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# ORIGINAL ARTICLE

# Association of tumor differentiation and prognosis in patients with rectal cancer undergoing neoadjuvant chemoradiation therapy

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# Abstract

**Background and objective**: Neoadjuvant chemoradiation therapy (NCRT) followed by radical resection has been a common practice for patients with locally advanced rectal cancer. This study aimed to analyse the association of tumor differentiation and prognosis in rectal-cancer patients undergoing NCRT.

**Methods:** Patients with locally advanced, non-mucinous rectal cancer who underwent NCRT followed by radical resection between 2007 and 2017 were identified from an electronic health record system at the Sixth Affiliated Hospital of Sun Yatsen University (Guangzhou, China). Multivariable logistic regression and multivariate Cox regression were performed to analyse the association of response to NCRT and survival with clinicopathological characteristics of all these patients. **Results:** We identified 325 patients (241 males and 84 females; mean age,  $54.4 \pm 11.2$  years) who underwent NCRT followed by radical resection, including 26 (8.0%) with poorly-differentiated rectal cancer, 182 (56.0%) with moderately-differentiated cancer and 117 (36.0%) with well differentiated cancer. Propensity score matching analysis and multivariable logistic regression analysis results showed that tumor differentiation was significantly associated with response to NCRT. In the poor differentiation and non-poor differentiation groups, the 3-year overall survival (OS) rates were 74.6 and 93.5%, respectively, whereas the 3-year local recurrence rates were 18.6 and 3.7%, respectively. Multivariable Cox regression analyses revealed that poor differentiation was an independent risk factor for local recurrence and OS.

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This is an Open Access article distributed under the terms of the Creative Commons Attribution Non-Commercial License (http://creativecommons.org/ licenses/by-nc/4.0/), which permits non-commercial re-use, distribution, and reproduction in any medium, provided the original work is properly cited. For commercial re-use, please contact journals.permissions@oup.com **Conclusions:** Among the patients with locally advanced, non-mucinous rectal cancer, the patients with poorlydifferentiated cancer who underwent NCRT had a worse response to NCRT and poorer prognosis than those with moderately- and well-differentiated diseases.

Key words: Rectal cancer; neoadjuvant chemoradiation therapy; prognosis

# Introduction

Neoadjuvant chemoradiation therapy (NCRT) followed by radical resection has been a common treatment of patients with locally advanced rectal cancer. NCRT contributes to tumor pathological regression and reduces the local recurrence rate by approximately 50% in rectal cancer [1]. However, when compared with surgery alone [2] or post-operative chemoradiation therapy [3], NCRT failed to improve overall survival (OS) rates. Pathological complete response (pCR), which indicates a much more favorable prognosis, was only observed in 15–27% of patients undergoing NCRT [4, 5]. Response to NCRT was associated with multiple risk factors of rectal-cancer patients, including clinical characteristics [6], gene mutation [7, 8] and biological behavior [9–11].

Histology appears to be an excellent predictor for response to NCRT and prognosis in cancer patients. Response to NCRT in patients with mucinous rectal cancer was much poorer than that in patients with non-mucinous rectal cancer [9, 11, 12]. Differentiation in the diagnostic biopsies may help to predict the tumor response to NCRT [13]. When compared with patients with poorly- and moderately-differentiated rectal cancer, those with well-differentiated rectal cancer had a higher percentage of pCR [14]. Poor differentiation was a risk factor for progression-free survival of patients treated with NCRT [15]. In this study, we retrospectively analysed the data of patients with non-mucinous rectal cancer to explore the association between tumor differentiation and prognosis.

### **Patients and methods**

### Patients

The study was approved by the Institutional Review Board of the Sixth Affiliated Hospital of Sun Yat-sen University (Guangzhou, China). The patients who were diagnosed with locally advanced non-mucinous rectal cancer and underwent NCRT followed by radical resection between 2007 and 2017 were included in this study. The exclusion criteria were as follows: (i) patients with stage IV disease, (ii) patients with mucinous rectal cancer, (iii) patients with multiple primary tumors or recurrent cancer, (iv) patients with familiar adenomatous polyposis (FAP) or inflammatory bowel disease (IBD) and (v) patients with unavailable data.

### Treatment and follow-up

All patients received at least one cycle of chemotherapy [including FOLFOX (leucovorin, fluorouracil and oxaliplatin), de Gramont (leucovorin and fluorouracil) or XELOX (capecitabine and oxaliplatin)] plus two to four cycles of radiotherapy (46.0– 50.4 Gy delivered in 23–25 fractions) before surgery. Tumor regression grade (TRG) is a predictor of therapeutic response in rectal-cancer patients treated with NCRT followed by radical resection [16]. pCR was defined as the lack of any signs of cancer in tissue samples after NCRT. Magnetic resonance imaging (MRI) or computed tomography (CT) examination was performed before NCRT. The information of chemotherapy and radiotherapy, surgery and post-operative pathological diagnosis was also collected. The rectal cancer was categorized as poorlydifferentiated cancer (PDC), moderately-differentiated (MDC) or well-differentiated cancer (WDC) based on post-operative pathological diagnosis.

For all patients, follow-up was scheduled for surveillance every 3 months after the surgery for the first year, every 6 months for the next 2 years and every year thereafter. Response to NCRT was defined as down-staging of either T or N category (without any progression of T or N category) after NCRT.

### Statistical analysis

The results of descriptive data for all factors are presented as the means and standard deviations for continuous variables or frequencies and percentages for categorical factors. Variance analysis or t-test was used for continuous factors and Chisquare test or Fisher's exact probabilities test was used for categorical variables to compare the basic characteristics and the response to NCRT among groups.

Propensity score matching (PSM) was used to analyse the association of differentiation and response. In PSM and survival analyses, the patients with moderately-differentiated and welldifferentiated rectal cancer were combined as the non-poor difference (PD) group, whereas those with poorly-differentiated rectal cancer were defined as the PD group. The PD group and non-PD group were matched using propensity scores with a ratio of 1:4 by adjusting for age, sex, hemoglobin level, body mass index (BMI), serum total protein, serum albumin, T and N categories before NCRT and tumor location. Univariate and multivariable logistic regression analyses were performed to validate the PSM results. Univariate and multivariable Cox regression analyses were conducted to investigate the risk factors of local recurrence and OS. Except for differentiation, only the variables with statistical significance at the level of 0.05 in univariate analyses were included in multivariable models. The Kaplan-Meier analysis was performed to compare the OS rate and local recurrence rate between the PD and non-PD groups. A P-value of <0.05 was considered statistically significant. All analyses were performed using R version 3.2.4 (The R Foundation for Statistical Computing, Vienna, Austria).

### Results

### **Characteristics of patients**

The patient-identification process is shown in Figure 1. A total of 500 patients with non-mucinous rectal cancer undergoing chemoradiation followed by surgery between 2007 and 2017 were identified from the electronic health record system at the Sixth Affiliated Hospital of Sun Yat-sen University (Guangzhou, China). The final cohort included 325 patients, including 26, 182 and 117 patients with poorly-, moderately- and well-differentiated rectal cancers, respectively.

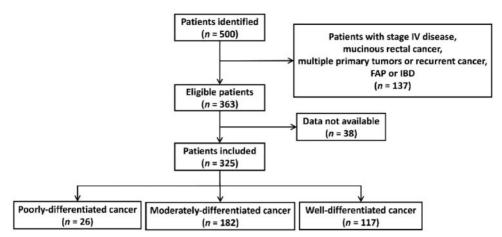


Figure 1. The flow chart of the patient-identification process. Data source: the electronic health record system at the Sixth Affiliated Hospital of Sun Yat-sen University, Guangzhou, China. FAP, familial adenomatous polyposis; IBD, inflammatory bowel disease.

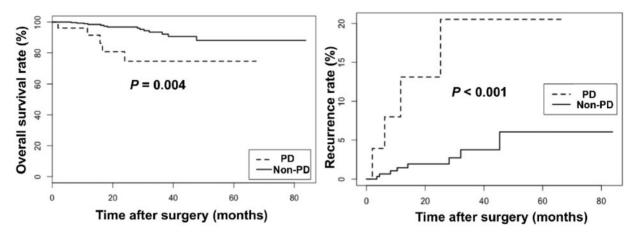


Figure 2.Kaplan–Meier curves of overall survival rate (A) and local recurrence rate (B) in differentiation groups. The PD group means poorly-differentiated cancer group and the non-PD group includes moderately- and well-differentiated cancer groups.

# Comparison of demographic and clinicopathological characteristics

Demographic characteristics among groups are shown in Table 1. The mean age of the patients was  $54.4 \pm 11.2$  years. The patients with poorly-differentiated rectal cancer were younger than those with moderately- and well-differentiated diseases ( $46.0 \pm 10.2$  vs  $54.6 \pm 10.8$  vs  $55.9 \pm 11.1$  years, P < 0.001). A higher proportion of females and higher clinical T and N category were observed in the PD group than the non-PD group. Lower rectal cancer occurred in 76.9, 60.5 and 69.3% of poorly-, moderately- and well-differentiated rectal cancers, respectively. Of note, 26.9% of pathological N2 cases were found to be poorly-differentiated rectal cancers, respectively.

### The response to NCRT

A total of 263 patients (74.7%) achieved response after NCRT. The response rate of poorly-differentiated rectal cancer (65.4%) was lower than those of moderately- and well-differentiated rectal cancers (81.9 and 82.9%, respectively). Poorly-differentiated rectal cancer seemed to achieve a more favorable TRG and higher pCR rate than other groups; however, the differences were not significant between these groups in terms of response, TRG or pCR rate (Table 1).

Propensity score analyses were performed to investigate the association of differentiation and response. Based on propensity scores, 104 patients in the non-PD group were matched to 26 patients in the PD group. The baseline characteristics and clinical outcome of these two groups are listed in Table 2. Univariate analysis results showed that patients in both groups were demographically homogeneous and that the PD group had a lower response rate than did the non-PD group (P = 0.020). However, no significant difference was found in the pCR rate or TRG between the two groups (Table 2).

#### The association of differentiation and response

Univariate and multivariable logistic regression analyses were performed to further assess the risk factors associated with response to NCRT. After adjusting for risk factors, including sex, differentiated stage, T and N categories before NCRT, hemoglobin, serum total protein and serum albumin, multivariable analysis results showed that poor differentiation was an independent risk factor for response to NCRT [odds ratio (OR), 5.11; 95% confidence interval (CI), 1.72–15.0 and OR, 4.97; 95% CI, 1.62–15.2 for moderately- and well-differentiated rectal cancers, respectively, when compared with poor-differentiated rectal cancer] (Table 3).

Table 1. Chinicopathological characteristics of 325 patients with rectal cance	Table 1. Clinicopathological characteristics of 325 p	patients with rectal cancer
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Clinicopathological feature	Total	Differentiation level of rectal cancer			
	(n = 325)	Poor differentiation (n=26)	Moderate differentiation (n = 182)	Well differentiated (n = 117)	
Age, mean $\pm$ SD (years)		$46.0\pm10.2$	$54.6 \pm 10.8$	55.9 ± 11.1	<0.001
Sex, n (%)					0.430
Male	241 (74.2)	18 (69.2)	140 (77.8)	83 (70.9)	
Female	84 (25.8)	8 (30.8)	42 (22.2)	34 (29.1)	
T category before NCRT, n (%)					0.622
T2	21 (6.5)	2 (7.7)	10 (5.5)	9 (7.7)	
T3	234 (72.0)	16 (61.5)	133 (73.1)	85 (72.6)	
T4	70 (21.5)	8 (30.8)	39 (21.4)	23 (19.7)	
N category before NCRT, n (%)					0.638
NO	71 (21.8)	5 (19.2)	44 (24.2)	22 (18.8)	
N1	126 (38.8)	9 (34.6)	66 (36.3)	51 (43.6)	
N2	128 (39.4)	12 (46.2)	72 (39.5)	44 (37.6)	
Interval between NCRT and surgery,	120 (33.1)	$48.5 \pm 26.4$	53.6 ± 45.3	$58.5 \pm 45.0$	0.494
mean ± SD (days) <sup>a</sup>		10.J ± 20.1	55.0 ± <del>1</del> 5.5	50.5 ± <del>1</del> 5.0	0.101
Tumor location, n (%)					0.409
Upper rectum	32 (9.9)	1 (3.9)	21 (11.5)	10 (8.5)	
Middle rectum	82 (25.2)	5 (19.2)	51 (28.0)	26 (22.2)	
Low rectum	211 (64.9)	20 (76.9)	110 (60.5)	81 (69.3)	
Surgical procedure, n (%)					0.196
Open	56 (17.2)	8 (30.8)	31 (17.0)	17 (14.5)	
Hand-assisted	12 (3.7)	0	5 (2.7)	7 (6.0)	
Laparoscopic	257 (79.1)	18 (69.2)	146 (80.3)	93 (79.5)	
Distance to the anal verge, mean $\pm$ SD (cm)	237 (73.1)	$3.9 \pm 2.2$	$4.8 \pm 2.6$	5.0 ± 2.7	0.209
CRM status, $n$ (%)		5.5 = 2.2	1.0 = 2.0	5.0 = 2.7	0.062
Positive	2 (0.6)	1 (1)	0	1 (0 0)	0.002
	• •	1 (4)		1 (0.9)	
Negative	315 (99.4)	24 (96)	179 (100)	112 (99.1)	0 700
Number of lymph nodes dissected, mean $\pm$ SD		$8.8 \pm 4.1$	9.7 ± 5.4	9.5 ± 5.1	0.700
Pathological T category					0.803
T0-T2	141 (43.4)	11 (42.3)	80 (44.0)	50 (42.7)	
T3	171 (52.6)	13 (50)	96 (52.7)	62 (53.0)	
T4	13 (4.0)	2 (7.7)	6 (3.3)	5 (4.3)	
Pathological N category					< 0.001
NO	278 (85.5)	19 (73.1)	155 (85.2)	104 (88.9)	
N1	32 (9.9)	0 (0)	22 (12.1)	10 (8.5)	
N2	15 (4.6)	7 (26.9)	5 (3.7)	3 (2.6)	
TRG <sup>a</sup>		( ••••)			0.147
0	82 (26.1)	12 (46.2)	44 (24.2)	26 (22.2)	01217
1	99 (31.4)	7 (26.9)	52 (28.6)	40 (34.2)	
2–3	134 (42.5)	7 (26.9)	80 (44.0)	47 (40.2)	
Down-staging of T category	154 (42.5)	7 (20.9)	80 (44.0)	47 (40.2)	0.871
	149 (45.8)	14 (53.8)	80 (44.0)	55 (47.0)	0.071
Yes	. ,	· · ·		· · ·	
No	176 (54.2)	12 (46.2)	102 (56.0)	62 (53.0)	0.050
Down-staging of N category	005 (60.0)	11/50.0	400 (67 0)		0.053
Yes	225 (69.2)	14 (53.8)	122 (67.0)	89 (76.1)	
No	100 (30.8)	12 (46.2)	60 (33.0)	28 (23.9)	
Response					0.119
Yes	263 (81.9)	17 (65.4)	149 (81.9)	97 (82.9)	
No	62 (19.1)	9 (34.6)	33 (18.1)	20 (17.1)	
pCR					0.276
Yes	62 (19.1)	8 (30.8)	32 (17.6)	22 (18.8)	
No	263 (80.9)	18 (69.2)	150 (82.4)	95 (81.2)	

SD, standard deviation; NCRT, neoadjuvant chemoradiation; CRM, circumferential resection margin; TRG, tumor regression grade; pCR, pathological complete regression. <sup>a</sup>The data of 10 patients were missed and only 315 patients have complete information here.

# Comparison of clinical outcomes

The median duration of follow-up was 24.8 months. The 3-year OS rates were 74.6 and 93.5% in the PD and non-PD groups,

respectively (Figure 2). The 3-year local recurrence rates were 18.6 and 3.7% in the PD and non-PD groups, respectively (Figure 2). The results of multivariable Cox analysis revealed

Variable	Before matching ( $n = 325$ )		P-value	After matching (n $=$ 130)		P-value
	PD group (n = 26)	Non-PD group (n = 299)		PD group (n = 26)	Non-PD group (n = 104)	
Age, mean ± SD (years)	46.0 ± 10.2	55.1 ± 11.0	< 0.001	46.0 ± 10.2	47.0 ± 9.8	0.662
Hemoglobin, mean $\pm$ SD (g/L)	$107.9 \pm 30.9$	$118.4\pm17.3$	0.102	$107.9 \pm 30.9$	$114.3\pm19.6$	0.588
Serum total protein, mean $\pm$ SD (g/L)	$64.1\pm11.0$	$68.5\pm6.3$	0.007	$64.1\pm11.0$	$66.7\pm6.9$	0.337
Serum albumin, mean $\pm$ SD (g/L)	$41.1\pm4.3$	$41.1\pm4.1$	0.575	$41.1\pm4.3$	$41.2\pm4.4$	0.884
CEA, mean $\pm$ SD (ng/mL)	$2.5 \pm 2.1$	$3.9\pm10.2$	0.251	$2.5 \pm 2.1$	$2.2 \pm 1.6$	0.337
Sex, n (%)			0.716			0.999
Female	8 (30.8)	76 (25.4)		8 (30.8)	31 (29.8)	
Male	18 (69.2)	223 (74.6)		18 (69.2)	73 (70.2)	
BMI, n (%)		· · ·	0.293		· · ·	0.962
<18.5 or ≥24	7 (26.9)	118 (39.5)		7 (26.9)	31 (29.8)	
18.5–23.9	19 (73.1)	181 (60.5)		19 (73.1)	73 (70.2)	
T category before NCRT, n (%)			0.324			0.814
T2	2 (7.7)	19 (6.4)		2 (7.7)	6 (5.8)	
T3	16 (61.5)	218 (72.9)		16 (61.5)	69 (66.3)	
T4	8 (20.8)	62 (20.7)		8 (30.8)	29 (27.9)	
N category before NCRT, n (%)	0 (2010)	02 (2017)	0.762	0 (0010)	25 (2715)	0.641
NO	5 (19.2)	66 (22.1)	0.7 02	5 (19.2)	20 (19.2)	0.011
N1	9 (34.6)	117 (39.1)		9 (34.6)	27 (26.0)	
N2	12 (46.2)	116 (38.8)		12 (46.2)	57 (54.8)	
Surgical procedure, n (%)	12 (10.2)	110 (50.0)	0.167	12 (10.2)	57 (51.0)	0.210
Open	8 (30.8)	48 (16.1)	0.107	8 (30.8)	18 (17.3)	0.210
Hand-assisted	0	12 (4.0)		0	5 (4.8)	
		. ,			· · ·	
Laparoscopic	18 (69.2)	239 (79.9)	0.436	18 (69.2)	81 (77.9)	0.924
Location, n (%)	20 (70 0)	101 (62.0)	0.450	20 (70 0)	75 (70.1)	0.924
Low rectum	20 (76.9)	191 (63.9)		20 (76.9)	75 (72.1)	
Middle rectum	5 (19.2)	77 (25.8)		5 (19.2)	23 (22.2)	
Upper rectum	1 (3.9)	31 (10.3)	0.100	1 (3.9)	6 (5.8)	0.000
pCR, n (%)	10 (00 0)	0.45 (04.0)	0.122	40 (60 0)	70 (76 0)	0.650
No	18 (69.2)	245 (81.9)		18 (69.2)	79 (76.0)	
Yes	8 (30.8)	54 (18.1)		8 (30.8)	25 (24.0)	
TRG, n (%)			0.045			0.180
0	12 (46.2)	70 (23.4)		12 (46.2)	29 (27.9)	
1	7 (26.9)	92 (30.8)		7 (26.9)	32 (30.8)	
2–3	7 (26.9)	127 (42.5)		7 (26.9)	43 (41.3)	
Response, n (%)			0.063			0.020
No	9 (34.6)	53 (17.7)		9 (34.6)	14 (13.5)	
Yes	17 (65.4)	246 (82.3)		17 (65.4)	90 (86.5)	
Down-staging of T category, n (%)			1.000			0.623
No	12 (46.2)	135 (45.2)		12 (46.2)	40 (38.5)	
Yes	14 (53.8)	164 (54.8)		14 (53.8)	64 (61.5)	
Down-staging of N category, n (%)			0.121			0.046
No	12 (46.2)	88 (29.4)		12 (46.2)	25 (24.0)	
Yes	14 (53.8)	211 (70.6)		14 (53.8)	79 (76.0)	

PD, poor differentiation; SD, standard deviation; CEA, carcinoembryonic antigen; BMI, body mass index; NCRT, neoadjuvant chemoradiation therapy; pCR, pathological complete response; TRG, tumor regression grade.

that PD was an independent risk factor for local recurrence (HR, 5.61; 95% CI, 1.64–19.11, P = 0.006) and OS (HR, 7.47, 95% CI, 2.18–25.5, P = 0.001) in rectal-cancer patients undergoing NCRT. However, a positive circumferential resection margin was also found to be an independent risk factor for OS (HR, 20.7, 95% CI, 1.83–235.00, P = 0.014) but not for local recurrence (Table 4).

# Discussion

The present study found that patients with poorlydifferentiated rectal cancer had a worse response but comparable pCR and TRG to NCRT than the patients with moderately- and well-differentiated rectal cancers. Poor differentiation was an independent risk factor for local recurrence and OS in patients with locally advance rectal cancer who underwent NCRT.

The majority of previous studies used pCR as the outcome to assess the response to NCRT. However, existing evidence and our results both failed to show any association between differentiation and pCR [14, 17]. NCRT could benefit patients in other ways, including achieving the down-staging of T or N category and without progression. In a retrospective study with 96 patients, Qiu *et al.* [18] reported that the response and downstaging rates to NCRT in patients with poorly differentiated rectal cancer were only 52.2 and 30.4%, respectively, which were

Factors	No. of						
	patients (%)	Response rate (%)	OR (95% CI) Univariate regression	P-value	OR (95% CI) Multivariate regression	P-value	
Age, mean $\pm$ SD (years)	54.4 ± 11.2		1.00 (0.98, 1.03)	0.626			
Sex, n (%)							
Male	241	187 (77.6%)	Reference		Reference		
Female	84	76 (90.5%)	2.74 (1.31, 6.48)	0.012	2.41 (1.02, 6.43)	0.058	
Differentiation level, n (%)							
PD	26	17 (65.4%)	Reference		Reference		
MD	182	149 (81.7%)	2.39 (0.94, 5.73)	0.055	5.11 (1.72, 15.0)	0.003	
WD	117	97 (82.9%)	2.57 (0.97, 6.51)	0.049	4.97 (1.62, 15.2)	0.005	
T category before NCRT, n (%)							
T2	21	15 (57.7%)	Reference		Reference		
T3	234	185 (79.1%)	1.51 (0.51, 3.93)	0.418	0.77 (0.23, 2.34)	0.652	
T4	70	63 (90.0%)	3.60 (1.03, 12.5)	0.041	1.42 (0.34, 5.81)	0.623	
N category before NCRT, n (%)							
NO	71	39 (54.9%)	Reference		Reference		
N1	126	108 (85.7%)	4.92 (2.51, 9.92)	< 0.001	4.73 (2.32, 9.94)	< 0.001	
N2	128	116 (90.6%)	7.93 (3.81, 17.5)	< 0.001	7.89 (3.51, 19.0)	< 0.001	
Interval between NCRT and surgery, mean $\pm$ SD (days)	(n = 315)	52.7 ± 35.1	0.99 (0.98, 1.00)	0.076			
Hemoglobin (g/L) WBC ( $\times$ 10 <sup>9</sup> /L)		$116.1\pm19.6$	0.97 (0.96, 0.99)	0.004	0.99 (0.97, 1.01)	0.342	
4–10	191	156 (81.7%)	1.12 (0.64, 1.96)	0.680			
<4 or >10 BMI (kg/m²)	134	107 (79.8%)	Reference				
18.5–23.9	200	167 (83.5%)	1.52 (0.87, 2.67)	0.136			
<18.5 or >24	125	96 (76.8%)	Reference				
Serum total protein (g/L)	(n = 324)	67.8 ± 6.9	0.95 (0.90, 0.99)	0.019	0.95 (0.89, 1.01)	0.080	
Serum albumin (g/L)	(n = 324)	$40.9\pm4.0$	0.91 (0.84, 0.98)	0.011	1.00 (0.90, 1.10)	0.939	
CEA (ng/mL)	(n = 323)						
0–5	276	224 (81.2%)	1.16 (0.52, 2.41)	0.695			
>5	47	37 (78.7%)	Reference				
Tumor location, n (%)		. ,					
Upper rectum	32	25 (78.1%)	Reference				
Middle rectum	82	70 (85.4%)	1.64 (0.55, 4.54)	0.354			
Low rectum	211	168 (79.6%)	1.09 (0.41, 2.58)	0.845			

OR, odds ratio; CI, confidence interval; SD, standard deviation; PD, poor differentiation; MD, medium differentiation; WD, well differentiated; NCRT, neoadjuvant chemoradiation therapy; WBC, white blood cell; BMI, body mass index; CEA, carcinoembryonic antigen.

Variable	Recurrence	Recurrence		Overall surviva	al	P-value
	HR	95% CI		HR	95% CI	
Age				1.04	(0.99, 1.09)	0.124
BMI				0.42	(0.16, 1.12)	0.081
CEA				0.37	(0.12, 1.10)	0.074
Serum total protein	0.31	(0.09, 1.09)	0.068	0.43	(0.14, 1.28)	0.129
CRM				20.70	(1.83, 235.00)	0.014
Histology						
Non-PD	Reference			Reference		
PD	5.61	(1.64, 19.11)	0.006	7.47	(2.18, 25.50)	0.001
N stage before NCRT						
NO	Reference			Reference		
N1	1.26	(0.11, 14.18)	0.852	1.58	(0.29, 8.46)	0.595
N2	6.00	(0.74, 48.10)	0.091	3.97	(0.83, 18.90)	0.083

Table 4. Multivariate Cox analysis of the 325 rectal-cancer patients

HR, hazard ratio; CI, confidence interval; BMI, body mass index; CEA, carcinoembryonic antigen; CRM, circumferential resection margin; PD, poor differentiation; NCRT, neoadjuvant chemoradiation therapy.

lower than those in the non-PDC group (78.9 and 54.9%). However, multivariable analysis results revealed that differentiation was not an independent factor for the response to NCRT [18]. Another retrospective study performed by Reggiani *et al.* [19] showed that patients with poorly-differentiated rectal cancer had poorer performance in down-staging of T category (P < 0.001) and TRG (P = 0.073) than those with moderately- and well-differentiated rectal cancers, which is consistent with the results of Garcia-Florex *et al.* [13]. The present study found that the non-PD group had a better response than did the PD group, indicating that NCRT could still benefit patients with moderately- and well-differentiated rectal cancers even when pCR did not occur. Further study under broader context and using a uniform protocol of NCRT should be conducted to confirm the association of tumor differentiation and the response to NCRT.

Previous reports presented that NCRT might improve the local control but not the OS rate of rectal-cancer patients [2, 3]. However, some characteristics of the cancer might lead to differences of the prognosis, including histology and molecular marker. Zitt et al. [20] revealed that tumor differentiation, operative procedure and down-staging were independent risk factors for OS. Cebrian et al. [21] found that decreased polo-like kinase 1 (Plk1) expression, accompanied with higher-grade differentiation, was associated with poor pathological response and a low disease-free survival rate in rectal-cancer patients undergoing NCRT. Based on the literature and present results, the conclusion could be drawn that patients with poorly-differentiated rectal cancer who underwent NCRT have a worse prognosis than those with moderately- and well-differentiated rectal cancers. The following reasons may contribute to this poor prognosis in patients with poorly-differentiated rectal cancer. First, poorly-differentiated rectal cancer was located nearer to the anal verge than moderately- and well-differentiated rectal cancers, increasing the complications of NCRT and the difficulty of surgery. Second, de-differentiated tumors are more often found to invade vascular and neural structures and transgress histological boundaries [22]. Finally, NCRT might not improve the OS rate of rectal-cancer patients and, generally, an immature tumor is more aggressive than the differentiated tumors [22].

This study is limited by its retrospective design, in which inevitable heterogeneity appeared among groups. However, we performed PSM and multivariable analyses to overcome the drawback of the design and obtain a convincing result. Though the patients who received at least one cycle of chemotherapy plus radiotherapy before surgery were included in this study, we lack details of the exact neoadjuvant chemotherapy regimen and their associated toxicity. Another limitation is that the sample size of the PD group (n=26, 8%) was relatively small.

In conclusion, poor differentiation was associated with poor response to NCRT and prognosis in patients with locally advanced non-mucinous rectal cancer undergoing NCRT. These results may help clinicians to predict the prognosis of patients and develop more adaptive treatment strategies, reducing the extra suffering and financial burden of overtreatment.

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### **Conflict of interest**

The authors declared no financial conflict of interest.

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