

# openheart Liver stiffness assessed by Fibrosis-4 index predicts mortality in patients with heart failure

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## ABSTRACT

**Objective** Liver dysfunction due to heart failure (HF) is known as congestive hepatopathy. It has recently been reported that liver stiffness assessed by transient elastography reflects increased central venous pressure. The Fibrosis-4 (FIB4) index (age (years)  $\times$  aspartate aminotransferase (IU/L)/platelet count ( $10^9/L$ )  $\times$  square root of alanine aminotransferase (IU/L)) is expected to be useful for evaluating liver stiffness in patients with non-alcoholic fatty liver disease. We aimed to investigate the impact of the FIB4 index on HF prognosis, with consideration for liver fibrosis markers and underlying cardiac function.

**Methods** Consecutive 1058 patients with HF who were admitted to our hospital were divided into three groups based on their FIB4 index: first (FIB4 index  $<1.72$ ,  $n=353$ ), second ( $1.72 \leq$  FIB4 index  $<3.01$ ,  $n=353$ ) and third tertiles ( $3.01 \leq$  FIB4 index,  $n=352$ ). We prospectively followed for all-cause mortality.

**Results** During the follow-up period (mean 1047 days), 246 deaths occurred. In the Kaplan-Meier analysis, all-cause mortality progressively increased from the first to third groups (12.2%, 21.0% and 36.6%,  $p<0.01$ ). In the Cox proportional hazard analysis, FIB4 index was an independent predictor of all-cause mortality in patients with HF ( $p<0.05$ ). In comparisons of laboratory and echocardiographic findings, the third tertile had higher levels of type IV collagen 7S, procollagen type III peptide, hyaluronic acid, left atrial volume, mitral valve E/e', inferior vena cava diameter and right atrial end systolic area ( $p<0.01$ , respectively).

**Conclusion** The FIB4 index, a marker of liver stiffness, is associated with higher all-cause mortality in patients with HF.

## INTRODUCTION

Heart failure (HF) is a widespread and serious problem that has been reported in many countries.<sup>1</sup> Associated with worsening multiple organ failure including kidney and liver,<sup>1</sup> HF also causes liver dysfunction with a combination of reduced arterial perfusion and passive congestion.<sup>2-4</sup> Liver congestion might be mutually associated with liver stiffness, resulting in fibrosis (eg, nutmeg liver)

## KEY QUESTIONS

### What is already known about this subject?

► It has been recently reported that the liver stiffness, which reflects increased central venous pressure, measured by transient elastography increases along with decompensated heart failure (HF) developing and decreases with clinical improvement. A simple index for the assessment of liver stiffness and/or impairment of liver reserve may be useful in patients with HF

### What does this study add?

► In this present study, we first presented that the Fibrosis-4 (FIB4) index, a simple marker of liver stiffness, is associated with markers of liver fibrosis, larger right and left heart volume overload and higher all-cause mortality in patients with HF.

### How might this impact on clinical practice?

► The FIB4 index can be an alternative marker to measurement of liver stiffness. Each parameters of the FIB4 index can be measured quickly even in clinics, emergent medical service and so on with point-of-care testing.

and adverse prognosis.<sup>2-5</sup> It has recently been reported that the liver stiffness measured by transient elastography increases along with the development of decompensated HF and decreases with clinical improvement.<sup>5-7</sup> A simple index for the assessment of liver stiffness and/or impairment of liver reserve may be useful in patients with HF. The Fibrosis-4 (FIB4) index (age (years)  $\times$  aspartate aminotransferase (IU/L)/platelet count ( $10^9/L$ )  $\times$  square root of alanine aminotransferase (IU/L)) has been reported to be useful for evaluating liver fibrosis or stiffness in patients with non-alcoholic fatty liver disease (NAFLD).<sup>8</sup> FIB4 index, which can be calculated simply and repeatedly, indicates liver stiffness and impairment of liver reserve. Thus, we aimed to verify the value of FIB4



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index as a risk assessment tool for mortality in patients with HF and its underlying cardiac function and liver fibrosis confirmed by established biochemical markers such as type IV collagen 7S, procollagen type III peptide (PIIIP) and hyaluronic acid.<sup>9,10</sup>

## METHODS

### Subjects and study protocol

This was a prospective observational study of patients with decompensated HF who were discharged from Fukushima Medical University Hospital between 2010 and 2014. The diagnosis of decompensated HF was defined based on the Framingham criteria.<sup>11</sup> Blood samples were obtained at discharge. All patients underwent testing for hepatitis B antigen and hepatitis C antibody, and their medical history was checked for chronic liver disease (cirrhosis, hepatic tumours, bile duct disease, etc). Patients with liver disease, acute coronary syndrome, dialysis and advanced cancer were excluded. The flow chart of patients is shown in figure 1. We calculated each patient's FIB4 index using the following formula: (age (years) × aspartate aminotransferase (IU/L)/platelet count ( $10^9$ /L) × square root of alanine aminotransferase (IU/L)).<sup>8</sup> Consecutive 1058 patients were divided into three groups based on their FIB4 index<sup>8</sup> at discharge: first tertile (FIB4 index <1.72, n=353), second tertile ( $1.72 \leq$  FIB4 index <3.01, n=353) and third tertile ( $3.01 \leq$  FIB4 index, n=352).

Hypertension was defined as the recent use of anti-hypertensive drugs, systolic blood pressure  $\geq 140$  mm Hg and/or diastolic blood pressure  $\geq 90$  mm Hg. Dyslipidaemia was defined as the recent use of cholesterol-lowering drugs, a triglyceride value of  $\geq 150$  mg/dL, a low-density lipoprotein cholesterol value of  $\geq 140$  mg/dL and/or a high-density lipoprotein cholesterol value of <40 mg/dL. Chronic kidney disease (CKD) was defined as an estimated glomerular filtration rate (GFR) of <60 mL/min/1.73 cm<sup>2</sup>,<sup>12</sup> and anaemia was defined as

haemoglobin levels of <12.0 g/dL in females and <13.0 g/dL in males.<sup>1</sup> Atrial fibrillation was identified by an ECG performed during hospitalisation and/or from medical records including history.

We compared the clinical features and the results of several examinations, performed at hospital discharge. The patients were followed up until 2016 for all-cause mortality, and the causes of cardiac death were classified by independent experienced cardiologists as worsened HF in accordance with the Framingham criteria,<sup>11</sup> ventricular fibrillation documented by ECG or implantable devices or acute coronary syndrome. Status and dates of death were obtained from the patients' medical records. If these data were unavailable, status was ascertained by a telephone call to the patients' referring hospital physician. Those administering the survey were blind to the analyses, and written informed consent was obtained from all study subjects. The study protocol was approved by the ethical committee of Fukushima Medical University, and the investigation conforms with the principles outlined in the Declaration of Helsinki. Reporting of the study conforms to STrengthening the Reporting of OBServational studies in Epidemiology (STROBE) along with references to STROBE and the broader EQUATOR guidelines.<sup>13</sup>

### MEASUREMENT OF TYPE IV COLLAGEN 7S, PIIIP AND HYALURONIC ACID

The levels of serum type IV collagen 7S was measured by radioimmunoassay (Type IV collagen 7S kit, SCETI MEDICAL LABO KK, Tokyo, Japan). Serum PIIIP was measured by immunoradiometric assay (RIA-gnost PIIIP ct, CISbioBioassays, Codolet, France). Serum hyaluronic acid was measured by latex agglutination-turbidimetric immunoassay (LPIA Ace HA, LSI Medience, Tokyo, Japan). These assays were blindly performed by a laboratory company (LSI Medience, Tokyo, Japan).

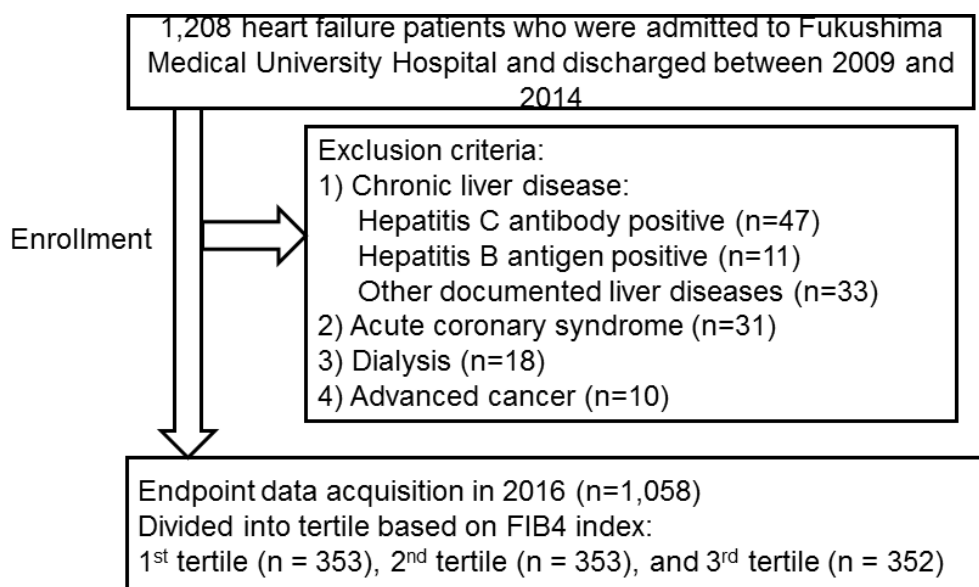


Figure 1 Patient flow chart.

**Table 1** Comparisons of clinical features of patients by tertiles of FIB4 index (n=1058)

	First tertile FIB4 <1.72 (n=353)	Second tertile 1.72 ≤ FIB4 <3.01 (n=353)	Third tertile 3.01 ≤ FIB4 (n=352)	p Value
Age (years)	56.1±15.0	70.6±10.6**	75.4±9.4***††	<0.001
Male gender (n, %)	230 (65.2)	213 (60.3)	200 (56.8)	0.075
Body mass index (kg/cm <sup>2</sup> )	24.4±4.4	23.0±4.3**	22.7±3.8***††	<0.001
Systolic BP (mm Hg)	125.8±29.5	129.2±33.4	129.6±33.0	0.222
Diastolic BP (mm Hg)	73.0±20.6	72.9±20.0	72.1±20.5	0.820
Heart rate (bpm)	82.0±23.5	79.8±25.0	80.7±24.7	0.490
NYHA class III or IV	4 (1.1)	9 (2.5)	18 (5.1)	0.006
Ischaemic aetiology (n, %)	79 (22.4)	94 (26.6)	104 (29.5)	0.094
Reduced LVEF (n, %)	197 (55.8)	179 (50.7)	192 (54.5)	0.367
Comorbidity				
Hypertension (n, %)	256 (72.5)	295 (83.6)	280 (79.5)	0.001
Diabetes (n, %)	134 (38.0)	151 (42.8)	147 (41.8)	0.390
Dyslipidaemia (n, %)	290 (82.2)	280 (79.3)	258 (73.3)	0.014
Atrial fibrillation (n, %)	98 (27.8)	137 (38.8)	175 (49.7)	<0.001
CKD (n, %)	149 (42.2)	207 (58.6)	258 (73.3)	<0.001
Anaemia (n, %)	155 (43.9)	196 (55.5)	238 (67.6)	<0.001
Medications				
RAS inhibitors (n, %)	262 (74.2)	274 (77.6)	267 (75.9)	0.572
β-blockers (n, %)	278 (78.8)	288 (81.6)	271 (77.0)	0.317
Diuretics (n, %)	217 (61.5)	249 (70.5)	263 (74.7)	0.001
Inotropic agents (n, %)	25 (7.1)	34 (9.6)	57 (16.2)	<0.001

Data are presented as median (IQR).

\*\*p<0.01 versus 1 tertile.

††p<0.01 versus second tertile.

BP, blood pressure; NYHA, New York Heart Association; LVEF, left ventricular ejection fraction; CKD, chronic kidney disease; RAS, rennin-angiotensin-aldosterone system.

## ECHOCARDIOGRAPHY

Echocardiography was performed blindly by experienced cardiologists and medical technologists with standard techniques.<sup>14</sup> The echocardiographic parameters investigated included left ventricular ejection fraction (LVEF), left atrial volume, the ratio of early transmitral flow velocity to mitral annular velocity (mitral valve E/e'), inferior vena cava diameter, systolic pulmonary arterial pressure (SPAP), right atrial end-systolic area and right ventricular fractional area change (RV-FAC). Mitral valve E/e' was calculated by transmitral Doppler flow and tissue Doppler imaging. SPAP was calculated by adding the right atrial pressure (estimated by the diameter and collapsibility of the inferior vena cava) to the systolic transtricuspid pressure gradient.<sup>14</sup> The RV-FAC, defined as (end-diastolic area–end-systolic area)/end-diastolic area × 100, is a measure of right ventricular systolic function. All measurements were performed using ultrasound systems (ACUSON Sequoia, Siemens Medical Solutions, Mountain View, California, USA).

## STATISTICAL ANALYSIS

Parametric variables are presented as mean±SD, non-parametric variables were log transformed (eg, B-type natriuretic peptide (BNP), hyaluronic acid), and categorical variables are expressed as numbers and percentages. The characteristics of the three groups were compared using analysis of variance followed by Tukey's post hoc test, and the  $\chi^2$  test was used for categorical variables. Multivariable regression analysis was used to determine which element of FIB4 index related to the index. Correlations between FIB4 index and markers which is related with liver fibrosis and congestion (eg, type IV collagen 7S, PIIP, hyaluronic acid, BNP and estimated GFR) were assessed using Spearman's correlation analysis. The Kaplan-Meier method was used for presenting the event-free rate, and the log-rank test was used for initial comparisons. To prepare for potential confounding factors in the Cox regression analyses, we considered the following clinical factors, which are not included in the FIB4 index and possibly affect the risk of mortality in patients with HF:

**Table 2** Laboratory and echocardiographic data

	First tertile (n=353)	Second tertile (n=353)	Third tertile (n=352)	p Value
<b>Laboratory data</b>				
Log BNP	2.2±0.6	2.5±0.5	2.6±0.5***††	<0.001
Total protein (g/L)	7.1±0.8	7.0±0.7	6.8±0.8***††	<0.001
Albumin (g/L)	3.8±0.6	3.7±0.6	3.5±0.6***††	<0.001
Total bilirubin (mg/dL)	0.9±0.5	0.9±0.5	1.1±0.7	0.146
Direct bilirubin (mg/dL)	0.1±0.1	0.1±0.1	0.2±0.2	0.086
Alkaline phosphatase (U/L)	246.4±114.3	257.9±134.2	280.8±114.4**	0.008
Gamma-glutamyl transferase (U/L)	55.9±64.1	60.0±96.3	76.6±95.5**	0.009
Cholinesterase (U/L)	294.1±88.2	266.2±77.1	215.0±70.0***††	<0.001
Estimated GFR (mL/min/1.73 cm <sup>2</sup> )	62.6±25.2	56.4±22.3**	48.1±21.8***††	<0.001
Sodium (mEq/L)	138.9±3.3	139.2±3.6	138.4±4.4 <sup>†</sup>	0.018
Type IV collagen 7S (ng/mL)	4.5±1.7	4.8±1.7*	5.9±2.5***††	<0.001
PIIIP (U/mL)	0.6±0.3	0.7±0.4*	0.8±0.3***†	<0.001
Log hyaluronic acid	1.6±0.3	1.9±0.4**	2.1±0.4***††	<0.001
<b>Echocardiography</b>				
LVEF (%)	49.0±17.0	50.0±15.9	50.2±14.9	0.640
Left atrial volume (mL)	74.9±47.9	82.8±56.6	92.5±72.0**	0.004
Mitral valve E/e'	13.8±7.0	15.3±9.8	16.6±9.1**	0.004
Inferior vena cava diameter (mm)	14.4±4.6	14.5±4.4	16.2±5.4***††	<0.001
SPAP (mm Hg)	30.3±18.5	30.2±15.2	31.0±14.9	0.854
Right atrial end-systolic area (cm <sup>2</sup> )	17.6±10.4	17.4±8.5	20.9±11.1***††	0.003
RV-FAC (%)	42.1±15.8	41.6±13.3	41.7±14.3	0.941

Data are presented as median (IQR).

\*p<0.05 and \*\*p<0.01 versus

\*p<0.05 and \*\*p<0.01 versus first tertile. †p<0.05 and ††p<0.01 versus second tertile.

BNP, B-type natriuretic peptide; GFR, glomerular filtration rate; LVEF, left ventricular ejection fraction; Mitral valve E/e', ratio of the peak transmitral velocity during early diastole to the peak mitral valve annular velocity during early diastole; PIIIP, procollagen type III peptide; RV-FAC, right ventricular fractional area change; SPAP, systolic pulmonary artery pressure.

sex, body mass index, New York Heart Association class, ischaemic aetiology, hypertension, diabetes, dyslipidaemia, atrial fibrillation, haemoglobin, estimated GFR, sodium, BNP, LVEF and use of several medicines such as renin-angiotensin-system (RAS) inhibitors,  $\beta$ -blockers, diuretics and inotropic agents. Baseline variables with p<0.05 in univariate analysis were included in the multivariate analysis. We constructed two models: in the first, we analysed the FIB4 index as a categorical variable (Third, second vs first tertile), and in the second we analysed the FIB4 index as a continuous variable. A p value of <0.05 was considered statistically significant for all comparisons. These analyses were performed using a statistical software package SPSS V.24.0.

## RESULTS

In the multiple regression analysis to determine FIB4 index, the elements of the index were as follows: aspartate aminotransferase,  $\beta=0.473$ , p<0.001; platelet count,  $\beta=-0.293$ , p<0.001; age,  $\beta=0.122$ , p<0.001; alanine aminotransferase,  $\beta=-0.116$ , p=0.015. In addition, there were

significant positive correlations between FIB4 index and other markers of liver fibrosis, congestion and renal function (type IV collagen 7S, R=0.313, p<0.001; PIIIP, R=0.233, p=0.009; hyaluronic acid, R=0.303, p<0.001; BNP, R=0.285, p<0.001; and estimated glomerular filtration rate, R=-0.143, p<0.001).

The comparisons of clinical features in the present study are shown in table 1. The third tertile had higher age, lower levels of body mass index, higher prevalence of New York Heart Association class III or IV, atrial fibrillation, CKD and anaemia, lower prevalence of dyslipidaemia and higher usage of diuretics and inotropic agents. In the laboratory data (table 2), the third tertile had higher levels of BNP, alkaline phosphatase, gamma-glutamyl transaminase, type IV collagen 7S, PIIIP, hyaluronic acid and lower levels of total protein, albumin, cholinesterase and estimated GFR. In the echocardiographic data (table 2), the third tertile had higher levels of left atrial volume, mitral valve E/e', inferior vena cava diameter and right atrial end-systolic area. In contrast, LVEF, SPAP and RV-FAC change did not significantly differ among the three groups.

In the follow-up period (mean 1047 days), 246 deaths (122 cardiac deaths and 124 non-cardiac deaths) occurred. In the Kaplan-Meier analysis (figure 2), all-cause mortality increased progressively from the first group to the third group ( $p < 0.001$ ). In the Cox proportional hazard analysis (table 3), a high FIB4 index was an independent predictor of all-cause mortality in patients with HF.##

## DISCUSSION

The present study is, to the best of our knowledge, the first to report that the FIB4 index, a marker of liver stiffness, is associated with type IV collagen 7S, PIIP, hyaluronic acid, BNP, larger right and left heart volume overload, as well as higher all-cause mortality in patients with HF.

Systemic venous congestion rise to neurohormonal activation (eg, RAS), decreases plasma natriuretic peptide,<sup>15</sup> leads to HF progression, may contribute to worsening multiple organ failure<sup>5 16 17</sup> and result in adverse prognosis.<sup>18</sup> Increased central venous pressure causes liver stiffness.<sup>5 19 20</sup> The liver transient elastography shows increased liver stiffness at admission due to HF and an improvement at discharge.<sup>7</sup> The FIB4 index<sup>8</sup> can be used as an alternative marker for the measurement of liver stiffness and/or reserve. It can be calculated with only four parameters that are measured in daily medical care. These parameters, such as aspartate aminotransferase, alanine aminotransferase and platelet count, can be measured quickly even in clinics by emergency medical services and more with point-of-care testing.

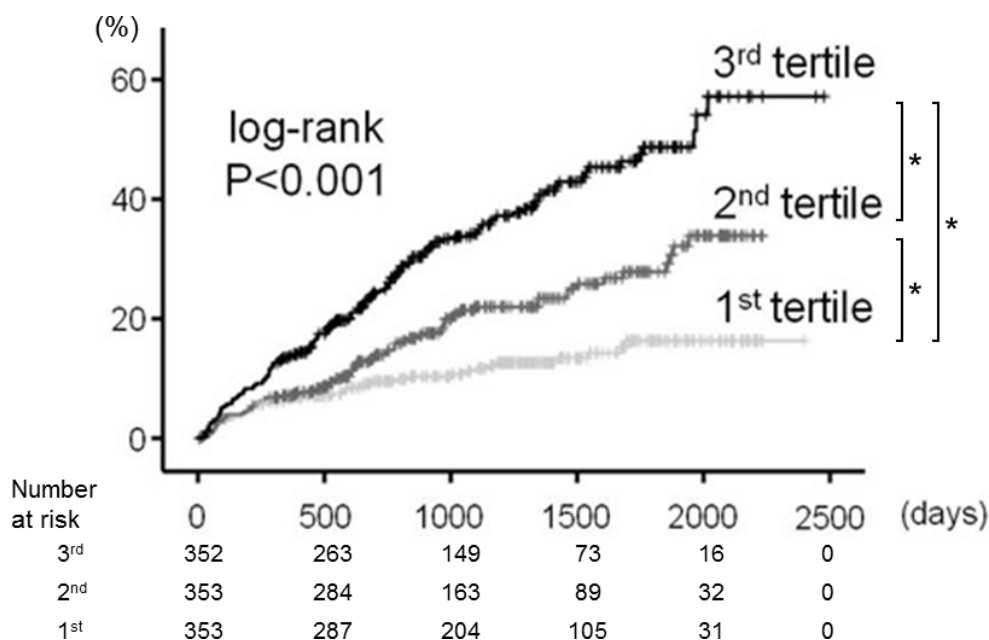
HF, especially decompensated HF, sometimes consists of not only congestion, but also reduced arterial flow called hypoxic hepatopathy. Hypoxia causes centrilobular necrosis in the liver and leads to the elevation of

**Table 3** Cox proportional hazard model of all-cause mortality in heart failure

All-cause mortality (n=246/1058)	HR	95% CI	p Value
<b>FIB4 index as categorical variable</b>			
Third versus first tertile unadjusted	3.547	2.511 to 5.012	<0.001
Third versus first tertile adjusted*	1.943	1.071 to 3.526	0.020
Second versus first tertile unadjusted	1.855	1.274 to 2.702	0.001
Second versus first tertile adjusted*	1.488	1.028 to 2.127	0.039
<b>FIB4 index as continuous variable</b>			
Unadjusted model	1.194	1.120 to 1.275	<0.001
Adjusted model*	1.127	1.018 to 1.253	0.042

\*Adjusted for sex, body mass index, New York Heart Association class, ischaemic aetiology, hypertension, diabetes, dyslipidaemia, atrial fibrillation, haemoglobin, estimated glomerular filtration rate, sodium, B-type natriuretic peptide, left ventricular ejection fraction, renin-angiotensin-aldosterone system inhibitors,  $\beta$ -blockers, diuretics, inotropic agents.

transaminase.<sup>21</sup> In addition, increased central venous pressure causes hepatocyte atrophy and perisinusoidal oedema in the liver.<sup>2 4</sup> Sinusoidal damage leads to impaired clearance of aspartate aminotransferase.<sup>22</sup> Portal hypertension and liver fibrosis cause a reduced platelet count. Increased pressure within the hepatic sinusoid favours bile duct damage by disrupting endothelial cells and the inter hepatocytic tight junctions that separate the extravascular space from the bile



**Figure 2** Kaplan-Meier analysis for all-cause mortality among the three groups (first, second and third tertiles of the FIB4 index). \* $p < 0.05$ .

canaliculus. Further, stagnant flow favours thrombosis within sinusoids, hepatic venules and portal tracts, thereby contributing to liver fibrosis.<sup>3 23</sup> Centrilobular liver cell necrosis can extend to peripheral areas if HF persists and worsens, and is followed by the deposition and spread of connective tissue bridging one central vein to another, ultimately leading to liver cirrhosis.<sup>3</sup> Concordant with these findings, in the present study, there were significant associations between type IV collagen 7S, PIIP, hyaluronic acid, BNP and the FIB4 index. Moreover, right and left volume overload was assumed in high FIB4 index groups in the present study. Therefore, an elevated FIB4 index might indicate liver fibrosis, underlying increased venous pressure and congestion.

In addition, HF and NAFLD has some common pathophysiology and comorbidities. Elevated RAS, oxidative stress, insulin resistance and inflammation cause atherosclerosis and increase organ fibrosis in patients both with HF<sup>1</sup> and NAFLD.<sup>24 25</sup> NAFLD is associated with atherosclerosis,<sup>26 27</sup> reduced coronary flow reserve,<sup>28</sup> impaired myocardial metabolism, left ventricular dysfunction and higher cardiovascular mortality.<sup>3 25 29 30</sup> These may explain the association between the severities of NAFLD (FIB4 index) and HF.

## STUDY LIMITATIONS

The present study has several limitations. First, as a prospective cohort study of a single centre with a relatively small number of patients, the study may be somewhat underpowered. Second, it remains unclear whether the FIB4 index reflects only liver fibrosis and/or congestion resulting in liver stiffness. Although patients with HF with documented liver disease were excluded, we cannot completely deny the presence of liver disease. The relationships between the FIB4 index and other fibrosis evaluations, such as liver biopsy, which is not generally applied in patients with HF, or imaging (eg, CT, elastography) should be assessed in further studies. Third, we have conducted the present study using only variables on hospitalisation, without taking into consideration changes in medical parameters and postdischarge treatment. Therefore, the present results should be viewed as preliminary, and further studies with a larger population are needed.

## CONCLUSION

The FIB4 index, a marker of liver stiffness, is associated with type IV collagen 7S, PIIP, hyaluronic acid, BNP, larger right and left heart volume overload and higher mortality in patients with HF.

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**Contributors** AY and YT: drafted the article and conceptualised the study; YS and YK: performed the statistical analysis; SW, TY, SA, TM, TS, SS, MO, AK, TY, HK and KN: obtained general data; SS and YT: revised the article critically for important intellectual content.

**Competing interests** None declared.

**Patient consent** Obtained

**Ethics approval** Ethical Committee of Fukushima Medical University.

**Provenance and peer review** Not commissioned; internally peer reviewed.

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