anticancer immunity. By applying functional assays, we evaluated the immunosuppressive potential mediated by CD11b⁺Gr1⁺-sorted splenic MDSCs. ROS production was quantified after LPS stimulation. These analyses nicely confirmed the reduced immunosuppressive activity of MDSCs from ICI-treated mice. Hence, immunotherapy, as conducted in this study, reversed the suppressive function of MDSC. Still, the high plasticity of this cell type to differentiate into either macrophages or dendritic cells remains challenging^{42,43}. It is worth mentioning that the spleen, in addition to the bone marrow, is an important "immune reservoir" of suppressive immune cell subsets. Therefore, ICI treatment may put pressure on tumors to recruit suppressor cells to avoid a vigorous T cell-mediated immune attack. Here, both ICIs reduced the number of CD163⁺ M2-macrophages, and concomitantly led to the downregulation of genes involved in M2-macrophage (Csf1) and neutrophil polarization (Egr2, Alox5). The finding that Csf2, which indicates an M1-like phenotype, was higher after anti-LAG-3 treatment compared to controls and anti-PD1 therapy suggests at least partial immunological counterregulation to mediate tumor growth control in vivo. Furthermore, it can be speculated that PD1 inhibition affects extramedullary hematopoiesis and thus the regulation of macrophage polarization and immune suppression. Consistent with this, the expression level of the transcription factor *Tcf1*, which is involved in T cell lineage specification and thymocyte differentiation³⁰, was higher after anti-LAG-3 treatment in the spleen. TCF1⁺PD-1⁺ tumorinfiltrating lymphocytes were recently identified as positive predictors of response and survival after ICI treatment of nonsmall-cell lung cancer patients⁴⁴. Also, dual inhibition of TGF β signaling and CSF1/CSF1R provides a novel approach to reprogram tumor-infiltrating macrophages and improve chemotherapy response *via* PD-L1 suppression⁴⁵. Here, splenic Tgf β expression levels were lower after ICI treatment, implicating a minor role in tumor suppression at least in the models used here.

A previous study reported dysregulated hematopoiesis in a mammary carcinoma mouse model⁴⁶. In addition to blood and spleen perturbations, tumor development was associated with FLT3L and GM-CSF, which synergized with tumor-derived G-CSF to promote hematopoietic stem/progenitor cell production⁴⁶. Together, these tumorsecreted factors resulted in leukocytosis, anemia, and bone marrow defects. In our study, impaired hematopoiesis was also observed in the bone marrow of early- and late-stage tumor-bearing Msh2^{loxP/loxP;TgTg(Vil1-cre)} mice. The number of metamyelocytes/neutrophils increased to an extent corresponding to the reduction of lymphocytes and plasma cells. Notably, this alteration increased with tumor progression, providing further evidence for the global impact of solid tumors on bone marrow hematopoiesis. Besides, these data also show that hematopoietic dysregulation is an early event in Msh2^{loxP/loxP;TgTg(Vil1-cre)}-driven carcinogenesis, highlighting the need for early intervention to rebalance hematopoiesis. Here, the number of common myeloid progenitors increased. CD34⁺ CMPs, phenotypically belonging to the granulocyte-macrophage (GMP) lineage, i.e. were mostly positive for CxCr3⁺ or CSF-1 R⁺. At the same time, the number of common lymphoid progenitors decreased. This decrease was due to a reduction in B cell precursors and innate lymphoid progenitors, especially in late-stage tumor-bearing mice. Conversely, PD1 on hematopoietic progenitors, defined as lin⁻c-Kit⁺Sca-1⁺PD1⁺, PD1 increased after tumor development. Therefore, one can speculate that the increased level of CMPs is a tumordriven mechanism for the recruitment of immature neutrophils to distant (tumor) sites. This is fueled by monocytic precursors via CSF-1 R to regulate the production, differentiation, and activation of TAMs and thus drive immunosuppression. Indeed, tumor-infiltrating CSF-1 R⁺ myeloid cells predict poor survival in cancer patients⁴⁷⁻⁴⁹, and targeting of this molecule with specific inhibitors is ongoing^{50,51}.

Thus, "bone marrow" exhaustion is the result of persistent hematopoietic dysbalance during dMMR-driven gastrointestinal tumor development. This imbalance was partially reversed by ICI treatment, characterized by a slightly rebalanced CMP: CLP ratio, with CMP decreasing and CLP increasing, especially after anti-LAG-3 treatment. The rebalance of CLPs was due to an increase in B cell precursors and innate lymphoid progenitors. Another interesting finding was the reduction of CSF-1 R⁺ progenitor cells after both ICI and the higher numbers of IRF8⁺. The latter limits granulocyte development and functions as a "master" negative regulator of the formation of polymorphonuclear-MDSC *in vivo*^{52,53}.

To sum up our findings, we have identified LAG-3 blockade as a novel promising approach for the treatment of dMMRdriven tumors. Sustained induction of stable disease, accompanied by successful targeting of global immune escape and partial conversion of the tumor-driven perturbed hematopoiesis, involves the underlying effects of LAG-3 targeting. Given these positive effects also seen here on OS, the combination of anti-PD1 and anti-LAG-3 is a very attractive option for the treatment of dMMR-related tumors. In preclinical models, dual blockade has shown synergistic effects^{54,55}. In addition, the FDA recently approved the first anti-PD1/LAG-3-blocking antibody combination for patients with unresectable or metastatic melanoma¹⁹. This will be the next experimental approach.

List of abbreviations

Ctrl	control
dMMR	mismatch-repair deficiency
ICI	Immune checkpoint inhibitor
MDSC	myeloid-derived suppressor cell
TAM	tumor-associated-macrophages
Treg	regulatory T cells

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Disclosure statement

No potential conflict of interest was reported by the author(s).

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Authors' contributions

I.S. participated in *in vivo* experiments, isolated tumor RNA, and performed flow cytometry of blood, spleen, and bone marrow. P.K., L.E. J.T., J.M., and J.H. performed *in vivo* monitoring of health status and *ex vivo* analysis (including staining of blood and organs for flow cytometry), P. K. analyzed the bone marrow and isolated RNA from bone marrow, A. W. assisted in *ex vivo* analysis, including immunofluorescence staining and quantification, W.B. assisted in flow cytometry panel design, C. J. critically revised the manuscript, C.M. designed the study, analyzed data, prepared figures, and wrote the manuscript.

Availability of data and materials

All data generated or analyzed during this study are included in this published article.

Ethics approval and consent to participate

The German local authority approved all animal experiments: Landesamt für Landwirtschaft, Lebensmittelsicherheit und Fischerei Mecklenburg-Vorpommern (7221.3-1-062/19), under the German animal protection law and the EU Guideline 2010/63/EU. All applicable international, national, and/or institutional guidelines for the care and use of animals were followed.

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